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MP096 'DNO Power Outage Alerts'

Modification Report

Version 1.0

22 June 2022

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About this document

This document is a Modification Report. It sets out the background, issue, solution, impacts, costs, implementation approach and progression timetable for this modification, along with any relevant discussions, views and conclusions.

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This document also has seven annexes:

- **Annex A** contains the Distribution Network Operator (DNO) requirements for the solution.
- **Annex B** contains the Data Communications Company (DCC) Technical Study Report.
- **Annex C** contains the non-confidential request for information (RFI) responses.
- **Annex D** contains the redlined changes to the Smart Energy Code (SEC) required to deliver the Proposed Solution.
- **Annex E** contains the DCC-produced Power Outage and Restoration Alerts Delivery Management Document
- **Annex F** contains the full responses received to the Refinement Consultation
- **Annex G** contains the updated version of the Performance Measurement Methodology (PMM).

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1. Summary

This modification was raised by Del Kang of the DCC.

DNOs have systems in place to ensure that in the event of a power outage, where possible, power is automatically restored within three minutes. In the case of a power outage lasting more than three minutes, the DCC is obliged under the SEC to provide Power Outage Alerts (POAs) to Industry, including DNOs, within 60 seconds after the initial three minutes of the outage. Once power has been restored, a Power Restoration Alert (PRA) is sent to the DNO via the DCC Data Service Provider (DSP). This must also be sent within 60 seconds.

POAs and PRAs are key enablers for DNOs to deliver the benefits of the Smart Metering Implementation Programme.

The DCC is currently unable to meet the 60-second SEC obligation. A SEC transitional variation was approved by the Department of Business, Energy and Industrial Strategy (BEIS) to compensate for the difference for what is stated in the SEC and the DCC's current capability. This exception expired on 31 October 2018.

While the DCC fundamental Service Providers (including Communication Service Providers (CSPs) and the DSP) are compliant with the POA and PRA performance requirements specified in their contracts, the DCC does not currently meet the performance specified in the SEC. It is therefore proposing a modification to the SEC to rectify this position.

The Proposed Solution aims to amend the SEC to state that POA and PRA target performance and rationale will be captured within a new DCC document, titled 'Power Outage and Restoration Alerts Delivery Management Document'. The performance is measured based on CSP technology. (Please note that fourth generation (4G) Communications Hubs are not part of this solution and will need to meet the current 60-second requirement.) The target performance will be reviewed and validated on a quarterly basis. Proposed amendments (if applicable) to the targets and exceptions will be applied every 12 months.

This modification will impact the DCC as it will have to deliver the agreed performance for POAs and PRAs. The estimated implementation cost is limited to Smart Energy Code Administrator and Secretariat (SECAS) time and effort to update the SEC. If approved by the Authority, this modification is targeted to be implemented 10 Working Days later as an ad-hoc SEC Release.

2. Issue

What are the current arrangements?

Power Outages

Distribution Network power outages are a common occurrence. There are various causes ranging from third party damage and weather-related events to equipment failure. For events affecting High Voltage networks, it is normal practice for automation schemes to attempt power restoration to some or all customers affected within three minutes. On Low Voltage networks however, there is little, or no, automation and power can only be restored by personnel attending site and carrying out a repair or by manual switching.

Prior to the implementation of the single power cut telephone number (105), DNOs were receiving between 20% and 40% of calls from consumers within five minutes of the start of the power outage event, and between 60% to 67% of calls within ten minutes.

In 2016, BEIS commissioned an external consultancy to conduct an in-depth investigation of the potential impacts and benefits of smart meters for DNOs. This included the increased data that Network Parties would have available from smart meters which would allow them to identify faults in the network earlier, restore electricity supply more quickly when outages occur, and take better informed investment decisions. This work included evidence published by BEIS, the Energy Networks Association (ENA), individual DNOs and Ofgem, as well as international studies. The identified Network benefits are included in the Smart Meter Implementation Programme Cost Benefits Analysis (CBA)¹, and are summarised below:

- Earlier Fault Notification
- Faster Restoration of Supply
- Reduction in Operational Costs to Fix Faults
- Reduction in Calls to Fault and Emergency Lines

The full potential of Network benefits is underpinned by delivery of automated smart meter POAs and PRAs to DNOs, at the performance requirement specified in the SEC.

What is the issue?

The SEC states that Alerts are to be sent to Users within 60 seconds, measured from the Alert being communicated to (Device Alerts) or generated by (Non-Device Alerts) the Communications Hub Function. This obligation is captured in SEC Section H3.14(g) and includes POAs and PRAs. This means that in the case of a power outage, an Alert must be sent to the DNO within 60 seconds after the initial period of three minutes to allow for the power to potentially be restored automatically has ended. When the power is restored, a further Alert must also be sent within 60 seconds. The DCC is currently unable to meet the performance requirement for POA and PRA as set out in the SEC.

This modification relates to three specific Alerts:

- AD1 Alert - Power Outage Event
- 8F35 Alert - Supply Outage Restored
- 8F36 Alert - Supply Outage Restored (Outage >= three minutes)

CSP contracts were developed at the same time as the SEC (during the early stages of the Smart Meter Implementation Programme), however the CSP contracts do not include the same POA and PRA definitions and requirements that are specified in SEC. As a result, while the DCC is non-compliant with the SEC, Service Providers are compliant with the POA and PRA performance requirements specified in their contracts.

A SEC transitional variation was previously approved by BEIS to compensate for the difference between the SEC obligation and the DCC's current capability. This exception expired on 31 October 2018.

¹ <https://www.gov.uk/government/publications/smart-meter-roll-out-cost-benefit-analysis-2019>

Why is the DCC non-compliant?

The current smart infrastructure and Devices have physical technical constraints which prevents meeting the current SEC requirements for POA and PRAs. This can only be overcome by changing the infrastructure, including the legacy Communications Hubs, which would involve significant timeframe and costs. The constraints have been illustrated in the DCC Technical Study Report (Annex B) in sections 4.2 and 4.3, which has been shared with DNOs and circulated to the Technical Architecture and Business Architecture Sub-Committee (TABASC) and Working Group Members.

What is the impact this is having?

This issue has two primary impacts:

- DNOs are not able to deliver the expected benefits and cost efficiencies from smart meter Power Outage Alerts
- Energy Consumers do not receive the expected Network outage benefits from smart meters, including earlier fault notification and restoration.

What is the impact of doing nothing?

Doing nothing will result in:

- DNOs continuing to operate current power outage processes and practice but not receiving the anticipated benefits of the Smart Metering Programme. This is particularly important as the Revenue, Incentives, Innovation and Output (RIIO II) price control assumes the DNOs can make use of the benefits of having accurate and timely POAs and PRAs.
- Energy Consumers not receiving the expected Network outage benefits from smart meters.

Impact on consumers

The performance of POAs and PRAs has a direct link to how quickly issues can be identified and then rectified in order to restore a consumer's power supply. The expectation of DNOs has been that the DCC would be compliant with the SEC and therefore deliver Alerts within 60 seconds. Due to the extended time necessary to deliver the Alerts, DNOs are more likely to incur calls from consumers left off supply. The cost of providing this level of customer service is ultimately borne by the consumer.

3. Solution

Proposed Solution

Overview

The Proposed Solution will amend the SEC to state that POA and PRA target performance will be captured within a new DCC document, titled 'Power Outage and Restoration Alerts Delivery Management Document'. Each performance target will be agreed with DNOs, with supporting analysis and rationale. The performance will be divided by existing CSP technology; when 4G

Communications Hubs are built, they will need to meet the current 60-second requirement. The target performance will be reviewed and validated on a quarterly basis with DNOs. Proposed amendments (if applicable and agreed) to the targets will be applied every 12 months, without requiring a SEC Modification.

Differing technology

The rationale behind dividing performance by technology is due to the varying ways the CSPs have designed their systems and how they operate. For example, the CSP Central & South utilises cellular technology, which is not impacted by the volume of commissioned Electricity Smart Metering Equipment (ESME), whereas the CSP North utilises long-range radio technology, where performance is impacted by the volume of commissioned ESME.

Cellular technology

In terms of delivering a POA, the CSP Central & South's cellular technology operates in a way where if power is lost to the Communications Hub, an Alert is sent to the CSP where it held within its system for three minutes to check for a correlating PRA. If no PRA is received, then the Alert is processed.

All PRAs are delivered within one minute 30 seconds, and this will not change moving forward. These Alerts are processed directly from the ESME via the Communications Hub to the DSP.

The legal text will specifically reference second and third generation cellular technology. As such, the fourth generation Communications Hubs will be exempt from the new requirements and will meet the current 60-second requirement.

Long-range radio technology

For the CSP North and its long-range radio frequency technology, instead of sending the Alert straight to the CSP, it is held within the Communications Hub for three minutes. If power is not restored during this time, the POA is released and sent to the CSP. Depending on the number of Alerts and the geographical location of the outage, some Alerts will be lost due to collisions between Alerts. To mitigate this, the CSP North makes three attempts to deliver Alerts to the DNO.

The first window starts at three minutes and lasts 45 seconds; this is to maximise the number of Alerts being sent as quickly as possible. Alerts are distributed across the 45-second window using an algorithm based on the last digit of each Communications Hub's Global Unique Identifier (GUID).

It is likely that during large outages some Alerts will be lost and therefore the CSP North makes two further attempts lasting four minutes each to deliver Alerts to the DNO (filtering takes place within DCC systems to avoid multiple Alerts being received by the DNO for the same outage).

For PRAs, most Alerts are delivered within one minute. However, during large outages or other 'busy' times there is likely to be two peak deliveries. Once power has been restored, the Communications Hub will request an uplink slot from the CSP North. Uplink slots are limited to one Alert per channel per second; therefore, as more ESMEs are installed and the density of ESMEs becomes greater, there will be greater demand for uplink slots.

Target performance

The DCC has analysed 12 months' worth of data (May 2021 to April 2022) to baseline the performance for both POAs and PRAs across both CSP technologies. The DCC has advised that the performance data from June 2021 through to December 2021 for AD1 Alerts sent via second and third generation cellular technology has been discounted. Performance during these months was worse than that for the other months and does not represent normal system behaviour. This abnormal behaviour was due to a defect on the second and third generation cellular technology system which affected the delivery of AD1 Alerts only.

Following analysis, the proposed performance targets are as follows (these targets will be reviewed quarterly with one annual amendment):

Performance Targets for Cellular Technology		
Alert Type	95 th Percentile Performance	Measure
POA	5 minutes 11 seconds	Time taken from the time stamp of the Communications Hub. Time is measured from the end of the initial three-minute period.
PRA	1 minute 30 seconds	Time taken from the time stamp of the ESME.

Performance Targets for Long-Range Radio Technology		
Alert Type	95 th Percentile Performance	Measure
POA	4 minutes 31 seconds	Time taken from the time stamp of the Communications Hub. Time is measured from the end of the initial three-minute period.
PRA	5 minutes 34 seconds	Time taken from the time stamp of the ESME.

DNOs have expressed that, due to the nature of the distribution of POAs delivered by long-range radio technology, the DCC provides a target for the volume of POAs that are sent within the first 45-second window. The DCC will be required to report and provide rationale to DNOs via the Energy Network Association when 88% of POAs are not received within three minutes and 45 seconds of the time stamp of the Communications Hub.

Performance Target for Long-Range Radio Technology for Percentage of POAs Delivered within 45 Seconds		
Alert Type	% of Alerts Sent in first Window (45 Seconds)	Measure
POA	88%	Percentage of total POAs that will be delivered in 45 seconds

Performance Measurement Methodology and Performance Measure Exceptions List

The DCC's intends to incorporate the below exclusions into the solution as they are deemed to be outside of its control. These will be reviewed quarterly with DNOs, with one annual amendment if required.

Exclusions for 2022 - 2023			
Type	Definition	Impact	Rationale
Large Power Outages	Outages, or a combination of outages, affecting over 30 000 properties.	Both technology types and both Alert types.	CSPs are limited to sending 5,000 AD1, 8F35 and 8F36 Alerts per minute. This is due to the throttling implemented by the DSP on message volumes. DSP throttling will come into effect once the power outage Alert volumes are greater than 30,000 premises in a one-minute window. It will remain in effect until the volumes drop below this threshold.
ESME/ Communications Hub Generated Abnormal Volumes	Devices producing abnormal volumes of Alerts: more than 40 AD1 Alerts per month or 300 8F35/8F36 Alerts per month.	Both technology types and both Alert types.	Abnormal 8F35/8F36 Alerts – if an ESME is sending an abnormal volume of 8F35/8F36 Alerts and has a secondary issue of time clock drift then it can falsely skew reporting either positively or negatively (dependant on the direction of the time clock drift). Abnormal AD1 Alerts – if a Communications Hub is sending an abnormal volume of AD1 Alerts then the same can occur. It is possible that a meter defect causes the Communications Hub to believe power has been lost. The DCC will use existing DNO reporting to demonstrate which Alerts and the volumes of those alerts have been excluded for abnormal volumes.
Duplicated Alerts	The first AD1 Alert received will be used within the performance measures and any duplicated AD1 Alerts relating to the same power outage will be excluded.	Long-range radio technology only. This only applies to AD1 Alerts.	Multiple AD1 Alerts are generated and sent during a power loss event to maximise successful delivery. Any Alert found to be a duplicate will be discarded by DSP.
Undelivered Alerts During Planned & Unplanned DCC/CSP Maintenance	The DCC is unable to deliver Alerts if the CSP and/or DSP network is 'down' for planned or unplanned maintenance.	Both technology types and both Alert types.	AD1 Alerts are unsolicited Alerts (An Alert that the Communications Hub or ESME sends without being asked) and as such during planned or unplanned maintenance will not be delivered. DNOs do not have a methodology of obtaining

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Exclusions for 2022 - 2023			
Type	Definition	Impact	Rationale
			information surrounding other unsolicited Alerts, including voltage Alerts or tamper Alerts, and these will be lost. However, for power outage events DNOs may be notified by other methods such as network monitoring and/or customer contact. No Alerts will be sent during planned or unplanned maintenance situations however this service failure will be managed through the DCC's Service Availability performance metric and not through Alert Management, thus this is not included in the exclusions.

Amendments to the Performance Measurement Methodology

The PMM will be updated to state that POAs and PRAs are Performance Indicators. These will be captured within the DCC Performance Indicators Document, the changes for which will be reviewed and consulted upon by the Panel in parallel with this modification's decision. As such, there will be no changes to the PMR. The DCC will be responsible for any updates to the PMM and has advised that Section 11 'Performance Indicators' will be amended as a result of this modification.

Reporting and review

The performance targets and exclusions proposed in this Modification Report are valid until 30 June 2023. Joint review sessions will take place between the DCC and DNOs to collectively review and validate performance reports on a quarterly basis. The purpose of these quarterly reviews is to ensure that DNOs are receiving Alert notifications as set out in the Power Outage and Restoration Alerts Delivery Management Document. The third quarterly review session of the 12-month performance period will be used to present any proposed revisions to performance targets and exclusions.

The DCC will circulate any proposed amendments and rationale to all SEC Parties, paying particular attention to seek any views provided by the DNOs. This will be followed by a 15-Working Day consultation, inviting all SEC Parties to provide feedback. Following inclusion of all relevant comments from the consultation, the final revisions to the Power Outage and Restoration Alerts Delivery Management Document will be presented to the SEC Panel for final approval. The Working Group recommends the Panel delegates this responsibility to the Operations Group (OPSG).

SEC legal text

The Proposed Solution will primarily impact SEC Section H 'DCC Services', whereby there will be a clause for the AD1 and 8F35/8F36 Alert. Each clause will reference each CSP technology (the proposed text references specifically second and third generation cellular technology), stating that the target timings for the Alerts are captured within the DCC 'Power Outage & Restoration Alerts Delivery

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Management Document'. There will also be a clarification clause stating that when a CSP uses anything other than a current technology (such as fourth generation cellular technology), the Alerts will be delivered within the current requirement of 60 seconds.

DNOs have also requested a clause that sets out the methodology for reviewing and amending the DCC 'Power Outage & Restoration Alerts Delivery Management Document'. This has been included.

The 'Power Outage & Restoration Alerts Delivery Management Document' will also be defined within SEC Section A 'Definitions and Interpretation'.

DCC subsidiary document

The DCC subsidiary document, titled 'Power Outage & Restoration Alerts Delivery Management Document', sets out the target delivery times for 8F35, 8F36 and AD1 Alerts. It also notes the methodology for setting the targets and the process for reviewing and amending the targets, exclusions and contents of the document. This document has been drafted by the DCC and reviewed extensively by DNOs.

4. Impacts

This section summarises the impacts that would arise from the implementation of this modification.

SEC Parties

SEC Party Categories impacted			
✓	Large Suppliers	✓	Small Suppliers
✓	Electricity Network Operators		Gas Network Operators
	Other SEC Parties	✓	DCC

Breakdown of Other SEC Party types impacted			
	Shared Resource Providers		Meter Installers
	Device Manufacturers		Flexibility Providers

If approved, this modification will bring the DCC into compliance with the SEC regarding POA and PRA delivery. The DCC will be required to monitor performance across all regions and investigate any instances where the performance does not meet what is stated within the SEC.

Though the Proposed Solution will not provide any performance enhancements for Electricity Network Operators, they will be required to review and validate the Alert performance on a quarterly basis. This is to ensure that the DCC is delivering the agreed level of performance.

The modification will have an indirect impact on Large and Small Suppliers as they also receive AD1 Alerts within the agreed targets.

DCC System

This modification will have no impact on DCC Systems.

SEC and subsidiary documents

The following parts of the SEC will be impacted:

- Section A 'Definitions and Interpretation'
- Section H 'DCC Services'

The changes to the SEC required to deliver the Proposed Solution can be found in Annex D.

Consumers

The Working Group has highlighted that a better understanding of the service that is provided to the consumer results in an indirect benefit to consumers. Refinement Consultation respondents commented that as the modification will result in no DCC System changes or performance improvements, they will not be able to deliver the service to consumers that they initially envisaged.

Other industry Codes

This modification will have no impact on other industry Codes.

Greenhouse gas emissions

This modification will have no impact on greenhouse gas emissions.

5. Costs

DCC costs

The DCC does not anticipate incurring any cost because of this modification's implementation.

SECAS costs

The estimated SECAS implementation cost to implement this as a stand-alone modification is one day of effort, amounting to approximately £600. The activities needed to be undertaken for this are:

- Updating the SEC and releasing the new version to the industry.

SEC Party costs

SECAS received six responses to the Refinement Consultation, all from DNOs. Three DNOs stated that they do not anticipate incurring any costs, though they did comment that their smart metering

benefits will be impacted. The other three DNOs anticipate incurring costs of less than £250,000, though this relates to the proposed Alert timings that were present in the legal text at the time of the consultation. The updated Proposed Solution now includes performance targets that have been agreed by DNOs.

The full responses received to the Refinement Consultation can be found in Annex F.

6. Implementation approach

Agreed implementation approach

The Change Sub-Committee (CSC) agreed an implementation date of:

- **Ten Working Days after decision** (ad-hoc SEC Release).

As MP096 will only involve legal text changes, the modification is expected to be implemented ten Working Days after decision. DNOs have indicated that no lead time is needed to implement the proposed changes. Please note that this is an Authority Determined modification.

7. Assessment of the proposal

Observations on the issue

DCC communication with DNOs

There was extensive engagement between the DCC and DNOs prior to this modification being raised to understand the DNO impacts and what the DCC Systems are currently capable of regarding POAs and PRAs. This included investigating undelivered Alerts being experienced by DNOs from outages and the lack of anticipated performance around the resulting POAs and PRAs.

The DNOs understood that the DCC System characteristics meant that their requirements may be difficult to achieve in full and that they may need to compromise to reach agreement on the final arrangements for POAs and PRAs. To support this, the DNOs re-assessed their requirements and submitted a revised requirements document via the ENA on 8 October 2019. The document outlined the requirements that the DNOs deemed necessary to improve the current situation and can be found in Annex A.

A DNO representative stated that the reason behind the need for this modification is a DCC non-compliance with the SEC, but any costs involved will be incurred by Parties. The DNO representative commented that this is wrong given it is a non-compliance issue. Whilst they understand that the Service Provider contracts do not align with the SEC, the DCC contract should have been aligned to the SEC.

They also commented that due to the extensive time the DCC and DNOs have been working together, any potential thoughts from SEC Parties regarding alternative solutions are most likely to have been discussed and investigated.

The DNOs' requirements stem from the basing of their price control and smart metering benefits assessments on the expectations of the performance that was set out in the SEC.

The DNO representative also highlighted that the improvement in the CSP North's PRA performance noted in the DCC Technical Study Report, which was produced as part of the DCC's Technical Study, is due to the DCC utilising the Communications Hub PRA as opposed to the ESME PRA. This will have implications as Users' systems will need to be changed to accommodate this.

Furthermore, the DNO representative commented that the DCC Technical Study Report contains more than 20 system enhancement options across the three Service Providers. DNOs requested the DCC to recommend the optimum selection that represents the best value for money. Once the DCC provided its recommendation, the DNOs agreed that DP096 could progress. DNOs did not state whether they agreed with or supported either option at that stage, as this would be decided upon by the Working Group.

DCC investigations into the issue

The DCC carried out three key areas of investigation to better understand current POA and PRA performance, underlying issues and improvement potential:

- Comprehensive Technical Study to identify options to improve POA and PRA performance
- Development of technical capability to measure POA and PRA performance
- Testing of POA and PRA performance with different meter and Communications Hub combinations to replicate and diagnose issues identified by DNOs

The Technical Study Report confirmed that, while significant improvements can be delivered, it is not possible to fully achieve current SEC performance for POA or PRA due to fundamental limitations of the SMETS2 hardware and network design in both the North and the South & Central CSP regions.

The testing work, undertaken as part of the Technical Study, identified defects with existing smart meter Devices which must also be resolved to achieve maximum potential POA and PRA performance.

The DCC developed two options (Option A and Option B) to improve POA and PRA performance, up to the maximum achievable performance within the design constraints of the current system. The improvement options and the related design constraints were shared and discussed with the DNOs as part of the Development Stage.

It should also be noted that, while performance improvement for the current SMETS2 network is constrained, the DCC Network Evolution Programme (NEP) should offer significant improvements. The current assumption for the commencement of the roll-out of the 4G Communications Hubs is Q4 of 2023/24². Please note the Network Evolution Programme is only targeted at the South and Central CSP regions. As such, permanent derogation would have to be applied to the North region. Furthermore, the full scope for the NEP is yet to be agreed.

The DCC's full Technical Study Report can be found in Annex B.

² Please see Panel paper 91_1604_05 (Amber) for more details.

Views of the TABASC

The DCC and SECAS presented DP096 during the Development Stage to the TABASC to seek views on the Proposed Solution options put forward by the DCC.

The TABASC Chair stated that it would be beneficial to understand the scale of the issue the modification proposes to address. DNOs commented that until recently, this has been hard to clarify due to capabilities to measure performance at a large scale being limited. A key goal of the DCC's project has been to implement sufficient measurement capability, which has been met. This was agreed to be discussed further during the Refinement Process when carrying out a CBA.

An ENA representative stated that a clear benefit in the modification must be DNOs getting earlier visibility of outage events than is currently experienced. This is particularly important for outages that occur on low voltage networks. This is to prevent smaller outages not being identified if a large-scale outage occurs in the surrounding area at the same time.

The DCC offered further information on the root causes of the issue. For CSP Central & South, the Communications Hub design does not have sufficient battery and super capacitor performance to keep the Communications Hub in an operational state for three minutes in the event of an outage. Another cause identified is the time taken to reconnect to the network. For the CSP North, the Communications Hub battery and super capacitor perform in a way that enables them to remain operational in the case of an outage. The Communications Hub can remain operational for approximately ten minutes. The constraint in the North is that the network has a narrow bandwidth. In an outage event, the common radio channel used by Communications Hubs can become saturated. To compensate, the CSP North allocates time windows for Alert delivery which ultimately draws out time of delivery (for both POAs and PRAs).

A TABASC Member sympathised with the DCC as the requirement in the SEC is extremely difficult to deliver. They suggested that the SEC requirement is altered depending on the size of the outage. This is due to varying levels of performance depending on how many premises are impacted by the outage (due to the networks having a limit of how many Alerts they can facilitate at one time). This was supported by members.

The NEP was discussed as a potential solution. Members agreed that the NEP must consider the DNO requirements. This is to ensure that enhancements have been consulted upon and agreed as there may be an impact on DP096 as a result. This will be raised at network design discussions.

The TABASC discussed the Proposed Solution options that the DCC had put forward. The DCC agreed that it would investigate implementing option A in the CSP North region and option B in the CSP Central & South region during the Refinement Process. It noted that the performance enhancements between the two options is relatively small in comparison to the associated cost.

An alternative solution was put forward by a TABASC member whereby the relevant CSP would send a summarised Alert to the DNO. The DNOs commented that this would be extremely complicated to obtain the necessary information, such as scale of the outage. This approach was deemed likely to be unfeasible due to the possibility of not being alerted to outages affecting vulnerable customers.

The TABASC Chair highlighted that there may be occasions where DNOs receive PRAs before the relevant POAs. The DCC confirmed that under certain circumstances, this is the case.

The TABASC agreed that DP096 was ready to proceed to the Refinement Process. Once in the Refinement Process, the Proposer, the TABASC and SECAS would work together to help further refine the solution options following consideration by the Working Group, to provide a cost-effective solution that will enhance current POA/PRA performance as per the DNO requirements.

CSC discussions

Due to the high costs associated with the DCC's proposed options, the CSC asked who would be liable to pay for the changes if the modification is approved. The DCC has stated that the SEC's POA requirements were not included in the baseline versions of the Service Provider contracts. SEC non-compliance therefore reflects a gap in the original scope and cost for the Smart Metering Implementation Programme (SMIP) delivery and is not a performance failure. The incremental change cost to improve performance is therefore a part of the fundamental build cost for the SMIP, and the DCC considered this should be borne by DCC customers. The DCC believed that these costs should be shared by all industry participants as per the rest of the SMIP costs.

The CSC also asked why the SEC requirements weren't included in the Service Provider contracts. The DCC responded stating that the original Service Provider contracts were developed in parallel with the SEC and that the POA requirements codified in the SEC were not reflected in the final Service Provider contracts when they were awarded. With operational experience from the smart meter roll-out, the industry now has a better understanding of operational needs and limitations and the wider operation of the shared smart metering infrastructure. This has enabled a better-informed discussion of DNO performance and quality requirements for Alerts, which are considered under this proposal.

The CSC also queried why this is a SEC modification. The DCC responded that the SEC Modification Process provided the best route for engagement and transparency with the industry on the scope, nature and costs of the proposed changes. Furthermore, the SEC Modification Process would enable the DCC to deliver, test and implement the change in the most efficient way. The DCC also stated that, if approved and the proposed changes are implemented, SEC Parties will need to agree a change to the SEC to align with the newly achieved DCC performance. The DCC considered it would be extremely difficult to achieve the requirements currently set out in the SEC without major changes throughout the smart metering infrastructure.

CSC recommendations

SECAS presented the Draft Proposal to the CSC in order to gain members' recommendations for progression. A DNO representative reiterated that POA and PRA performance is a key factor in their cost benefit realisation. This is currently based on the SEC requirement of the Alert being sent to the User within 60 seconds of the Alert being communicated to or generated by the Communications Hub. They confirmed that they understood and accepted that the SEC obligations in their current form are extremely difficult to meet; however, DNOs seek the best performance possible as the longer Alert delivery times erode the benefits sought.

The CSC advised that the benefits of any Proposed Solution must be clearly articulated. This is due to the likely high costs involved that the Consumer will ultimately pay.

The CSC recommended that DP096 would likely require standalone Working Group meetings to discuss in detail the solution options. A member also advised that business requirements would need to undergo close scrutiny to ensure they address the issue and current technical architecture is considered such as technical limitations of how many Communications Hubs can re-join a network at once.

The CSC agreed that DP096 was ready to be converted into a Modification Proposal and should progress to the Refinement Process.

Does the issue require a SEC Modification?

The Working Group agreed that the issue was clear and defined; however, it wanted to understand the SEC Panel's view on a modification being raised to address a SEC non-compliance. The SEC Panel had previously acknowledged that this is the case, but felt that the issue was clear and that the modification should progress to the Refinement Process. A member suggested that a new costing mechanism should be put in place to cover the costs of the Proposed Solution at the time (system improvements), as currently SEC Parties would have to pay to address the non-compliance. Another member queried whether the Modification Process provided the best forum for this issue to be discussed and resolved.

The Working Group discussed how MP096 differs from the usual SEC modification framework, due to the Technical Study undertaken by the DCC and Service Providers before the modification reached the Refinement Process. The Service Providers had already completed some of the work that would normally be required when completing a DCC Preliminary Assessment.

A member asked for the Authority's opinion of MP096. The Authority stated that modifications are industry-led and that it would expect to see a detailed cost-benefit analysis for doing nothing, a simple legal text change to match current DCC capability, and for the DCC System changes. The Authority confirmed that it will investigate the DCC's non-compliance separately from the modification, as this is its current process for all other non-compliance issues.

A member highlighted that the modification's progression and ultimately its decision will be assessed against the SEC Objectives. It is important that this is considered when building a robust solution as this will form the basis of a decision to approve or reject the modification, along with a clear cost-benefit analysis.

Solution development

DCC's initial Proposed Solution

The DCC's initial Proposed Solution was developed through the DCC's Technical Study throughout 2020. This solution consisted of system improvements to enhance POA/PRA performance.

The solution initially focused on achieving the greatest level of improvement that can be achieved against the current SEC specification without any compromises to the technology or costs.

The DCC identified several technical changes within its systems that would improve the current performance. Two implementation options were then developed:

- Option A represented the minimum change required to achieve a material performance improvement and the rough order of magnitude (ROM) cost of this was £15.2m (up to implementation).
- Option B represented the maximum achievable performance improvement, and the ROM cost was £21m (up to implementation).

Option A consisted of five individual technical changes and Option B augmented this with an additional three technical changes:

Technical changes for Options A and B	
Change	Results of enhancements
1. Introduction of a microservices in the Central South Region Systems.	This will improve the speed of the Alerts and increase the volume of Alerts. This would also benefit firmware updates for Home Area Network (HAN) Devices
2. Send the restoration Alert from the North Region Communications Hub.	This will improve the timing of the restoration Alert.
3. Introduction of new North Region Alert channels.	This will improve the speed of the Alerts and reduces the number of Alerts lost.
4. Relax the throttle between North Region Systems and the DSP.	This will improve the speed of the Alerts.
5. Implement an additional motorway in the DSP systems.	This will enable the above changes to be delivered by providing additional capacity.

Additional technical changes for Option B	
Change	Results of enhancements
6. Reduce the Central South Region Communications Hub reboot time.	This will improve the speed of the Alerts.
7. Reduce the Central South Region Communications Hub dither timing.	This will improve the speed of the Alerts.
8. Modify the North Region Alert processing timings.	This will improve the speed of the Alerts.

There would also have been an incremental annual operating cost of £0.7m for either option.

A comparison of the Option A and Option B enhancements against current POA and PRA performance for each region is shown below:

North Region						
Volume	Power Outage Alert			Power Restoration Performance		
	Current	Option A	Option B	Current	Option A	Option B
50% message delivery	6m 30s	3m 50s	3m 55s	24m 00s	3m 47s	3m 47s
80% message delivery	8m 45s	5m 30s	4m 45s	36m 00s	5m 12s	5m 12s
95% message delivery ³	15m 00s	10m 00s	10m 00s	45m 00s	8m 00s	8m 00s

Central and South Regions						
Volume	Power Outage Alert			Power Restoration Performance		
	Current	Option A	Option B	Current	Option A	Option B
50% message delivery	9m 15s	6m 30s	5m 30s	2m 40s	2m 40s	1m 50s
80% message delivery	11m 00s	7m 12s	6m 12s	3m 10s	3m 10s	2m 20s
99% message delivery	13m 00s	8m 00s	7m 00s	3m 30s	3m 30s	3m 00s

³ The North Region has a maximum 95% Alert message delivery due to message collision and data loss (this is when the system can't handle the volume of data and starts to lose data)

Notes:

- All timings show estimated performance from time of power interruption, based on CSP modelling.
- POA and PRA performance is shown for an outage impacting 30,000 homes.
- The Central and South Regions has a scenario where due to power failure there may be a Communications Hub attachment issue, but the likelihood of this happening is estimated at 1%.
- The actual performance enhancement would need to be validated after the changes have been delivered.

The above data is also shown graphically in Appendix 1, including comparison against the current SEC performance specification.

DCC's initial recommendation

If this solution was progressed, the DCC would have recommended Option B to deliver the maximum POA and PRA performance improvement:

- Option B would deliver a clear POA performance advantage compared to Option A for the Central & South region
- In the North region, Option B would enable POA performance to be optimised for outages impacting up to 30,000 homes, creating a performance advantage over Option A for medium-scale outages as prioritised by DNOs.

While Option B would not fully meet the current SEC requirements, this would enable the maximum potential benefits delivery for DNOs, and therefore the maximum benefit for energy consumers.

Request for information

In order to progress MP096, the Working Group agreed that an RFI consultation should be issued to industry in order to better understand the business case of the modification. The RFI covered the below options:

- Option 1: Leaving the SEC in its current form
- Option 2: Amending the SEC to reflect current POA/PRA performance
- Option 3: The DCC System change options noted above to enhance Alert delivery performance (this option includes subsequent changes to the SEC):
 - Option 3A – minimum change required to deliver a significant improvement
 - Option 3B – maximum performance improvement

The DCC set out the risks and benefits for each potential solution option as follows:

Option 1 – Do nothing

Option 1 risks and benefits	
Risks	Benefits
- Distribution Network Operators (DNOs) will realise reduced POA/PRA benefits from that envisaged in the Smart Metering Implementation Programme (SMIP) cost-benefit analysis (CBA). - The derogation would need to be continually renewed in the Central and South CSP regions until the replacement of 4G CHs, and indefinitely in the North CSP region. - The 4G CHs may not deliver to the current SEC obligations as the procurement has not yet concluded. A full benefits analysis will have to be carried out to satisfy industry, BEIS and Ofgem that any new Devices offer value for money.	- This offers the simplest and cheapest option for industry. - This option will resolve the non-compliance for the DCC.

Option 2 – Change the SEC to current DCC performance

Option 2 risks and benefits	
Risks	Benefits
- DNOs will realise reduced POA/PRA benefits from that envisaged in the SMIP CBA. - This option delivers no improvement to the timing or quality of the DCC's current performance as no system changes will be carried out. Improvement in performance will be reliant on the 4G Communications Hub roll-out. - This option could result in introducing different SEC performance requirements between the North region and the Central and South regions.	- Low cost for industry as only document changes to the SEC would be required. - This is the quickest of the options to be implemented. - The DCC would be compliant with the SEC, following an amendment to the requirements.

Option 3 – Change the SEC following implementing system improvement

Option 3 risks and benefits	
Risks	Benefits
DNOs will realise reduced POA/PRA benefits from that envisaged in the SMIP CBA.	Improved timing and accuracy of Alerts delivers some of the benefits in the SMIP CBA. This is yet to be quantified

Option 3 risks and benefits	
Risks	Benefits
- This option could result in introducing different SEC performance requirements between the North region and the Central and South regions. - The ROM implementation cost has been assessed as between £6.5m and £10.9m up to the end of PIT.	but Option 3 is expected to deliver higher benefits than Option 1 or 2. - The DCC would be compliant with the SEC, following an amendment to the requirements. - No dependency on Network Evolution Programme timescales or 4G Device performance. - Costs of the change would be spread over a 10-year business case in the Central and South regions, as these second and third generation (2G and 3G) CHs will start to be replaced from 2024/25. - Costs of the change would be spread over a 15-year business case in the North region, as there is currently no planned upgrade to these CHs.

RFI responses

SECAS received eight responses to the RFI, which consisted of six Networks Parties and two Large Suppliers. These responses can be found in Annex C.

The option that received the most support was Option 2 with four supportive parties. Each of the other options received support from two parties each. One of the supportive parties for Option 3 specified Option 3A as their preferred solution.

The key points raised by the respondents for Option 1 were that it is the most straightforward option to implement. However, it does not rectify the non-compliance issue or deliver any benefits to customers. Furthermore, the NEP will only implement improvements in the Central & South regions, and so the DCC would have to seek a permanent derogation for the CSP North. The DCC has since agreed to no longer progress this as a viable option.

For Option 2, respondents commented that the main benefactor would be the DCC as the non-compliance would be resolved. One advantage however for SEC Parties is that the DCC will be held accountable for providing a specified level of service. Respondents requested that the DCC carries out further testing to demonstrate current baseline Alert performance. This is due to discrepancies between what is stated in the Technical Study Paper and what Networks Parties are experiencing. One respondent proposed that the SEC should be aligned with current system capabilities as opposed to current performance.

Another respondent suggested that the SEC should be aligned to current CSP contracts. This has since been identified as an error, whereby the intention was to align to current system capabilities.

The respondents highlighted that Option 3 (both sub-options) is the only option that enhances POA/PRA performance. However, Networks Parties understand that neither sub-option provides substantial improvements for small scale outages (which occur more frequently). Furthermore,

Networks Parties highlighted that they use Supervisory Control and Data Acquisition (SCADA) monitoring on High Voltage networks to detect large scale outages. This reduces the advantages of Option 3. A respondent also noted that in order to improve PRA performance in the North region, the Alert would actually be a Communications Hub PRA. This would require Networks Parties having to implement system changes to facilitate the new Alert. A Networks Party also confirmed that Option 3B provides no further benefits to customers based in the North region, compared to Option 3A.

Following a review of the RFI responses, the DCC decided to progress Option 2. This solution will result in the DCC delivering an agreed level of performance to DNOs.

The Working Group's review of the RFI responses

Concerns were raised surrounding the cost reduction for the DCC System change options (3A and 3B) from when the Technical Study was completed to when the RFI was issued. The costs contained within the RFI are up to Pre-Integration Testing (PIT), whereas those in the Technical Study Paper included implementation costs. The DCC commented that any post-PIT costs would be spread amongst other SEC modifications and DCC Change Requests being implemented within the same SEC Release. A BEIS representative requested that further transparency surrounding costs needed to be presented to the Working Group.

The DCC agreed to carry out a cost-based risk-benefit analysis on the RFI Proposed Solution options 2, 3A and 3B. The DCC would also set out the measurement framework for Option 2 to log current performance. The DCC also added that it will look to add clarification to the NEP scope.

SECAS informed the Working Group of the alternative solution put forward by a Network Party. The DCC commented that a misinterpretation may have taken place as current performance already exceeds what is stated in the CSP contracts. A Network Party representative stated that this should be corrected to current capability instead. It has since been confirmed that the intent of the alternative solution was to align the SEC to current system capabilities.

The TABASC's review of the RFI responses and views on the Proposed Solution

Once in the Refinement Process, MP096 returned to the TABASC to seek views on the Proposed Solution and the sub-options developed by the DCC. The TABASC Chair stated that if the option of amending the SEC to current performance was progressed, there should be an overarching statement that next generation Communications Hubs are to meet the SEC requirement as it currently written (within 60 seconds). This is to allow visibility of what is expected of next generation Communications Hubs for any potential Manufacturers intending to build the new Devices. The DCC commented that at this stage, no potential next generation Communications Hub bidder had voiced concern over the current SEC requirement.

A member from a Large Supplier felt that any costs borne from MP096 should be incurred by the DCC as otherwise it would mean that SEC Parties would in effect be paying twice for the service they currently receive. They also commented that the 'Do Nothing' option should still be explored but did not suggest financial penalties for the DCC's non-compliance. The TABASC Chair agreed with this suggestion as it would mean that prospective next generation Communications Hub bidders would have to meet the SEC in its current form. A Device Manufacturer did however comment that, although next generation Communications Hubs will offer enhanced performance, there are still constraints within network design that can impact the speed and quality of Alerts.

The OPSG's review of the RFI responses and views on the Proposed Solution

The OPSG queried why the DCC has decided to progress option 2. SECAS commented that this was the option that gained the most support under the RFI consultation. A Networks Party member advised that the work DNOs completed against the ENA cost benefit analysis referenced in the RFI responses resulted in option 3 (DCC system changes) being ruled out.

The OPSG considered that amending the SEC to the lowest level of performance by both CSPs would not be satisfactory as it would allow the better performing CSP to reduce its performance.

Comments were also received regarding possible divergence by setting different Service Level Agreements (SLAs) for each CSP region. This is deemed to be high risk, though a member commented that due to differing architecture, a variation in performance is inevitable.

The OPSG was reluctant to cast an official position on the modification, though it advised that amending the SEC to what is currently being delivered was the best way of addressing the issue defined.

Proposed Solution sub-options

Following the review of the RFI responses, the DCC chose to pursue the Proposed Solution where the SEC would be amended to reflect current performance. The DCC produced three further sub-options:

- **By Service Provider:**
 - There are differing levels of performance between each CSP region, due to topographical and system architecture differences (as set out in the DCC Technical Study Report (Annex B)). The requirements will be set for each CSP to adhere to accordingly, based on confirmed performance.
- **Volumetric-based performance:**
 - Varying scale of outages will impact the performance of Alerts. As the volume increases there is a negative impact on the speed and success rates of Alerts received.
- **Lowest common denominator performance requirements:**
 - The DCC shall review the performance of both CSPs and the legal text will reflect the minimum achievable performance both CSPs can achieve.

Taking into consideration the Working Group discussions and further refinement of the Proposed Solution, the DCC chose sub-option three (lowest common denominator performance requirements) as the solution they wished to implement.

The DCC Interaction IT Group's review of the Proposed Solution

The DCC provided the DNO-led DCC Interaction IT Group (DIG) meeting with an update on the Proposed Solution. DIG members advised that a crucial part of measuring the performance of Alerts (for both speed and volume) is measuring the time taken for the Alert to pass from the relevant CSP to DSP. This is out of scope of the DCC's measurement capability and can only be measured by the CSPs. The DCC responded that if its measurement capability can be proven to uphold a high level of accuracy (99%), implementing a CSP measurement framework may not be necessary.

The DIG further advised that if there is no penalty mechanism to compliment the proposed contractual obligations, there is a potential risk of reduced performance in the future.

Network Evolution Programme

During several Working Group meetings, the DCC provided an overview of the current scope of the Network Evolution Programme. A Network Party queried the differing information held within the current scope compared to a consultation issued on 6 April 2021. The DCC confirmed that it has included all the requirements necessary to be compliant with the SEC specifically around POA/PRAs. The DCC reiterated that the starting point for next generation Communications Hubs bidders is to be SEC compliant. Therefore, the DCC agreed with the TABASC's view of retaining an obligation within the legal text for next generation Communications Hubs to meet the 60 second requirement. The DCC also advised that research has shown that it takes approximately ten seconds for the Alert to reach a Network Party from the DSP.

Polyphase ESME

During the September 2021 Working Group, a Network Party stated that in order to deliver the Proposed Solution, the DCC would have to consider the impact of polyphase ESME. This is due to different types of Power Outage Alerts being generated when individual phases lose power (this does not result in an AD1, 8F35 or 8F36 being generated). This was discussed again at the December 2021 Working Group, where the DCC commented that it did not intend to include polyphase ESME within MP096 as the volumes of these Devices installed are relatively low and does not impact Alert performance.

Clock drift

The issue surrounding Devices experiencing clock drift has been discussed at several Working Group meetings. The Working Group queried how clock drift impacts the accuracy of Alerts and subsequent reporting. This can result in Alerts being timestamped with inaccurate times compared to when they are received by the Network Party. The DCC confirmed that it is currently working with both CSPs to address the issue. To mitigate clock drift-associated inaccuracies, the DCC intends on using an interim solution, with the expectation that the clock drift issue will be resolved within six months. The Network Party requested transparency from the DCC's reporting on this issue as this will have a direct impact on Network Party reporting methodology. The DCC has since developed the Proposed Solution to include a list of exclusions where the DCC cannot be held accountable for not meeting the SEC requirements. Clock drift is included in this list. This is due to the issue being outside of the DCC's control (though it is working with CSPs to address the issue).

Missing Alerts

During the December 2021 Working Group meeting, a Large Supplier queried the issue of missing Alerts. The DCC commented that there are a number of scenarios that can cause Alerts to be undelivered. The DCC added that this is something it will continue to investigate. A Network Party commented that it experienced an incident where its internal systems informed it of an outage, however no Alerts were received. The DCC clarified that undelivered Alerts would not impact the Proposed Solution as they would fall outside of the 95th-percentile range.

Views of Citizens Advice

During the Refinement Process SECAS engaged with Citizens Advice to update it on the progress of the modification and the Proposed Solution. Citizens Advice stated that with the current Proposed Solution, from a consumer perspective, there is no benefit. Citizens Advice advised that it is important that if the Proposed Solution results in current capabilities being incorporated into the SEC, that improvements are still considered. It elaborated that these may only be small but will provide some benefit to the consumer. This is with the intention of maximising performance of the current infrastructure before the rollout of Next Generation Communications Hubs commences.

Refinement Consultation responses

SECAS received six responses to the Refinement Consultation. All respondents were DNOs. Although DNOs are on the whole supportive of amending the SEC to reflect current performance, the respondents were not supportive of the Proposed Solution as presented for the consultation. Each respondent provided detailed rationale and suggestions for where the proposed solution can be improved. This mainly consisted of splitting out CSP performance (potentially by technology), and revising the proposed delivery targets. Further clarification was provided on the details of the exclusions list and where this will be located. This has since been discussed with DNOs to help find agreement on a solution that would best resolve the issue defined. The DCC and SECAS formally responded to the Refinement Consultation responses, which can be found in Annex E of this report. SECAS and the DCC also discussed the feedback provided with the DNOs and BEIS, which is summarised below.

DNOs' further input on the Proposed Solution

Following the Refinement Consultation, SECAS and the DCC engaged with DNOs and BEIS to address issues related to the draft legal text. Please note that following the review of the legal text that was distributed as part of the consultation, BEIS and DNOs based in the North region created their own versions of what they thought would deliver an adequate solution.

Separating CSP performance

BEIS initially queried whether there is value in setting separate targets for each CSP. The DNOs strongly believe that due to the differing architecture and levels of performance between the CSPs, separation is necessary. DNOs also added that obligating Next Generation Communications Hubs to meet the current 60-second target automatically splits CSP performance as Next Generation Communications Hubs are to be deployed in the Central and South regions only. DNOs confirmed they want separate targets for both POAs and PRAs.

DNOs have also stated that by having separate targets for each CSP will prevent the better-performing CSP from being able to reduce its performance to match the SEC (which would be set to the lower-performing CSP's capability).

Amending the POA/PRA target delivery times

The proposed timings in the draft legal text were also discussed. DNOs felt that the DCC's original proposed timings (11 minutes for POAs and 8 minutes for PRAs) provided too much margin when compared with current performance data. The DCC stated that the added margin takes into consideration an increase in smart meter installation as the rollout continues. The DCC added that a denser population of meters will result in more data 'collisions', resulting in Alerts not being successfully delivered. A DNO added that it is likely to be contacted by its customers regarding the outage within the proposed 11-minute target.

A DNO commented that the proposed targets represent a 'worst case scenario', which should be for 100% of Alert delivery. However, the DCC is proposing that 95% of Alerts are delivered within 11 minutes.

The DNOs advised the DCC that it should not centre its data around large-scale outages. These are known as exceptional events. A DNO stated that all licensees have special measures that they can put in place for exceptional events or when a force majeure occurs. The DNOs requested that the focus be on more frequent, smaller scale outages as opposed to large-scale outages such as storm Arwen in 2021.

The DCC considered whether further modelling could take place to refine the proposed targets further. However, it stated that it is impossible to forecast performance to scale, due to numerous varying factors, such as unknown density of area and locations of masts. The DCC also advised that it only has access to 14 months' previous data, as the original measurement capability was created through the MP096 technical study.

The DCC commented that whatever the proposed targets are, they will not change system performance. The DNOs added that the targets will help form baseline performance to which their systems will be built to meet. The DNOs also commented that that setting the targets closer to current performance would prevent degradation in CSP performance.

The DNOs suggested setting stricter targets, which would then be reviewed and amended if necessary. This would most likely have to go through Panel approval. The DCC agreed with this approach.

DCC subsidiary document – the DCC Power Outage and Restoration Alerts Delivery Management Document

During the refinement of the Proposed Solution, it was agreed by DNOs and the DCC that the performance targets should be captured in a DCC subsidiary document. This is in order to amend the performance targets annually in case of any change in performance. Capturing the targets in this document futureproofs the solution as the targets can be updated without the need for raising a SEC Modification.

The SEC legal text drafting contains a clause (H3.14B) highlighting the governance for reviewing and amending the targets, which is also set out in more detail within the DCC Power Outage and Restoration Alerts Delivery Management Document. This document has benefited from substantial input from DNOs to produce a document that they and the Proposer are happy with.

Annual review of the proposed exclusions

The DCC have agreed that the proposed exclusions (which are captured in the DCC Power Outage and Restoration Alerts Delivery Management Document) can also be subject to annual review. The DCC informed DNOs that the exclusions included within the document related to scenarios that are outside of the DCC's control.

A DNO clarified that the 8F36 Alert should only be generated in the same volumes as AD1 Alerts. This differs from the 8F35 Alert as these are generated when there is an outage less than three minutes in duration. It was agreed that this may result in a third exclusion to detail the volumes of 8F36 Alerts.

Overlap with MP122B

During the Refinement Process, SECAS investigated any possible link to the issue and Proposed Solution of [MP122B 'Operational Metrics – Part B'](#). The issue defined under MP122B relates to the transparency of reporting and relevance of the measures contained within the DCC Performance Measurement Report (PMR). In its monthly review of the PMR, the Operations Group has found it increasingly difficult to report to the SEC Panel on the issues within the report.

As a result of the issues encountered, the Operational Metrics Review (OMR) was undertaken to better understand the PMR measures, consider amendments and recommendations of new performance indicators. Workshops and User surveys concluded that Users want to see reporting that reflects the business processes that the DCC supports.

It was confirmed that, although not fully formed, the MP122B solution will not impact MP096. The MP122B Working Group agreed that the MP122 Proposed Solution will feature reporting that prioritises capturing data regarding successful delivery of Alerts over timeliness, and that POAs and PRAs will be outside the scope of the modification to remove any impact on MP096.

8. Case for change

Business case

Throughout this modification, the DCC, DNOs, Working Group and relevant Sub-Committees have extensively reviewed the solution options put forward. As the most impacted SEC Party Category, Network Parties have provided valuable input into the refinement of the Proposed Solution and supporting documents. Through the RFI, DNOs stated that the proposed system enhancements did not provide a strong enough business case for the proposed spend, and therefore opted to update the SEC to match current performance. They commented that updating the SEC with an agreed level of performance acknowledges that the DCC is not compliant with the SEC and therefore MP096 addresses this issue.

Views against the General SEC Objectives

Proposer's views

The Proposer believes that this modification better facilitates SEC Objective (b)⁴ as the proposed change to the SEC will bring the DCC into compliance and this meet its obligations stemming from the licence conditions.

The Proposer also believes the modification better facilitates SEC Objective (g)⁵ as the DCC will deliver a consistent and agreed service level to the DNOs whilst in alignment with obligations under the SEC.

Industry views

Four Refinement Consultation respondents agreed with the Proposer's view that this modification will better facilitate SEC Objectives (b) and (g). One respondent felt that the modification only better facilitates SEC Objective (b) and felt that the modification was neutral for (g).

Views against the consumer areas

Improved safety and reliability

This modification will have a neutral impact on safety and reliability.

Lower bills than would otherwise be the case

This modification will have a neutral impact on the cost of utility bills.

Reduced environmental damage

This modification will have a neutral impact on environmental damage.

Improved quality of service

This modification will have a positive impact on quality of service as the DCC will closely monitor the performance of POAs and PRAs to ensure compliance. The Proposed Solution also contains a process to review performance and the ability to amend target performance accordingly.

Benefits for society as a whole

This modification will have a neutral impact on benefits to society.

⁴ Enable the DCC to comply at all times with the objectives of the DCC licence and to discharge the other obligations imposed upon it by the DCC licence.

⁵ Facilitate the efficient and transparent administration and implementation of the SEC.

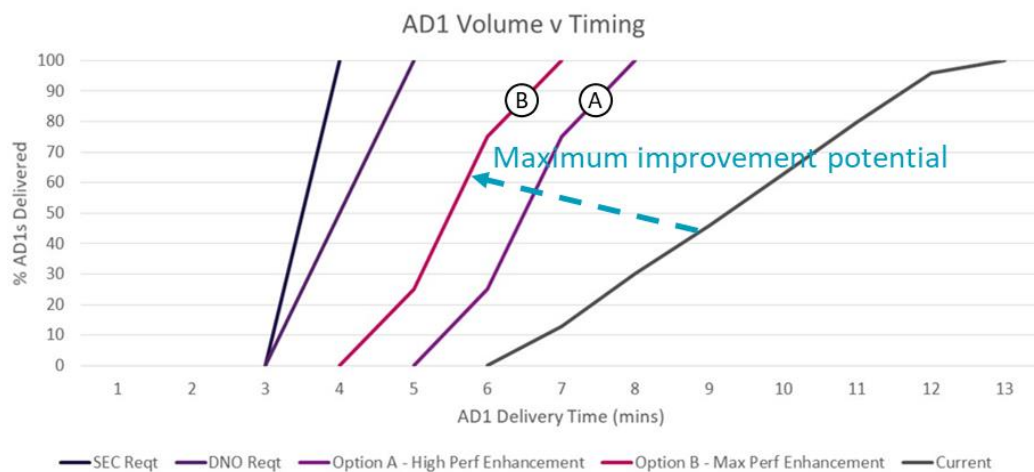
Final conclusions

This modification has benefited from extensive collaboration between DNOs and the DCC to formulate a satisfactory solution. The agreed solution will ensure that the DCC deliver an agreed level of performance in relation to AD1, 8F35 and 8F36 Alerts to DNOs. Capturing the agreed targets in the DCC Power Outage and Restoration Alerts Delivery Management Document will enable DNOs to suggest new performance measures annually if a change in performance is identified.

Appendix 1: Performance graphs

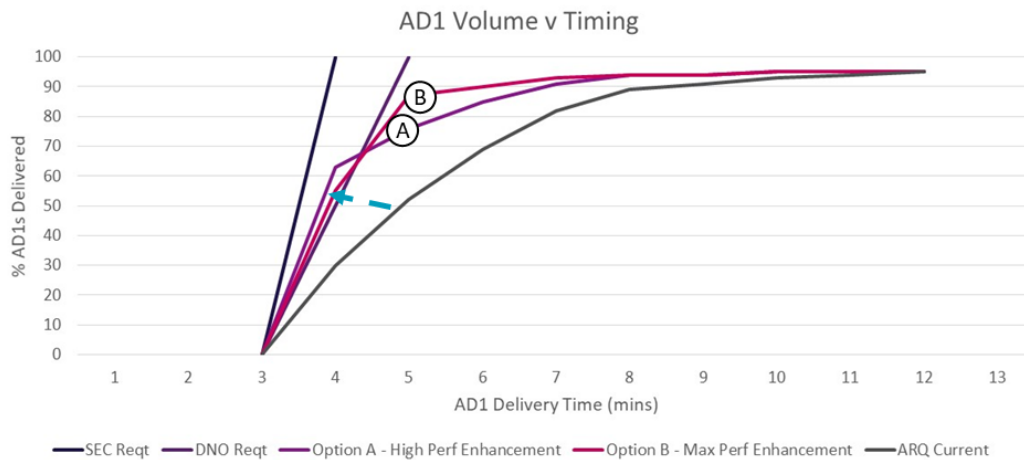
The below graphs show the comparison between SEC specification and enhancements that were investigated by the DCC when it was carrying out the Technical Study.

South/Central Region POA performance:



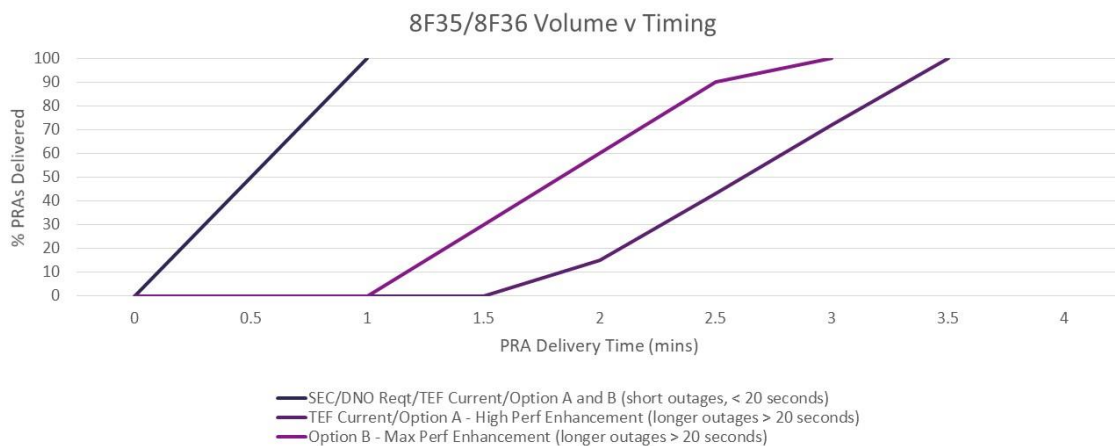
This graph shows the performance of the two system enhancement solution options (the minimum change required to deliver a significant improvement and the maximum performance improvement) in relation to the percentage of AD1s delivered in a reduced amount of time compared with current performance, and the requirements of the DNOs and what is stated in the SEC for CSP C&S.

North Region POA performance:



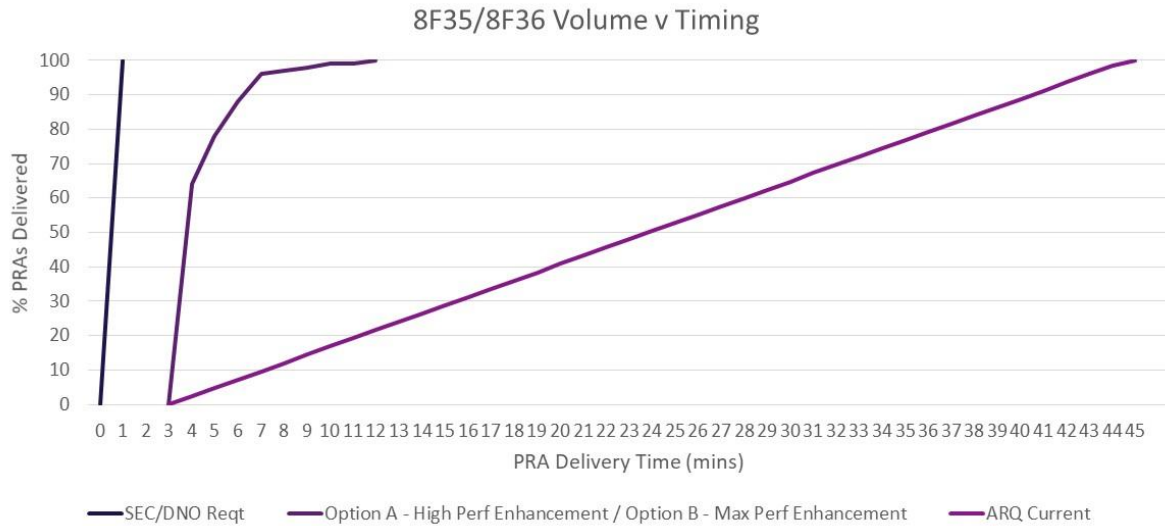
This graph shows the performance of the two solution options in relation to the percentage of AD1s delivered in a reduced amount of time compared with current performance, and the requirements of the DNOs and what is stated in the SEC for CSP N.

Central/South region PRA Performance for outages up to 30,000 premises



This graph shows the performance of the two solution options in relation to the percentage of PRAs delivered in a reduced amount of time compared with current performance, and the requirements of the DNOs and what is stated in the SEC for CSP S&C.

North Region PRA Performance for outages up to 30,000 premises



This graph shows the performance of the two solution options in relation to the percentage of PRAs delivered in a reduced amount of time compared with current performance, and the requirements of the DNOs and what is stated in the SEC for CSP N.

Appendix 2: Progression timetable

On 21 June 2022 the Change Sub-Committee agreed that this modification should proceed to the Report Phase. SECAS will now issue the Modification Report Consultation where Parties will submit their final views on the modification. SECAS will then present the consultation responses to the Change Board, whose recommendation will then be passed on to the Authority for final decision.

Timetable	
Action	Date
Draft Proposal raised	14 Nov 2019
Presented to CSC for initial comment	26 Nov 2019
Presented to CSC for further comment – placed on hold pending outcomes of DCC performance measurement project	2 Jan 2020
DCC Technical Study	Feb – Nov 2020
DCC Technical Study to DNOs	Dec 2020
Presented to CSC for further comment	5 Jan 2021
Proposed Solution options discussed with TABASC	4 Feb 2021
Presented to CSC for final comment and recommendations	23 Feb 2021
Panel converts Draft Proposal to Modification Proposal	12 Mar 2021
Modification discussed with Working Group	7 Apr 2021
Request for information issued	23 Apr – 17 May 2021
Modification discussed with Working Group	2 Jun 2021

Managed by

Timetable	
Action	Date
Cost benefit analysis carried out for each solution option	Jun – Jul 2021
DCC measurement of current Alert performance	Jun – Jul 2021
Modification discussed with OPSG	3 Aug 2021
Modification discussed with TABASC	5 Aug 2021
Modification discussed with Working Group	1 Sep 2021
DCC gathering ten months' worth of performance data	Oct – Nov 2021
Legal text drafted with Proposer and SEC Lawyer	Nov 2021
Modification discussed with Working Group	1 Dec 2021
Refinement Consultation	6 Dec – 7 Jan 2022
Refinement Consultation responses reviewed with Working Group	2 Feb 2022
Refinement of Proposed Solution and legal text	Feb – May 2022
Modification Report approved by CSC	21 Jun 2022
Modification Report Consultation	22 Jun – 13 Jul 2022
Change Board Vote	27 Jul 2022
Authority decision (anticipated date)	Aug 2022

Appendix 3: Glossary

This table lists all the acronyms used in this document and the full term they are an abbreviation for.

Glossary	
Acronym	Full term
4G	Fourth Generation
BEIS	Department for Business, Energy and Industrial Strategy
CBA	Cost – Benefit Analysis
CSC	Change Sub-Committee
CSP	Communication Service Provider
DCC	Data Communications Company
DIG	DCC Interaction IT Group
DNO	Distribution Network Operator
DSP	Data Service Provider
ENA	Energy Networks Association
ESME	Electricity Smart Metering Equipment
GUID	Global Unique Identifier
HAN	Home Area Network
NEP	Network Evolution Programme
OMR	Operational Metrics Review

Glossary	
Acronym	Full term
OPSG	Operations Group
PIT	Pre-Integration Testing
PMEL	Performance Measurement Exemptions List
PMM	Performance Measurement Methodology
POA	Power Outage Alert
PMR	Performance Measurement Report
PRA	Power Restoration Alert
RFI	Request for information
RIO II	Revenue, Incentives, Innovation and Output
ROM	rough order of magnitude
SEC	Smart Energy Code
SECAS	Smart Energy Code administrator and Secretariat
SLA	Service Level Agreement
SMIP	Smart Metering Implementation Programme
TABASC	Technical Architecture and Business Architecture Sub-Committee

This table lists all the DCC Alerts used in this document and the full name of that Alert.

Alerts	
Alert Code	Description
8F35	Supply Outage Restored Alert
8F36	Supply Outage Restored (Outage >= three minutes) Alert
AD1	Power Outage Event Alert

Power Outage and Power Restoration Alerts DNO Requirements

**ENA Smart Metering Steering Group
07 October 2019**

Introduction

This document has been produced to inform the discussions that will take place at the upcoming the SEC Working Group that will consider DCC's proposals for the delivery of Power Outage Alerts (POA) and Power Restoration Alerts (PRA). The text below summarises the DNO POA and PRA requirements, as they were at the outset of the Smart Metering Programme. The text does not provide a description of the service that the DNOs think they will receive due to the current limitations of the DCC/CSPs system.

DNOs believe that it is for the SEC Working Group, in partnership with DCC, to define the details that will enable an alternative solution to be found. Only DCC and their service providers can put forward the information needed for the Working Group to be able to conclude which solution is technically feasible and cost effective to put forward for a SEC Change. We expect that the Working Group will look for explicit clarity from DCC on the current achievable levels of performance. The group will also need a clear understanding of the costs/impact to raise these performance levels closer to meeting the DNO requirements as far as reasonably practicable.

DNOs understand that the DSP/CSP system characteristics mean that their requirements might be difficult to achieve and that they will have to compromise in order to reach agreement on the final arrangements for POA and PRA. . However, it is important that any CSP/DSP constraints are transparent and well-justified, and that decisions to reject suggested improvements are supported with sound cost/benefit evidence.

Although the DNOs are prepared for a compromise they expect that any proposed alternative solution put forward by DCC is clearly set out with a full description of the POA/PRA alert service levels that DNOs will experience. The compromise solution will still need to deliver meaningful outputs that the DNOs can work with to deliver service improvements to their network customers.

For example, the CSP may be able to add an element of 'location awareness' into their processing (derived from identifying the receiving base station or cellular tower location) and therefore could protect themselves from very large outages affecting one area whilst not impacting traffic from other areas. Such location awareness wouldn't need to rely on the DNO's own network topology, simply on an understanding of which receiving CSP location the alerts are picked up at. If this could be achieved it should guarantee near 100% delivery of small and isolated faults whilst also giving the DNOs sufficient notice of larger network event without needing to send every single alert for large events.

DNO Requirements for Power Outage Alerts

The following bullet points are taken from v1.9 of the DCC Power Alerts Project Briefing Paper.

1. DNOs require a Power Outage Alert for all outages of power to the meter which are longer than 3 minutes to be sent to the DNO. [See note below]
2. The DNOs require the Power Outage Alert to be delivered promptly, arriving at the DNO systems within 5 minutes of the start of the power outage (i.e. 2 minutes after the start of the Power Outage Event, which starts 3 minute after the start of the power outage)
 - a. Rationale: Prior to the implementation of the Single Power Cut Number (150) DNOs were receiving between 20% and 40% of calls within 5 minutes, and between 60% and 67% of calls within 10 minutes.
 - b. For clarity, any interruptions of less than 3 minutes in duration are not a reportable outage. The three minutes have been agreed with Ofgem and allows for DNO auto-reclose devices to operate and restore supplies on circuits that have automation. Once the outage data is proven reliable it is possible it will one day be used to report network reliability performance to Ofgem. It is therefore important to maintain the clear distinction between interruptions that are less than three minutes duration and those that are greater than three minutes duration.
3. The DNOs require the Power Outage Alert to be reliable and dependable
 - a. Rationale: Delivery of 99.5% of Power Outage Alerts from 250,000 power outage events in a year would result in 1,250 instances where customers would be off supply without the DNO being made aware by the Smart Metering system. Although proportionally these are few in number it's likely that on occasions it would include vulnerable customers. This might cause issues for the DNO particularly if consumers start to assume that the DNO will always know when the power has been lost to a premise.
4. The DNOs require the Power Outage Alert to be trustworthy.
 - a. Rationale: A 0.1% annual False Positive rate from 10,000,000 meters would result in 10,000 notifications to DNOs where the DNO would need to invest in validating the event. DNOs accept that there are situations where a false POA will be sent which is outside the DCC's control and are working with the relevant industry party to address these types of issues. We note that the impact of False Positives can be much more significant given the large volumes currently being experienced. One DNO is collaborating on a piece of analysis work on OTA AD1s with one supplier who is advising when they are conducting OTAs. We are hopeful that this is offer some possible workarounds to minimise the issues for the DNOs.
5. The DNOs require the format, reliability and behaviour of Power Outage Alert to be consistent between all Meter types and Comms Hub types and CSP regions.
6. The DNOs do not require the throughput of Power Outage Alerts relating to the same Power Outage Event to be very high as in situations where a large number of Power Outage Alerts are being simultaneously sent to any one DNO, it would be more than likely due to a situation where the DNO would already be aware of the outage via other monitoring equipment [see note below]

DNO Requirements for Power Restoration Alerts

1. DNOs require Power Restoration Alerts for all outages of power to the meter (i.e. those lasting less than 3 minutes and those lasting more than 3 minutes) to be sent to the DNO.
 - a. Rationale: Reliable delivery of Power Restoration Alerts eliminates the need for DNOs to send a Service Request to check the energisation Status (ping) meters.
2. The DNOs require the Power Restoration Alert to be delivered promptly, arriving at the DNO systems within 1 minute following the restoration of the power supply to the meter.
 - a. Rationale: Prompt delivery of Power Restoration Alerts eliminates the need for DNOs to send a Service Request to check the energisation Status (ping) meters.
3. The DNOs require the format, reliability and behaviour of Power Outage Alert to be consistent between all Meter type and Comms Hub types and CSP regions.

Note: Historical Position

The issue of POAs alerts was discussed between ENA and DECC in 2013. DECC's view was POAs required by DNOs for any given fault was:

- 100% alerts delivered for 50 meter outages
- 25% alerts delivered for 50-5000 meter outages
- 1 message delivered for >5000 meter outages
- Delivered within 60s of the end of the three minute period

DNOs generally agreed with this with the exception that the initial cut-off should be 250 meters rather than 50. This view emerged from the Power Outage workshop.

However, in discussion with DCC it became apparent that to deliver this functionality on a *per outage* basis DCC would need to hold a live DNO connectivity model so that they could differentiate between different network faults – and that this was unrealistic (and probably undesirable). From this, the concept that 'all' POAs would be required so that the DNO could assess the POAs against their connectivity models.

ENA Smart Metering Steering Group
07 October 2019

DNO Requirements acronyms

This table lists all the acronyms used in this document and the full term they are an abbreviation for.

Ref	Definition	Description
POA.1	POA for all outages > 3 minutes	Supply all AD1s with no message loss
POA.2	POAs delivered < 5 minutes	AD1s to be delivered within 5 minutes from the time outage occurred to when it is delivered to DNOs
POA.3	POAs must be reliable	There should be reliable delivery of AD1s
POA.4	POAs must be trustworthy	Messages should be accurate and not result in false positives (i.e. AD1 sent without an actual power outage) or false negatives (i.e. power outage without an AD1 sent)
POA.5	POAs must be consistent	AD1s should be consistent between meter types, CH types and CSP regions
PRA.1	All PRAs required	Supply all 8F35/8F36 messages with no message loss
PRA.2	PRAs delivered in < 1 min	8F35/8F36 messages to be delivered within 1 minute from the time it was generated by the ESME to when it is delivered to DNOs
PRA.3	PRAs must be consistent	8F35/8F36 messages should be consistent between meter types, CH types and CSP regions

A formal written response was received from the ENA on 07th October 2019 which outlined the above DNO requirements.

]

Data Communications Company

**This version of the paper has no Commercial
Information**

Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper

Author: Del Kang
Version: 5
Date: 21/04/2021

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1 Document Control

1.1 Version History

Version	Revision Date	Amended by	Summary of Changes
1	07/08/2020		Issued to ENA/BEIS/DNOs
2	19/08/2020		Updates following DNO Feedback
3	17/11/2020		Added Appendix E – Telefónica Network Resilience Information Added Appendix F – Enhancement Costs
4	04/02/2021		Added Appendix G – Additional Telefonica Enhancement Options T5 CH reboot timing change. T6 Change to CH dither change and Arqiva change to CH timings. Added Appendix H – Additional outage scenario analysis requested by DNOs.
5	21/04/2021	Janine Hughes	- Added 'amended by' column to this table. - Added Appendix I – Revised costs for recommended Option 3a & 3b - Amended costs in body of document to reflect revised costs from SPs. (Commercial copy only)

1.2 Distribution list

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1.3 Assurance

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1.4 Reference

This document is associated with the following documents, and has been drafted on the basis of these versions:

Ref	Title and Originator's Reference	Source	Issue Date	Version
R1	PR1226	DCC	03/04/2020	1
R2	Power Alerts Project Briefing Paper	DCC	01/12/2016	1.9
R3	CSP DSP POA PRA Tech. Paper	DCC	12/05/2020	4
R4	DP096-DNO POAs Modification Report (including Annex A)	SECAS	19/02/2020	0.2

Table 1 Referenced Documents

2 Introduction

DCC has, under the Smart Energy Code, an obligation to provide the Energy Industry with all Power Outage Alerts (POAs) and Power Restorations Alerts (PRAs) within 60 seconds. DCC is not currently fully meeting the obligation. BEIS had given DCC a derogation against this obligation this expired in October 2018.

To enable DCC to understand the CSP and DSP capabilities to handle POA/PRAs, a study is required which produces the below outputs:

- DCC current performance in respect of POA/PRAs, from a volume, speed and quality perspective
- How the current systems operate and process POA/PRAs and constraints
- Options to improve POA/PRAs performance with rough order magnitude costs and delivery timescales

Even though the customers for these alerts is the Energy Industry the Distribution Network Operators are the most impacted and therefore DCC have been engaged with them to understand their detailed requirements. Each enhancement option has been evaluated against the DNO requirements¹.

Problem Statement

The fundamental problems that this study aims to address are:

- POAs not being delivered within 60 seconds.
- PRAs not being delivered within 60 seconds.
- POAs being lost.
- PRAs being sent when they shouldn't be sent.

SEC Obligation

The relevant obligation in the SEC is:

Section H3.14 of SEC defines the target response time for alerts in general (H3.14 (g), sending a User an Alert, within 60 seconds measured from the Alert being communicated to (Device Alerts) or generated by (Non-Device Alerts) the Communications Hub Function.

¹ The requirements are referenced from the "Power Outage and Power Restoration Alerts DNO Requirements" paper presented to the ENA Smart Metering Steering Group on the 7th October 2019. Please refer to the annex of reference document: **DP096-DNO POAs Modification Report [R4]**.

Ref	Definition	Description
POA.1	POA for all outages > 3 minutes	Supply all AD1s with no message loss
POA.2	POAs delivered < 5 minutes	AD1s to be delivered within 5 minutes from the time outage occurred to when it is delivered to DNOs
POA.3	POAs must be reliable	There should be reliable delivery of AD1s
POA.4	POAs must be trustworthy	Messages should be accurate and not result in false positives (i.e. AD1 sent without an actual power outage) or false negatives (i.e. power outage without an AD1 sent)
POA.5	POAs must be consistent	AD1s should be consistent between meter types, CH types and CSP regions
PRA.1	All PRAs required	Supply all 8F35/8F36 messages with no message loss
PRA.2	PRAs delivered in < 1 min	8F35/8F36 messages to be delivered within 1 minute from the time it was generated by the ESME to when it is delivered to DNOs
PRA.3	PRAs must be consistent	8F35/8F36 messages should be consistent between meter types, CH types and CSP regions
PRA.4 ²	PRAs must be trustworthy	Messages should be accurate and not result in false positives (i.e. 8F35/8F36 sent without an actual power restore) or false negatives (i.e. power restore without a 8F35/8F36 sent)
GEN.1 ³	No loss of PRAs/POAs during planned outages	AD1s, 8F35, 8F36 messages retained for later processing when planned system outages occur under system maintenance windows
GEN.2 ⁴	No loss of PRAs/POAs during unplanned outages	AD1s, 8F35, 8F36 messages retained for later processing when unplanned system outages occur

Table 2 DNO Requirements

The scope of this document assesses current and enhanced system performance against the following set of scenarios provided by the DNOs⁵:

² This requirement was not in the paper provided by the ENA Smart Metering Steering Group on the 7th October 2019 but has been added by the service providers

³ This requirement was not in the paper provided by the ENA Smart Metering Steering Group on the 7th October 2019 but has been added by the service providers. This requirement was considered at the request of DCC

⁴ This requirement was not in the paper provided by the ENA Smart Metering Steering Group on the 7th October 2019 but has been added by the service providers. This requirement was considered at the request of DCC

⁵ These scenarios are aligned to the list of questions provided by the DNOs as well as the initial version of the supporting documentation that was provided with the PR1226 request (**CSP DSP POA PRA Technical Paper Reqts**). The alternate reference is a new reference given to the same scenarios provided by DCC in a later version of the same reference document: **CSP DSP POA PRA Technical Paper Reqts [R3]**

Scenario	Alternate Reference	Description
A		Single 5000 property outage in the Telefónica region
B		Single 5000 property outage in the Arqiva region
C	1	Overhead power line comes down. 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms
D	2	Substation damaged - 5,000 lose power simultaneously
E	3	20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare)
F	4	An event knocks down a high voltage cable in a major city (as per DNO discussions assumed to be scenario for outage of 30,000 properties)
G	5	Storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes
H	6	Storm travelling South to East from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of high voltage / low voltage in other cities, towns and villages
I		Transmission Line Failures - National Grid Transmission System failures which can impact 1 – 200,000 customers. These average at around 9 incidents per annum and includes incidents affecting small numbers of very large industrial/commercial customers

Table 3 DNO Power Outage Scenarios

Project A (PR1226) which has produced this paper forms part of the wider DNO Programme, which is also comprised of Project B (CR1349), Project C (PR1227) and Project D. It is expected that the outcomes of Project A, B and C once decided, will form the basis of the scope for Project D. Project D will then be the vehicle to introduce a power outage alerts (POAs) and Power Restorations Alerts (PRAs) solution or service changes that will lead to improved DNO operating efficiencies.

3 Executive Summary

- This paper provides an overview of the capability and behaviour of the Smart Metering service provider systems regarding how Power Outage Alerts (POAs) and Power Restore Alerts (PRAs) are processed from the CHs and ESMEs to the Industry and DNOs.
- Based on the gaps identified, the study explored a number of potential enhancements, see appendix F.
 - Telefónica identified four options ranging from £Xm to £Xm
 - Arqiva identified six options ranging from £Xk to £Xm
 - CGI identified nine options ranging from £Xk to £Xm
- These enhancements could be introduced to improve performance against the SEC obligation and DNO requirements. Table 4 summarises these findings, referenced against the DNO requirements and also indicates the current performance.
- The conclusion is that due to constraints neither the SEC obligation nor the DNO requirements can be fully met, however some of the DNO requirements can be met through enhancements identified.
- In summary for POAs, there are only 2 options which would introduce delivery of AD1 messages under 5 minutes, see below the Arqiva and Telefonica options (also shown in POA.2 in table 4).
 - Arqiva's option for increased alert channels
 - Telefónica's option to deploy the Network Evolution CH with super capacitor. However the existing 2G/3G hubs would require one of the alternate improvements to reduce the current AD1 message delivery times
- In summary for PRAs, the Solution Enhancements proposed by CGI and Arqiva would introduce the most benefit to delivery of all PRAs (PRA.1 in table 4) but not in all circumstances, as the sending of the PRA first requires the ESME to reboot and second requires the CH to still have power and be connected to the network, or in the event of a longer outage to reboot and re-attach to the network. Telefónica can improve this only via deployment of the Network Evolution CH with super capacitor, but ultimately the ESME must itself reboot and any CH must reboot and re-attach to the network before being able to send the PRA.
- Technical constraints to Arqiva's solution means that, even with a solution enhancement, Arqiva cannot meet this PRA.2 requirement. CGI has an option available for reducing spurious PRAs and two options or prioritising POAs/PRAs based on importance to the DNOs aimed at addressing the requirement of PRAs being trustworthy (PRA.4).

- Based on the Indicative Delivery Planning, the proposed timeline for PIT completion of the options spans from one month to over eighteen months which would shape whether any agreed enhancements could be subject to a launch within any of the planned November 2021, June 2022 or November 2022 major releases.

In Table 4 ☐ notes improvement is achieved.

Option (✓ denotes improvement is achieved)	POA.1 – POA for all outages >3 minutes (transactions per min)	POA.2 – POAs delivered <5 minutes (range in minutes for outages up to 30K)	POA.3 – POAs must be reliable (transactions per min)	POA.4 – POAs must be trustworthy	POA.5 – POAs must be consistent	PRA.1 – All PRAs required (transactions per min)	PRA.2 – PRAs delivered in <1 min (range in minutes)	PRA.3 – PRAs must be consistent	PRA.4 – PRAs must be trustworthy	GEN.1 – No loss of POAs/PRAs during planned outages	GEN.2 – No loss of PRAs/POAs during unplanned outages	Benefits	ROM Costs
TEF Current Performance	5000	6-13	5000			200000	<1 – 3.5			Msg Loss	Msg Loss		
TEF.1 – Existing IT System Enhancements	15000	5-12	15000	✓	✓	200000	<1 – 3.5	✓	✓	✓	✓	Higher throughput of POA messages and reduction in POA delivery times by 1 minute. This enhancement only benefits DNOs.	£Xm -£Xm (Setup) / £Xk - £Xk Per Annum (OPEX)
TEF.2 – Cloud based Micro Service	15000	5-8	15000	✓	✓	200000	<1 – 3.5	✓	✓	✓	✓	Higher throughput of POA messages and reduction in POA delivery times by 1-5 minutes, depending on outage volumes. Cloud based microservices for power alerts will be specific for DNOs but the microservices concept would be part of an overall move to a microservices based architecture. Elements of this can be re-used for other DCC changes including SECMOD7 which covers firmware updates for HAN devices.	£Xm -£Xm (Setup) / £Xk - £Xk Per Annum (OPEX)
TEF.3 – Network Evolution CH with super capacitor (values apply to new CH only)	30000	4	30000	✓	✓	200000	<1 – 3.5	✓	✓	✓	✓	Higher throughput of POA messages and reduction in POA delivery times which meet DNO requirements. Higher volumes of PRAs (8F35 messages) will be delivered in under 1 minute as CH will remain powered on longer. 4G CHs are part of the Network Evolution programme plans but the super capacitor is a requirement that is specific to addressing DNO requirements.	\$X to \$X per unit (USD)
TEF.4 – Firmware & Network Infrastructure Updates	5000	5-12	5000			200000	<1 – 2.5					1 minute reduction in POA/PRA delivery times. Note: this is not considered a viable option by Telefónica and therefore no cost / timelines have been provided. This enhancement only benefits DNOs.	N/A
ARQ Current Performance	5000	3-12	5000			35000	3-45			Msg Loss	Msg Loss		
ARQ.1 – Reinstate CH PRA	5000	3-12	5000			35000	3-12			Msg Loss	Msg Loss	Provides fast indication of power restoration. These CH restoration alerts are in addition to the current 8F35 and 8F36 alerts so no change in overall reliability or performance of meter PRAs. Benefit is particularly noticeable for larger outages where a significant proportion of the restoration alerts would arrive within 3-12 minutes of power being restored. This enhancement only benefits the DNOs.	£ X

Option (✓ denotes improvement is achieved)	POA.1 – POA for all outages >3 minutes (transactions per min)	POA.2 – POAs delivered <5 minutes (range in minutes for outages up to 30K)	POA.3 – POAs must be reliable (transactions per min)	POA.4 – POAs must be trustworthy	POA.5 – POAs must be consistent	PRA.1 – All PRAs required (transactions per min)	PRA.2 – PRAs delivered in <1 min (range in minutes)	PRA.3 – PRAs must be consistent	PRA.4 – PRAs must be trustworthy	GEN.1 – No loss of POAs/PRAs during planned outages	GEN.2 – No loss of PRAs/POAs during unplanned outages	Benefits	ROM Costs
ARQ.2a – Increased Alert Channels	5000	3-12 (50% alerts in 4 mins)	5000			35000	3-45			Msg Loss	Msg Loss	Greater throughput of AD1 alerts particularly during larger outages. Also Improves speed of delivery for the majority of alerts and reduces the number of alerts lost due to message collisions in large outages. This enhancement only benefits the DNOs.	£X - £X Million
ARQ.2b – Increased Bulk Traffic Channels	5000	3-12	5000			35000	3-37			Msg Loss	Msg Loss	Greater throughput of 8F35 and 8F36 power restoration messages. Improves speed of delivery. Benefits all DCC users.	£X - £X Million
ARQ.3 – Improved backhaul resilience	5000	3-12	5000			35000	3-45			Msg Loss	Msg Loss	Reduces the number of significantly delayed POA messages. Also reduces number of duplicate messages. Benefits all DCC users.	N/A (IA required to determine ROM)
ARQ.4 – Relaxed throttle between CSP and DSP	Up to 35000 (for outages >100K only) ⁶	3-12	Up to 35000 (for outages >100K only)			35000	3-45			Msg Loss	Msg Loss	Reduces chance of alerts from one large outage event delaying the delivery of alerts from a second isolated small incident. Also improves throughput of alerts during very large outages. This enhancement only benefits the DNOs.	£ X
ARQ.5 – Buffering alerts at the CSP – DSP gateway	5000	3-12	5000			35000	3-45			✓	✓	Reduces messages lost during planned and unplanned outages of the DSP. Buffers alerts and resends them once the DSP service is restored. This benefits all DCC users.	£ X million
ARQ.6 – Deduplication at the CSP – DSP gateway	5000	3-12	5000		✓	35000	3-45			Msg Loss	Msg Loss	Reduces delayed duplicate messages. This benefits all DCC users.	N/A (IA required to determine ROM)
DSP Current Performance	12000	0.0048	12000			96000	0.0004			Msg Loss	Msg Loss		

⁶ A rate of 35,000 messages per minute would only be reached in very large power outage scenarios involving over 100,000 premises. The message rate will be the same as current performance for power outages involving a lower number of premises.

Option (✓ denotes improvement is achieved)	POA.1 – POA for all outages >3 minutes (transactions per min)	POA.2 – POAs delivered <5 minutes (range in minutes for outages up to 30k)	POA.3 – POAs must be reliable (transactions per min)	POA.4 – POAs must be trustworthy	POA.5 – POAs must be consistent	PRA.1 – All PRAs required (transactions per min)	PRA.2 – PRAs delivered in <1 min (range in minutes)	PRA.3 – PRAs must be consistent	PRA.4 – PRAs must be trustworthy	GEN.1 – No loss of POAs/PRAs during planned outages	GEN.2 – No loss of PRAs/POAs during unplanned outages	Benefits	ROM Costs
DSP.1 – Provision of new shared Infrastructure	24000	0.0048	24000			192000	0.0004			Msg Loss	Msg Loss	Provides additional motorway capacity for processing all DCC traffic (i.e. Service Requests, Service Responses, DCC Alerts and Device Alerts) including POAs and PRAs. This enhancement benefits all DCC Users	£Xk - £Xk
DSP.2 – Prioritising POAs and PRAs over other northbound traffic	24000	0.0048	24000			192000	0.0004			Msg Loss	Msg Loss	Ensures POAs and PRAs are prioritised over all other northbound DCC traffic (i.e. Service Responses, DCC Alerts and Device Alerts). Prioritisation of POAs/PRAs over other northbound traffic will only benefit DNOs. However, this enhancement also includes rationalisation of POA production (e.g. only sending one POA per HAN rather than one per ESME) which would benefit Suppliers in addition to DNOs.	£Xk - £Xm
DSP.3 - New dedicated resources for POAs and PRAs	24000	0.0048	24000			192000	0.0004			Msg Loss	Msg Loss	Routes POAs and PRAs to new, dedicated motorway infrastructure reserved solely for the purpose of processing POAs/PRAs. This enhancement primarily benefits DNOs but also benefits other DCC Users in that processing of POAs/PRAs no longer performed in the main motorway which, therefore, frees up additional capacity for other traffic.	£Xk - £Xk
DSP.4 – Cloud-based Dedicated DNO POA/PRA resources	24000	0.0048	24000			192000	0.0004			Msg Loss	Msg Loss	As per option DSP.3.	N/A
DSP.5 – Prioritisation within POAs and PRAs by Postcode/ Time	24000	0.0048	24000	✓		192000	0.0004		✓	Msg Loss	Msg Loss	Prioritises POAs/PRAs from postcodes experiencing few POAs/PRAs over those from postcodes experiencing very high volumes of POAs/PRAs. In doing so, priority is given to smaller outages, of which the DNO may not be aware, over larger outages of which they are aware. This enhancement only benefits DNOs.	£Xk - £Xk
DSP.6 – Prioritisation within POAs and PRAs by Canary Indicators	24000	0.0048	24000	✓		192000	0.0004		✓	Msg Loss	Msg Loss	Prioritises POAs/PRAs from a DNO-defined set of ‘canary’ MPANs chosen by the DNO to provide essential information on the health of the DNO network (e.g. one canary MPAN per feeder). This enhancement only benefits DNOs.	£Xk - £Xk
DSP.7a – Reduce Spurious PRAs: Traffic management	12000	0.0048	12000			96000	0.0004		✓	Msg Loss	Msg Loss	Reduces PRA volumes received by DNOs by up to 20% by filtering hundreds of spurious 8F35s per day from defective ESMEs by removing 8F35s from the SECMP0062 Exemption List. This enhancement only benefits DNOs.	£Xk - £Xk

Option (✓ denotes improvement is achieved)	POA.1 – POA for all outages >3 minutes (transactions per min)	POA.2 – POAs delivered <5 minutes (range in minutes for outages up to 30K)	POA.3 – POAs must be reliable (transactions per min)	POA.4 – POAs must be trustworthy	POA.5 – POAs must be consistent	PRA.1 – All PRAs required (transactions per min)	PRA.2 – PRAs delivered in <1 min (range in minutes)	PRA.3 – PRAs must be consistent	PRA.4 – PRAs must be trustworthy	GEN.1 – No loss of POAs/PRAs during planned outages	GEN.2 – No loss of PRAs/POAs during unplanned outages	Benefits	ROM Costs
DSP.7b – Reduce Spurious PRAs: Block list	12000	0.0048	12000			96000	0.0004		✓	Msg Loss	Msg Loss	Reduces PRA volumes received by DNOs by up to 20% by filtering hundreds of spurious 8F35s per day from defective ESMEs by implementing a block list for known defective ESMEs. This enhancement only benefits DNOs.	£Xk - £Xk
DSP.8 - Buffering During DSP Planned Maintenance ⁷	12000	0.0048	12000			96000	0.0004			✓	Msg Loss	Implements a buffer for all DCC traffic (i.e. Service Requests, Service Responses and Device Alerts) which can be activated during periods of planned and unplanned DSP outage. This enhancement benefits all DCC Users.	£Xk - £Xm

Table 4 Solution Options Summary

- There is no current measure available on reliability or trustworthiness of POAs/PRAs although some analysis is underway as covered in Appendix A – Power Alert Data Analysis to determine the root cause of any scenarios where alert messages are lost or being sent incorrectly which will have an impact on the reliability or trustworthiness of AD1 and 8F35/8F36 messages.
- An analysis was performed on POAs/PRAs received by the DSP over the 7-day period from 09 June to 15 June 2020 and of POAs received from Arqiva over the period 17 May to 18 June 2020. The findings from this analysis are included in Appendix A – Power Alert Data Analysis.

⁷ CGI's buffering enhancement would buffer all Service Requests, Service Responses and Device Alerts (including POAs/PRAs) in the event of a controlled DSP outage. Since all messages will be buffered, the benefits of this enhancement extend to all DCC Users, not just the DNOs. The use of the word 'controlled' reflects the fact that the decision on whether or not to buffer messages will be taken by the CGI support team and enabled manually. In the case of a planned outage, buffering will be enabled prior to the DSP being taken down. In the case of unplanned outages, the CGI support team will determine whether buffering is advisable and, if so, enable it. Some message loss is, therefore, possible during the initial period of the unplanned outage. For buffering to be available, the buffering component must be unaffected by the unplanned outage impacting the DSP. In the event of the loss of a data centre, this may require messages to be diverted to the buffering component in the secondary site. Routing of messages to this standby component would be prioritised over the failover of other DSP components but would, inevitably lead to some message loss during the switch.

- Ahead of this Technical Paper being issued, it was previously noted that there are several known Defects, Incidents or Problems which are being investigated as part of the current agreement to Run and operate the service. These items will follow the standard in-life Incident, Defect and Problem Management approach through to resolution in line with the agreed KPI's and SLA's.
- The POA Programme has a Testing Project whose objective is to test and find the root cause of the problems.
- Appendix A – Power Alert Data Analysis contains an analysis of the investigations to date and also contains information on existing incidents currently being reviewed.
- Appendix D – Known Incidents Identified by DCC, contains a description of incidents identified by DCC in relation to power alerts. These are currently under investigation as part of Project C in the Programme.

4 As Is System Overview

The current implementation for managing power alert messages is as follows:

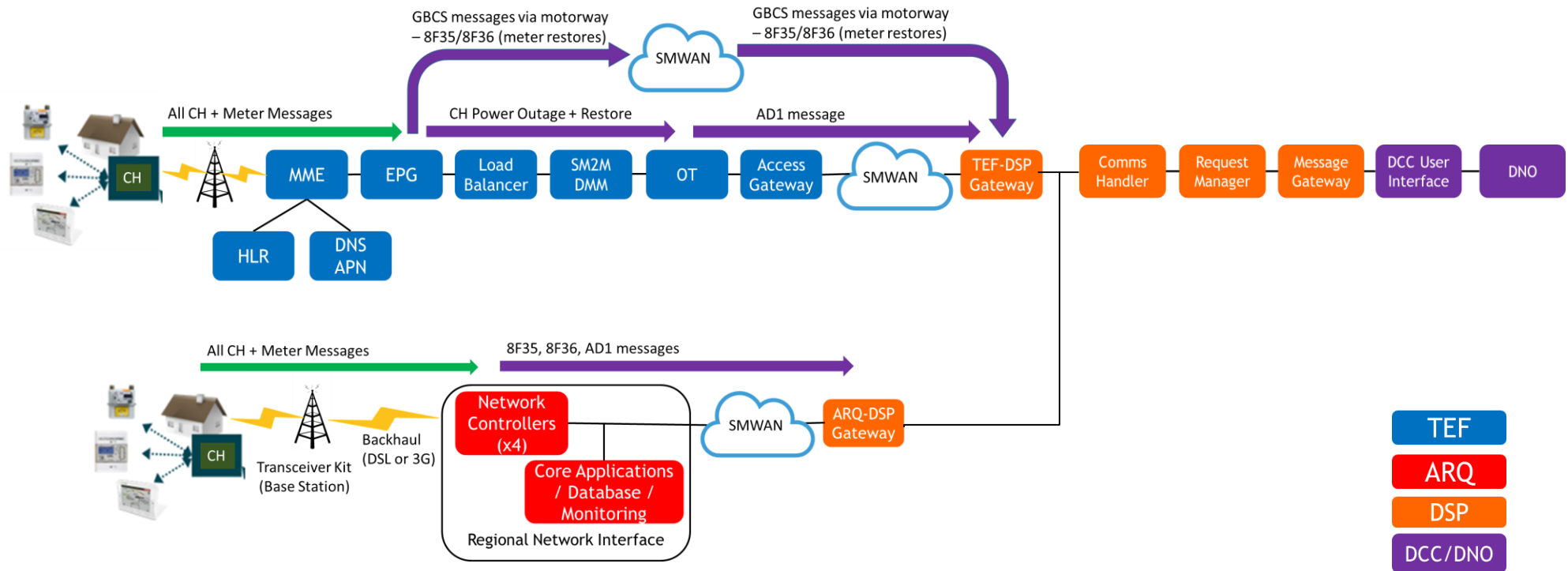


Figure 1 POA/PRA Journey Overview

Component	Owner	Description
CH	TEF ARQ	Communications Hub. Device to handle communications between smart meters and service providers
MME	TEF	Mobility Management Entity. Also known as Serving GPRS Support Node (SGSN). This manages the network sessions of the CHs
HLR	TEF	Home Location Register. Supports reconnection of CHs
DNS APN	TEF	Domain Name Service Access Point Name. Facilitates data network communications
EPG	TEF	Evolved Packet Gateway. Also known as Gateway GPRS Support Node (GGSN). Facilitates network transmission of CH data
Load Balancer	TEF	Processes inbound CH messages and controls the flow of messages into the downstream system
SM2M DMM	TEF	Smart Machine to Machine Device Monitoring and Management. Manages CH communications. Will process power alerts and pass on to Orchestration system
OT	TEF	Orchestration System. Determines confirmed power outage messages and generates the AD1 message
Access Gateway	TEF	Security gateway system which manages interactions with the DSP gateway
SMWAN	TEF ARQ CGI	Service Management Wide Area Network. Network used to transmit messages between service providers
TEF-DSP Gateway	CGI	Telefónica-DSP gateway
ARQ-DSP Gateway	CGI	Arqiva-DSP gateway
TK	ARQ	Transceiver Kit. Base station which facilitates communication from the CH to the rest of the network
Regional NW Interface (RNI)	ARQ	Regional Network Interface. Gathers and processes network data. Consists of network controllers and supporting systems such as monitoring components
Comms Handler	CGI	Communications Handler. Manages the queue for message processing
Request Manager	CGI	Request Manager. Handles business logic, validation, post-processing requirements
Message Gateway	CGI	Message Gateway. Responsible for delivering messages to Users
DCC User Interface	DCC	DCC Interface. Demarcation point between DSP and DCC
DNO		Distribution Network Operators. Will receive power alert messages

Table 5 Smart Metering System Components

For reference, please also note the following terms used in the diagram above:

Term	Meaning
8F35	Power Restoration Alert (PRA) from the electric meter (8F35 is the GBCS message code). This is generated regardless of the duration of the power outage.
8F36	Power Restoration Alert (PRA) from the electric meter where the restore occurs more than 3 minutes from the outage (8F36 is the GBCS message code).
AD1	Confirmed power outage messages for outages of greater than 3 minutes. This is based on power outage messages from the CH rather than meter outage messages.
Motorway	A collection of CSP/DSP components employed in processing Service Requests, DCC Alerts and Device Alerts which go via SMWAN traffic onto the DSP. The 8F35 and 8F36 messages are not processed by Telefónica IT systems.

Table 6 Key Definitions

The systems have been designed to meet the core requirements set out by the DCC. For the CSPs, the key requirements are set out in section 2.2 of the contract (refer to “section 13 Appendix B – CSP Contract Extracts” for excerpts from the CSP contracts). This states that where up to 50 premises are impacted by a single outage event, 100% of alerts should be reported. Where an incident comprises more than 50 outages, 100% of the initial 50 outages shall be reported plus 25% of the outages over and above the initial 50. The CSPs are not obliged to deliver more than 5,000 alerts relating to the same outage event but they are obliged to deliver all restore alerts. This is also covered in the document referenced: **Power Alerts Project Briefing Paper [R4]**.

4.1 Summary of core DNO Requirements against As Is Capability

Based on the As Is overview of the service providers described above, this section provides a view of how the current implementations of the service provider platforms perform against the core DNO requirements. The core DNO requirements with a brief description of what they entail are as follows:

Ref	Definition	Description
POA.1	POA for all outages > 3 minutes	Supply all AD1s with no message loss
POA.2	POAs delivered < 5 minutes	AD1s to be delivered within 5 minutes from the time outage occurred to when it is delivered to DNOs
POA.3	POAs must be reliable	There should be reliable delivery of AD1s

Ref	Definition	Description
POA.4	POAs must be trustworthy	Messages should be accurate and not result in false positives (i.e. AD1 sent without an actual power outage) or false negatives (i.e. power outage without an AD1 sent)
POA.5	POAs must be consistent	AD1s should be consistent between meter types, CH types and CSP regions
PRA.1	All PRAs required	Supply all 8F35/8F36 messages with no message loss
PRA.2	PRAs delivered in < 1 min	8F35/8F36 messages to be delivered within 1 minute from the time it was generated by the ESME to when it is delivered to DNOs
PRA.3	PRAs must be consistent	8F35/8F36 messages should be consistent between meter types, CH types and CSP regions
PRA.4*	PRAs must be trustworthy	Messages should be accurate and not result in false positives (i.e. 8F35/8F36 sent without an actual power restore) or false negatives (i.e. power restore without a 8F35/8F36 sent)
GEN.1*	No loss of PRAs/POAs during planned outages	AD1s, 8F35, 8F36 messages retained for later processing when planned system outages occur under system maintenance windows
GEN.2*	No loss of PRAs/POAs during unplanned outages	AD1s, 8F35, 8F36 messages retained for later processing when unplanned system outages occur

Table 7 DNO Requirements

* Note that these requirements do not form part of the list of requirements contained in the “Power Outage and Power Restoration Alerts DNO Requirements” paper presented to the ENA Smart Metering Steering Group on the 7th October 2019 but have been added by the service providers. The DNO requirements are captured in the annex of reference document: **DP096-DNO POAs Modification Report [R4]**.

The following table provide an overview of the current capabilities

Req	Description	DSP Current capability		TEF Current capability		ARQ Current capability	
POA.1	POA for all outages > 3min	12,000	TPM	5,000	TPM	5,000	TPM
POA.2	POAs delivered in < 5min	0.0048	mins	6-13	mins	3-12	mins
POA.3	POA must be reliable	12,000	TPM	5,000	TPM	5,000	TPM
POA.4	POAs must be trustworthy [^]	N/A		N/A		N/A	
POA.5	POAs must be consistent*	N/A		*		*	
PRA.1	All PRAs required	96,000	TPM	200,000	TPM	35,000	TPM
PRA.2	PRAs delivered in <1min	0.00042	mins	<1-3.5 [#]	mins	3-37	mins
PRA.3	PRAs must be consistent*	N/A		*		*	
PRA.4	PRAs must be trustworthy [^]	N/A		N/A		N/A	
GEN.1	No loss of PRAs/POAs during planned outage	Potential Loss during maintenance window		Potential Loss during maintenance window		Potential Loss during maintenance window	
GEN.2	No loss of PRAs/POAs during unplanned outage	4 hour RTO		4 hour RTO		4 hour RTO	

Table 8 Service Provider Current Capabilities

Note in the table above, TPM refers to transactions per minute and RTO refers to Recovery Time Objective, which is the length of time it can take to recover from an unplanned outage.

[^] For POA.4 and PRA.4 (trustworthiness of POAs/PRAs), there are no measures available to record against these. There are investigations

underway to validate if there may be false positives or false negative power alert scenarios as described in “Appendix A – Power Alert Data Analysis”

* For POA.5 and PRA.5, POAs/PRA.s must be consistent, this refers to consistency between meter types, CH types and CSP regions. This cannot be measured against individual service providers and needs to be assessed across the end to end implementation across service providers. The service providers do not have control of the meter types so consistency cannot be measure against these. For CH types, Telefónica has two CH vendors that are used and the functionality is consistent between these. For consistency between CSPs, there are differences between the way POAs and PRAs are sent to the DSP (e.g. Arqiva can send up to 3 alerts for POAs but Telefónica does not) but the DSP has some functionality in place (e.g. de-duplication) so that the data that is sent to the DNOs is sent in a consistent manner across CSPs. However, due to differing system implementations, CSPs have differing durations of sending POAs/PRA.s and message throughput rates. In the case of the CSP North region, there are known incidents where the DNOs have duplicate AD1s which is normally caused by the duplicate AD1s arriving outside of Arqiva and CGI’s de-duplication window. The delays themselves are caused by issues such as line faults.

When the CH is attached to the network and is able to transmit the message, it takes a few seconds only. In the event that the CH has to shut down due to lack of mains power, the ESME on power restore will need to wait for the CH to reboot and reattach to the network which will take more than 1.5-3.5 minutes.

4.2 Telefónica CSP System Overview

Under the Telefónica implementation, all CHs (including gateway hubs and gateway attached hubs) and meter messages flow from the premise to the MME network component and EPG. At this point, the message flows diverge.

- ESME power restore messages, 8F35 and 8F36, are passed to the DSP via “motorway” or SMWAN traffic and onto the Telefónica-DSP message gateway. These messages are transported but not processed by Telefónica systems.
- Other message types, such as the CH power outage alert and CH power restore alert messages are passed onto the Smart M2M DMM system.

Internally to Telefónica, a load balancer currently sits in front of SM2M DMM and this has message throttling in place to protect the Smart M2M DMM and downstream systems from receiving a surge of messages during a large power outage event or a large number of CHs having power restored. The throttling to power outage alerts only applies if an outage affecting more than 34,000 households occurs. (It is applied above 2,267 transactions per second over the 15 second randomisation period that Telefónica CHs use to send power outage alerts). For CH power restore alerts throttling only occurs at outages affecting more than 226,700 households. (Due to a larger 100 second randomisation window). In the extremely rare event of an outage this large

occurring in exactly the same 15 seconds as a secondary outage this can effect outage alerts of the secondary outage as throttling means that messages in excess of the threshold will be dropped and discarded. From the load balancer, messages will then flow through into the Smart M2M DMM system which in turn passes these messages onto the Orchestration (OT) system.

The OT system has the responsibility of determining which power outage messages received constitute an AD1 message (i.e. a power outage without a corresponding restore within 3 minutes from the outage). This is done via a timer which waits for corresponding power restore messages. In the event a power restore message is not received in time, OT generates the AD1 message, which is then passed on to the TEF-DSP message gateway via the Telefónica Access Gateway system (which is a security gateway).

Although the criteria for a confirmed power outage is any outage without a restore in 3 minutes, the OT system has to wait longer than 3 minutes to determine if there is a corresponding restore alert message available. This is to take into account circumstances where a power outage lasts longer than the capacity of the CH to stay powered on without mains power but less than 3 minutes. The CH can last from 20 seconds up to 2 minutes (dependent on various factors such as the age of the meter) before it needs to power down.

Step	Item	Time
1	Waiting time to confirm Power Outage Alert	3 minutes
2	CH boot time	Between 1 and 1.5 minutes
3	Re-connection algorithm to control the load of a high number of devices simultaneously connecting to the radio network	Between 0.33 minutes (20 seconds) and 2 minutes with option to expand to 10 minutes
4	Establish PDP context (enable data transmission), various transit hops and processing times	0.5 minutes
5	Hysteresis to check for confirmed outages and sending to DSP via the Access Gateway	1 minute
	Total	6-8 minutes

Table 9 Telefónica POA Processing Times

When the power supply is restored, the CH needs between 60 and 90 seconds to reboot and then based on the re-connection algorithm, it can take between 20 seconds to 2 minutes to reattach to the network. The CH power restore message can then take about 30 seconds before it reaches the OT system. The OT system therefore needs to wait for 5-7 minutes from the start of the power outage (depending on the re-connection algorithm) before confirming a power outage. It can take a further 1 minute to transmit the AD1 message onto the DSP which brings the total to 8 minutes. This is the

maximum time for small or medium sized power outage scenarios. For power outage scenarios where there are more than 5,000 simultaneous power alert messages, it will take longer to pass these messages onto the DSP due to the CSP-DSP throttling in place.

The CH network reattach time varies as there is an algorithm in place to protect Telefónica's network infrastructure by preventing large scale simultaneous network reattachments. The algorithm reserves the first 20 seconds of the 120 second window to allow mesh CHs to attach to the network. The next 100 seconds is split into 10 slots of 10 seconds each to allow batches of CHs to attach to the network. The appropriate slot is determined using a 4 byte least significant byte (LSB) of the CH GUID converted from hexadecimal to decimal.

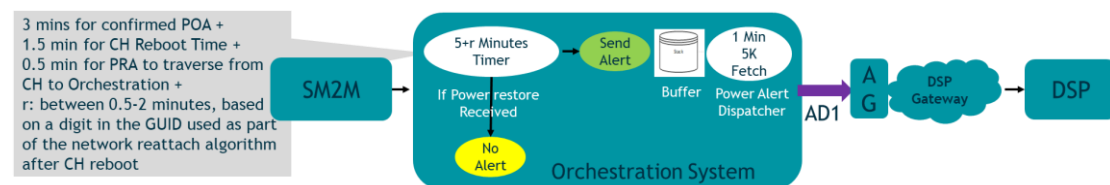


Figure 2 Telefónica System View for POAs

Once a power outage is confirmed, the messages are stored in a buffer and are handled in batches to send out to the DSP. It will fetch the messages in the buffer every minute (up to a maximum of 5000 messages) to process the batch. The OT system transmits each AD1 message using an API call to the AG system.

There is also a throttling mechanism in place between the Telefónica systems and the DSP gateway which is currently at 5,000 messages per minute. This means that in the event of a large outage, there will be a delay in sending some of the messages through the gateway to meet the throttling requirement.

Based on the above flows, the confirmed power outage messages will typically take 6-8 minutes from the time the power outage occurred to the time it is sent to the DSP. When processing larger volumes of messages during large outages (exceeding 5,000 premises), some messages can take longer to get through. This also applies to separate unrelated power outages that happen within that same minute. A last in-first out method is currently applied in aid of processing any secondary outage alerts. For power restore messages generated by the ESME, given that there is no processing required for these by the IT systems and they are transmitted directly from the CHs to the DSPs, these will typically take only a few seconds to traverse once connectivity is established.

The overall solution is currently achieving the agreed PM12.1 and PM12.2 performance measures as defined in the baselined Contract Schedules 2.1 and 2.2 (these measure the percentage of POAs successfully delivered – refer to Appendix B for details of the performance measures for the CSPs and the contract extracts). In the last twelve months, there has been only one

instance where Telefónica did not fully meet both these performance measures. This was due to extraordinary circumstances.

4.2.1 Unhappy Path Scenarios

There are several scenarios where messages do not flow through via the “normal” or happy path. These will then result in messages getting delayed or not getting transmitted at all. The scenarios are outlined below.

Radio Access Network (RAN) Level Outages

This is where the CH is unable to communicate with the Telefónica cellular network (RAN). The following scenarios can affect the cellular access:

- a) There is a local RAN outage, due to maintenance or a fault
- b) There is a RAN outage due to the power outage;
The RAN is made up of macro sites and microcells. Macro sites provide the main coverage and have a battery backup. Non-macro sites, i.e. microcells located on the side of buildings, stadiums etc. do not have battery backup but these are also under cellular coverage of a macro site. There are a small percentage of macro sites where battery backup is not possible due to practical reasons such as space.
- c) Coverage is affected due to atmospheric or other circumstances

The effect of RAN outages on power alerts is:

- For Toshiba CHs, any messages will be lost during shutting down. This results in the CH power outage alert being lost. Power outage restore messages to the DSP and the CH are held and will be sent once connectivity to the RAN is re-established. The end result of this is that no AD1 will be generated but 8F35/36 will still be sent though it may be delayed.
- For WNC, messages are written into non-volatile memory and will be available when the CH restarts. So the WNC CHs will attempt to resend a CH power outage alert as well as the power restore alert when power is restored. Both these are then held until connectivity to the RAN is re-established. The ultimate end result here is a delay in AD1 and 8F35/36 delivery to the DSP.

DSP Outages

As shown in “[Figure 1 POA/PRA Journey Overview](#)”, there are two message types sent to the DSP, 8F35/8F35 ESME power restore messages and AD1 power outage messages.

For 8F35/8F36 messages, if there is no response or a failure response from the DSP when attempting to send, the CH will attempt to retry sending the message after 30, 60 and 300 seconds. If the CH is unsuccessful after all four attempts, there will be no further retry attempts and the message is lost.

For AD1 messages, the OT system will send the message via the Access Gateway and will make 3 send attempts at 10 second intervals before registering a failure. The message will not be resent beyond this time.

The same rules above apply if the DSP is not available due to planned maintenance or there is an unplanned outage affecting the ability of the DSP to receive AD1s and 8F35/36s.

SM2M DMM System Unavailable

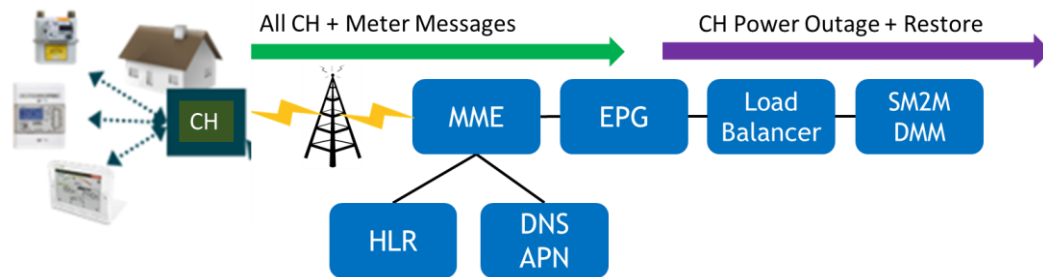


Figure 3 Message Flow to SM2M DMM

The SM2M DMM system manages interactions with the CH. As indicated in the above diagram, the SM2M DMM system receives the CH power outage and CH power restore messages.

If the CH attempts to send a CH power outage message to SM2M DMM and it is not available, there will be no further retry attempts. This is because if the CH has no power, it will start the process of shutting down as soon as possible.

If the CH attempts to send a CH power restore message to SM2M DMM and it is not available, the CH will attempt to resend the message at 30, 60 and 300 seconds. There will be no further retry attempts.

The above will be applicable for both planned and unplanned outages of the system.

OT System Unavailable

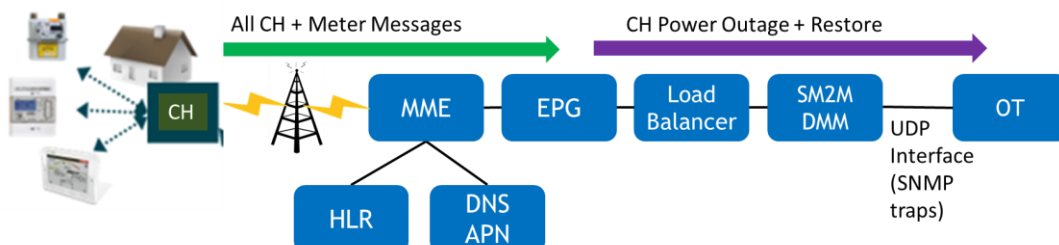


Figure 4 Message Flow to Orchestration System

The Orchestration (OT) system works out which CH power outage messages constitute a power outage lasting longer than 3 minutes and generates an

AD1 message that goes out to the DSP. SM2M DMM sends the CH power alert messages to OT for processing and this is done via a UDP (User Datagram Protocol) interface which works on a fire and forget policy. Essentially, this type of interface messaging has high performance capability but does not have a capability of confirming receipt of the message to enable retries. The source system is not aware if there are any transmission failures when it sends the message through. Therefore, if for any reason, there is a message failure between SM2M DMM and OT, the message is lost. This is applicable to both planned and unplanned outages of the OT system.

Planned outages are normally agreed with DCC in advance so DCC is aware of any planned outages. In the case of unplanned outages, incident tickets are raised and these are shared with DCC as per normal process.

4.3 Arqiva CSP System Overview

The Arqiva implementation progresses the power outage AD1 messages and the power restore 8F35/8F36 messages via different channels.

4.3.1 Power Outage alerts from Communications Hubs

On a power outage, the Communications Hub closes down HAN communication and other auxiliary functions. Power to the CH is maintained via internal super capacitors. The behaviour of a CH on power outage is defined below:

- CH waits for 3 minutes to filter out momentary outages which may occur under normal operations.
- After 3 minutes, the CH will attempt to send 3 outage alerts in three different time windows. Within each time window, the actual time of alert transmission is randomised to minimise the risk of collision.
 - First alert is raised within 45 seconds
 - Second alert is generated in the span of next 4 minutes
 - Third alert is generated in the span of next 4 minutes
 - There is sufficient charge in the super capacitors to maintain power for the three alert transmissions

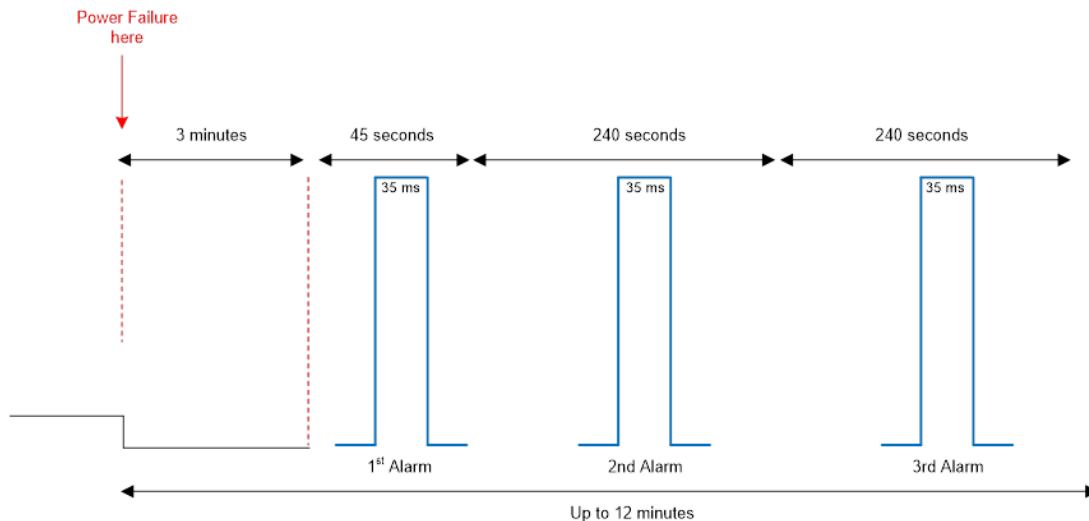


Figure 5 Outage Alert in normal mode

For power restore alerts from ESME devices, generated outage alerts are GBCS messages of type SME A.C. (Message Code: 0x0067). Once these alerts are generated and sent through the HAN Interface to the CH, the CH will buffer this alert until it is ready to send this over SMWAN.

The CH sends this critical message alert over the normal traffic channel by sending a Request to Send (RTS) to the Regional Network Interface (RNI) indicating a message is waiting to be collected.

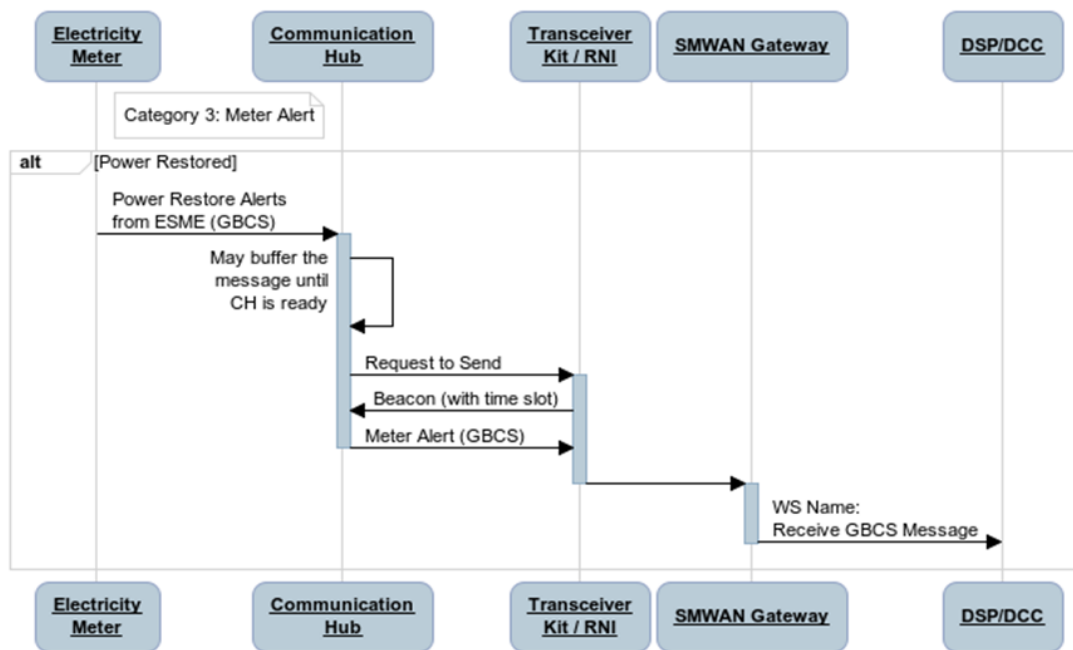


Figure 6 ESME Outage Alert Transmission

Following the RTS, CH receives the beacon (RTS Response) with a timeslot to send the alert data to RNI.

As these ESME alerts are GBCS messages transmitted as a poll/response mechanism, once the alert message is received by the CH, it will be transmitted to the RNI. The RNI will then submit these ESME alerts to SMWAN Gateway using the “*Receive GBCS Message*” web service.

The overall solution is currently achieving the agreed PM12.1 and PM12.2 performance measures as defined in the baselined Contract Schedules 2.1 and 2.2 (these measure the percentage of POAs successfully delivered – refer to Appendix B for details of the performance measures for the CSPs and the contract extracts). In the last twelve months, there have been three instances where Arqiva did not fully meet both these performance measures. This was due to extraordinary circumstances.

4.3.2 Unhappy Path Scenarios

The scenarios for unhappy paths are outlined below.

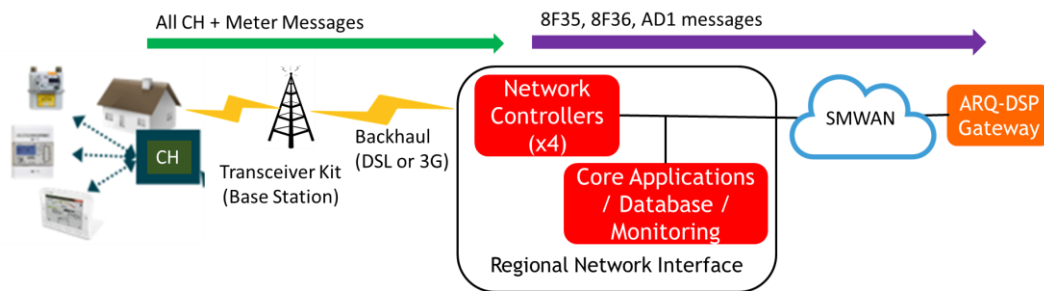


Figure 7 Arqiva CSP Message Flow

Comms Hub not available

The ESME generated 8F35 and 8F36 restoration alerts are transferred over the air from the meter to the CH. On power restoration the CH takes up to 3 minutes to reboot. If an ESME alert is transmitted before the CH has rebooted then the alert will be lost at this point in the process and will not be retried.

Transceiver Kit not available

The CH will attempt to send AD1 messages following the three alert windows described above. Any Transceiver Kits which are within range of the CH can pick up AD1 alerts from any CH. Although there is provision for coverage from multiple TKs being within reach of a CH, there may be situations where the message is not picked up by any TK (may be due to an existing fault at the TK site). This will result in a message getting lost as the CH sends AD1 messages under a fire and forget policy.

For 8F35 and 8F36 power restore alerts, the CH will retry sending of the RTS message (the retry window lasts for up to an hour) until allocated a timeslot via a beacon. If no beacon is received then the RTS is resent over increasing back off windows. At the end of the windows the alert is lost.

RNI not available

This scenario is where the transceiver kit is able to pick up the CH message but is unable to pass the message on to the regional network interface. The transceiver kit does have buffering capability and will therefore attempt resends of messages. Buffering on the TK is limited by the disk space available but depending on the rate at which messages are arriving at the TK, it is normally enough for a few hours of storage.

Although buffering at the TK is available the reintroduction of these messages is interleaved with live over the air messages once the connection to the RNI is re-established. This can lead to significantly delayed messages being passed through to the DSP, well outside of the deduplication window. Buffering at the TK is only intended to support momentary lapses in connectivity to the RNI rather than prolonged interruptions.

Duplicate AD1 messages

This is linked to the scenario where a message from the CH is picked up by multiple transceiver kits but there are issues with RNI connectivity. Part of a role of the RNI is to de-duplicate any messages that are picked up by multiple transceiver kits (this currently occurs over a 3 second window to cater for variances in backhaul delivery times between sites). In this scenario, one TK has an established RNI connection and is therefore able to pass the message on to the RNI. However, the other TK does not have RNI connectivity and it takes a long time to re-establish connectivity. Once connectivity is re-established, the delayed AD1 message is sent. However, due to the length of delay in the AD1 messages being sent to the RNI, it is unable to perform any de-duplication and therefore the same AD1 message is sent twice to the DSP. The DSP does not perform de-duplication in this instance as it assumes that the RNI would have already performed this activity.

DSP not available

If an AD1 message is forwarded onto the DSP gateway and the message transfer is unsuccessful, there will be a retry performed 5 and 10 seconds after the original attempt. If after these attempts the message is still unsent, the message is dropped.

4.3.3 Current Performance

Current performance on Arqiva systems is described below for a small and large scale outage scenarios. The performance is given at the single radio cell level and can be applied to multiple cells in parallel for larger outages. For larger multi-cell scenarios, the total throughput may exceed the 5,000 per minute alert throttle between the CSP and DSP at which point AD1s will begin to buffer at the Arqiva-DSP gateway.

The below examples have been chosen to illustrate a common small scale outage, typically seen thousands of times a year across the UK and a rare large event seen less frequently in a year.

Example Case One: 50 premise outage, single cell (DNO Scenario C)

For a smaller outage affecting 50 premises which all reside within a single cell of the Arqiva system, the performance for each alert type would typically be as follows:

- **AD1s**

AD1 alerts would begin transmission after 3 minutes of outage, following the three transmission windows. Where over the air collision occurs, some packets may be lost during each window. However, statistically it is unlikely that the same alerts would be lost over all three windows.

After 5 minutes (3 minutes to confirm the outage and 2 minutes of alert delivery) typically 95%⁸ of alerts will be delivered. The remaining alerts are expected to be retransmitted during the second and third windows. The bulk of alerts would arrive between 3-4 minutes, typically 94%. Between 4-5 minutes, 95% of the alerts would be received.

- **8F35 and 8F36 alerts**

On restoration of power to all premises the CH will begin rebooting which can take up to 3 minutes. 8F35 and 8F36 alerts will be passed from the ESME to the CH⁹ and held in its internal memory. Any CH with a GBCS alert held in memory will begin sending RTS messages immediately and beacons will be allocated as the RTS messages are processed by the RNI. As the CH takes up to three minutes to boot up, the alerts would typically take around 5 minutes to pass through the system back to the DSP.

Example Case 2: 30,000 premise outage, three cells (DNO Scenario F)

For a larger outage affecting 30,000 premises spread over multiple cells, performance for the two alert types would typically be as follows:

- **AD1s**

AD1 alerts begin transmission after the three minute hold off period to confirm the outage. Assuming that the 30,000 alerts are across a minimum of three Arqiva cells equally loaded with 10,000 premises in each, the initial 45 second alert window would experience higher levels

⁸ Not accounting for CHs operating in Buddy mode, typically 5% of the network. Buddy mode is where CH which are out of range of the network communicate via CHs that are connected to the network (the buddy). For a standalone site with no overlapping coverage from neighbouring sites and connected to the RNI with DSL backhaul.

⁹ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers. Single traffic channel per cell.

of message collision. Delivery of alerts will improve over the two 240 second windows.

After 5 minutes total since the outage, typically it would be expected that 52%¹⁰ of alerts would be delivered. At the end of the three windows (705 seconds after the power is lost) typically around 93% of devices alerts will have delivered.

At this volume, the 5000 per minute throttle to the DSP would be breached and alerts would begin buffering before sending. The above delivery percentages refer to the percentage delivered to the gateway, at which point they would be sent at 5000/minute. Note that the messages sent through the Arqiva gateway to DSP will include duplicate AD1 alerts. After deduplication by the DSP, the number of alerts sent to the DNOs would be less than 5,000/minute.

- 8F35 and 8F36 alerts

ESME alerts will again be passed up to the CH¹¹ and the CH will generate RTS messages. Initially the high contention for the RTS channel will result in message collisions and not all RTS messages will be received successfully. The RTS back off routine will result in reduced contention between devices and improve delivery to the TK, at which point UL messaging timeslots will be allocated via a beacon for the transmission of the GBCS alerts.¹²

4.4 DSP System Overview

The DSP receives PRAs and POAs via separate channels. PRAs are received as GBCS alerts and are processed with all other GBCS traffic. Power outages are received via a dedicated power outage alert URL as HTTP messages. There are separate CSP gateways for Telefónica and Arqiva and there are two gateways for each CSP to allow for load balancing. This is illustrated in Figure 8, below.

¹⁰ Assumptions: Three cells providing coverage to 10,000 premises each, split over two alert channels in each cell. Each cell contains 500 (5%) out of range (ORD) devices connected through buddy mode which are lost. The Three sites have DSL backhaul. Macro diversity of 2 providing some additional coverage from neighbouring sites into the outage area.

¹¹ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers.

¹² Assuming successful beacon allocation the maximum GBCS alert delivery rate is 2 messages per second per channel per cell. In this case up to 8 alerts per second in each cell will be delivered taking approximately 45 minutes to fully deliver all 8F35 and 8F36s.

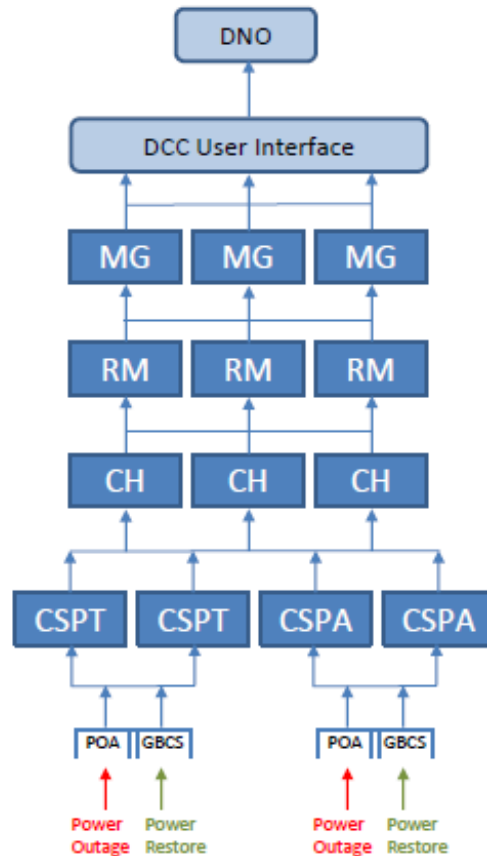


Figure 8 DSP System View

Component	Description
CSPT	Telefónica CSP message gateway
CSPA	Arqiva CSP message gateway
CH	Communications Handler. Manages the queue for message processing
RM	Request Manager. Handles business logic, validation, post-processing requirements
MG	Message Gateway. Responsible for delivering messages to Users
DCC User Interface	DCC Interface. Demarcation point between DSP and DCC

Table 10 DSP Components

In the case of POAs received from Arqiva, there is logic in place to perform de-duplication to remove the duplicate POAs that arise from each CH attempting to send an outage message three times. The DSP records the outage time from the first POA from a CH and rejects subsequent POAs from the same CH containing the same outage time. The length of time for which the DSP ‘remembers’ an outage is configurable and currently set to 2 hours.

Messages received from the gateways are processed northbound via shared DSP motorway lanes. There is no data manipulation of PRAs but POAs are converted into a number of DCC Alert AD1 alerts, depending on the SMETS2 Installation. DCC Alert AD1s are sent to the following recipients (if present):

- EIS - Electricity Import Supplier
- GIS - Gas Import Supplier
- ENO - Electricity Network Operator
- GNO - Gas Network Operator

A typical dual fuel SMETS2 Installation will give rise to 4 DCC Alert AD1s (AD1s being sent to the EIS, GIS, ENO and GNO). Similarly, DCC Alert AD1s for a typical single fuel site will give rise to 2 AD1s (one to the Supplier and one to the Network Operator). DCC Alert AD1s are sent for each ESME and GSME on the HAN, therefore, SMETS2 Installations with multiple ESMEs according to the Smart Metering Inventory in DSP will give rise to a DCC Alert AD1 to the registered EIS and ENO for each ESME associated with the HAN. The inventory may reflect actual installed ESMEs, but in some cases there are misleading entries in the inventory due to failed meter installations for which the supplier has not sent a Decommission Device Service Request.

POAs and PRAs are forwarded to the DCC User Interface for delivery to DCC Users over the DCC User Gateway. Should a DNO use a DCC service provider to connect to the DCC, there will be additional transmission required of the POA/PRA between the DCC Service provider and the DNO.

CGI currently has no contractual SLA specific to POAs or PRAs, but does have a general 'DSP Alert Response Time' target of 4 seconds for processing of all Alerts. Analysis of POAs and PRAs processed for the period 9th to 15th June 2020 inclusive demonstrated that CGI met this SLA for 99.99% of the POAs and PRAs processed, processing AD1s in an average of 0.288 seconds and 8F35s/8F36s in an average of 0.025 seconds (refer to section 12.3 DSP PRA/POA Processing Time for further details). The maximum volumes of POAs and PRAs that the DSP systems can handle, based on current performance testing and capacity planning, is 12,000 POAs/minute and 96,000 PRAs/minute under normal operating conditions, noting however that this capacity is shared between the 2 CSPs. This is sufficient to cope with 4 of the 8 DNO scenarios (refer to Table 20: Current and enhanced DSP capability to meet DNO scenarios).

4.4.1 Unhappy Path Scenarios

The scenarios for unhappy paths are outlined below.

DCC User Interface Not Available

The DSP will forward on messages via the DCC User Interface for the DNOs to consume. In the event there is no response, the DSP will perform three retries every 60 seconds and thereafter will attempt a retry every 2 hours up until 48 hours. Beyond that, if the message still cannot get through, the message will be dropped.

DSP Gateway Not Available

In the event that the DSP cannot receive messages from the CSP due to unavailability of DSP systems, there will be several attempts made by the CSP during a short period but if still unsuccessful, these messages will be lost. This can occur during planned or unplanned DSP outages. The DSP has planned outages for maintenance that typically last 4 hours. Note that there was previously a DCC Change Request (CR1090) which aimed to address loss of messages during planned DSP outages but this Change Request was withdrawn.

Message volumes exceeding DSP thresholds

The DSP systems have load balancing servers which monitor the volume of messages received from the CSPs. If the volume of messages from a CSP exceeds a configured threshold, the CSP will receive an error response. This may trigger the CSP to retry sending the message but, if a similar response is received from the DSP systems, it will eventually lead to messages being dropped.

Arqiva POA duplicates delivered more than 2 hours after the outage

As described above, the DSP currently applies business logic to de-duplicate multiple POAs arising from the three attempts each Arqiva CH makes to send a POA. The buffer used by the DSP for 'remembering' previously processed POAs is currently set to 2 hours. If a duplicate POA arrives more than 2 hours after receipt of its first sibling, it will not be recognised as a duplicate by the DSP and will be forwarded to its recipients as an AD1.

5 To Be Solution Options

Based on the DNO requirements, it is noted that there are enhancement opportunities identified by Telefónica, CGI and Arqiva to improve how and when power outage alerts (POAs) and Power Restorations Alerts (PRAs) are received by them. Telefónica and Arqiva have identified potential enhancements which could be introduced to improve the speed of POA/PRAs and overall volume of alerts being sent to DNOs. CGI has identified 6 options for improving the volume of POAs/PRAs the DSP can handle and a further 2 enhancements aimed at addressing other DNO requirements.

In summary:

- **POA.1 and POA.3 (delivery of all AD1 messages)**
 - The current capacity for DSP capability based on normal operating conditions can cater for 12,000 TPM shared across both CSP's - whereas the comparable TPM for Arqiva and Telefónica performance is deemed to be at 5,000 presently. There are available enhancements by Arqiva and Telefónica to uplift this to 35,000 and 30,000 through Option 4 and Option 3 respectively, which if selected would need to drive a corresponding DSP option being selected to increase capacity
 - Even through the introduction of the denoted Arqiva enhancements, the uplifted TPM volume is not expected to improve throughput significantly. It is only during outage scenarios where there are large volumes of alerts that occur around the same time that the current throttling is the limiting factor in alert delivery time. Enhancement through Arqiva Option 4 would only be visible to the DNOs during outages >20,000 premises. At outages of >20,000 premises, the DNOs would receive 20-30% of POAs.
- **POA.2 (delivery of AD1s < 5 minutes)**
 - Based on the assessment of this requirement, there is only one available solution enhancement to meet the average time for a POA to traverse from the Device to the DNO's within the designated five minute window (Telefónica option 3, Network Evolution CH with super capacitor). However, this will only be applicable to premises that use the new CH. Premises with 2G/3G CHs will not encounter quicker delivery of AD1s. The other Telefónica options and Arqiva (Option 2a) will reduce the current average durations. The reductions in durations vary based on the solution option and the volumes of outages. Further details are provided under each solution option. Based on historical analysis of DSP Production performance, the time taken for the DSP to process POAs and PRAs is 0.288 and 0.025 seconds, respectively. Given that these processing times are less than 0.05% of DNO target times for delivery of POAs

and PRAs, there is little benefit attempting to reduce DSP processing times.

- **POA.4 (POAs are trustworthy)**

- This requirement is to eliminate false positives or negatives with POAs. For Telefónica, options 1 and 2, a reduction in message loss (specifically CH power restore alerts), will reduce the chances of AD1s being sent for outages under 3 minutes. Option 3 (Network Evolution CH with super capacitor) will allow the CH to directly work out when an AD1 message should be sent thereby making improvements overall in this area.
- Arqiva duplicates can cause duplicate POAs to DNOs and there is a solution proposed to address this (backhaul resilience option).
- There are indications based on the data supplied by the DSP for June 2020, that there is misalignment in the volumes of POAs and PRAs that are being sent to the DNOs (refer to “Appendix A – Power Alert Data Analysis” for further details). This is currently being analysed to determine the root cause and could result in incidents being raised to address these issues.
- There is an issue that ESME firmware updates cause POAs which do not represent actual outages. This is not controlled by the service providers and no options are proposed to address this particular issue.
- DNOs will not receive POAs for gas-only SMETS2 HANs (or any SMETS1 HANs, though that is a known limitation). There are no solution options available to address this issue but is raised for awareness.

- **POA.5 (AD1s must be consistent)**

- This requirement indicates that AD1s should be consistent across meter types, CH types and CSPs. The service providers do not have control over the meters and therefore there are no solutions proposed to address this. From a CH perspective, Telefónica uses two vendors and the functionality is consistent. In addition, mesh and non-mesh CH types are consistent from a POA/PRA perspective so there is no solution required to address this.
- From a CSP perspective, there are differences such as the duration of time to deliver POAs and the throughput. The solution options offered do offer improvements in the delivery times and improved throughput rates which will improve consistency between CSPs. However, due to the different implementations of the CSP systems, it will not be completely consistent.

- **PRA.1 (delivery of all PRAs)**

- The assessment has identified that the current TPM in place is 96,000 by the DSP and 200,000 by Telefónica – with Arqiva presently limited to the max alert rate of circa 35,000 TPM.

Arqiva are proposing two options to streamline this, DSP are proposing four options to increase the limit to 192,000 TPM and Telefónica do not believe any improvements are needed to meet this requirement

- **PRA.2 (PRAs delivered < 1 min)**
 - For the DSP, the current solution meets the 1 minute requirement. For Telefónica, PRAs can be delivered under 1 minute provided the CH is connected to the network. If the CH needs to reboot due to lack of power, it will take longer than 1 minute after power is restored, taking into account the CH reboot time and the time required for the CH to reattach to the network (the total time can range from 1.5-3.5 minutes). For Arqiva, the throughput of PRAs is limited to 2 per second per channel per cell. For a maximum loaded cell the average time for delivery of 50% of 8F35 and 8F36 alerts would be 24 mins. None of the proposed Arqiva solutions will reduce the time for a maximum sized outage to less than 1 minute, due to the time required for the CH to reboot after power is restored (this takes up to 3 minutes) – and DSP and Telefónica are not proposing any solution enhancements.
- **PRA.3 (PRAs are consistent)**
 - As per POA.5 (POAs are consistent), there are solutions to improve delivery times and throughput of PRAs which will improve consistency between CSPs. However, due to the different implementations of the CSP systems, it will not be completely consistent.
 - Note that if the Arqiva Option 1 is introduced, this requirement would be breached and consistency between CSPs will be reduced.
- **PRA.4 (PRAs are trustworthy)**
 - CGI has proposed three enhancements aimed at improving the trustworthiness of PRAs. Two aim to improve the quality of PRAs by prioritising delivery of POAs/PRAs that provide the most useful information to DNOs. The third aims to remove large volumes of spurious PRAs generated by a small number of defective ESMEs.
- **GEN.1 (No loss of PRAs/POAs during planned outages)**
 - Telefónica has proposed two options to address the issues of lost POAs during planned outages. There are no solution options from Telefónica for PRAs lost during planned DSP outages as this is via a different interface.
 - CGI has proposed an enhancement for introducing buffering of messages during planned outages.
 - Arqiva has proposed an enhancement for introducing buffering of messages in the CSP-DSP interface.

- **GEN.2 (No loss of PRAs/POAs during unplanned outages)**
 - Telefónica has proposed two options to address the issues of lost POAs during unplanned outages. There are no solution options from Telefónica for PRAs lost during unplanned DSP outages as this is via a different interface.
 - Arqiva's enhancement for introducing buffering of messages in the CSP-DSP interface covers unplanned outages of the DSP as well.

Details of the options proposed by the service providers are described below. These options take into account the core DNO requirements and the various outage scenarios that need to be addressed. These are listed below with the assumed maximum simultaneous alert volumes that are expected to incur.

Scenario	Description	Assumed Maximum Simultaneous Outage Volumes	Assumed Maximum Simultaneous Restore Volumes
C	Overhead power line comes down. 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms	50	50
A/B	Single 5000 property outage (A - TEF region / B - ARQ region)	5,000	5,000
D	Substation damaged - 5,000 lose power simultaneously	5,000	5,000
G	Storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes	5,000	7,500 ¹³
H	Storm travelling South to North from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of high voltage / low voltage in other cities, towns and villages	20,000	20,000
E	20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare)	20,006	20,006

¹³ assumes restores are split: 50% < 3 mins (8F35 only), 50% > 3 mins (8F35/36) i.e. 5000 x 8F35s / 2500 x 8F36s

Scenario	Description	Assumed Maximum Simultaneous Outage Volumes	Assumed Maximum Simultaneous Restore Volumes
F	An event knocks down a high voltage cable in a major city (as per DNO discussions assumed to be scenario for outage of 30,000 properties)	30,000	30,000
I	Transmission Line Failures	200,000	50,000

Table 11 DNO Scenarios with Volumes

The following additional assumptions are as follows:

- In each outage scenario, there will be a mixture of SMETS1 and SMETS2 type meters. Based on current volumes the breakdown of SMETS1 to SMETS2 meters is 33% SMETS1 and 67% SMETS2. However, for the purposes of analysis done in this paper, the volumes of these are assumed to be 10% SMETS1 meters and 90% SMETS2 meters to allow for concentrations of SMETS2 installations. Given that the bulk of SMETS1 meters do not provide power alerts, we can expect 90% of the above volumes to result in power alerts. This is more prevalent in the larger outage scenarios.
- The simultaneous outage volumes refer to volumes of alerts that the service providers would expect to receive in the space of a single minute.

5.1 Service Provider Options

5.1.1 Telefónica CSP Implementation Option Descriptions

Overview of Options

The options provided by Telefónica are focused on improvements to the power outage messages (AD1). In terms of the meter PRAs (8F35 and 8F36 messages), the current functionality provided by Telefónica is able to transmit these messages very quickly and reliably to the DSP, under the scenarios where the CH is attached to the network, so there is not a need to make changes to this particular message flow. There will still be a potential issue in situations where the DSP is unavailable (e.g. due to planned maintenance) as in those circumstances the messages will not get transmitted. Due to the nature of the way the interface for transmitting GBCS messages to the DSP is implemented, there is no capability in place for undertaking resending of messages over a long period for situations where the message transmission failed. There are three retries which stretch out over a period of five minutes overall. The DSP has a solution option suggested for capturing alerts from Telefónica during planned outages for future processing but this covers only the interface for dealing with POAs and does not cover the PRA interface. Similarly, Telefónica has options for storing POAs for future processing in

situations where there are planned or unplanned outages at the DSP but it does not cover PRAs.

For POAs, the main focus areas are to ensure greater throughput of POAs sent to the DSP under large outage scenarios, reduction of time taken to process POAs as it traverses through the Telefónica systems onto the DSP and also to improve the reliability/trustworthiness of the POAs. Addressing reliability/trustworthiness of POAs would be done by minimising any message losses that may occur as the alerts traverse through the various systems. Although based on previous analysis on Telefónica systems there was no evidence found that any POAs were lost, the data supplied by the DSP for June 2020 indicates there are some discrepancies in the POA and PRA data (see “Appendix A – Power Alert Data Analysis”). Some analysis has been done on this data and is covered in this paper but further work is required to clearly identify root causes of these discrepancies. The discrepancies could potentially be due to a number of factors such as issues with specific ESMEs or CHs installed, firmware updates on the CHs or ESMEs resulting in spurious POAs/PRAs or some other issues. Issues with specific ESMEs or CHs cannot be resolved by enhancement options but the enhancements can address other issues such as ensuring that even under some small volume scenarios, no POAs and PRAs are lost during processing.

There are 4 options provided in this paper:

1. Improvements in existing systems for POA/PRA processing
2. Cloud based micro service for POA/PRA processing
3. Introduction of a Network Evolution CH with super capacitor
4. CH firmware updates and network infrastructure enhancements

Based on the above options, option 4 was investigated and is not deemed to be a viable option to take forward due to large investments in 2G/3G network infrastructure which is considered legacy infrastructure. It has been included for completeness as it has been discussed with DCC and DNOs in the past.

For the remaining 3 options, the below table is provided to help with understanding what the different options will achieve.

Option	Increases throughput of POAs for new power outages to DNOs	Increases throughput of PRAs to DNOs following a power outage	Reduces processing time of POAs	POAs delivered in under 5 minutes	Improves the quality of POAs/PRAs received by DNOs	Improved scalability for future volume growth	Avoids lost POAs during planned/unplanned maintenance
1 – Existing IT System Enhancements	✓		✓		✓		✓
2 – Cloud Based Micro service	✓		✓		✓	✓	✓

Option	Increases throughput of POAs for new power outages to DNOs	Increases throughput of PRAs to DNOs following a power outage	Reduces processing time of POAs	POAs delivered in under 5 minutes	Improves the quality of POAs/PRAs received by DNOs	Improved scalability for future volume growth	Avoids lost POAs during planned/unplanned maintenance
3 - Network Evolution Comms Hub with Super capacitor	✓		✓	✓	✓	✓	✓

Table 12 Telefónica Options Feature Benefits

Some of the above would have varying degrees of the improvements that these options will deliver. These will be described further in the sections below.

TEF.1 – Existing IT System Enhancements for POA/PRA processing

This option is looking to optimise the functionality currently provided by the existing systems to reduce the time it takes overall to process AD1 messages and to reduce the occurrences of messages being dropped while traversing through the various systems. The option covers a number of changes amalgamated together which on their own would have addressed certain DNO requirements but not most of them. Therefore, the changes have been combined to improve coverage of addressing the DNO requirements. This solution option includes a buffering capability to minimise message losses during planned and unplanned outages of systems. The message buffering capability involves using the Kafka messaging system to transfer data between systems.

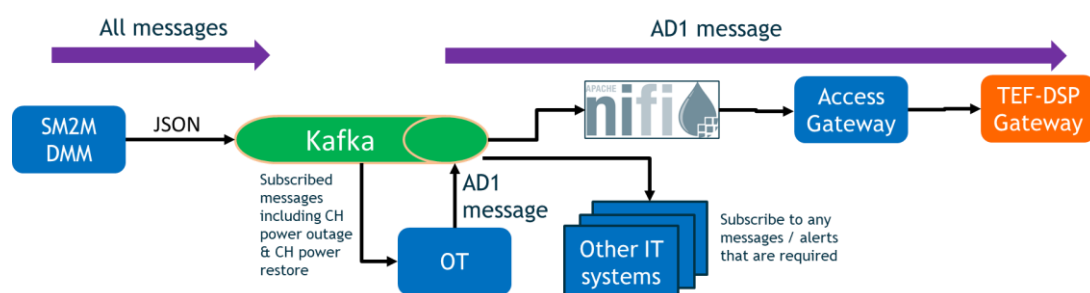


Figure 9 Telefónica Option 1 Overview

In the current functionality, the interface between SM2M DMM and OT is a UDP type interface which can potentially result in message loss as it does not provide guaranteed delivery capabilities. Using Kafka will allow messages from SM2M DMM to be published on to the Kafka topic and allow systems to subscribe to that topic and pick up the message types they need. This implementation also offers performance benefits to OT since it reduces the volume of messages it has to deal with. Currently, it receives all messages from SM2M DMM when in many cases, it just forwards these messages onto

downstream systems. With this implementation OT only picks up the messages it needs for processing, including CH power outage and CH power restore alerts.

Once OT determines if a CH power outage alert has lasted longer than 3 minutes, it will publish the AD1 message back onto Kafka. There will be another component, Nifi, which will consume the AD1 message from Kafka and send the message onto the DSP. By making use of this capability for sending AD1s, messages can be retained for later processing in the event that the message send failed (e.g. due to a planned outage at the DSP).

Based on the above implementation, we can analyse the performance against 2 outage scenarios:

- Scenario A – Single 5000 property outage in a Telefónica region
 - **AD1 Messages**
 - There will be 5,000 CH power outage messages sent over 15 seconds
 - These outage messages will be passed onto the SM2M system and then OT via Kafka within a few seconds
 - OT will hold onto these messages and wait 5 to 7 minutes for associated power restore messages. This is based on the reattach algorithm used for CH reattaching to the network after a CH reboot. The time OT will wait for the corresponding CH power restore alert will be linked to the GUID of the CH.
 - If power is restored within 3 minutes, CH Power Restore Alerts will arrive at OT within 7 minutes and OT cancels the outage
 - If power is restored after a period of 3 minutes, OT will not receive the CH PRAs within 5 to 7 minutes. Therefore, OT marks the outage as confirmed and publishes the AD1 to the Kafka queue. This will be picked up by Nifi and sent to the DSP within a few seconds
 - The length of time OT waits is dependent on the GUID of the CH and therefore some AD1s are sent just after the 5 minute mark and for some it has to wait 7 minutes
 - If the Nifi system attempts to send the message to the DSP and the message send fails due to DSP unplanned or planned outages, the message will be held in the Kafka queue in order for Nifi to try and send again at a later time once the DSP is available
 - **8F35/8F36 Messages**
 - When power is restored to the meter, if the CH has not shutdown, the 8F35 message will be transmitted to the DSP within 1 minute
 - If the CH has shutdown, the CH will need to restart and reattach to the network and will then transmit the 8F35/8F36 message to the DSP within 1 minute. The time required for the CH to restart and reattach can be up

to 3.5 minutes (1.5 minutes to reboot and up to 2 minutes using the network reattach algorithm). Also, there would be some time required for the ESME to restart and connect to the CH after the power is restored in order to transmit the 8F35/8F36 message

- Scenario E – 20,000 homes lose power and within 30 seconds a smaller power event occurs in a different part of the same SP region
 - **AD1 Messages**
 - There will be 20,000 CH power outage messages sent over 15 seconds
 - These outage messages will be passed onto the SM2M system and then OT via Kafka within 2 minutes
 - 30 seconds later, power is then lost to the 6 homes and the power outage messages related to these 6 homes will be queued behind the 20,000 outage alerts
 - OT will hold onto these messages and wait 5 to 7 minutes for associated power restore messages. As described above, the time OT waits for the corresponding CH PRA is based on the network reattach algorithm and is dependent on the CH GUID
 - If power is restored to all 20,006 premises within 3 minutes, CH Power Restore Alerts will arrive at OT within 7 minutes and OT cancels the outage
 - If power is restored to all 20,006 premises after a period of 3 minutes, OT will not receive the CH PRAs within 5 to 7 minutes. Therefore, OT marks the outage as confirmed and publishes the AD1 to the Kafka queue. This will be picked up by Nifi and sent to the DSP within 2 minutes. This is based on the Access Gateway system processing up to 15,000 transactions per minute
 - The length of time OT waits is dependent on the GUID of the CH and therefore some AD1s are sent just after the 5 minute mark and for some it has to wait 7 minutes
 - Given that the power outage for the 6 premises occurred 30 seconds after the outage of the 20,000 premises, it does mean that a large portion of the AD1 messages related to the 20,000 premises will be processed first. However, this does not mean that the AD1 messages related to the 6 premises will only be sent after the AD1 messages for the 20,000 premises are cleared first. The AD1 messages related to the 6 premises will be intermixed with the messages related to the 20,000 premises as all the messages sent will be staggered based on the GUID of the CHs.
 - The last of these AD1s will delivered to the DSP in 8 minutes
 - **8F35/8F36 Messages**

- This will perform in the same way as the 8F35/8F36 messages under scenario A

In summary, key benefits of this option are as follows:

Key Benefits	Improvement Made?	Additional Information
Increases throughput of POAs for new power outages to DNOs. Requires alignment with DSP to adjust throttling	✓	From 5000 TPM to 15000 TPM
Increases throughput of PRAs to DNOs following a power outage		No change
Reduces processing time of POAs	✓	Reduced by 1 minute
POAs delivered in under 5 minutes		Only achievable with the Next Gen CH solution option
Improves the quality of POAs/PRAs received by DNOs	✓	Reduced chance of message loss resulting in increased reliability of POAs/PRAs
Improved scalability for future volume growth		Will require standard hardware investment or software updates to improve capacity
Avoids lost POAs during planned/unplanned maintenance	✓	Message buffering in place

Table 13 Telefónica Option 1 Benefits

TEF.2 – Cloud Based Micro service

This option is a module to process power alerts and determine if a power outage received constitutes a confirmed power outage over 3 minutes in duration and then sends out an AD1 message to the DSP. Power alert messages will be diverted from the existing system process which goes via the SM2M DMM and OT systems to the micro service. The benefit of this option is a greater ability to handle a larger volume of messages than the current systems can process and to reduce the chances of messages being lost while the messages flow through multiple systems. Given the fact that the micro service is cloud based making use of the Platform as a Service (PaaS) capability, it is also going to be implemented in a high availability configuration which means that the service will be able process power alerts during maintenance windows as well. There will also be a capability in place to buffer AD1 messages in case the DSP is unavailable due to planned or unplanned outages.

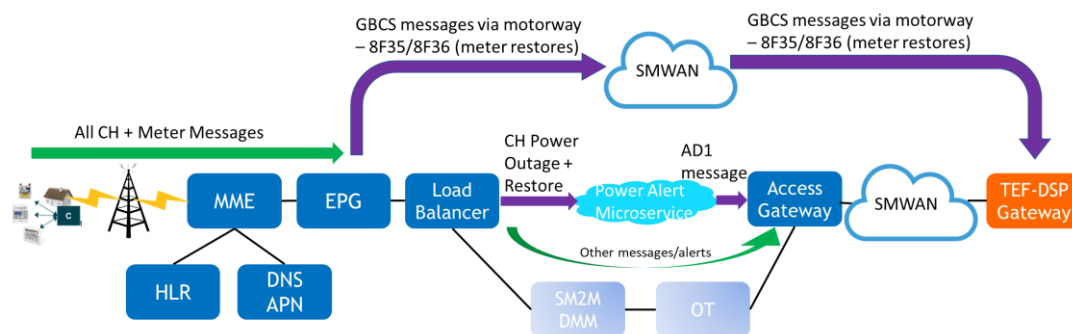


Figure 10 Telefónica Option 2 Overview

In terms of connectivity of the micro service with the DSP, several options were considered such as integration with the DSP on the cloud using a data streaming method to transfer data. Based on discussions with the DSP which included designers and security teams, it was determined that it was best for now to implement a solution which did not impact the current CSP-DSP interface and therefore this option will make use of the current API functionality that the DSP exposes to consume AD1 messages. Some of the reasons behind this decision was that cloud based traffic would have to be diverted into the DCC gamma network, whose security levels were not as high as the SMWAN network which would have meant making a large number of changes to the gamma network capability as well the fact that the DCC was looking into cloud based capabilities at a later point in time as part of the network evolution programme. This implementation would be a step in the right direction towards that evolution and in the future alternative integration options with the DSP can then be employed.

In summary, key benefits of this option are:

- Reduction in the E2E journey time of POAs by 1 minute (from 8 minutes to 7 minutes). This means the range of times AD1 messages will be sent will be 5 to 7 minutes for small to medium sized outages up to around 5,000 premises experiencing outages at the same time. A view of AD1 message times based on outage scenarios is shown in “Table 16 Telefónica Options against DNO Scenarios”
- Greater throughput of POAs, going from 5,000 TPM to 15,000 TPM (reduction in queuing during large outages). This requires agreement with the DSP to reduce the throttling of messages at the gateway in order to achieve greater performance
- Ability to retain POAs for later processing during planned and unplanned outages on the DSP
- High availability solution in the cloud which minimises any outages for maintenance, resulting in minimising any messages lost
- Ease of future scaling of the capability as volumes increase
- Progression towards more cloud based micro services being implemented in the future

TEF.3 – Network Evolution Comms Hub with Super capacitor

This option is to introduce a next generation CH which not only has 4G capability but will also have a capability of remaining powered on without mains power for longer than three minutes. In that instance, the CH itself can establish if a power outage lasts longer than three minutes and therefore can transmit the AD1 message directly via a similar route to the 8F35 and 8F36 messages. This will then allow for the message to be transmitted to the DSP in under a minute (provided there is no DSP outage) and can be transmitted reliably.

DCC is looking to make use of 4G CHs as part of the network evolution programme and costs for the 4G CHs have been provided by Telefónica separately. It should be noted though that any CH costs provided previously

do not include the enhanced capability to remain powered on for longer than three minutes in case of a power outage. Also, this solution will only be valid for new CHs and will not improve the message transfer rate of existing 2G/3G CHs. By the time a decision is made on new CHs and when these hubs start rolling out (earliest time is estimated to be 2022), there may already be around five million 2G/3G CHs deployed within the south and central regions. DCC may want to consider solutions such as using these new CHs as proxies for the older CHs whereby if a new 4G CH indicates a confirmed power outage and it is in the same area as an existing 2G/3G CH, then it can be deduced that the older CHs are also out of power. The AD1 messages for the older CHs will eventually arrive to the DNOs but the AD1s from the 4G CHs will arrive sooner. This type of proxy solution will only become viable once there are a sufficient volumes of new CHs deployed in the majority of areas across the country.

TEF.4 – Firmware and Network Infrastructure Updates

The aim of this option is to reduce the time it takes end to end for power alert messages to traverse through the CSP systems. Note that this option has been discussed in previous iterations of POA/PRA analysis but has been analysed again based on current developments. It is not deemed to be a viable option (the reasons for this are described below) but has been included for completeness.

In the current implementation, a significant amount of time is taken by the OT system to wait for power restore messages that may come through as a result of a CH having to shut down, reboot once power is restored, reattach to the network and transmit the power restore message. The time taken for a CH once power is restored after it shuts down is as follows:

Comms Hub boot time	up to 90 secs
Re-connection algorithm to control the load of a high number of devices simultaneously connecting to the radio network	up to 2 mins with option to expand to 10 mins

These times above are over and above the 3 minutes the OT system needs to wait in order to determine whether a power outage constitutes a power outage longer than 3 minutes. This option is looking to reduce the above times so that the AD1 messages can get passed on to the DNOs quicker.

As explained in section “4.2 Telefónica CSP System Overview”, the Network elements involved in the delivery of the SMIP service need to be protected in scenarios where large volumes of alerts can be generated. Due to the nature of SMIP where there are millions of devices interfacing with the TEF Network great care needs to be taken with defining Communication Hub behaviour. Designs need to avoid scenarios where alert storms are generated or simultaneous mass attaches to the Telefónica network can occur. This risks deteriorating or even disabling the Telefónica network, impacting both mobile customers as well as the delivery of the end to end smart metering service.

From discussions with DNO stakeholders in conjunction with DCC, the assumption is that the service provider systems should cope with levels of national grid transmission failures which are outlined as follows:

Type of Incident	Customer Number affected (Typical)	Frequency of incident	Comments
National Grid Transmission System	1-200,000 customers	Average 9 per annum (3 to 16 typically per annum)	On average these incidents last 60mins but are very dependent on the circumstances. The average of 9 incidents per annum includes incidents affecting small numbers of very large industrial/commercial customers (i.e. some of these incidents do not affect domestic customers). On occasions the transmission system will experience very short interruptions (lasting a few seconds) which would result in simultaneous restorations of 1-200,000 customers. Such events are likely to be too short to be detected by the communications hub.

Table 14 National Grid Transmission Failure Overview

Based on the above, the maximum outage size that will be considered in analysis work is one affecting 200,000 households/communication hubs, as it is an event that is expected to occur several times a year. Larger outages are expected to occur less than yearly. Considered against the SMETS1 meter roll out which is close to 7 million and the potential SMETS2 numbers, Telefónica assumes that full roll out means that eventually no more than 66% of households will be on SMETS2. So the above single outage size will result in 132,000 outage alerts for the CSPs. Alerts will arrive randomised over 15 seconds so result in a rate of 8800 transactions per second. Larger but very rare outages will be impacted by longer processing times and when these occur may result in outage alerts being dropped.

Based on information in “Appendix C – National Grid letter to Telefónica – Black Start Worst Case Scenario”, Telefónica assumes that the most extreme scenario for power restoration is one from a nationwide black start. The letter advises Telefónica that the rate that households will have power restored at is a maximum of 350,000 homes in any 5 minutes. Considering the spread between SMETS1 and SMETS2 at 66% this works out at 231,000 households with a SMETS2 meter. So a maximum of 231,000 communication hubs will be reattaching to the Telefónica network in any 5 minute period. **If this power restore rate is exceeded then this will put the Telefónica network at risk.**

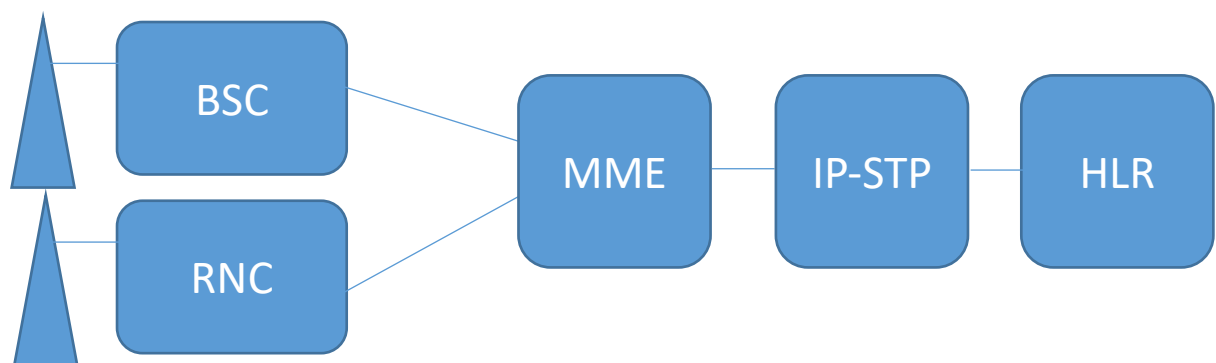
In terms of requirements to reduce the overall duration of the network re-attach timer, also referred to as dither, from the current period of two minutes, many network components need to be considered.

The background to this timer is that the Telefónica service was initially setup with a 10 minute re-attach timer based on forecasts. At the request of the DCC, this was subsequently agreed to be reduced during early roll out to 2 minutes with the proviso that it could be increased again as communication hubs roll out increased. Thus far there has not been a need to do so but the 2 minutes cannot be considered to be permanent, it will be reviewed as use of the network by smart meters increases. The network re-attach timer is there to protect the Telefónica network when the grid recovers from a large power outage. In that scenario many CH will need to re-attach to the Telefónica network when their power is restored. The rate this is done at is controlled by the grid operators. In order to throttle the CH attach rate the timer helps spread the attaches to the Telefónica network. The network optimisation option explores what changes are needed to reduce the network re-attach timer from the current 120 seconds to 70 seconds for each element in the control plane. This means increasing the maximum attach rate of 2310 to 3850 transactions per second.

5.1.1.1 Radio Access Network

The RAN covers all equipment from radio masts to the packet core. The RAN is used for both mobile subscribers as well as smart metering. The network elements involved are the following:

Attach Control Plane



Activate Control Plane and DNS

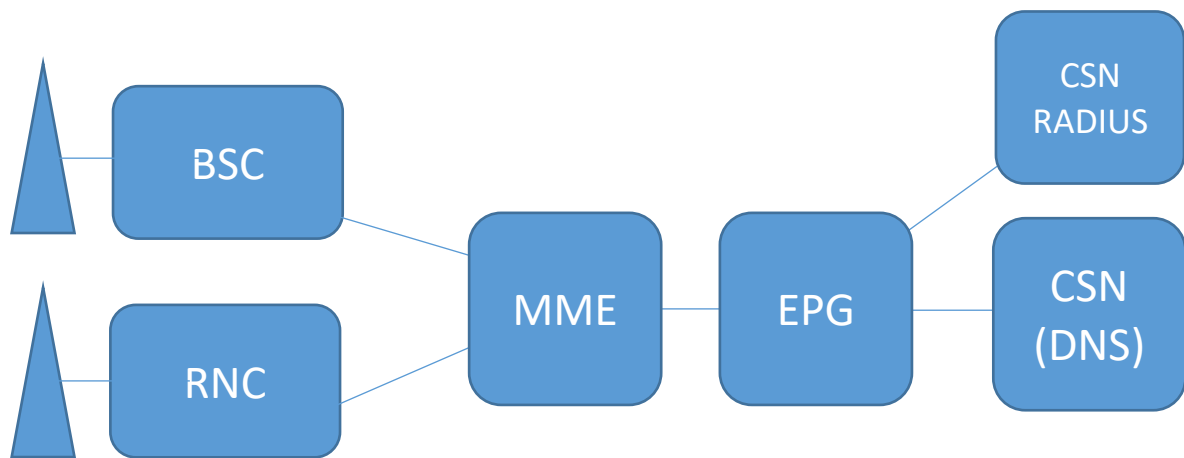


Figure 11 Radio Access Network

Component	Description	Function
BSC	Base Station Controller	Radio resource management
RNC	Radio Network Controller	Radio resource management
MME	Mobility Management Entity	Also known as Serving GPRS Support Node (SGSN). Represents the control plane and provides devices with access
IP-STP	IP Signalling Transfer Point	Network aggregation point
HLR	Home Location Register	Holds subscriber information and is needed for attaching to the network.
EPG	Evolved Packet Gateway	Main node in Evolved Packet Core
CSN	Telefónica Network	Secure Section of Network

Figure 12 RAN Component Overview

The communication hubs are connected via masts to either a BSC or an RNC depending on the traffic type (2G/3G). The *Base Station Controller* (BSC) is in control of and supervises a number of Base Transceiver Stations (BTS). The BSC is responsible for the allocation of radio resources to a mobile call and for the handovers that are made between base stations under his control.

A *Radio Network Controller* (RNC) is a governing element in the UMTS radio access network (UTRAN) and is responsible for controlling the Nodes that are connected to it. The RNC carries out radio resource management, some mobility management functions and encrypts data before it is sent.

Due to the geographic nature of power outages it means that a medium sized power outage may actually put significant load on local infrastructure of the RAN. However, the main concern is the impact of the attach rate that a black start power restore can generate and how this impacts the RNC. The vast

majority of nodes will be connected via RNC as the percentage of communication hubs connected to 2G is very small.

The RNCs have a fixed registration capacity and exceeding it will mean requests are backed off and retried. In a signalling storm this will see requests backed off multiple times. In order to support the 3850 transactions per second attach rate that the network attach timer reduction dictates a doubling of the number of RNCs is required at significant cost. There are many RNC sites in use that would all need to be upgraded. Even if the RNC improvements were to be put in place we would then be likely to hit processing limitations of the base stations at the higher attach rates of recovery of an extreme power outage. This would result in alerts either getting lost or ending up being backed off and retried, delaying their delivery. Improvements in the RAN here would also be extensive and costly to implement.

5.1.1.1.2 MME

The MME (SGSN) is used for both mobile subscribers as well as smart metering. The serving GPRS Support Node (SGSN) is responsible for the delivery of data packets from and to the subscribers within its service area. Its tasks include packet routing and mobility management (attaches and detaches) as well as many other functions. The 3850 transactions per second attach rate seen during a black start scenario taking place would make up a significant part of the total 'normal' traffic that the MME processes. This therefore means that the MMEs would need to be increased in each deployment by around a third. Associated design, project and deployment work will also need to be considered. Even with this additional hardware a black start re-attach scenario would still be challenging to support.

5.1.1.1.3 IP-STP

The IP-STP is used for both mobile subscribers as well as smart meters. IP-STPs simply route traffic that they are sent and do so within a few milliseconds. After analysing the routing digits it decides where to send traffic. IP-STP capacity vastly exceeds the attach rates that an extreme outage would generate over either 120 seconds to 70 seconds.

5.1.1.1.4 HLR

The HLRs we use are bespoke to smart metering but they do share a common interface with the MMEs for both mobile subscribers as well as for smart metering which is the limiting factor. The Home Location Register is a database that contains details of each communication hubs mobile subscription authorised to use the TEF network. It stores details of each SIM card issued and its unique IMSI number. The current HLRs cannot support a sufficient size increase in attach rates of 3850 transactions per second to shorten the network attach timer. There is a project delivering new smart metering HLRs planned for 2021. These can support the re-attach rates

required with limited headroom. Roll out of the new HLRs including a sufficiently large subscriber migration are at least 12 months away.

5.1.1.1.5 EPG

The EPGs Telefónica uses are bespoke to smart metering. The Evolved Packet Gateway provides connectivity to the communication hubs with Serving Gateway, PDN Gateway, User Plane Function and Traffic Detection functionality for GSM, WCDMA, LTE, 5G, Wi-Fi and CDMA interworking, allowing for seamless transitions between different access types. Ericsson (Telefónica supplier) has modelled the 3850 activations /second on the EPG to support the reduction in the re-attach timer and confirmed that the current EPG deployment can support the necessary rates. There is activation rate throttling that would need to be reviewed and adjusted. It is also essential that the load would need to be tested for which a new tool is required. This new tool is expected to confirm it can indeed be supported.

5.1.1.1.6 Conclusion

Achieving a reduction in the network re-attach timer that applies following a power outage is challenging. Some of the systems impacted by the high attach rates that take place following extreme power outages have improved over recent times and can support the rates needed to bring in a reduction. Other systems such as HLR, have improvements scheduled for 2021/2022 which will then enable them to support the higher rates. However, the Radio Access Network is one area where the capacity remains unchanged and even though we are still running with the 2 minute timer, this is where the original 10 minute re-attach timer was calculated as a safe value. Upgrading the RAN would be extensive, geographically spread and carry a very high cost. Making these kind of improvements on 3G, a radio technology that is becoming legacy, is an option that Telefónica does not support and therefore, this option is not viable. Instead, Telefónica suggests that 4G is explored further as an option and specifically how this could be setup to reduce signalling load.

Estimated impact of enhancements

The table below sets out the estimated impact of the proposed Telefónica enhancements. The colours in the grid indicate the level of impact (compared to the current capability) against each core DNO requirement for the proposed options:

Key:	No Change	Some Improvement		Significant Improvement			
Req.	DNO Requirement	Option 0		Option 1	Option 2	Option 3	Option 4
		Current capability		Combined IT Updates	Cloud Based Micro service	Network Evolution CH	CH FW change & NW updates
POA.1	POA for all outages > 3min ¹⁴	5,000	TPM	15,000	15,000	30,000 (only for Next Gen CHs)	5,000
POA.2	POAs delivered in < 5min ¹⁵	8	mins	7	7	4 (only for Next Gen CHs)	7
POA.3	POA must be reliable	5,000	TPM	15,000	15,000	30,000 (only for Next Gen CHs)	5,000
POA.4	POAs must be trustworthy	N/A		N/A	N/A	N/A	N/A
POA.5	POAs must be consistent	N/A		N/A	N/A	N/A	N/A
PRA.1	All PRAs required	200,000	TPM	200,000	200,000	200,000	200,000

¹⁴ Any throughput volumes require alignment with the DSPs to ensure there is no message loss

¹⁵ The time taken is expressed under normal levels. In high volume outage scenarios, the time taken can be longer to deliver AD1s

Req.	DNO Requirement	Option 0		Option 1	Option 2	Option 3	Option 4
		Current capability		Combined IT Updates	Cloud Based Micro service	Network Evolution CH	CH FW change & NW updates
PRA.2	PRAs delivered in <1min	<1	mins	<1	<1	<1	<1
PRA.3	PRAs must be consistent	N/A		N/A	N/A	N/A	N/A
PRA.4	PRAs must be trustworthy	N/A		N/A	N/A	N/A	N/A
GEN.1	No loss of PRAs/POAs during planned outage	Potential POA/PRA loss during maintenance window (TEF and DSP systems)		Potential POA/PRA loss during SM2M maintenance only. POAs queued for later processing for OT and DSP maintenance. PRA loss if DSP not available	No loss - POAs are queued for later processing / PRA loss if DSP not available	Loss if DSP is not available. No loss from TEF systems outage	Potential loss during maintenance window (TEF and DSP systems)
GEN.2	No loss of PRAs/POAs during unplanned outage	4 hour RTO		4 hour RTO	4 hour RTO	4 hour RTO	4 hour RTO

Table 15 Telefónica Options Overview

Note the following:

* Requires alignment with DSP

^ Time taken can be higher when dealing with higher alert volumes (>10000/min)

“This option is not considered viable by Telefónica

Option 1 is mutually exclusive with option 2

Option 3 is compatible with any option

The table below shows the performance of the solution options against the various outage scenarios (ordered by maximum simultaneous volumes of outages that can be triggered within 1 minute). It is assessed from the perspective of the length of time in minutes for delivery of all AD1s and is expressed in a range of minutes. Given that option 4 – CH firmware updates and network infrastructure updates is not considered a viable option by Telefónica, it has not been shown in the table.

For PRAs, there is not expected to be any change in the way messages are handled. These should all be delivered in under a minute and there should not be any message loss incurred. Note as indicated previously, if a CH has to reboot and re-attach to the network, there is time required for that to take place (which is more than one minute) and then the CH can deliver the message in under 1 minute. Also there would be time required for the ESME itself to reboot and connect to the CH before it can transmit the 8F35/8F36 messages.

Key:	Some Improvement	Significant Improvement					
		Message volumes		AD1 delivery time range (in minutes)			
Scenario	Description	Max Simultaneous Outages	Max Simultaneous Restores	Current	1. Improvements in existing system	2. Cloud Based Micro service	3. Network Evolution CH
C	Overhead power line comes down. 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms	50	50	6-8	5-7	5-7	4
A/B	Single 5000 property outage (A - TEF region / B - ARQ region)	5,000	5,000	6-8	5-7	5-7	4
D	Substation damaged - 5,000 lose power simultaneously	5,000	5,000	6-8	5-7	5-7	4

		Message volumes		AD1 delivery time range (in minutes)			
Scenario	Description	Max Simultaneous Outages	Max Simultaneous Restores	Current	1. Improvements in existing system	2. Cloud Based Micro service	3. Network Evolution CH
G	Storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes	5,000	7,500	6-8	5-7	5-7	4
H	Storm travelling South to East from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of high voltage / low voltage in other cities, towns and villages	20,000	20,000	6-11	5-10	5-8	4
E	20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare)	20,006	20,006	6-11	5-10	5-8	4
F	An event knocks down a high voltage cable in a major city (as per DNO discussions assumed to be scenario for outage of 30,000 properties)	30,000	30,000	6-13	5-12	5-8	4
I	Transmission Line Failures ¹⁶	200,000	50,000	6-14	5-13	5-8	4-8

Table 16 Telefónica Options against DNO Scenarios

¹⁶ With transmission line failures, it is expected that if there are 200,000 simultaneous POAs that get raised by the CHs, only 34,000 of these will get through to the Telefónica systems for processing due to the system throttling in place. The rest of the messages will get dropped. The time ranges indicated are for the 34,000 POAs that get processed

5.1.2 Arqiva CSP Implementation Option Descriptions

Based on the current implementation, a number of areas of improvement have been identified. The following section outlines where the improvements could be made and highlights the potential improvements against two key use cases:

- Smaller outages affecting 50 premises which all reside within a single cell of the Arqiva system
- Larger outages affecting 30,000 premises spread over multiple cells

ARQ.1 – Reinstate Comms Hub Restoration Alerts

Within the Arqiva system there is an additional alert generated by the CH on restoration of power. At present this alert is suppressed within the core of the Arqiva system and not forwarded on to the DSP. The CH restoration alert follows the same messaging process as the AD1 alert described above, without the 3-minute hold off period for confirmation of an outage (i.e. these alerts are generated for outages of any duration). These alerts are sent randomly over 3 windows of 45 seconds, 240 seconds and 240 seconds.

For the vast majority of outages, the performance of these alerts is such that the DNOs would receive a significant proportion of alerts within the first 45 second window after the CH reboots. In larger outages, this restoration alert provides a significantly quicker notification of power restoration in an area than the 8F35 and 8F36 messages.

It is recommended that the 8F35 and 8F36 messages are maintained as a slower but more reliable confirmation of the power restoration for all premises for reporting purposes.

Note that this option would require significant change to both the DSP system and DUIS in order to reinstate the previously removed alert. The additional work required to process the CH PRA beyond Arqiva has not been factored into this solution option.

Advantages

- Significant improvement in PRA delivery time
- PRA generated independent of ESME that the CH is attached to. This means that DCC would be in control of these alerts rather than dependent on ESME manufacturers and associated firmware

Disadvantage

- Additional PRA message type that was previously removed, requiring change to DSP, DUIS and DNOs
- Additional PRA message will be sent through the DSP gateway, causing a reduction in overall capacity (this is more of an issue for larger volume outage scenarios)
- Cannot guarantee delivery of all PRAs
- Added inconsistency between CSPs (note for Telefónica, 8F35 and 8F36 alerts are delivered quicker than CH power restore alerts and

therefore there is little benefit in providing this alert from Telefónica CHs)

5.1.2.1.1 Example Case One: 50 Premise outage, single cell

Reinstating the CH restoration alert adds to the current performance as follows:

- AD1s and CH Restoration Alerts
CH Restoration alerts follow the same mechanism as the AD1s. The additional restoration alerts will be delivered in the same timescale as the AD1s in the current implementation (95% after 5 minutes total¹⁷)
- 8F35 and 8F36 alerts
There will be no change in the performance of 8F35 and 8F36 alerts.

5.1.2.1.2 Example Case Two: 30,000 Premise outage, three cells

For a larger outage affecting 30,000 premises spread over multiple cells, performance for the two alert types would typically be as follows:

- AD1s and CH Restoration Alerts
CH Restoration alerts follow the same mechanism as the AD1s. The additional restoration alerts will be delivered in the same timescale as the AD1s in the current implementation (52% after 5 minutes total, 94% after all three alert windows¹⁸). At these volumes, the current throttle limit will be breached delaying alert delivery.
- 8F35 and 8F36 alerts
There will be no change in the performance of 8F35 and 8F36 alerts.

ARQ.2 – Increase Traffic Channels in a cell

A limiting factor in the speed at which alerts are delivered is the contention between CHs for the available channel resource. Utilising additional channels in a cell would reduce the loading of CHs on a single channel, increasing throughput and reducing the risk of over the air collision of the ALOHA based AD1.

¹⁷ Assumptions: Not accounting for CHs operating in Buddy mode, typically 5% of the network. For a site with DSL backhaul.

¹⁸ Assumptions: Three cells providing coverage to 10,000 premises each. Each cell contains 500 (5%) out of range (ORD) devices connected through buddy mode which are lost. Both sites have DSL backhaul

The CH and ESME alerts are carried over two different channel types. This improvement option could be implemented on just one channel type to improve performance for either alert type, or on both channel types.

ARQ.2a – Increased Alert Channels

Increasing the number of alert channels only would provide improvement to the CH generated AD1s. In the current solution, there are up to two common alert channels across all cells. Implementing up to 4 alert channels in some or all cells and assigning CHs randomly between them would provide an increase in throughput compared to the current solution.

Advantages

- Improvement in POA delivery time

Disadvantage

- Knock on impact increases cost when applying across the network

5.1.2.1.3 Example Case One: 50 Premise outage, single cell

- AD1s and CH Restoration Alerts
AD1 alerts would follow the same process as the current implementation, split over two channels. With half the number of devices contending for the resource, typical performance would be for 96% of alerts to be delivered within 5 minutes of the outage and the remaining alerts delivered over the second and third windows.¹⁹
- 8F35 and 8F36 alerts
There will be no change in the performance of 8F35 and 8F36 alerts.

5.1.2.1.4 Example Case Two: 30,000 Premise outage, three cells

- AD1s and CH Restoration Alerts
AD1 alerts would follow the same process as the current implementation, split over an additional two channels (four total in a cell). Typical performance would be for around 76% of alerts to be delivered within 5 minutes of the power outage increasing to around 95% at the end of the three alert windows.²⁰ With this increased throughput, the 5000 per minute limit would again be reached and alerts buffered and delayed.
- 8F35 and 8F36 alerts
There will be no change in the performance of 8F35 and 8F36 alerts.

¹⁹ Assumptions: CHs involved in the outage are spread over the two alert channels evenly. Not accounting for CHs operating in Buddy mode, typically 5% of the network. Both sites have DSL backhaul.

²⁰ Assumptions: 2 cells providing coverage to 10,000 premises each. Each cell contains 500 (5%) out of range (ORD) devices connected through buddy mode which are lost. For a site with DSL backhaul

ARQ.2b – Increased Bulk Traffic Channels

Increasing the bulk traffic channels in a cell would reduce the number of CHs competing for the resource in a large restoration event. This would increase the throughput of 8F35 and 8F36 restoration messages.

Advantages

- Small improvement in PRA delivery time

Disadvantage

- Significant cost

5.1.2.1.5 Example Case One: 50 Premise outage, single cell

- AD1s and CH Restoration Alerts

There will be no change in the performance of AD1 alerts.

- 8F35 and 8F36 alerts

ESME alerts will follow the same process as the current implementation, split over two channels. The additional channel will increase the throughput for GBCS alert delivery reducing the minimum time required to deliver all alerts to around 4 minutes after power is restored to the CH²¹.

5.1.2.1.6 Example Case Two: 30,000 Premise outage, three cells

- AD1s and CH Restoration Alerts

There will be no change in the performance of AD1 alerts.

- 8F35 and 8F36 alerts

ESME alerts will follow the same process as the current implementation, split over an additional channel. The additional channel will increase the throughput for GBCS alert delivery reducing the time required to fully deliver all 8F35 and 8F36 alerts to around 37 minutes²².

ARQ.3 – 3G Backhaul Resilience

In the current network there are a number of sites which use 3G backhaul to pass messages to and from the core network. In the long term, the majority of sites will be migrated over to DSL backhaul where available. Those sites

²¹ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers. Assumes a total of two traffic channels in use in the cell. Maximum rate assumes no other messaging required.

²² Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers. Assumes a total of five traffic channels in use in each cell. Maximum rate assumes no other messaging required.

which have been designed as permanent 3G backhaul sites suffer when the 3G service from the mobile network operator goes down.

The 3G routers used in these sites have the option to include a secondary SIM as an alternate connection for resilience during a mobile network outage. It should be noted that not all sites are in locations served by a secondary mobile network operator. Furthermore, the resilience of the mobile network operators' sites is unknown to Arqiva and both networks could be lost during an outage.

Advantages

- Reduction in delayed and duplicate alerts

Disadvantage

- May require dedicated PR to establish feasibility

5.1.2.1.7 Example Case One: 50 Premise outage, single cell

- AD1s and CH Restoration Alerts

There will be no change in the over the air performance of the AD1 alerts. For sites where at least one of the 3G connections remains active alerts will continue to be returned to the core network as they are in the current solution within the window for deduplication, reducing the number of significantly delayed AD1s. Sites with no alternate 3G connection will continue to queue messages at the TK and send them once backhaul is re-established.²³

- 8F35 and 8F36 alerts

There will be no change in the over the air performance of the 8F35 and 8F36 alerts. For sites where at least one of the 3G connections remains active, alerts will continue to be returned to the core network as they are in the current solution within the window for deduplication, reducing the number of significantly delayed ESME alerts. Sites with no alternate 3G connection will continue to queue messages at the TK and send them once backhaul is re-established.²⁴

5.1.2.1.8 Example Case Two: 30,000 Premise outage, three cells

- AD1s and CH Restoration Alerts

There will be no change in the over the air performance of the AD1 alerts. For sites where at least one of the 3G connections remains active, alerts will continue to be returned to the core network as they are in the current solution within the window for deduplication, reducing the number of significantly delayed AD1s. Sites with no alternate 3G

²³ Assumptions: Not accounting for CHs operating in Buddy mode, typically 5% of the network. For a site currently using 3G as the method of backhaul.

²⁴ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers. For a site currently using 3G as the method of backhaul.

connection will continue to queue messages at the TK and send them once backhaul is re-established.²⁵

- 8F35 and 8F36 alerts

There will be no change in the over the air performance of the 8F35 and 8F36 alerts. For sites where at least one of the 3G connections remains active, alerts will continue to be returned to the core network as they are in the current solution within the window for deduplication, reducing the number of significantly delayed ESME alerts. Sites with no alternate 3G connection will continue to queue messages at the TK and send them once backhaul is re-established.²⁶

ARQ.4 – Relaxed Throttle Between the CSP and DSP

At present there is a defined throttle between the CSP and DSP to prevent a surge in AD1 messages overloading the DSP network. The throttle is currently configured to a maximum of 5,000 messages in a rolling minute window. For larger outages this can result in a delay in delivering the AD1 alerts to the DNOs, despite the over the air delivery being completed. Where there are three AD1 alerts sent by each CH, some of the messages in the 5,000 will be duplicates of an outage already known to the DNOs. Where the DSP filters these duplicates, less than 5,000 alerts per minute will be delivered to the DNOs.

Relaxing the throttle would enable faster clearing of alerts and crucially reduce blocking of further outage alerts from a different incident behind a large outage in the buffer at the gateway. At present the upper limit of throughput is conservatively estimated to be c. 35,000 transactions per minute.

In a large outage over multiple cells the 5,000 messages per minute threshold is easily breached, particularly as three alerts are transmitted per CH. Note that for this reason, when implementing several of the other solutions which improve throughput of alerts, an element of option ARQ.4 should be considered in parallel.

Advantages

- Reduces the chance of blocking smaller outage alerts volumes behind a large outage in progress

Disadvantage

- Possible oversize of infrastructure for rarely occurring outage sizes

5.1.2.1.9 Example Case One: 50 Premise outage, single cell

²⁵ Assumptions: Three cells providing coverage to 10,000 premises each. Each cell contains 500 (5%) out of range (ORD) devices connected through buddy mode which are lost. Both sites have 3G backhaul.

²⁶ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers.

- AD1s and CH Restoration Alerts

There will be no change in AD1 alert performance for an isolated outage of this size as the current throttle limit is not reached.²⁷

- 8F35 and 8F36 alerts

No change from current performance.²⁸

5.1.2.1.10 Example Case Two: 30,000 Premise outage, three cells

- AD1s and CH Restoration Alerts

With the current maximum rate a scenario of this size would result in alerts being throttled (when including background levels of alerts across the network) the relaxed throttle would allow AD1 alerts for an outage of this scale to clear as they are received. Without buffering alerts for an outage of this scale, the chance of blocking a secondary outage elsewhere in the network is reduced.²⁹

- 8F35 and 8F36 alerts

No change from current performance. Throttle limit is not reached until a significantly larger outage scenario.³⁰

ARQ.5 – Buffering alerts at the CSP – DSP Gateway

Implementing a monitor and buffer solution at the CSP – DSP gateway would allow Arqiva to hold messages providing resilience when the DSP connection is down, covering both planned or unplanned outages. The proposed buffer would be part of the Arqiva gateway and would need to be appropriately sized to handle typical message throughput for the duration of any DSP scheduled outages at a minimum.

On restoration of the connection to the DSP the buffer would need to be cleared in an agreed controlled manor to avoid overloading the DSP systems.

Advantages

- Reduced message losses during planned and unplanned DSP outages

Disadvantage

- Buffered alerts will arrive well beyond the DNOs required timescales

²⁷ Assumptions: Not accounting for CHs operating in Buddy mode, typically 5% of the network. For a site with DSL backhaul.

²⁸ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers.

²⁹ Assumptions: Three cells providing coverage to 10,000 premises each. Each cell contains 500 (5%) out of range (ORD) devices connected through buddy mode which are lost. Both sites have DSL backhaul.

³⁰ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers.

5.1.2.1.11 Example Case One: 50 Premise outage, single cell

- AD1s and CH Restoration Alerts

No change in over the air performance of AD1s. Buffering at the gateway will ensure delayed delivery once the DSP connection is restored, rather than loss of messages.³¹

- 8F35 and 8F36 alerts

No change in over the air performance of ESME alerts. Buffering at the gateway will ensure delayed delivery once the DSP connection is restored, rather than loss of messages.³²

5.1.2.1.12 Example Case Two: 30,000 Premise outage, three cells

- AD1s and CH Restoration Alerts

No change in over the air performance of AD1s. Buffering at the gateway will ensure delayed delivery once the DSP connection is restored, rather than loss of messages.³³

- 8F35 and 8F36 alerts

No change in over the air performance of ESME alerts. Buffering at the gateway will ensure delayed delivery once the DSP connection is restored, rather than loss of messages.³⁴

ARQ.6 – Deduplication at the CSP – DSP Gateway

Although some immediate message deduplication takes place in the network controllers at the front end of the Arqiva RNI, this is only intended to cover duplicates received by neighbouring base stations which arrive within a short timeframe. Where duplicate alerts are significantly delayed, for example due to the 3G backhaul being lost during the outage, a longer period of deduplication needs to be applied.

By applying the same deduplication method to the gateway, additional longer interval deduplication could be performed at the exit point from the Arqiva system. The exact deduplication window would need to be defined based on a combination of load on the system and typical delayed message durations.

³¹ Assumptions: Not accounting for CHs operating in Buddy mode, typically 5% of the network. For a site with DSL backhaul.

³² *Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers.

³³ Assumptions: Three cells providing coverage to 10,000 premises each. Each cell contains 500 (5%) out of range (ORD) devices connected through buddy mode which are lost. Both sites have DSL backhaul.

³⁴ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers.

Advantages

- Reduced the number of duplicate alerts being sent significantly after outages occur

Disadvantage

- The deduplication window will be finite in size and does not prevent delayed alerts in exceptional circumstances

5.1.2.1.13 Example Case One: 50 Premise outage, single cell

- AD1s and CH Restoration Alerts

Any delayed AD1 alerts due to loss of 3G connectivity will be de duplicated at the CSP gateway.³⁵

- 8F35 and 8F36 alerts

Any delayed 8F35 and 8F36 alerts due to loss of 3G connectivity will be de duplicated at the CSP gateway.³⁶

5.1.2.1.14 Example Case Two: 30,000 Premise outage, three cells

- AD1s and CH Restoration Alerts

Any delayed AD1 alerts due to loss of 3G connectivity will be de duplicated at the CSP gateway.³⁷

- 8F35 and 8F36 alerts

Any delayed 8F35 and 8F36 alerts due to loss of 3G connectivity will be de duplicated at the CSP gateway.³⁸

³⁵ Assumptions: Not accounting for CHs operating in Buddy mode, typically 5% of the network. For a site with 3G backhaul where power is restored within the deduplication window.

³⁶ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers. For a site with 3G backhaul where power is restored within the deduplication window.

³⁷ Assumptions: Some duplicates are picked up by neighbouring 3G sites which connectivity had restored within the deduplication window.

³⁸ Assumptions: ESME alerts are delivered to the CH successfully. Currently there are known issues with some meter manufacturers. For a site with 3G backhaul where power is restored within the deduplication window.

Estimated impact of enhancements

The table below sets out the estimated impact of the proposed Arqiva enhancements. Numbers quoted are for a single maximum loaded cell of 10,000 CHs.

Key:	No Change	Improvement
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Req.	DNO Req.	Option 0		Option 1	Option 2a	Option 2b	Option 3	Option 4	Option 5	Option 6
		Current capability		Reinstate CH PRA	Additional Channels (Alert Channel)	Additional Channels (Bulk Channel)	Improved Backhaul Resilience	Increase 5k / min Throttle	Buffer at CSP - DSP Gateway	De duplicate at CSP - DSP Gateway
POA.1	POA for all outages > 3min	5,000	TPM	Up to 5,000	Up to 5,000	Up to 5,000	Up to 5,000	Up to 35,000 (for outages >100K) ³⁹	Up to 5,000	Up to 5,000
POA.2	POAs delivered in < 5min	5	mins	5	4	5	5	5	5	5
POA.3	POA must be reliable	5,000	TPM	Up to 5,000	Up to 5,000	Up to 5,000	Up to 5,000	Up to 35,000 (for outages >100K) ⁴⁰	Up to 5,000	Up to 5,000

³⁹ A rate of 35,000 messages per minute would only be reached in very large power outage scenarios involving over 100,000 premises. The message rate will be the same as current performance for power outages involving a lower number of premises.

⁴⁰ A rate of 35,000 messages per minute would only be reached in very large power outage scenarios involving over 100,000 premises. The message rate will be the same as current performance for power outages involving a lower number of premises.

Req.	DNO Req.	Option 0		Option 1	Option 2a	Option 2b	Option 3	Option 4	Option 5	Option 6
		Current capability		Reinstate CH PRA	Additional Channels (Alert Channel)	Additional Channels (Bulk Channel)	Improved Backhaul Resilience	Increase 5k / min Throttle	Buffer at CSP - DSP Gateway	De duplicate at CSP - DSP Gateway
POA.4	POAs must be trustworthy			POAs are only generated for outages >3 minutes	POAs are only generated for outages >3 minutes	POAs are only generated for outages >3 minutes	POAs are only generated for outages >3 minutes	POAs are only generated for outages >3 minutes	POAs are only generated for outages >3 minutes	POAs are only generated for outages >3 minutes
POA.5	POAs must be consistent			No change	No change	No change	No change	No change	No change	Reduced delayed duplicate alerts
PRA.1	All PRAs required	35,000	TPM	35,000 for 8F35 and 8F36. 5,000 for CH PRA	No Change in 8F35 and 8F36 alert delivery	No Change in 8F35 and 8F36 alert delivery	No Change in 8F35 and 8F36 alert delivery. Reduction in severely delayed alerts where backhaul is maintained	No Change in 8F35 and 8F36 alert delivery	No Change in 8F35 and 8F36 alert delivery	No Change in 8F35 and 8F36 alert delivery. Reduction in severely delayed alerts where duplicate is removed
PRA.2	PRAs delivered in <1min	24	mins	5	24	20	24	24	24	24
PRA.3	PRAs must be consistent			Additional alert type from ARQ only. CSPs	No change	No change	No change	No change	No change	No change

Req.	DNO Req.	Option 0		Option 1	Option 2a	Option 2b	Option 3	Option 4	Option 5	Option 6
		Current capability		Reinstate CH PRA	Additional Channels (Alert Channel)	Additional Channels (Bulk Channel)	Improved Backhaul Resilience	Increase 5k / min Throttle	Buffer at CSP - DSP Gateway	De duplicate at CSP - DSP Gateway
				Closer in PRA notification timing						
PRA.4	PRAs must be trustworthy			Additional CH PRA does not change current implementation	8F36 is only generated on outage >3 minutes	8F36 is only generated on outage >3 minutes	8F36 is only generated on outage >3 minutes	8F36 is only generated on outage >3 minutes	8F36 is only generated on outage >3 minutes	8F36 is only generated on outage >3 minutes
GEN.1	No loss of PRAs/POAs during planned outage			Alerts lost during maintenance windows	Alerts lost during maintenance windows	Alerts lost during maintenance windows	Alerts lost during maintenance windows	Alerts lost during maintenance windows	Alerts buffered during DSP maintenance windows	Alerts lost during maintenance windows
GEN.2	No loss of PRAs/POAs during unplanned outage			Alerts lost during unplanned outages	Alerts lost during unplanned outages	Alerts lost during unplanned outages	Alerts lost during unplanned outages and delayed during 3G outages	Alerts lost during unplanned outages	Alerts buffered during unplanned DSP outages	Alerts lost during unplanned outages

Table 17 Arqiva Options Overview

5.1.3 DSP Implementation Option Descriptions

Overview of Options

Based on historical analysis of DSP Production performance, the time taken for the DSP to process POAs and PRAs is 0.288 and 0.025 seconds, respectively. Given that these processing times are less than 0.05% of DNO target times for delivery of POAs and PRAs, there is little benefit attempting to reduce DSP processing times.

There are, however, limitations on the volumes of POAs and PRAs that can be processed by the DSP given the infrastructure on which it is currently deployed. We estimate that, under normal operating conditions, these limitations are 12,000 POAs per minute and 96,000 PRAs per minute. We have arrived at these numbers based on previous performance testing and the assumption that our capacity planning aims to ensure that there is always sufficient spare capacity to accommodate the loss of a motorway (the name given to a collection of DSP components employed in processing Service Requests, DCC Alerts and Device Alerts). Under normal operating conditions (i.e. assuming no DSP outages), this spare motorway could be employed to process the POAs and PRAs associated with a network outage. However, were a network outage to coincide with a DSP outage, these limits would be reduced.

If POA/PRA volumes exceed available capacity, this may result in longer processing times (impacting POA.1 and PRA.2) and/or the loss of POAs/PRAs (impacting POA.3 and PRA.1).

Since some of the outage scenarios identified by the DNOs would result in POA and PRA volumes in excess of the DSP's current capacity, we have considered a number of possible enhancements for increasing the volumes of POAs and PRAs the DSP can handle. In doing so, we have also considered ways in which DSP resource can be reserved for POAs/PRAs to ensure that it is always available, regardless of other DCC User activity or outages of DSP components.

As a precursor to any attempt at increasing POA capacity, we have identified a number of relatively minor code optimisations to accommodate current operating conditions which weren't foreseen at the time the DSP was built. These include the failure of GNOs to become DCC Users and the prevalence of SMETS2 Installations comprising multiple ESMES and GSMEs (often resulting from failed installations which leave 'ghost' Devices registered in the Smart Metering Inventory). Both cases result in the generation of unnecessary AD1s which could be avoided through the addition of relatively simple business logic. We propose that this enhancement forms part of any software changes made to improve DSP performance since the benefits it realises are magnified by subsequent enhancements.

Six of the enhancement options we are proposing are designed to increase the volume of POAs/PRAs the DSP can process. Another two enhancement options address other stated DNO requirements.

The Table 18, below is provided to help with understanding what the different options will achieve.

Option	Increases throughput of POAs for new power outages to DNOs	Increases throughput of PRAs to DNOs following a power outage	Increases total throughput within DSP	Separates POA/PRA traffic from other messages within DSP	Improves the quality of POAs/PRAs received by DNOs	Filters out spurious PRAs	Avoids lost POAs & PRAs during planned maintenance
1 – Provision of new shared Infrastructure	✓	✓	✓				
2 – Prioritising POAs and PRAs over other northbound traffic	✓	✓					
3 - New dedicated resources for POAs and PRAs	✓	✓	✓	✓			
4 – Cloud-based Dedicated DNO POA/PRA resources	✓	✓	✓	✓			
5 – Prioritisation within POAs and PRAs by Postcode/ Time	✓	✓	✓		✓		
6 – Prioritisation within POAs and PRAs by Canary Indicators	✓	✓	✓		✓		
7a – Reduce Spurious PRAs: Traffic management						✓	
7b – Reduce Spurious PRAs: Blocklist						✓	
8 - Buffering During DSP Planned Maintenance							✓

Table 18: Impact of DSP enhancements on handling of POAs/PRAs

DSP.1 – Provision POAs/PRAs on New Shared Infrastructure

This is intended to provide additional shared DSP capacity, increasing processing capacity in order to leave a greater margin available for use in power outages.

This option provides a new set of the primary servers used for expansion of shared motorway resources, namely the CH (Communications Handler), RM and MG tiers. This is illustrated in Figure 13, below.

As the new motorway resources will add to the shared infrastructure, the new capacity would be available to other types of usage as well as POAs and PRAs, rather than reserved solely for use in power outages, but the increased

headroom means that in typical circumstances more resources would be available for POAs and PRAs. This would be significant in a major power outage.

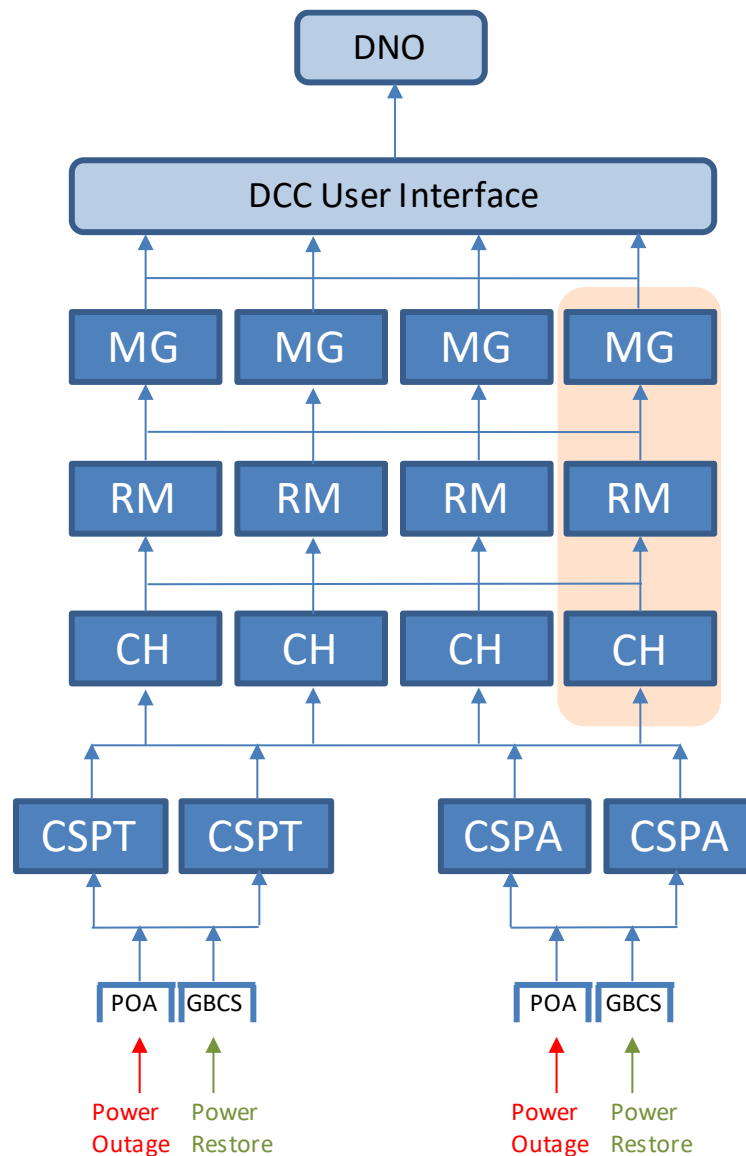


Figure 13 Option 1 Provision of New Shared Infrastructure

Advantages

- Additional capacity is likely to be available to process POAs/PRAs in the event of network outages.
- All DCC Users benefit from the additional capacity provided.

Drawbacks

- Since additional capacity is shared amongst all DCC Users, it may not be available for processing POAs/PRAs at time of network outages.

DSP.2 – Prioritising POAs and PRAs over other northbound traffic

This option will establish mechanisms for giving preference to POAs and PRAs above all other northbound traffic. Rather than queuing behind other Alert types and Service Request Responses, POAs and PRAs where present will be serviced and delivered first, meaning that at times of very high POA and PRA volumes due to major outages, all of DSP's northbound resources will be devoted to delivering them.

This will be achieved by the provision of new queuing mechanisms, with the addition of at least one high priority queue, or a hierarchy of additional queues could be used, e.g. POAs could be prioritised over PRAs, and/or 8F36s could be prioritised over 8F35s. This option is illustrated in Figure 14, below.

This increases the capacity of the DSP that is used by POAs and PRAs when needed, which would be significant in a major power outage, while enabling capacity to be used for other types of northbound message most of the time.

This option also includes prioritisation to deliver DCC Alert AD1s to DNOs ahead of delivery to other User roles (suppliers and gas network operators), since speed of delivery of these alerts is less critical to other User roles

In addition, this option will include rationalisation of the generation of DCC Alert AD1s. The current requirement is that DCC Alert AD1s should be generated for both supplier and network operator of every meter associated with a Comms Hub. Rationalisation will include not generating alerts for GNOs where the registered GNO is not a DCC User (currently the case for all MPRNs) and only generating one alert for the DNO in cases where there are multiple meters (which could be the result of failed installations, or could be because of multiple physical electricity meters).

Reduction of the number of DCC Alert AD1s to be generated would reduce the total demand on DSP systems during power outages.

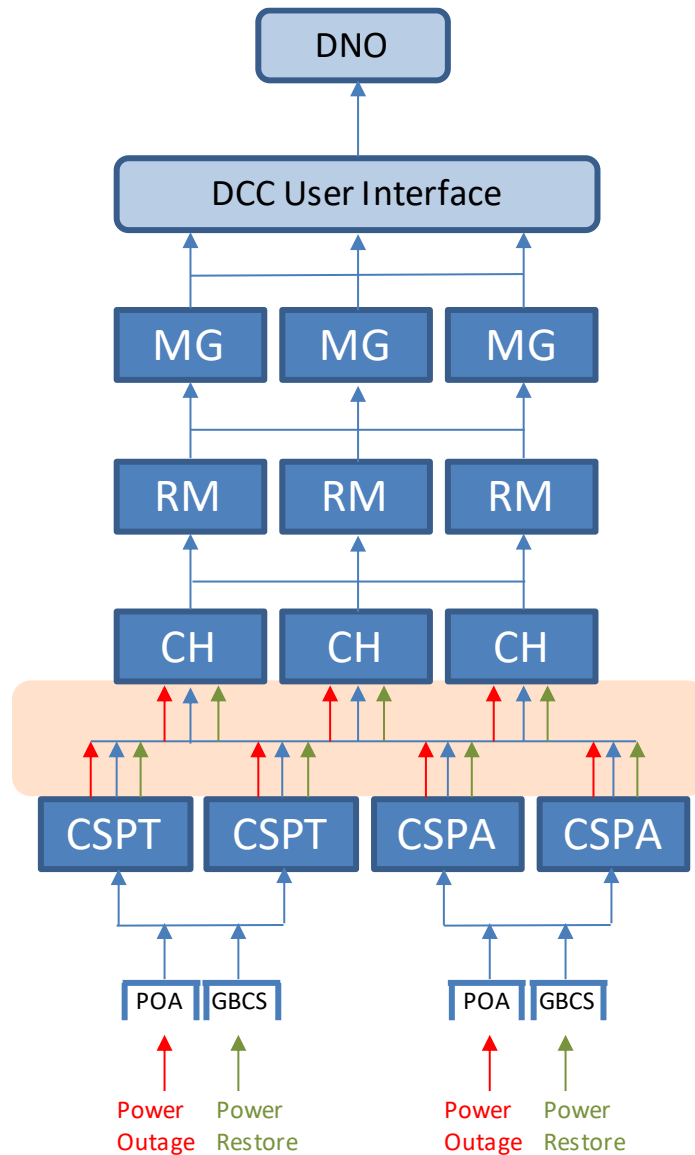


Figure 14 Option 2 Prioritising POAs and PRAs over other northbound traffic

Advantages

- No new infrastructure is required (software change only).
- At times of network outage, all DSP northbound resources will be available for processing POAs/PRAs.

Drawbacks

- Since all DSP northbound resources will be devoted to processing of POAs/PRAs, other DCC Services will be impacted affecting other DCC Users.

DSP.3 – New Dedicated Resources for POAs and PRAs

This option is intended to provide additional DSP capacity dedicated to POAs and PRAs, separated from motorway lane capacity used for other purposes.

Separation provides the benefit that capacity required for POAs and PRAs can be provided in isolation, to ensure it is available when needed, and without having an impact on other DCC Services.

New infrastructure resources will be provided, and configuration will ensure that they are used only for POAs and PRAs.

Since the infrastructure will be dedicated, it will be necessary to provide two new sets, for resilience. The new resources will be combined, which means that at all times at least one motorway lane and other resources will be available, and most of the time two motorway lanes will be available exclusively for POA and PRA use.

In this option, a resilient pair of sets of the primary servers used for expansion of shared motorway resources will be provided, namely the CH, RM and MG tiers, and dedicated CSP gateway infrastructure as well, providing additional separation from other traffic.

The dedication of resources would be achieved by routing of POAs and PRAs during a stage of processing messages arriving from the CSPs, prior to the CSP Gateway tier. This is illustrated in Figure 15, below.

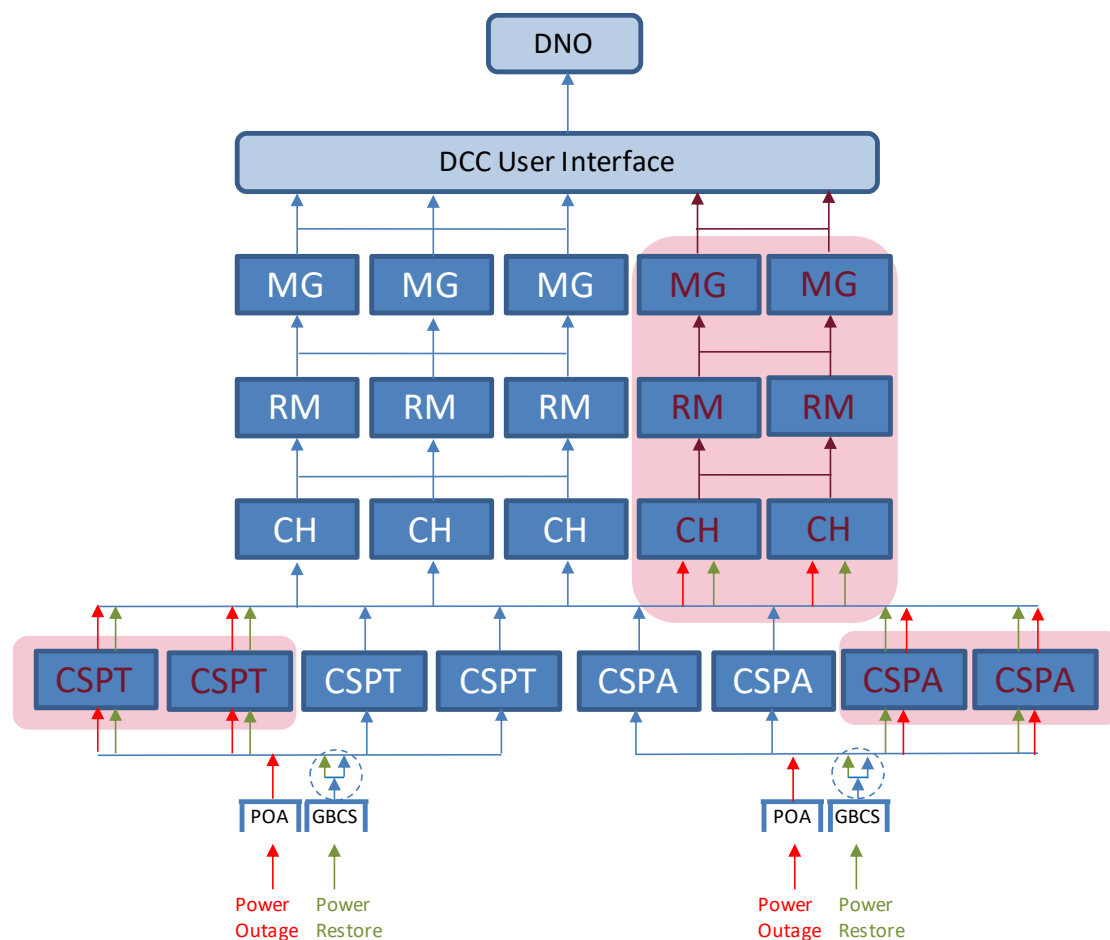


Figure 15 Option 3 New Dedicated Resources for POAs and PRAs

Advantages

- Resilient, dedicated DSP capacity is reserved exclusively for use in processing DNO POAs/PRAs.
- Since DNO POA/PRA processing is performed on new DNO-dedicated infrastructure, other DCC Services (and DCC Users) are unaffected.

Drawbacks

- Sufficient dedicated DSP capacity must be added to cope with the largest DNO scenario.
- Dedicated DNO POA/PRA capacity will remain unused for the majority of the time.

DSP.4 – Cloud Deployment of New Dedicated Resources

Where new infrastructure is to be provided, this could be deployed as cloud-based rather than on premise.

The dedicated motorway lane(s) and CSP Gateways could be deployed in the cloud to give greater flexibility in scalability. This is illustrated in Figure 16, below.

A certain amount of capacity would need to be always available, since AWS proof of concept activities have shown it can take several minutes for auto scaling to add resources. This may result in a significant percentage of the total capacity required to manage significant outages being made permanently available, reducing any Pay-As-You-Go benefits of auto-scaling in the cloud.

Note that DCC have stated that this option will not be progressed within the DNO programme but could be considered as part of DCC's Network Evolution programme.

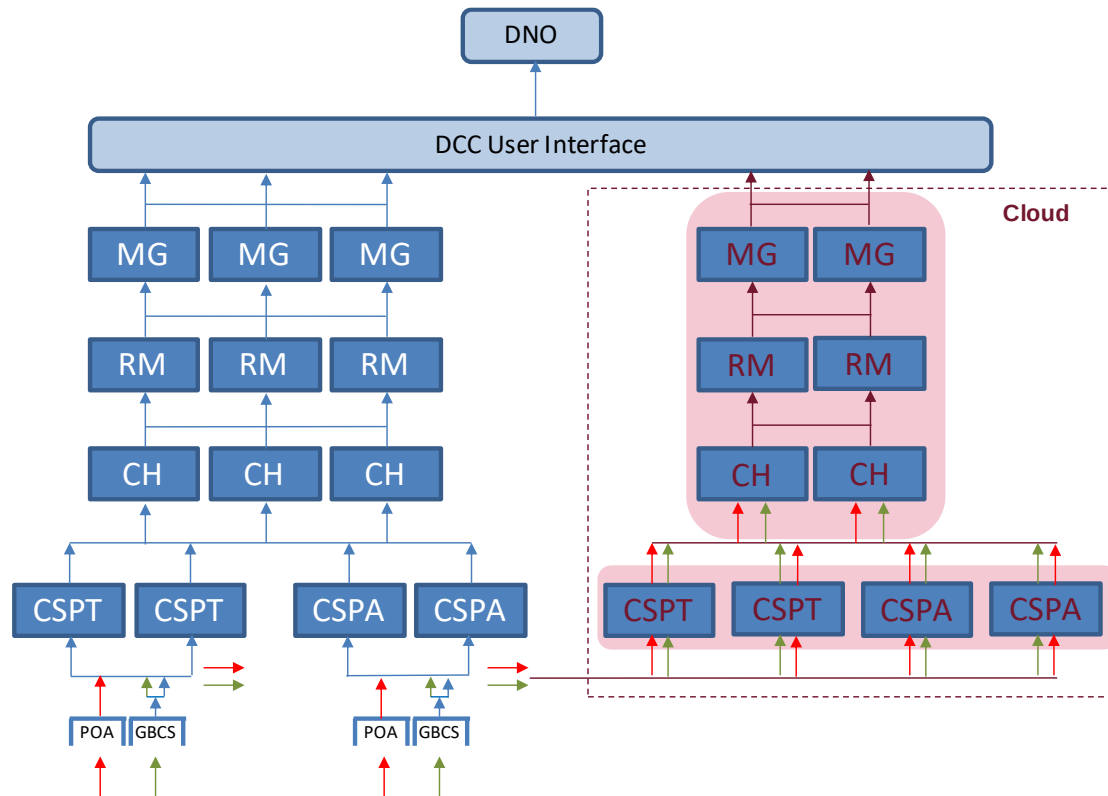


Figure 16 Cloud Deployment of New Dedicated Resources

Advantages

- Resilient, dedicated DSP capacity is reserved exclusively for use in processing DNO POAs/PRAs.
- Since DNO POA/PRA processing is performed on new DNO-dedicated infrastructure, other DCC Services (and DCC Users) are unaffected.
- DSP capacity can auto-scale in response to fluctuating outage demands.
- Aligns with DCC's cloud-based strategy.

Drawbacks

- Moving motorways to the cloud will require additional security measures and DCC security approval.
- Depending on speed of cloud-base auto-scaling, a large capacity of cloud infrastructure may need to be made permanently available to provide sub-minute response times, thus negating the Pay-As-You-Go benefits of cloud-based solutions.
- Dedicated DNO POA/PRA capacity will remain unused for the majority of the time.

DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time

This option prioritises delivery of DNO POAs/PRAs by the DSP based on their location and outage time. The intention is to prioritise POAs/PRAs pertaining to new network outages over those related to outages which have already

been notified to the DNO. Since the DSP has no knowledge of a SMETS2 Installation's location on the DNO's network, postcode sector would be used as a surrogate. By combining this with the time the outage occurred, it is hoped that POAs/PRAs relating to the same network outage can be identified by virtue of their geographic and temporal proximity.

This option helps to address the DNO's requirements POA.4 and PRA.4 ("Alerts must be trustworthy") by focusing on delivery of POAs/PRAs likely to provide outage information not already known to the DNO. Smoothing the delivery of POAs/PRAs also has the advantage of reducing DNO POA/PRA processing requirements. By queuing POAs/PRAs relating to notified postcode sectors for slower delivery, this option meets the DNO's requirements POA.3 ("POAs must be reliable") and PRA.1 ("All PRAs required"), however, a variation would be to discard "duplicate" POAs/PRAs, thus further reducing DNO POA/PRA processing requirements.

Option 6, Canary has similar goals but takes a different approach.

It has been noted that DNOs have expressed a preference for this type of prioritisation to be applied by the CSPs at the network level. However, prioritisation at the DSP has been considered as a possible alternative. Prioritisation in the DSP has some advantages such as being able to apply per-DNO prioritisation in a way that would not be feasible for a CSP to ensure that prioritisation would only apply within alerts intended for the same DNO.

Advantages

- No new infrastructure is required (software change only).
- POAs/PRAs relating to new network outages are prioritised to provide DNOs with 'higher quality' POAs/PRAs.

Drawbacks

- Use of postcode sector/outage time to distinguish between POAs/PRAs resulting from different network outages is an approximation.
- Prioritisation of DNO POAs/PRAs over other northbound traffic means that DCC Services to other DCC Users is likely to be affected.

DSP.6 – Prioritisation within POAs and PRAs by Canary Indicators

As with Option 5, this option focuses on prioritising delivery of POAs/PRAs associated with MPANs that a DNO has identified as being a significant indicators of the health of the network.

In this option, DNOs would identify key SMETS2 Installations which, by virtue of their location on the network, provide key information as to the health of the network and the likely location of a network outage. The DSP would then prioritise delivery of POAs/PRAs relating to these key SMETS2 Installations over POAs/PRAs from other SMETS2 Installations. This option would require the DNOs to identify key SMETS2 Installations and communicate these to the DSP, both initially and in response to any changes resulting, for example,

through re-configurations of the network. There are several methods by which this may be achieved and we would aim to support the least onerous and most flexible solution following dialogue with the DNOs.

This option helps to address the DNO's requirements POA.4 and PRA.4 ("Alerts must be trustworthy") by prioritising delivery of those POAs/PRA's which provide the most valuable information in determining the location and extent of an outage. As with Option 5, queuing POAs/PRA's relating to other SMETS2 Installations for slower delivery meets the DNO's requirements POA.3 ("POAs must be reliable") and PRA.1 ("All PRA's required") and reduces DNO PRA/POA processing requirements by smoothing POA/PRA delivery.

As with Option 5, the primary expectation is that all POAs and PRA's would be delivered, in which case this option is concerned only prioritising delivery of those Alerts of most value to the DNO at the expense of slower delivery of the remainder. However, the same approach could also be used to dump large numbers of POAs regarded as superfluous.

Advantages

- No new infrastructure is required (software change only).
- DNOs can prioritise delivery of POAs/PRA's for MPANs selected based on their network location.
- Option can help address prevalence of SMETS1 Installations by allowing DNOs to prioritise SMETS2 Installations located in SMETS1 Installations as indicators of SMETS1 customer's network status.

Drawbacks

- Selection of representative MPANs may require network information not currently available to a DNO (e.g. Phase).
- DSP will need to be informed of priority MPAN selection, both initially and in response to any changes (e.g. network re-configuration) which requires additional effort from the DNOs.
- Prioritisation of DNO POAs/PRA's over other northbound traffic means that DCC Services to other DCC Users is likely to be affected.

DSP.7 – Reduce Spurious PRA's

There are two sub-options which would reduce incidence of spurious PRA's. Note that the primary purpose of these implementations would be to reduce the background level of spurious Alerts which mask Alerts caused by low-volume real power outages. As an example, analysis of PRA's received by the DSP between 09 and 15 July 2020, inclusive revealed one ESME was generating an average of 10,832 8F35s per day.

Though having an appreciable impact on background outages, the impact of spurious PRA's diminishes as network outage events increase in size.

DSP.7a Change the exclusion list for alert traffic management

Option 7a is a simple enhancement which involves requesting the Operations Group to remove 8F35s and 8F36s from the SECMP0062 exemption list of Alert Codes so that northbound application traffic management alert storm protection is applied to PRAs. This will have the effect of consolidating PRAs in excess of the Alert Code Specific Threshold (currently set at 20) for a specific Device consolidated down to 1 in 500 or 1 per day for each Alert Code, thus dramatically reducing the volume of spurious PRAs received by a DNO.

Advantages

- Very simple enhancement.
- Appreciable impact on background levels of PRA.

Drawbacks

- Benefits diminish with size of outage scenario.

DSP.7b Introduce a block list for specific rogue devices

Option 7b uses a more targeted approach whereby a block list of specific ESME Devices known to generate spurious PRAs would be maintained, and PRAs from those Devices would be suppressed,

Current analysis suggests that either of these enhancements would reduce the volume of spurious PRAs received by a DNO by between 10% and 20%.

Advantages

- Relatively simple enhancement.
- Appreciable impact on background levels of PRA.

Drawbacks

- Benefits diminish with size of outage scenario.

DSP.8 – Buffering During DSP Planned Maintenance

Although the DCC is meeting SLAs for planned maintenance and other release activities, messages, including POAs and PRAs, are typically lost during the maintenance period (which can last up to 6 hours).

A solution has been specified previously (as CR1090) for buffering during planned maintenance periods, though not implemented. Implementation of this is suggested as an option to avoid POAs and PRAs being lost during planned maintenance. Note that they will not be delivered until after normal service resumes following the end of the planned maintenance period.

To handle storage of northbound messages during a production uplift outage, DSP will build a new component called the SMWAN Message Buffer. This will be independent of the rest of the DSP solution and will be deployed on its own hardware. The way in which it relates to existing DSP solution components is shown in the diagram below. There will be two SMWAN Message Buffer components to ensure operational consistency – one for Arqiva and one for Telefónica. This is illustrated in Figure 17, below.

Estimated impact of enhancements

The table below sets out the estimated impact of the 8 proposed DSP enhancements.

Key:	No Change	Improvement									
Req.	DNO Requirement	Option 0		Option 1	Option 2	Option 3	Option 4	Option 5	Option 6	Option 7a/7b	Option 8
		Current capability		General Uplift	Message Type Prioritisation	Dedicated Resilient MWL	Dedicated MWL (cloud)	Geographic/ Time Prioritisation	“Canary”	PRA Northbound Traffic Mgmt	DSP Buffer for Planned Outages
POA.1	POA for all outages > 3min	12,000	TPM	24,000	24,000	24,000	24,000	24,000	24,000	12,000	12,000
POA.2	POAs delivered in < 5min	0.0048	mins	0.0048	0.0048	0.0048	0.0048	0.0048	0.0048	0.0048	0.0048 ⁴¹
POA.3	POA must be reliable	12,000	TPM	24,000	24,000	24,000	24,000	24,000	24,000	12,000	12,000
POA.4	POAs must be trustworthy	N/A		N/A	N/A	N/A	N/A	‘Higher quality’ POAs/PRA	‘Higher quality’ POAs/PRA	N/A	N/A
POA.5	POAs must be consistent	Dependent on meter, CH and CSP		Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP
PRA.1	All PRA	96,000	TPM	192,000	192,000	192,000	192,000	96,000	96,000	96,000	96,000
PRA.2	PRA delivered in <1min	0.0004	mins	0.0004	0.0004	0.0004	0.0004	0.0004	0.0004	0.0004	0.0004 ⁴²
PRA.3	PRA must be consistent	Dependent on meter, CH and CSP		Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP	Dep on meter, CH and CSP

⁴¹ Whilst Option 8 will have no impact on delivery times of POAs/PRAs under normal operation, POAs/PRAs generated during a planned outage may be received up to 6 hours late.

⁴² Whilst Option 8 will have no impact on delivery times of POAs/PRAs under normal operation, POAs/PRAs generated during a planned outage may be received up to 6 hours late.

Req.	DNO Requirement	Option 0		Option 1	Option 2	Option 3	Option 4	Option 5	Option 6	Option 7a/7b	Option 8
		Current capability		General Uplift	Message Type Prioritisation	Dedicated Resilient MWL	Dedicated MWL (cloud)	Geographic/ Time Prioritisation	"Canary"	PRA Northbound Traffic Mgmt	DSP Buffer for Planned Outages
PRA.4	PRAs must be trustworthy	N/A		N/A	N/A	N/A	N/A	'Higher quality' POAs/PRAs	'Higher quality' POAs/PRAs	10-20% reduction in PRA volume	N/A
GEN.1	No loss of PRAs/POAs during planned outage	Loss during maintenance window		Loss during maintenance window	Loss during maintenance window	Loss during maintenance window	Loss during maintenance window	Loss during maintenance window	Loss during maintenance window	Loss during maintenance window	No loss during maintenance windows
GEN.2	No loss of PRAs/POAs during unplanned outage	4 hour RTO		4 hour RTO	4 hour RTO	4 hour RTO	4 hour RTO	4 hour RTO	4 hour RTO	4 hour RTO	4 hour RTO

Table 19 Estimated impact of DSP enhancements

Please note that:

- Options 1, 3 and 4 are mutually exclusive.
- Volumes quoted assume normal DSP operation (i.e. that capacity reserved to cope with DSP outages is not being used).
- POA.5 and PRA.3 (consistency) is dependent on consistency in behaviour between ESMs, Comms Hubs and CSPs and is not, therefore, relevant to the DSP.

Table 20, below, shows whether the current DSP capacity and enhanced capacity for each proposed option meets the DNO requirements for POA/PRA delivery⁴³ under each of the DNO-specified scenarios.

Key:	Scenario is supported	Supported with addition of two motorway lanes	Scenario not supported
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⁴³ POA.1, POA.2, POA.3, PRA, 1 and PRA.2.

Scenario	Description	Max Simultaneous Outages	Max Simultaneous Restores	Max Simultaneous SMETS2 POAs	Max Simultaneous SMETS2 PRAs	Current	1. General Uplift	2. Message Type Prioritisation	3. Dedicated Resilient MWL	4. Dedicated MWL (cloud)
C	Overhead power line comes down. 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms	50	50	45	45	Yes	Yes	Yes	Yes	Yes
A/B	Single 5000 property outage (A - TEF region / B - ARQ region)	5,000	5,000	4,500	4,500	Yes	Yes	Yes	Yes	Yes
D	Substation damaged - 5,000 lose power simultaneously	5,000	5,000	4,500	4,500	Yes	Yes	Yes	Yes	Yes
G	Storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes	5,000	7,500	4,500	6,750	Yes	Yes	Yes	Yes	Yes
H	Storm travelling South to East from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of high voltage / low voltage in other cities, towns and villages	20,000	20,000	18,000	18,000	No	Yes	Yes	Yes	Yes
E	20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare)	20,006	20,006	18,005	18,005	No	Yes	Yes	Yes	Yes
F	An event knocks down a high voltage cable in a major city (as per DNO discussions assumed to be scenario for outage of 30,000 properties)	30,000	30,000	27,000	27,000	No	Yes ⁴⁴	No	Yes ⁴⁵	Yes
I	Transmission Line Failures	200,000	50,000	180,000	45,000	No	No ⁴⁶	No	No ⁴⁷	Yes

Table 20: Current and enhanced DSP capability to meet DNO scenarios

⁴⁴ General uplift will accommodate this scenario under normal DSP operating conditions but would require two additional motorway lanes, rather than one.

⁴⁵ Dedicated resilient MWL will accommodate this scenario under normal DSP operating conditions but would require three additional motorway lanes, rather than two

⁴⁶ Whilst it is technically feasible to provide sufficient motorway lanes to cope with these transaction volumes, the quantity of infrastructure required makes this solution commercially untenable.

⁴⁷ Whilst it is technically feasible to provide sufficient motorway lanes to cope with these transaction volumes, the quantity of infrastructure required makes this solution commercially untenable.

This figures in Table 20 are based on the following assumptions:

1. “Simultaneous” POAs/PRAAs are received over the course of a minute.
2. Simultaneous outages do not occur in parallel with simultaneous restorations (i.e. processing of POAs and PRAAs relating to outages described in the scenarios does not happen at the same time).
3. For enhancement options involving prioritisation that do not include dedicated DNO resources (i.e. option 2, 5 and 6), SLAs for delivery of “non-priority” POAs/PRAAs are unlikely to be met.
4. Given the prevalence of SMETS1 Installations, the number of SMETS2 POAs/PRAAs resulting from the number of properties experiencing an outage has been reduced by 10% rather than 33% to allow for concentrations of SMETS2 Installations.

5.2 Recommendation

Based on the analysis and the expected benefits as set out in precursor sub-sections contained with Section 5, the following options are recommended:

Level	Telefónica	CGI	Arqiva
One	Option 2 – Cloud Based Micro Service Option 3 - Network Evolution Comms Hub with Super capacitor	No recommendation	Option 2a – Additional Channels (Alert Channel) Option 4 – Increase 5k/min Throttling
Two	Option 1 – Existing IT System Enhancements	No recommendation	Option 1 – Reinstate CH PRA

Table 21 Options Recommendations Overview

The recommendation has been broken down into two levels based on prioritisation and the value which each option could bring to the DNO's.

Please note that:

- Telefónica Option 4 (Firmware and Network Infrastructure updates) is not deemed viable due to investment, technology roadmap considerations and indicative delivery timeline.
- Arqiva Option 1 is deemed not viable on the basis of previous DNO/DCC decision to remove CH PRA and that it would contradict PRA.3 but it has been included on the basis of the benefit which it could offer
- CGI is not in a position to make a recommendation as to the enhancement(s) to be implemented as the decision is dependent on:
 - the choice of CSP enhancements;
 - the priorities placed by the DNOs on their stated requirements;
 - the acceptability of the effect that some options may have on other DCC Users

5.3 Breakdown

The following solution enhancement options have been proposed by the three parties:

Telefónica	CGI	Arqiva
1. Existing IT System Enhancements	1. General Uplift	1. Reinstate CH PRA
2. Cloud Based Micro Service	2. Message Type Prioritisation	2a. Additional Channels (Alert Channel)
3. Network Evolution Comms Hub with Super capacitor	3. Dedicated Resilient MWL	2b. Additional Channels (Bulk Channel)
4. Firmware and Network Infrastructure Updates	4. Dedicated MWL (cloud)	3. Improved Backhaul Resilience
	5. Geographic/Time Prioritisation	4. Increase 5k / min Throttle
	6. "Canary"	5. Buffer at CSP - DSP Gateway
	7a/7b. PRA Northbound Traffic Management	6. De-duplicate at CSP - DSP Gateway
	8. DSP Buffer for Planned Outages	

Table 22 Service Provider Enhancement Options Summary

Please note that the DSP Options 3, 4, 5 and 6 require DSP Option 2 as a pre-requisite.

In addition, if Telefónica Option 1 or 2 is selected, there is no need to introduce DSP Option 8.

Any other option is deemed to be independent of one another and can be introduced independently.

6 Responses to DNO Questions

This section sets out the response to the 43 proposed questions. Unless denoted otherwise, the responses below cover all the service providers.

Q1 End to end system behaviour up to DNO systems needs to be considered in the Study.

Yes, this has been considered and is included in this study. Please refer to “section 4 As Is System Overview” for current behaviour.

Q2 Need to cover all constraints on the DCC end to end system which impact; Power Outage Alerts and Power Restoration Alerts.

Please refer to “section 4 As Is System Overview” for current behaviour which includes constraints in the system.

Q3 What is the current POA/PRA performance measurement methodology and what is the current reporting to Industry / BEIS / DNOs? Does it meet DNO requirements?

Currently AD1s are reported to DCC on PM12.1 (power loss events impacting 50 or less Comms Hubs) and PM12.2 (power loss events greater than 50 Comms Hubs). These are collated at DCC and reported out on PM001 to the SEC Operations Group. It does not measure from the time the power was lost to the comms hub. Restore alerts are not recorded separate from other alerts and are included as part of the category 3 alerts which are also reported out on PM001.

This does not meet the DNO requirements and a workshop was held with DNO delegates to gather timing, frequency and detail of reporting. This is covered separately as part of the wider DNO enhancements.

Q4 What are the exclusions in the current systems i.e. buddy CHs, masts without backup etc.?

	Comment	Impact
TEF	A power outage may in rare cases affect the local infrastructure of the cellular network (Radio Access Network). The RAN is made up of macro sites and microcells. Macro sites provide the main coverage and have a battery backup. Non-macro sites, i.e. microcells located on the side of buildings, stadiums etc. do not have battery backup but these are also under cellular coverage of a macro site. There are a small percentage of macro sites where battery backup is not possible due to practical reasons such as space	Small percentage

	Comment	Impact
ARQ	Comms Hubs (CHs) which are unable to communicate with the Arqiva network directly may communicate via a direct connecting CH in Buddy Mode. The device which cannot directly communicate with the network is termed an Out of Range Device (ORD). The CH which relays the messages between the network and the ORD is known as the Buddy. CHs operating in Buddy Mode are expected to represent around 5% of the total estate at the end of deployment. In a power outage, if the Buddy device loses power then it will not relay messages from the ORD. With respect to power outages there are three scenarios related to buddy mode. 1. Power is lost to the buddy device only 2. Power is lost to the ORD device only 3. Power is lost to both devices In scenario 1 the power outage alerts from the Buddy will be sent to the network following the usual process. In scenario 2 the power outage alerts are received by the Buddy device and relayed to the network. In scenario 3 the power outage alerts from the Buddy device will be sent to the network following the usual process. However, to conserve power, the Buddy device will not forward the power outage alerts from the ORD. Once power is restored to the Buddy CH, it will resume relaying messages from the ORD including power restoration alerts. Where 3G backhaul is provided from a site, the 3G network may also be lost during an outage. While connectivity is down alerts will be buffered at the TK and interleaved with live messages once connectivity is restored.	5% of total CH estate
DSP	There are no known exclusions in the current systems	n/a

Q5 How do the systems handle POA/PRA alerts during DCC and User downtime? Are there areas for improvement? What are the improvement options and estimated cost of delivery?

Service provider systems typically use retry strategies to send alerts if there are failures during the send.

POAs are not retried due to the Communication Hub only having a limited time it can sustain itself following loss of power.

For 8F35/8F36 messages, if there is no response or a failure response from the DSP when attempting to send, the CH will attempt to retry sending the message after 30, 60 and 300 seconds.

Different unhappy path scenarios are described in “section 4.2.1 Unhappy Path Scenarios”. Currently there is no coverage in place for planned outages where messages can get lost during maintenance windows.

Improvement options specified under “section 5 To Be Solution Options” have solutions to address this issue and consist of:

	Option	Impact
TEF	TEF.1 - Improvements in existing systems TEF.2 - Cloud based micro service TEF.3 – Network Evolution CH with super capacitor	Reduction in message loss
ARQ	ARQ.5 - Buffering alerts at the CSP-DSP gateway	Reduction in message loss
DSP	DSP.8 - Buffering during DSP planned Maintenance	Reduction in message loss

Costs for these options will be supplied under separate documents along with this paper.

Q6 If it is decided to change the systems to enhance the POA/PRA performance how will this be raised with industry? Who pays for the enhancement? Would this follow the SEC modification process?

This will be raised as a SEC modification and will therefore follow the SEC modification process.

Q7 It is noted that the Arqiva solution may mask (by delay in sending alerts) smaller faults in other geographic regions in the event of a large fault occurring elsewhere. This is less than optimal from a DNO perspective and needs to be considered in any revised proposal. With options to improve this limitation and estimated costs and time to deliver included.

	Comment	Impact
ARQ	At present there is a throttle between the CSP and DSP to limit the maximum throughput of messages to 5,000 power outage alerts per minute. For outages generating greater than 5,000 outage alerts per minute, the additional alerts will be buffered and sent at the maximum rate until cleared. If a smaller outage alert occurs shortly after a larger outage this can in theory block the alerts from the smaller outage until the buffer clears. Some of the solution options specified under “section 5.1.2 Arqiva CSP Implementation Option Descriptions” do have solutions to address this issue. Costs for these options will be supplied under separate documents along with this paper.	Large outage = delayed AD1s

	Comment	Impact
TEF	There is currently a throttle between the CSP and DSP to limit the maximum throughput of messages to 5,000 power outage alerts per minute impacts as described above. DSP enhancements to increase throughput of POAs will enable the current limit of 5,000 to be raised.	Large outage = delayed AD1s
DSP	There is currently a throttle between the CSP and DSP to limit the maximum throughput of messages to 5,000 power outage alerts per minute impacts as described above. DSP enhancements to increase throughput of POAs will enable the current limit of 5,000 to be raised.	Large outage = delayed AD1s

Options to improve are:

	Option	Impact
TEF	TEF.1 - Improvements in existing systems	Increased alert throughput
ARQ	ARQ.4 – Relax throttle between CSP and DSP	Increased alert throughput
DSP	DSP.1 – Provision of new shared infrastructure DSP.2 – Prioritising POAs and PRAs over other northbound traffic DSP.3 – New dedicated resources for POAS and PRAs DSP.4 – Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased alert throughput Prioritise POAs/PRAs pertaining to new network outages over those related to outages which have already been notified to the DNO

Q8 Due to the change in the number of SMET 2+ versus SMETS 1 meters installed against what was assumed in the SMART business case DNOs will never receive alerts from all homes for any outage which needs to be consider in DNO requirements and revised proposal. The study needs to look into options that might be available to mitigate this position.

The fact that the vast majority of SMETS1 Installations do not generate POAs/PRAs is not something that can be directly addressed by the DSP or

CSPs. However, the DSP's "Canary" enhancement option (as described in "section 5.1.3 DSP Implementation Option Descriptions") does allow DNOs to prioritise delivery of POAs/PRAs from SMETS2 Installations located in areas of heavy SMETS1 Installation deployment, thus providing indicators of outages on these parts of the network.

Q9 What are the constraints in the DSP systems regarding POAs and PRAs from a delivery time scale and volume perspective?

	Comment	Impact
TEF	n/a	n/a
ARQ	n/a	n/a
DSP	Under normal operating conditions (i.e. assuming no DSP outages), the average processing times of POAs and PRAs are 0.288 and 0.025 seconds respectively and maximum processing rates are 12,000 and 96,000 messages per minute for POAs and PRAs respectively. There are volume constraints that apply to the aggregate POAs/PRAs received from both CSPs and that there is a maximum rate for POAs defined for each CSP defined in a Code of Connection. For volumes above these, some POAs/PRAs may get lost and/or take a longer time to be delivered. Note that the maximum DSP rate specified above for delivering POAs assumes there are no PRAs at the same time, and vice versa. Six DSP enhancements have been proposed for increasing the throughput of POAs and PRAs.	Large outage = delayed AD1s, 8F35s & 8F36s

Q10 What are the constraints in the CSP Arqiva systems regarding POAs and PRAs from a delivery time scale and volume perspective?

	Comment	Impact
TEF	n/a	n/a

	Comment	Impact
ARQ	Within the Arqiva system the AD1s and ESME alerts are delivered following two different message processes (described in more detail in “section 4.3 Arqiva CSP System Overview”). For AD1 alerts, throughput of the alert channel within a cell will depend on the number of alerts transmitted. Where the alerts are randomised over each window there is the chance that multiple alerts are transmitted at the same time and collide, causing messages to be lost. The more alerts transmitted within a time window the higher the chances of over the air collision. For the ESME alert process, CHs are allocated uplink timeslots to send the alerts in. The maximum throughput for a single channel in a cell is 2 alerts per second. For cells with higher CH loading, multiple traffic channels are used to maintain overall throughput. Typical maximum loading of a cell will be around 10,000 CHs at the end of CH roll out, with around 2,500 CHs per channel.	Large outage = delayed AD1s, 8F35s & 8F36s
DSP	n/a	n/a

Q11 What are the constraints in the CSP Telefónica systems regarding POAs and PRAs from a delivery time scale and volume perspective?

	Comment	Impact
TEF	The full constraints are covered in “section 4.2 Telefónica CSP System Overview”. From a delivery timescale perspective, confirmed power outages are determined from receiving an outage alert and not receiving a restore alert within a given time. This time is based on: • Comms Hub boot time 90 seconds • Network attach of between 20-120 seconds • Various network hops and process time adding up to 30 seconds • Orchestration system processes which gather AD1 messages and sends a batch of messages from the message buffer once a minute From a volume perspective, alerts get throttled for outages messages received exceeding 34,000 to protect SM2M and downstream systems. The CSP-DSP interface is currently restricted to 5000 alerts/minute. Also, the current implementation does not have provision for managing power alert notifications during planned maintenance periods (including DSP maintenance periods) and these will result in notifications not going through to the DNOs. The solution options specified under “section 5 Options” include solutions to address these issues. Costs for these options will be supplied under separate documents along with this paper	Large outage = delayed AD1s
ARQ	n/a	n/a
DSP	n/a	n/a

Q12 What are the POA and PRA alert service levels that DNOs currently get and experience from CSPs and DSP systems? How and when is this reported?

SLAs are normally reported in a monthly service reporting pack to the DCC.

SLAs are described in the PMA (Performance Measurement Methodology). POAs are reported under performance measures PM12.1 and 12.2.

PM12.1 reports on outages for 50 communication hubs or fewer and is calculated as power outages alerts sent/power outage alerts received, PM12.2 reports on outages greater than 50 communication hubs and is calculated as power outages alerts sent/power outage alerts received >50 and ≤ 5000,

There are currently no bespoke SLAs for the delivery of PRAs. Further details on the PM reports and service levels are available in “Appendix B – CSP Contract Extracts”.

	Comment	Impact
TEF	For both PM12.1 and PM12.2, the target SLA is 98% and minimum SLA is 96%. Since January 2019, in the CSP South region, there have been 2 reported failure months – January 2019 and August 2019 for PM12.1 and January 2019 and August 2019 for PM12.2. Similarly, for the CSP Central region, there have been 2 reported failure months – January 2019 and August 2019 for PM12.1 and July 2019 and August 2019 for PM12.2.	n/a
ARQ	Under both PM12.1 and PM12.2, the target SLA is 99% and minimum SLA is 96%. Since January 2019, in the CSP North region there have been three instances where the target SLA was not met – May 2019, May 2020 and June 2020. In all three cases the minimum SLA was still maintained.	n/a
DSP	Generic alert delivery SLAs apply. Analysis has shown that DSP meets this SLA for 99.99% of POAs/PRAs.	n/a

Q13 Can CSPs add an element of ‘location awareness’ into their processing (derived from identifying the receiving base station or cellular tower location) and therefore could protect DNOs from very large outages affecting one area whilst not impacting traffic from other areas? If this could be achieved can it guarantee near 100% delivery of small and isolated faults whilst also giving the DNOs enough notice of larger network event without needing to send every single alert for large events? How would this be delivered at what costs and delivery timescales?

Whilst technically possible this would add processing overhead to the typical ‘day to day’ performance, where large outages are uncommon. Furthermore, the CSPs have no correlation between CHs and DNO network topology or boundaries between DNOs which fall within a single cell. Prioritisation also cannot take place until a significant number of alerts have been read. CSPs do have location awareness through the Radio Access Network by means of cell ids but these can cover varying degrees of geographical areas and will have a different topology to the grid. Any processing which takes place geographically has the potential to remove desired alerts not just those relating to a known outage.

Further work on this is required to ascertain what further data can be provided by SU’s / DNO’s to allowing location based tracking to be implemented at a level to allow this to be carried out by service providers and to maintain a trustworthy service.

Q14 DNOs require a Power Outage Alert for all outages of power to the meter which are longer than 3 minutes to be sent to the DNO

can this be delivered if not why not? What are the options and cost estimate and delivery timescale?

	Comment	Impact
TEF	There is a throttle in place on the load balancers that deliver CH alerts to the SM2M DMM system. This protects SM2M DMM and downstream systems from an alert flood if an outage occurs exceeding 34,000 households. It is applied when the alerts exceed 2267 transactions per second (alerts are randomised over 15secs). For outages smaller than 34,000 households, no alerts will intentionally be dropped.	Large outage >34k = dropped AD1s
ARQ	POAs are sent as a last gasp type message from the CHs and are not acknowledged. To improve the probability of successful delivery, three alerts are sent from each CH. During large outages, some messages are lost due to over the air collisions and all three alerts for a particular CH can in theory be lost. There are enhancement options which aim to reduce the chance of over the air message collisions occurring, improving the reliability in larger outages.	Large outage = dropped AD1s
DSP	There is also message throttling in place at the CSP-DSP gateway of 5,000 messages per minute. DSP enhancements to increase throughput of POAs will enable the current limit of 5,000 to be raised.	Large outage = delayed AD1s

The solution options specified under “section 5 Options” include solutions to address the above issues. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.1 – Improvements in existing systems TEF.2 – Cloud Based micro service TEF.3 – Network Evolution CH with super capacitor	No dropped AD1s
ARQ	ARQ.4 – Relaxed throttle between CSP and DSP	No dropped AD1s
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q15 The DNOs require the Power Outage Alert to be delivered promptly, arriving at the DNO systems within 5 minutes of the start of the power outage (i.e. 2 minutes after the start of the Power Outage Event, which starts 3 minute after the start of the power outage) can this be delivered if not why not? What are the options and cost estimate and delivery timescale?

	Comment	Impact
TEF	The 5 minute delivery time from the start of the power outage (including the 3 minute wait time to confirm the outage) cannot currently be achieved, due to several reasons including the time it takes to process the outage alert and also the time required to wait for possible power restore alerts that may arrive after the CH reboots and reattaches to the network. This is described in more detail under “section 4.2 Telefónica CSP System Overview”.	AD1s take up to 8mins
ARQ	There are 3 alerts sent for AD1s. The length of time it takes to deliver these varies based on several factors such as the volume of outages and number of cells that support the transmission of these alerts. After the first alert window, which is sent within the DNO requirement of 5 minutes, a certain percentage of the AD1 alerts will be delivered with additional AD1 alerts delivered within the subsequent alert windows. This is covered in more detail in “section 4.3.3 Current Performance”.	>50% of AD1s are delivered within 5 minutes
DSP	Analysis has shown that the average DSP processing time for POAs/PRA is 0.02 seconds (please refer to Appendix A: DSP PRA/POA). Since this represents less than 0.01% of the 5 minute target, DSP processing is not considered to be on the critical path for achieving this requirement.	All alerts processed within 4 seconds

The options specified under “section 5 Options” include solutions to address this issue. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.3 – Network Evolution CH with super capacitor	Faster AD1 delivery
ARQ	ARQ.2a – Increased alert channels	Faster AD1 delivery
DSP	n/a	n/a

Q16 Can the Power Outage Alert be reliable and dependable? How can reliable and dependable be defined and measured?

It is assumed that reliability of POAs is defined as successful delivery of all power alerts longer than 3 minutes (AD1 alerts). Dependable is assumed to mean trustworthy alerts where there are no false positive or negative messages.

	Comment	Impact
TEF	Under the Telefónica implementation, reliable and dependable delivery of power outage information is affected by: • OUTAGE ○ CH triggering the outage alert using a send and forget method ○ RAN and packet Core delivering the outage alert to the SM2M DMM system. ○ SM2M DMM passing the outage alert to the Orchestration system via a UDP interface. ○ Systems downtime • RESTORE ○ ESME triggering the restore alert ○ CH forwarding the ESME restore alert to DSP ○ CH triggering the CH restore alert ○ RAN and packet Core delivering the restore alert to SM2M ○ SM2M processing the restore alert to the Orchestration system via a UDP interface. ○ Orchestration system generating the AD1 correctly and sending to DSP ○ All systems being available Known reasons why an AD1 might not get triggered: For large outages, there is a throttle in place of 2267 transactions per second or an outage of 34,000, to protect downstream systems.	n/a
ARQ	There are known instances where AD1 alerts are lost. The AD1 alerts are considered to be dependable as CHs only generate POAs when power has been interrupted for at least 3 minutes.	n/a
DSP	For the unusual cases where there are gas-only SMETS2 installations, when DSP receives a power outage alert from the Comms Hub via the CSP, it will attempt to find the MPAN(s) of the associated electricity meter(s), but for gas-only installations there will not be one. As the MPAN is used in order to know which DNO to send the DCC Alert AD1 to, and also the DUIS DCC Alert AD1 structure requires an MPAN, it is not feasible for DSP to send a DCC Alert AD1 to the DNO. Currently approximately 5% of SMETS2 installations are gas-only, though this proportion is expected to decrease as the smart meter rollout continues.	n/a

Using data provided by the DSP a full review is currently underway of outage and restore alert processing with the aim to identify weaknesses in the above areas. Appendix A shows Power Alert data analysis.

There are also other DCC CRs that are currently being progressed to measure and assess the service provider systems. CR1349 will cover POA/PRA reporting and PR1227 will cover end to end testing of POA/PRA.

Q17 The DNOs require the format, reliability and behaviour of Power Outage Alert to be consistent between all Meter types and Comms Hub types and CSPs can this be delivered? How can consistence be defined and measured?

Alerts are currently consistent between CSPs and are of the same format although do not necessarily have the same reliability. Arqiva alerts can be lost due to over the air collision of messages. Telefónica alerts may potentially be lost while traversing between systems. There is general consistency between CH types in terms of delivery of the POAs.

Using data provided by the DSP, a full review is currently underway of outage and restore alert processing with the aim to identify weaknesses in the above areas, including analysing whether there are any inconsistencies between CH types and meter types.

Q18 The DNOs require the Power Restoration Alert to be delivered promptly, arriving at the DNO systems within 1 minute following the restoration of the power supply to the meter is this requirement being met? If not, how can it be achieved at what cost?

	Comment	Impact
TEF	Power Restoration Alerts are generated by the ESME and sent to the CH. If during a grid outage, power is restored before the communication hub supercapacitor (backup power) expires then ESME Power Restoration Alerts (8F35 and 8F36) will be sent immediately and reach the DSP within 1 minute. If the communication hub has to shut down due to the super capacitor expiry, it will take longer to transmit the power restoration alert. The communication hub will take around 90 seconds to reboot itself and a further 20 to 120 seconds before it re-attaches itself to the Telefónica network. Once it has attached, it is able to forward the Power Restoration Alert which will reach the DSP within a minute. A communication hub supercapacitor is expected to last at least 20 seconds but could last up to 2 minutes. If the CH has to reboot, then there are no solutions currently available from the Telefónica side to transmit the PRA within a minute due to the constraints of the CH.	PRAs take between <1min to 4mins

	Comment	Impact
ARQ	Power Restoration Alerts are generated by the ESME and sent to the CH. On power restoration the CH reboots, taking up to 3 minutes. At present there are known to be ESMEs which transmit the GBCS alerts before the CH has finished booting. With no message retry mechanism from the meter these messages are currently lost. PR1227 is aimed at identifying the meters which suffer from above issue. Once the CH has rebooted after power restoration any successfully received PRAs will be uploaded to the network at a maximum rate of 2 messages per channel per cell. Some of the options in “section 5.1.2 Arqiva CSP Implementation Option Descriptions” aim to improve the throughput of ESME alerts once they reach the CH.	PRAs sent at a rate of 2 per second per channel in a cell. For the maximum loading of a channel PRAs can take 45 minutes to send
DSP	Analysis has shown that the average DSP processing time for PRAs is 0.025 seconds (please refer to Appendix A: DSP PRA/POA SLAs). Since this represents 0.042% of the 1 minute target, DSP processing is no considered to be on the critical path for achieving this requirement.	4secs

Q19 DNOs require Power Restoration Alerts for all outages of power to the meter (i.e. those lasting less than 3 minutes and those lasting more than 3 minutes) to be sent to the DNO are the system meeting this requirement? If not, how can it be met at what cost?

	Comment	Impact
TEF	Power Restoration Alerts are sent directly from the CH to the DSP traversing only the Radio Access Network and the Telefónica Network interface to the DSP. All 8F35s and 8F36s are due to be sent to the DSP. There is high availability on the network links so in general the exceptional situations where these alerts are not delivered would be: ○ CH issue ○ CH to RAN connectivity issue ○ When there are unplanned or planned outages on the DSP systems	All PRAs sent

	Comment	Impact
ARQ	The Arqiva CH will maintain power for the 3 minutes required to identify power outages due to the charge in the supercapacitor. On loss of power the CH processor drops into a low power state to preserve capacitor charge. Where power is restored within this time the CH reboots taking up to 3 minutes. After rebooting, any ESME alerts sent to the CH will be uploaded to the network with a maximum rate of 2 alerts per channel per cell. Where power is restored after an outage longer than 3 minutes the CH will again reboot taking up to 3 minutes. After rebooting, any ESME alerts sent to the CH will be uploaded to the network with a maximum rate of 2 alerts per channel per cell. Some of the options in “section 5.1.2 Arqiva CSP Implementation Option Descriptions” aim to improve the throughput of ESME alerts once they reach the CH.	All PRAs sent assuming success before the end of the RTS back off window
DSP	Under normal operating conditions (i.e. assuming no DSP outages), the average processing times of POAs and PRAs are 0.288 and 0.025 seconds respectively and maximum processing rates are 12,000 and 96,000 messages per minute for POAs and PRAs respectively. There are volume constraints that apply to the aggregate POAs/PRAs received from both CSPs and that there is a maximum rate for POAs defined for each CSP defined in a Code of Connection. For volumes above these, some POAs/PRAs may get lost and/or take a longer time to be delivered. Note that the maximum DSP rate specified above for delivering POAs assumes there are no PRAs at the same time, and vice versa. Six DSP enhancements have been proposed for increasing the throughput of POAs and PRAs	All PRAs sent

Using data provided by the DSP a full review is currently underway of outage and restore alert processing with the aim to identify weaknesses in the above areas.

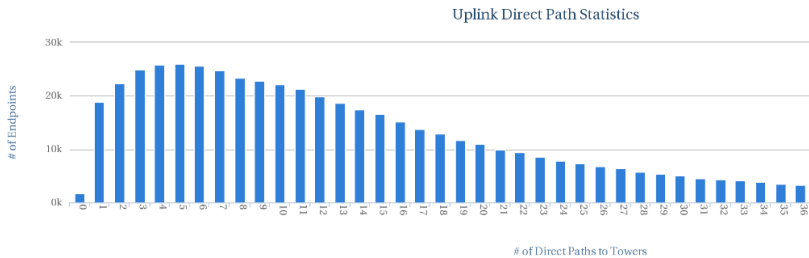
The solution options specified under “section 5 Options” include solutions to address the above issues. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q20 How does the CSPs and DSP system deal with an outage scenario of a single 5000 property outage? Can this be enhanced, how at what cost and timescale?

The assumption is that all 5,000 homes have power restored simultaneously.

	Comment	Impact
TEF	When power is lost, 5,000 outage alerts are sent over 15 seconds. They are delivered to the Orchestration Tool (OT) within a few seconds at which point it holds these messages and awaits restore alerts. If power is restored within 3 minutes: • CHs that are not powered down send restore alerts randomised over 30 seconds • CHs that are powered down boot up and follow network attach procedure • OT waits for up to 7 minutes for the restore alerts • OT cancels the outage If power is restored after a period of 3 minutes: • OT waits for up to 7 minutes for the restore alerts • When a PRA is not received, OT marks the outage as confirmed and queues up the AD1 • AD1 is sent to DSP when the once a minute OT batch process picks it up. • 5,000 AD1s are sent to DSP spread over 1 minute. The total time from outage to delivery of final alerts to DSP is approximately 8 minutes.	AD1s sent to DSP within 8mins 8F36s sent to DSP within 4mins

	Comment	Impact
ARQ	We can analyse this based on assuming the worst case where all 5,000 CHs are within a single cell of the Arqiva system and with 5% of the cell connected through buddy mode and no additional coverage provided by neighbouring sites. The CHs will begin sending POAs once the outage time reaches 3 minutes. Three alerts are transmitted from each CH dithered over a 45s, 240s and 240s window respectively. In the above scenario, statistically around 31% of alerts will be delivered within 5 minutes (2 minutes after the outage is confirmed). This will rise to around 86% of CHs alerts at the end of the third window a total of 11m 45s after the outage occurred (8m 45 after outage confirmation). Typically, an outage of this size would occur in a location where overlapping coverage from neighbouring sites is provided. With some overlapping coverage provided by a single additional site then the number of CHs alerts expected to be received at 5m and 11m 45s would be around 52% and 94% respectively. At present there are around 3% of CHs which are only served by a single site. The vast majority of CHs have additional coverage provided by multiple neighbouring sites. The number of sites which provide overlapping coverage varies by geographic area and install location. With each increasing uplink path available the chance of successfully receiving a given power outage alert increases. In very large outage scenarios, the availability of neighbouring sites is reduced. For the current installed CH estate, the below graph shows the number of uplink paths available to each CH demonstrating the level of overlapping coverage in the network:  Figure 18 Uplink Direct Path Statistics	94% of AD1s sent to DSP in 11m45s
DSP	DSP processes all AD1s and 8F35 and 8F36s within 4 seconds	AD1s forwarded within 4 seconds

Solution options specified under “section 5 To Be Solution Options” address aspects of this, including the length time it takes for DNO to receive these alerts. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.3 – Network Evolution CH with supercapacitor	Faster AD1 delivery
ARQ	ARQ.2a – Increased alert channels	Faster AD1 delivery
DSP	n/a	n/a

Q21 How does the CSPs and DSP system deal with an outage scenario an overhead power line comes down (possibly hit by a car), 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms? Can this be enhanced, how at what cost and timescale?

The assumption is that all 50 homes have power restored simultaneously.

	Comment	Impact
TEF	This would work in the same way as described in Q20	AD1s sent to DSP within 8mins 8F36s sent to DSP within 4mins
ARQ	We can analyse this based on assuming the worst case where all 50 CHs are within a single cell of the Arqiva system and with 5% of the cell connected through buddy mode and no additional coverage provided by neighbouring sites. After 5 minutes, statistically around 91% of CHs alerts will have been delivered rising to around 95% after 11m 45s	95% delivery after 11m45s
DSP	DSP processes all AD1s and 8F35 and 8F36s within 4 seconds	AD1s forwarded within 4 seconds

Q22 How does the CSPs and DSP system deal with an outage scenario of 30,000 property outage. Can this be enhanced, how at what cost and timescale?

The assumption is that all 30,000 homes have power restored simultaneously.

	Comment	Impact
TEF	When power is lost, 30,000 outage alerts are sent over 15 seconds. They are delivered to the Orchestration Tool (OT) within a few seconds at which point it holds these messages and awaits restore alerts. If power is restored within 3 minutes: • CHs that are not powered down send restore alerts randomised over 30 seconds • CHs that are powered down boot up and follow network attach procedure • OT waits for up to 7 minutes for the restore alerts • OT cancels the outage If power is restored after a period of 3 minutes: • OT waits for up to 7 minutes for the restore alerts • When a PRA is not received, OT marks the outage as confirmed and queues up the AD1 • AD1 is sent to DSP when the once a minute OT batch process picks it up. • 30,000 AD1s are sent to DSP spread over a 6 minute period The total time from outage to delivery of final alerts to DSP is approximately 13 minutes.	AD1s sent to DSP within 13mins 8F36s sent to DSP within 4mins
ARQ	We can analyse this based on assuming the worst case where all 30,000 CHs are spread across three cells of the Arqiva system, 10,000 in each cell. 5% of the cell connected through buddy mode and additional coverage provided by a single neighbouring site. After 5 minutes statistically around 52% of CHs alerts will have been delivered rising to around 94% after 11m 45s. For an outage of this size the contribution from neighbouring sites is likely to be higher than just a single neighbouring site. Where there are two additional sites providing overlapping coverage into each cell the number of CHs alerts expected to be received at 5m and 11m 45 rises to around 66% and 95% respectively.	95% of AD1s sent to DSP in 11m45s
DSP	Under normal operating conditions, the DSP can process a maximum of 12,000 POAs per minute and 96,000 PRAs per minute. Six DSP enhancements have been proposed for increasing the throughput of POAs/PRAs.	Higher volumes of POAs

Solution options specified under “section 5 To Be Solution Options” address aspects of this, including the length time it takes for DNO to receive these alerts. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.3 – Network Evolution CH with supercapacitor	Faster AD1 delivery
ARQ	ARQ.2a – Increased alert channels	Faster AD1 delivery
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q23 DNOs expect the introduction of Smart Metering to deliver notifications within one or two minutes after a supply interruption has lasted longer than 3 minutes (a power outage is defined as a supply interruption lasting three minutes or more), as well as instantaneous notifications when power is restored how can this expectation be met? Can this be enhanced, how at what cost and timescale?

Duplicated. See Q15 and Q19

Q24 How does the CSPs and DSP systems deal with an outage scenario of 20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare). Can this be enhanced, how at what cost and timescale?

The assumption is that all 20,006 homes have power restored simultaneously.

	Comment	Impact
TEF	When power is lost, 20,000 outage alerts are sent randomised over 15 seconds. They are delivered to the Orchestration Tool (OT) in around two minutes at which point it holds these messages and awaits restore alerts. 30 seconds later, power is then lost to the 6 homes and the power outage messages related to these 6 homes will be queued behind the 20,000 outage alerts. There are different scenarios involved here: Scenario 1 If power is restored to all 20,006 CHs within 3 minutes: - CHs not powered down send a restore alerts randomised over 30secs - CHs powered down boot up and follow network attach procedure - OT waits the for up to 7mins for the restore alerts - OT cancels the outages Scenario 2 If power is restored to all 20,006 CHs after 3 minutes: - OT waits for up to 7 minutes for the restore alerts - When power restore alerts are not received during this time, OT marks the outage as confirmed, queues up the AD1 - AD1 is sent to DSP when the once a minute OT batch process picks it up 20,006 AD1s are sent to DSP over a 5 minute period Scenario 3 If power is restored to the 20,000 CHs after 3 minutes and power is restored to the 6 CHs within 3 minutes - CHs not powered down send restore alerts randomised over 30 seconds - CHs powered down boot up and follow network attach procedure - OT waits for up to 7 minutes for the 20,000 restore alerts - When not received, OT marks the outage as confirmed, queues up the AD1 - OT waits the for up to 7 minutes for the 6 restore alerts - OT cancels the 6 outages - AD1s are sent to DSP when the once a minute OT batch process picks it up 20,000 AD1s are sent to DSP over a 4 minute period - Total time from outage to delivery of final alert to DSP is 11 minutes.	Scen 1 No AD1s sent 8F35s sent to DSP within 4mins Scen 2 AD1s sent to DSP within 12mins 8F36s sent to DSP within 4mins Scen 3 AD1s sent to DSP within 11mins 8F36s sent to DSP within 4mins

	Comment	Impact
ARQ	We can analyse this based on assuming the worst case where all 20,000 CHs are spread across two cells of the Arqiva system, 10,000 in each cell. 5% of the cell connected through buddy mode and additional coverage provided by a single neighbouring site. Assuming the 6 devices are not connected through buddy mode. Assuming the worst case where the 20,000 CHs are spread across two cells of the Arqiva system, 10,000 in each cell. 5% of the cell connected through buddy mode and additional coverage provided by a single neighbouring site. The additional 6 devices are not connected through buddy mode. After 5 minutes statistically around 52% of CHs alerts from the first outage will have been delivered rising to around 94% after 11m 45s. Where power is lost to the 6 premises at the 30 second mark alerts will be interleaved with the larger outage. As the first outage is expected to deliver 52% of CHs alerts within a 2 minute window (3-5 minutes after power is lost) this does not reach the current limit of the CSP – DSP 5000 per minute throttle. As such the 6 alerts from the second outage will be sent to DSP as soon as they are received by the network without additional processing delay, typically within 4 minutes of power being lost.	94% of AD1s sent to DSP in 11m45s
DSP	Under normal operating conditions, the DSP can process a maximum of 12,000 POAs per minute and 96,000 PRAs per minute. Six DSP enhancements have been proposed for increasing the throughput of POAs/PRAs.	Higher volumes of POAs

Solution options specified under “section 5 To Be Solution Options” address aspects of this, including the length time it takes for DNO to receive these alerts. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.3 – Network Evolution CH with supercapacitor	Faster AD1 delivery
ARQ	ARQ.2a – Increased alert channels	Faster AD1 delivery
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q25 How does the CSPs and DSP systems deal with an outage scenario of an event knocks down a high voltage cable in a major city. Can this be enhanced, how at what cost and timescale?

Duplicate. DCC have confirmed that this is the same scenario as Q23, and outage size assumed is 30,000.

Q26 How does the CSPs and DSP systems deal with an outage scenario of a storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes. Can this be enhanced, how at what cost and timescale?

The largest single outage size quoted here is 5,000.

	Comment	Impact
TEF	When power is lost, 5,000 outage alerts are sent over 15 seconds. They are delivered to the Orchestration Tool (OT) within a few seconds at which point it holds these messages and awaits restore alerts. If power is restored within 3 minutes: • CHs that are not powered down send restore alerts randomised over 30 seconds • CHs that are powered down boot up and follow network attach procedure • OT waits for up to 7 minutes for the restore alerts • OT cancels the outage If power is restored after a period of 3 minutes: • OT waits for up to 7 minutes for the restore alerts • When a PRA is not received, OT marks the outage as confirmed and queues up the AD1 • AD1 is sent to DSP when the once a minute OT batch process picks it up. • 5,000 AD1s are sent to DSP spread over 1 minute. The total time from outage to delivery of final alerts to DSP is approximately 8 minutes. This cycle repeats for each outage. If 2 outages of 5,000 coincide then Telefónica will treat this as a single outage of 10,000. If half are restored within 3 minutes then no AD1 will be generated for these.	AD1s sent to DSP within 8mins 8F36s sent to DSP within 4mins

	Comment	Impact
ARQ	We can analyse this based on assuming the worst case where all 5000 CHs are within a single cell of the Arqiva system with 5% of the cell connected through buddy mode and no additional coverage provided by neighbouring sites. The CHs will begin sending POAs once the outage time reaches 3 minutes. Three alerts are transmitted from each CH dithered over a 45s, 240s and 240s window respectively. In the above scenario, statistically around 31% of alerts will be delivered within 5 minutes (2 minutes after the outage is confirmed). This will rise to around 86% of CHs alerts at the end of the third window a total of 11m 45s after the outage occurred (8m 45 after outage confirmation). Typically, an outage of this size would occur in a location where overlapping coverage from neighbouring sites is provided. With some overlapping coverage provided by a single additional site then the number of CHs expected to be received at 5m and 11m 45s would be around 52% and 94% respectively. This cycle repeats for each outage. If two outages of 5,000 coincide, Arqiva will treat this as a single outage of 10,000 where the performance for a worst-case single cell outage is described in question 22.	94% of AD1s sent to DSP in 11m45s
DSP	Under normal operating conditions, the DSP can process a maximum of 12,000 POAs per minute and 96,000 PRAs per minute. Six DSP enhancements have been proposed for increasing the throughput of POAs/PRAs.	Higher volumes of POAs

Solution options specified under “section 5 To Be Solution Options” address aspects of this, including the length time it takes for DNO to receive these alerts. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.3 – Network Evolution CH with supercapacitor	Faster AD1 delivery
ARQ	ARQ.2a – Increased alert channels	Faster AD1 delivery
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q27 How does the CSPs and DSP systems deal with an outage scenario of storm travelling South to North from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of High Voltage/Low Voltage in other cities, towns and villages. Can this be enhanced, how at what cost and timescale?

The largest single outage size quoted here is 20,000.

	Comment	Impact
TEF	When power is lost, 20,000 outage alerts are sent over 15 seconds. They are delivered to the Orchestration Tool (OT) within a few seconds at which point it holds these messages and awaits restore alerts. If power is restored within 3 minutes: - CHs that are not powered down send restore alerts randomised over 30 seconds - CHs that are powered down boot up and follow network attach procedure - OT waits for up to 7 minutes for the restore alerts - OT cancels the outage If power is restored after a period of 3 minutes: - OT waits for up to 7 minutes for the restore alerts - When a PRA is not received, OT marks the outage as confirmed and queues up the AD1 - AD1 is sent to DSP when the once a minute OT batch process picks it up. 5,000 AD1s are sent to DSP spread over 1 minute. The total time from outage to delivery of final alerts to DSP is approximately 8 minutes. This cycle repeats for each outage. If 2 outages coincide then Telefónica will treat these as a single outage of the sum of both. Only when the total number of CHs affected exceeds 34,000 will throttling of power outage alerts take place.	AD1s sent to DSP within 11mins 8F36s sent to DSP within 4mins
ARQ	The performance for this size outage is as described in question 22. This cycle will repeat over the course of the outage. Where multiple outages occur at the same time, the current 5,000 per minute throttle to the DSP could be exceeded leading to delays in alerts being delivered to the DNOs.	94% of AD1s sent to DSP in 11m45s
DSP	Under normal operating conditions, the DSP can process a maximum of 12,000 POAs per minute and 96,000 PRAs per minute. Six DSP enhancements have been proposed for increasing the throughput of POAs/PRAs.	Higher volumes of POAs

Solution options specified under “section 5 To Be Solution Options” address aspects of this, including the length time it takes for DNO to

receive these alerts. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.3 – Network Evolution CH with supercapacitor	Faster AD1 delivery
ARQ	ARQ.2a – Increased alert channels	Faster AD1 delivery
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q28 Are there any open defects with CHs that is causing issues with POA and PRA? What are they and what are the fix dates?

	Comment	Impact
TEF	There are no known open defects where the root cause has been specifically related to POAs/PRAs. Several incidents have been raised which require further investigation on CHs/ESMEs in specific premises.	n/a
ARQ	No open defects, however the problem with ESME messages being lost while the CH is still rebooting is under review. The missing data includes FW activation alerts from the ESME (outside the scope of this project) and power restore alerts from the ESMEs. A fix would involve a change to the way in which the ESME transmits the alert to introduce a similar retry strategy to that which already exists for messages going from the CH to other HAN devices. No fix date set.	n/a
DSP	There are no known open defects	n/a

The work planned under DCC PR1227 (related to testing of power alerts capability) may identify some issues which will need to be addressed.

Q29 Is the CSP DSP systems sized to meet the DCC obligation to deliver POA and PRA service to DNOs? If not, what is the size of the gap and where is the gap? Can this be enhanced, how at what cost and timescale?

For the service providers, the systems are sized to deliver a power outage service that will grow as the CH roll out increases. Please refer to “section 4 As Is System Overview” in the paper which provides details of the

current service implementation. There are significant technical and financial barriers to meet the DCC obligation to the DNOs. Options as to how these can be met in part are provided in the paper. Please refer to “section 5 To Be Solution Options” for details.

Q30 What is the length of the dither within the Comms Hub which delays the POA and can it be reduced and by how much? At what costs? How long to implement?

	Comment	Impact
TEF	The current length of the dither within the CH is up to 2 minutes further covered in “section 4.2 Telefónica CSP System Overview”. For options to reduce this, it is covered in “section 5 To Be Solution Options”. Costs for these options will be supplied under separate documents along with this paper.	2mins
ARQ	A dither is used to randomise alert transmissions over the three alert windows. The shorter 45s window has been chosen to optimise delivery for smaller outages while the longer 240s windows are intended to improve performance for larger outages. There is no scope to change the alert windows.	4mins
DSP	n/a	n/a

Q31 Are there any infrastructure limitations with CSPs and DSP systems which delay POA and PRAs and volumes sent to DNOs? Can this be enhanced, how at what cost and timescale?

For Telefónica and Arqiva, the delivery of POAs and PRAs is reliant on the Radio Access Network as well as smart metering systems involved. For the DSP, the time taken to deliver messages at its end is very short and improvement options are available to increase the volumes of messages delivered in that duration.

The options offered in the paper provide ways of improving upon this service and some of the options are addressing infrastructure limitations, covered in “section 5 To Be Solution Options”. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impacted requirement
TEF	TEF.1 – Improvements in existing systems TEF.2 – Cloud based micro service	POA.1, POA.2, POA.5, GEN.1, GEN.2
ARQ	Options do not cover infrastructure	n/a
DSP	DSP.1 – Provision of new shared infrastructure DSP.3 – Provision of new DNO dedicated infrastructure	POA.1, POA.3, PRA.1,

Q32 What is the impact of 5G on POA PRA deliver in the future?

This is relevant for the Telefónica implementation only.

5G includes Ultra Reliable Low Latency solutions but these are designed for machine to machine (M2M) applications such as driverless robots and cars. For now, 5G is reliant on 4G for all connection control aspects so only offers increased bandwidth which is not of benefit to POA/PRA.

4G offers better control of attach rates during a power restore and offers the opportunity to redesign the deactivate/detach/attach/activate process in order to reduce the signalling load and increase the attach throughput of communication hubs. It may also provide opportunity for CH hardware changes that help identify confirmed power outages quicker.

Q33 How does the CSP/DSP systems operate in a Black Start scenario? What are the options to make improvements? At what costs?

Based on discussions with DCC and DNO representatives, catering for a nationwide/blackstart scenario would be a very complex and costly change on the CSP/DSP implementation for something that is relatively rare.

Therefore, this paper is focused on dealing with outages up to the national grid transmission failure level which is described below:

Type of Incident	Customer Number affected (Typical)	Frequency of incident	Comments
National Grid Transmission System	1-200,000 customers	Average 9 per annum (3 to 16 typically per annum)	On average these incidents last 60mins but are very dependent on the circumstances. The average of 9 incidents per annum includes incidents affecting small numbers of very large industrial/commercial customers (i.e. some of these incidents do not affect domestic customers). On occasions the transmission system will experience very short interruptions (lasting a few seconds) which would result in simultaneous restorations of 1-200,000 customers. Such events are likely to be too short to be detected by the communications hub.

Based on the volumes of outages and restores that may occur with a black start scenario, there will be some power alert messages lost due to the network and system level protection mechanisms put in place by the service providers.

Q34 Are the environment that the CHs are deployed into behaving as predicted? If not can this be enhanced, how at what cost and timescale?

For Telefónica and Arqiva, there are currently no known environment issues.

Q35 Are the devices and network on which they operate behave as expected for POA and PRA processing? Can this be enhanced, how at what cost and timescale?

Using data provided by the DSP, a full review is currently underway of outage and restore alert processing with the aim to identify weaknesses in the processing of outages.

Q36 Are the operational assumption and parameters correct for POA and PRA processing? Can this be enhanced, how at what cost and timescale?

Using data provided by the DSP, a full review is currently underway of outage and restore alert processing with the aim to identify weaknesses in the processing of outages.

Q37 Can the throttling of alerts at the CSPs be changed to improve the timing of AD1s being sent to DNOs? What are the options and improvements likely? What would be the costs and timescale to make the changes?

	Comment	Impact
TEF	The implementation uses throttling for CH POAs and PORs to protect systems from a large outage alert storm. This is set at an outage size of 34,000. Without this throttle the entire TEF smart metering service could be at risk so it cannot be adjusted without additional capacity first being made available. A 2 nd throttle between the CSP and DSP systems is set at 5000/minute to manage load on the DSP	5000/min
ARQ	A throttle between the CSP and DSP systems is set at 5000/minute to manage load on the DSP	5000/min
DSP	Options to increase the throughput can be found in section 5 "To Be Solutions Options"	

For all service providers, relaxing the throttle between the CSP and DSP systems would improve the throughput of large alert storms, which will result in more alerts being delivered to the DNO during the same length of time

Solution options specified under "section 5 Options" address aspects of this. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.1 – Improvements in existing systems TEF.2 – Cloud Based micro service	Increased throughput of AD1s

	Option	Impact
ARQ	ARQ.4 – Relaxed throttle between CSP and DSP	Increased throughput of AD1s
DSP	DSP.1 - Provision of new shared Infrastructure DSP.2 - Prioritising POAs and PRAs over other northbound traffic DSP.3 - New dedicated resources for POAs and PRAs DSP.4 - Cloud-based Dedicated DNO POA/PRA resources DSP.5 – Prioritisation within POAs and PRAs by Postcode/Time DSP.6 - Prioritisation within POAs and PRAs by Canary indicators	Increased throughput of AD1s

Q38 Do alerts get lost? What are the scenarios if alerts are lost and how can this be prevented? At what cost and timelines?

The current service provider systems will experience loss of messages due to planned outages for system maintenance.

	Comment	Impact
TEF	There are places where in theory alerts can get lost though analysis previously made does not suggest these are material. These are described in more detail in “section 4.2 Telefónica CSP System Overview”.	Not material
ARQ	AD1 alerts are sent without acknowledgement. It is possible that all three alerts are not received by any site and the alert is lost. Messages lost due to buddy mode are described in question 4. ESME generated meter alerts are currently lost for some ESME manufacturers. Testing as part of PR1227 will identify these meters	Small losses possible
DSP	POAs/PRAs are currently lost during DSP planned and unplanned outages. Planned outages typically last for 4 hours.	Losses during planned / unplanned outages

Options to address these issues are covered in “section 5 To Be Solution Options”. Costs for these options will be supplied under separate documents along with this paper.

	Option	Impact
TEF	TEF.1 – Improvements in existing systems	More reliable alert handling
ARQ	ARQ.5 – Buffering alerts at the CSP-DSP gateway	More reliable alert handling

	Option	Impact
DSP	DSP.8 - DSP Buffer for Planned Outages	Prevents loss of POAs/PRAs during DSP planned outages

Q39 Are there scenarios where DNOs get 8F36 but don't get an AD1? If yes what is the cause of this and what is the fix and timescale?

Using data provided by the DSP a full review is currently underway of outage and restore alert processing with the aim to identify weaknesses in the processing of outages.

	Comment	Impact
TEF	A known scenario where this may occur is for an outage impacting more than 34,000 Communication Hubs. A cellular access issue could also cause this for Toshiba communication hubs. (See section 4.2.1 Unhappy Path Scenarios) Note a review of outage alert processing is also taking place.	Extremely large outage
ARQ	As described in question 38, it is possible that the AD1 alert is lost. The 8F36 alert follows a retry process and its delivery is therefore more reliable. Typically for small to medium sized outages the number of lost AD1s will be minimal. For larger outages the chance of losing the AD1 is increased and is described in the scenario in question 22. Solution options to improve message throughput would reduce the number of AD1 which are lost due to over the air collision of messages. This is covered in "section 5 To Be Solution Options". Costs for these options will be supplied under separate documents along with this paper.	More likely for larger outages
DSP	No losses expected in normal operation	No losses expected

Q40 The DNOs' expectation is that all outage alerts will be delivered as had previously been agreed with DCC and CSPs at industry meetings during 2013/14. The CSPs and DCC agreed to provide all alerts because CSPs would otherwise struggle to differentiate between different DNO network incidents therefore not being able to cap the maximum number of alerts per incident (i.e. 50) as set out in the service level details contained in their respective contracts. Furthermore, DNOs were never consulted on the final alert volume cap for the service levels and would never have agreed to a cap of 50 if they had been consulted. How can this expectation be met?

Duplicate of Q14

Q41 Previously via workshops it was discussed that a series of realignment steps which DCC and Telefónica believe will provide

adequate support to DNOs business case fulfilment which included work on Dithered Network Attach, Rollout dependant Dither improvement and Dither Zeroed set by Incident/SR. What is status of these realignment steps?

A full review of the network re-attach timer or dither has been performed as part of PR1226. Details of this are in “section 5.1.1 Telefónica CSP Implementation Option Descriptions”. This has established that it is not viable to reduce the Telefónica dither time.

Q42 Previously there was discussions on increasing the Telefónica core network infrastructure, adding more SGSNs, GGSNs and HLRs may improve performance of alerts is this still a viable option?

A full review of the network re-attach timer or dither has been performed as part of PR1226 and investigates what infrastructure changes are required to achieve this. Details of this are in “section 5.1.1 Telefónica CSP Implementation Option Descriptions”. This has established that it is not viable to reduce the Telefónica dither time.

The dither time was originally set at 10 minutes and the reduction to 2 minutes was a temporary concession at the start of roll out. This has been covered in the reference document “**Power Alerts Project Briefing Paper [R2]**”.

Q43 Previously Arqiva presented eight enhancement options that could increase the throughput of Power Outage and Restoration alerts through the Arqiva SMWAN. These options are presented in an order whereby they build consecutively on the previous stages. These were,

- 1. Modifications to the RTS time windows.**
- 2. The addition of a second RTS channel**
- 3. The addition of a second Alarm channel**
- 4. The addition of a further two RTS channels (total of four).**
- 5. The addition of a further two Alarm channels (total of four).**
- 6. Mixed modulation schemes on the RTS and Alarm.**
- 7. Higher bandwidth RTS and Alarm channels.**
- 8 Additional sites to reduce maximum Comms Hub density (across entire network).**

Are any of these still options and at what costs and timescales?

The above options were initially suggested significantly earlier in the project and not all of them are still applicable.

Option 1 was implemented to improve the throughput of request to send (RTS) messages. This has already improved the 8F35 and 8F36 delivery timescales in larger outages.

Options 2 and 3 are covered in the solution options under “section 5.1.2 Arqiva CSP Implementation Option Descriptions”. Costs for these options will be supplied under separate documents along with this paper.

Option 4 is now removed, the current RTS channel capacity is well above what can be supported on the traffic channel in each cell so no further benefit can be realised.

Option 5 is an extension of option 3 and would be preferable particularly in conjunction with the reinstating of CH power restoration alerts.

Options 6, 7 and 8 are withdrawn.

7 Enhancements Plan

Based on the integrated planning sessions, the following timelines have been identified for each option, starting from the point in which CAN approval is issued and only through to PIT Exit:

7.1 Telefónica Indicative Delivery Timeline Overview

Based on the indicative Delivery Planning, the following timeframe for the introduction of the identified enhancement options by Telefónica is proposed:

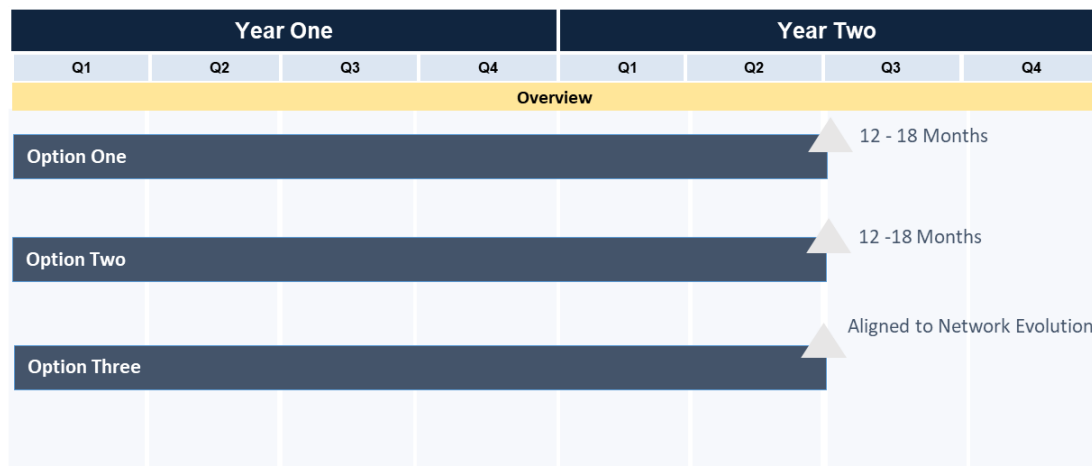


Figure 19 Telefónica Indicative Delivery Timelines

Please note that:

- On the basis that Option Four is not viable, no timeline is provided
- This timeline is up to the forecasted up to PIT exit

Telefónica	Indicative Delivery Timeline
1. Existing IT System Enhancements	12 – 18 months
2. Cloud Based Micro Service	12 – 18 months
3. Network Evolution CH with Super-Capacitor	Aligned to Network Evolution
4. Current CH Small Change & Network Capacity Uplift	Not Applicable

Table 23 Telefónica Indicative Delivery Timeframe

7.2 Arqiva Indicative Delivery Timeline Overview

Based on the indicative Delivery Planning, the following timeframe for the introduction of the identified enhancement options by Arqiva is proposed:



Figure 20 Arqiva Indicative Delivery Timelines

Arqiva	Indicative Delivery Timeline
1. Reinstate CH PRA	1 – 3 months
2a. Additional Channels (Alert Channel)	3 - 12 Months
2b. Additional Channels (Bulk Channel)	3 - 12 Months
3. Improved Backhaul Resilience	3 - 12 Months
4. Increase 5k / min Throttle	3 - 12 Months
5. Buffer at CSP - DSP Gateway	12 months +
6. De-duplicate at CSP - DSP Gateway	12 months +

Table 24 Arqiva Indicative Delivery Timeframe

7.3 CGI Indicative Delivery Timeline Overview

Based on the indicative Delivery Planning, the following timeframe for the introduction of the identified enhancement options by CGI is proposed:

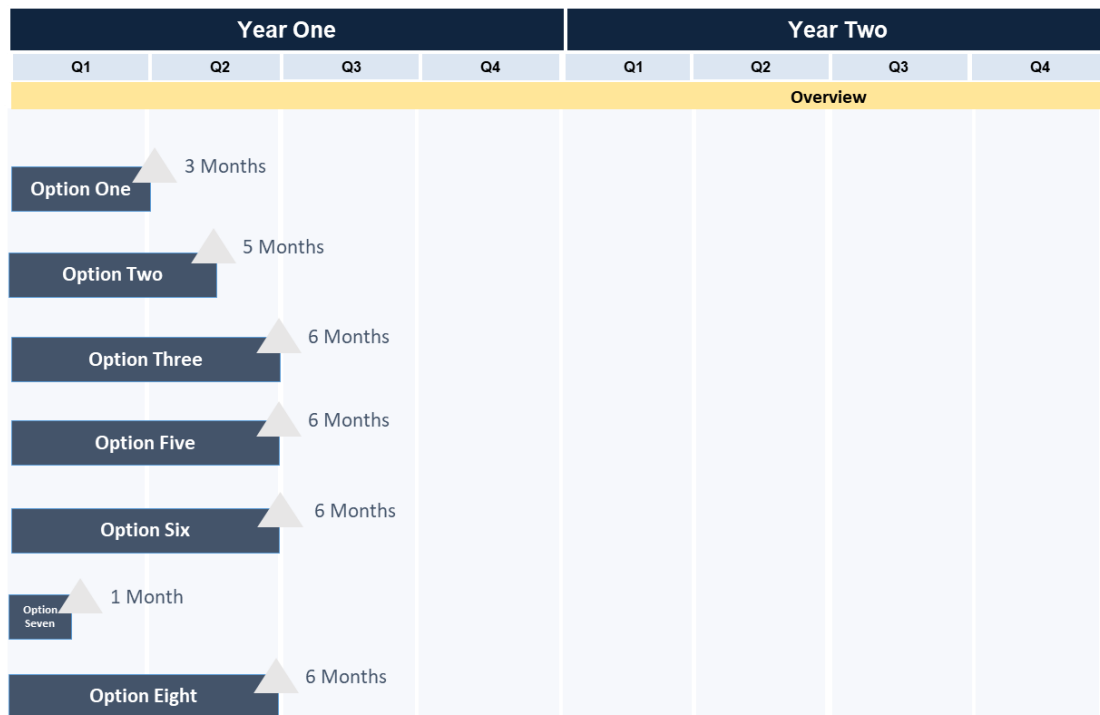


Figure 21 CGI Indicative Delivery Timelines

CGI	Indicative Delivery Timeline
1. General Uplift	3 months
2. Message Type Prioritisation	5 months
3. Dedicated Resilient MWL	6 months
4. Dedicated MWL (cloud)	Not Provided
5. Geographic/Time Prioritisation	6 months
6. "Canary"	6 months
7a/7b. PRA Northbound Traffic Management	1 months
8. DSP Buffer for Planned Outages	6 months

Table 25 CGI Indicative Delivery Timeframe

The timescales provided are approximate and for PIT-complete only. For the avoidance of doubt, the timescales exclude time to develop a Full Impact Assessment, subsequent commercial agreement and all post PIT phases (SIT, UIT and Transition to operations activities). These timescales are based on the changes being implemented independently.

Where a number of changes are aggregated into a single release, the period to implement all changes may change the overall implementation time to PIT complete. Further, Production deployment timescales may need to be aligned to a maintenance release.

However, considering the current DCC DNO Programme Plan to issue the CAN for Project D in December 2020, which is believed to be the agreed vehicle to introduce any agreed solution enhancement – there may be an opportunity to launch these as part of any planned November 2021, June 2022 and November 2022 major releases.

As part of 'Project D', it is suggested that investment into the DNO solution's is considered to process any expected increase of volume of data based on the DCC Rollout Forecast

8 RAID

The following RAID items have been identified as part of the creation of this Technical Paper

8.1 Assumptions

The following assumptions have been made and should any assumption prove to be incorrect or invalid, Telefónica reserves the right to reevaluate the Technical Paper. The table below contains a summary of the assumptions:

Reference	Assumption	Status
A-001	Any Solution Option, ROM Costs and Delivery Timescales provided are indicative and are subject to a DCC CR being raised to enable a full Impact Assessment to take place	Open
A-002	Outage Scenario specific assumptions relating to Network Topography and Cell loading are valid	Open
A-003	There are SMETS 1 meters already deployed, reducing potential SMETS 2 install levels in a given cell.	Open
A-004	Arqiva assume that 5% of Install base are communicating in Buddy mode.	Open
A-005	Maximum loading per traffic channel of 2,500 CHs	Open
A-006	Background / rest of network message throughput level, during large outages, is not accounted for in the modelled performance	Open
A-007	Outage Scenario specific assumptions relating to Macro diversity levels for the affected CHs are valid	Open
A-008	Arqiva assumes that there is maximum loading per cell of 10,000 CH's	Open
A-009	Arqiva & Telefónica assumes there is no change to current SLAs	Open
A-010	The seven DNO requirements are based on SEC modification request DP096	Open
A-011	When calculating DSP capacity in relation to the DNO scenarios, it is assumed that simultaneous outages and simultaneous restorations do not happen concurrently.	Open

Reference	Assumption	Status
A-012	The definition of “simultaneous” in the context of a simultaneous outage/restoration of properties means POAs/PRAAs received over the course of a minute.	Open
A-013	When calculating DSP capacity, the number of properties involved in DNO scenarios has been reduced by 10% to allow for the present of SMETS1 Installations. A 10% reduction has been used in preference to a 33% reduction (reflecting the national proportion of SMETS1 Installations) to allow for concentrations of SMETS2 Installations.	Open
A-014	DSP capacities quoted and used in determining DSP response against the DNO scenarios assumes normal operating conditions (i.e. no DSP outages at the time the scenario occurred).	Open
A-015	It is not possible to estimate the percentage of 3-phase SMETS2 ESMEs from data held within the DSP so scenario forecasts have not taken into account the additional PRAAs that a 3-phase SMETS2 ESME could generate (i.e. one per lost phase). Any estimate of the percentage of Profile Class 1 to 4 MPANs with 3-phase connections that the DNOs could provide will be incorporated in future forecasting.	Open

8.2 Dependencies

Telefónica has identified the following dependencies in producing this Technical Paper:

Reference	Org	Dependency	Implication if dependency is not met	Status
D-001	TEF	Telefónica wish to highlight our continued compliance with the existing Performance Measures (PMs) relating to Power Outages contained in Schedule 2.2 of our Agreement with DCC.	However, in the event that the DCC wishes to introduce or amend the existing PMs relating to Power Outages as part of proceeding with one or more of the Delivery Options contained within this Technical Paper, the new PMs would need to be mutually agreed by both parties via an approved Impact Assessment and the production of a Contract Authorisation Notice (CAN).	Open
D-002	TEF ARQ	PR1227 identifies and removes issues with ESME generated alerts being lost.	The Power Restoration Alert Performance quoted in the report is no longer valid.	Open
D-002	TEF ARQ	Reducing alert throttling requires DSP collaboration.	Arqiva would not be able to relax the throttle, therefore slower Alert delivery for large Outages.	Open
D-003	TEF ARQ CGI	Performance Testing will be required for any of the agreed solution enhancements	To validate any of the proposed timing benefits	Open
D-004	TEF ARQ CGI	The planned testing under PR1227 is needed to test the pre-agreed CHs and ESME combinations to prove AD1, 8F35 and 8F36 alert behaviour (including OTA firmware upgrade impact on alerts).	To identify defects with either CHs or ESMEs and fix and retest, plus test and identify root cause of why DNOs can't communicate with ESMEs	Open

8.3 Risks

The Technical Paper has identified the following risks:

Reference	Org	Risk	Status
R-001	ARQ	As a direct consequence of Government Guidance and Statute relating to the COVID-19 pandemic, there may be an impact on timescales. ASML will take all reasonable steps to mitigate the impact that restrictions on movement and social distancing might have on the programme. If delay is anticipated, ASML will work with DCC to reach a commercial agreement as to the treatment of any such delays under the Agreement.	Open
R-002	TEF ARQ	For very large outage scenarios such as black start, the system will inevitably be overloaded and performance at these levels cannot be guaranteed to fit with modelling	Open
R-003	ARQ	Unavailability of spectrum to purchase and deploy additional channels.	Open
R-004	TEF ARQ	Performance for alert delivery based on modelling only.	Open
R-005	TEF	The current network re-attach timer (or dither) was reduced from 10 minutes to 2 minutes on a temporary basis during the first 2 years of roll out. Periodic reviews will assess the impact this has on the Telefónica radio network and may result in the timer being increased backup from the current 2 minutes.	Open

8.4 Issues

The Technical Paper has identified the following potential issues to delivery of the solution described in the CR:

Reference	Description	Status
I-001	Telefónica Option Four (Firmware and Network Infrastructure Updates) has been included for completeness, but this is not a viable option that can be taken forward under Project D	Open
I-002	Known issue with some meter manufacturers where ESME generated alerts are lost before reaching the CH.	Open

Reference	Description	Status
I-003	TK backspool issue leading to significantly delayed alerts – patch now rolled out to all TKs. This Issue has been patched since the Data used in this paper was produced, and is no longer relevant from an Arqiva perspective	Open
I-004	There is a known issue with a small number of ESMES generating very large volumes of spurious 8F35s and 8F36s. The DSP has proposed an enhancement (DSP Option 7) to help address this.	Open

9 Commercial Information (confidential documentation provided separately)

The commercial response with regards to any estimated costing has been provided separately.

The key points related to the commercial response from a Telefónica perspective can be found below:

- The ROMs supplied under separate cover are indicative and should not be construed to represent a formal quote for delivery. As such, the ROMs do not represent formal offers which are capable of acceptance.
- In the event that the DCC elects to proceed with one or more of the Solution Options, then Telefónica require a separate commercial vehicle in the form of a Change Request to underpin their delivery. Prior to the mobilisation of Telefónica resources, advance commercial cover in the form of a DCC Purchase Order is required.
- Please note the ROMs submitted separately in conjunction with this Technical Paper shall remain valid for a period of 45 calendar days from the date of the Technical Paper submission to the DCC.
- Telefónica's ROM pricing is quoted in pound sterling and is exclusive of VAT, with exception to the Network Evolution CH with Super capacitor option (which is quoted in US dollars),

Note: CGI and Arqiva will supply commercial related terms and conditions alongside their ROM costs.

10 Next Steps

The next steps are:

Present Paper to ENA/BEIS/DNOs: 14th August 2020.

DNOs consultation: 14th August 2020 to 30th September 2020.

Presentation to TABSAC: Date to be confirmed.

Agree Project D scope: 16th October 2020

11 Glossary

Acronym	Definition	Meaning
8F35		Power restore message from the electric meter (8F35 is the GBCS message code). This is generated regardless of the duration of the power outage.
8F36		Power restore message from the electric meter where the restore occurs more than 3 minutes from the outage (8F36 is the GBCS message code)
Access Gateway		Security gateway which manages interactions with the DSP gateway
AD1		Confirmed power outage message greater than 3 minutes. This is based on power outage messages from the CH rather than meter outage messages.
API	Application Programme Interface	System interface which defines interactions between multiple software intermediaries.
ARQ-DSP Gateway		Message gateway between Arqiva and DSP systems
BEIS	Department for Business, Energy & Industrial Strategy	
BSC	Base Station Controller	Provides radio access network resource management
BTS	Base Transceiver Station	Equipment that facilitates wireless communication between user equipment and a network
CDMA	Code-Division Multiple Access	A channel access method used by various radio communication technologies
CH	Communications Hub (or Comms. Hub)	Device to handle communications between smart meters and service providers (note most of this document will refer to CH as Communications Hub)
CH	Communications Handler (or Comms. Handler)	DSP component that manages the queue for message processing (note most of this document will refer to CH as Communications Hub unless specifically referring to the DSP component system)
CSP	Communication Service Provider	These cover Telefónica and Arqiva

Acronym	Definition	Meaning
DCC User Interface		Demarcation point between DSP and DCC
DNO	Distribution Network Operator	Organisation that is responsible for the distribution of electricity from the national transmission grid to premises
DNS APN	Domain Name System Access Point Name	Address of the DNS
DSL	Digital Subscriber Line	Method of data transmission over fixed line
DSP	Data Service Provider	This covers CGI
EIS	Electricity Import Supplier	
ENA	Electricity Network Association	
ENO	Electricity Network Operator	
EPG	Evolved Packet Gateway	Also known as Gateway GPRS Support Node (GGSN). Facilitates network transmission of CH data
ESME	Electric Smart Metering Equipment	Electricity smart meter
GBCS	Great Britain Companion Specification	Describes the detailed requirements for communications between Smart Metering Devices and DCC
GIS	Gas Import Supplier	
GNO	Gas Network Operator	
GSM	Global System for Mobile communication	Digital mobile network
GUID	Globally Unique Identifier	Usually used to refer to the unique identifier of the communications hub
HAN	Home Area Network	Allows for connectivity between the communications hub and other devices such as smart meters, handheld devices, etc.
HLR	Home Location Register	Supports reconnection of CHs
HTTP	Hypertext Transfer Protocol	Application-layer protocol for transmitting documents, such as HTML
IMSI	International Mobile Subscriber Identity	A unique number identifying a GSM subscriber
IS-STP	IP Signalling Transfer Point	Network aggregation point
Load Balancer		Manages the data load of inbound messages (sometimes referred to as F5)
LSB	Least Significant Byte	Smallest byte. In this paper it refers to the smallest byte of the CH GUID to determine when the CH can attach to the network
LTE	Long Term Evolution	Commonly used to refer to 4G

Acronym	Definition	Meaning
MG	Message Gateway	Responsible for delivering messages to Users
MME	Mobility Management Entity	Also known as Serving GPRS Support Node (SGSN). This manages the network sessions of the CHs
ORD	Out of Range Device	Device is out of range and cannot transmit messages
OT	Orchestration Tool	TEF Orchestration System. Determines confirmed power outage messages and generates the AD1 message
PDN	Packet Data Network	A network that provides data services. Packet switching is a mode of data transmission in which a message is broken into a number of parts that are sent independently, over whatever route is optimum for each packet, and reassembled at the destination
PM	Performance Measure	Reports supplied by service providers to indicate levels of service
POA	Power Outage Alert	These are power outage alerts from both the meters and the communications hubs when there is a loss of power
PRA	Power Restore Alerts	These are power restore alerts from both the meters and the communications hubs when mains power is restored
RAID	Risks, Assumptions, Issues, Dependencies	
RAN	Radio Access Network	Communications network used for 2G/3G
RM	Request Manager	Handles business logic, validation, post-processing requirements
RNC	Radio Network Controller	Radio resource management
RNI	Regional Network Interface	Gathers and processes network data. Consists of network controllers and supporting systems such as monitoring components
RTS	Request To Send	Message alert from the communications hub to the regional network interface to indicate a message is waiting to be collected

Acronym	Definition	Meaning
SEC	Smart Energy Code	Agreement which defines the rights and obligations of energy suppliers, network operators and other relevant parties involved in the end to end management of smart metering in Great Britain
SLA	Service Level Agreement	Agreement between parties on the level of service agreed to be provided
SM2M DMM	Smart Machine to Machine Device Monitoring and Management	TEF system to manage CH communications. Will process power alerts and pass on to Orchestration system
SME	Subject Matter Expert	
SMETS	Smart Meter Equipment Technical Specifications	Industry specification for smart meters
SMWAN	Service Management Wide Area Network	Network to facilitate data transfer between CSPs and DSP
SP	Service Provider	Covers CGI, Arqiva and Telefónica
TEF-DSP Gateway		Message gateway between Telefónica and DSP systems
TK	Transceiver Kit	Base station which facilitates communication from the CH to the rest of the network
TPM	Transactions per minute	Number of transactions/messages a system can process in a minute
TPS	Transactions per second	Number of transactions/messages a system can process in a second
UDP	User Datagram Protocol	Communications protocol that is primarily used for establishing low-latency and loss-tolerating connections between applications
UL	Uplink	Message transfer up to the network
UMTS	Universal Mobile Telecommunications Service	3rd generation mobile cellular system based on GSM
URL	Uniform Resource Locator	Web address
UTRAN	UMTS Terrestrial Radio Access Network	Radio access network to support 3G
WCDMA	Wideband Code Division Multiple Access	A 3G standard
WNC	Wistron NeWeb Corporation	Supplier of CHs for the CSP Central and South regions

12 Appendix A – Power Alert Data Analysis

This section provides some analysis of POA/PRA data by the service providers based on data supplied by the DSP for the week of 9th-15th June 2020.

The information covered indicates volumes of 8F35, 8F36 and AD1 messages processed by the service providers and sent across to DNOs with some performance data. It also shows some mismatches in volumes of 8F36 messages and AD1 messages which in most cases should be aligned and various combinations of 8F35, 8F36 and AD1 which show unexpected behaviour.

A deeper dive analysis was conducted by the CSPs based on the data for one particular day in this range (14th June 2020) in which findings are reported below. It does indicate that further analysis is required on POAs and PRAs and any findings from DCC CR1349 (POA/PRA reporting capability) and DCC PR1227 (POA/PRA E2E testing) will supply further information to help aid the analysis overall. The consolidated data from these various activities will support in determining the root cause of the mismatches in alerts experienced by the DNOs so that specific issues can be addressed.

12.1 Key findings

The key findings from this analysis are as follows:

- Typical 'background' POA/PRA volume over this period was ~4,000 POAs/PRAs per hour
- Over 80% of POAs/PRAs were 8F35s
- The ratio of received 8F35s to 8F36s suggests that the ratio of outages of <3 minutes to those of >3 minutes is ~16:1
- PRAs from SMETS1 Devices accounted for 0.1% of total PRAs and were attributable to a single ESME model/firmware combination
- 10-20% of PRAs come from 0.1% of ESMEs generating in excess of 100 Alerts per day
- Average processing DSP processing times for POAs/PRAs was <0.02 seconds with DSP SLAs being met 99.99% of the time
- 5% of POAs received from Arqiva take more than 12 minutes to arrive, 3% taking more than 7 days.

The combinations of POAs/PRAs associated to individual MPxNs on any given day is difficult to explain (e.g. significant volumes of solitary AD1s, very low volumes of AD1/8F35/8F36 combinations)

12.2 PRA/POA volumes for 9-15 June 2020

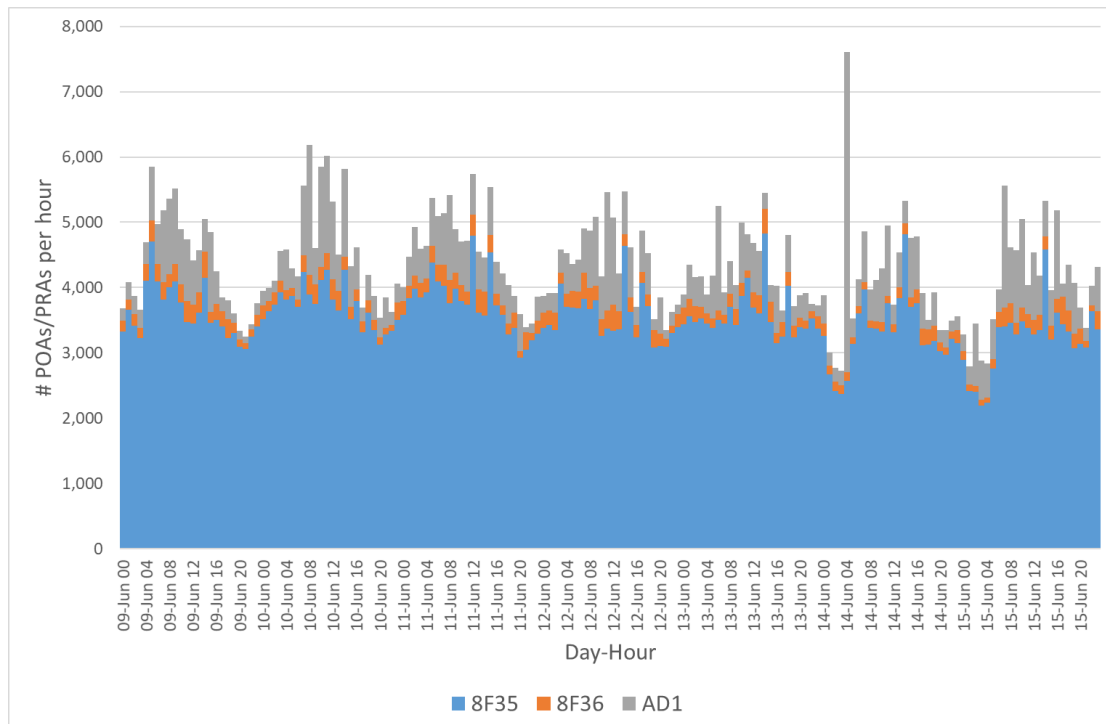


Figure 22 Hourly Volumes for 9th-15th June 2020

This graph shows the hourly volumes of POAs (AD1s) produced and PRAs (8F35s and 8F36s) received over the period 09 June 2020 to 15 June 2020. There were 707,367 POAs/PRAs logged during that period. Note that DSP typically generates four AD1s for each >3min outage notification as AD1s need to be sent to both Supplier and Network Operator for both gas and electricity (in the case of a dual fuel site). The spike in AD1s shown at ~04:00 on 14 June may be due to an ESME firmware activation since there is no associated spike in 8F36s.

12.3 DSP PRA/POA Processing Time

Alert Type	Average DSP processing time (secs)
8F35	0.025
8F36	0.025
AD1	0.288 ⁴⁸

Table 26 DSP PRA/POA Processing Time

⁴⁸ This figure has been produced by adding an estimate to logged times in order to produce a representative figure for DSP processing time. The calculated average time from the Service Audit Trail is 0.009s; however, that is the SLA time, which for a DCC Alert starts from the generation of the Alert, so it does not take into account the full DSP processing time starting from receiving the CSP AD1. Although that is not ordinarily recorded, a small sample of AD1 processing was examined in more detail in order to produce an estimate of the additional processing time within DSP; this produced an average of 0.279s for adding to the defined SLA time measurement.

Alert Type	Pass	Fail	% Pass	% Fail
8F35	595,455	61	99.990%	0.010%
8F36	34,697	3	99.991%	0.009%
AD1	77,149	2	99.997%	0.003%
Total/Avg	707,301	66	99.991%	0.009%

Table 27 DSP Pass/Fail Rate by Alert Type

The tables above indicate the DSP processing times for the PRAs logged between 09 June 2020 and 15 June 2020 and SLA times for POAs. The average SLA pass rate was 99.991%.

12.4 ENO Retries

The charts below show delivery attempts made to the DNOs over this period.

The graph below shows the total number of POAs/PRAs sent to each DNO, the colours indicate the number of retries required in the delivery. The blue colour at the left of the bar denotes a single attempt with each subsequent colour representing a different number of re-tries up to the maximum of 96 (show as pale green at the far right of the bar). DNO2 can be seen to require extensive retries but significant retries can also be seen for DNOs 5, 11, 12, 13 and 14.

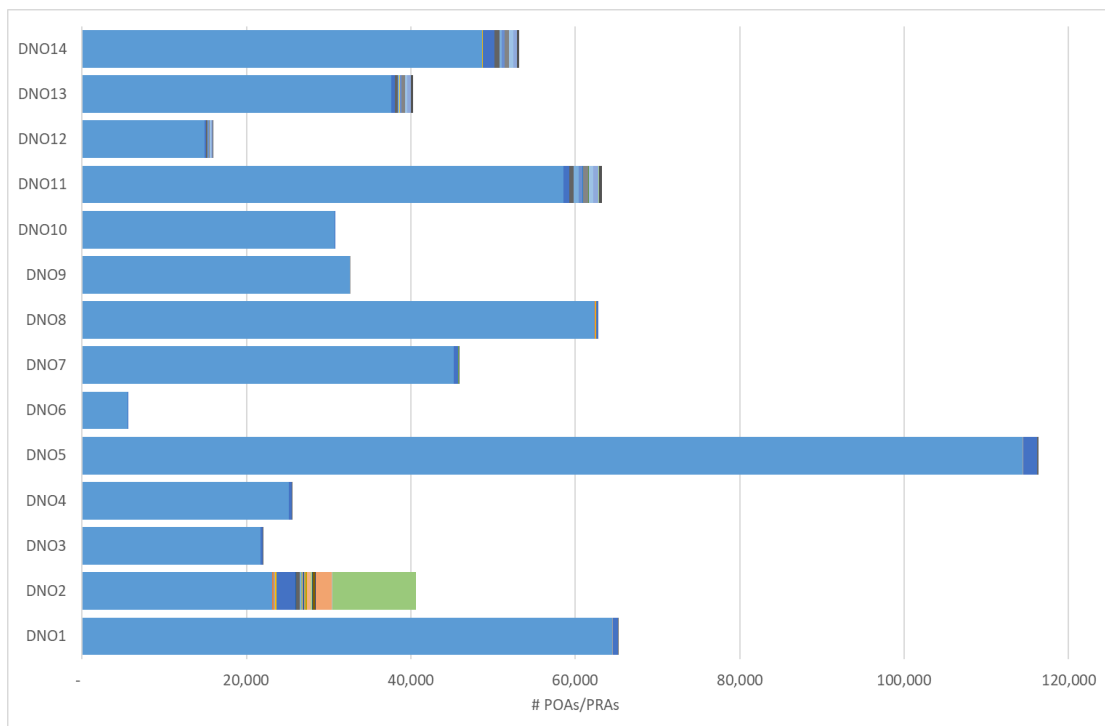


Figure 23 POA/PRA delivery attempts by DNO

The graph below shows the total number of POAs/PRAs sent to each DNO, the colours indicating whether delivery was successful or not. Blue denotes

success, orange denotes failure. There is an appreciable failure rate in delivery of POAs/PRAs to DNO2. All other DNOs experienced no failures (though several retries) other than DNO11 which also experienced some failures.

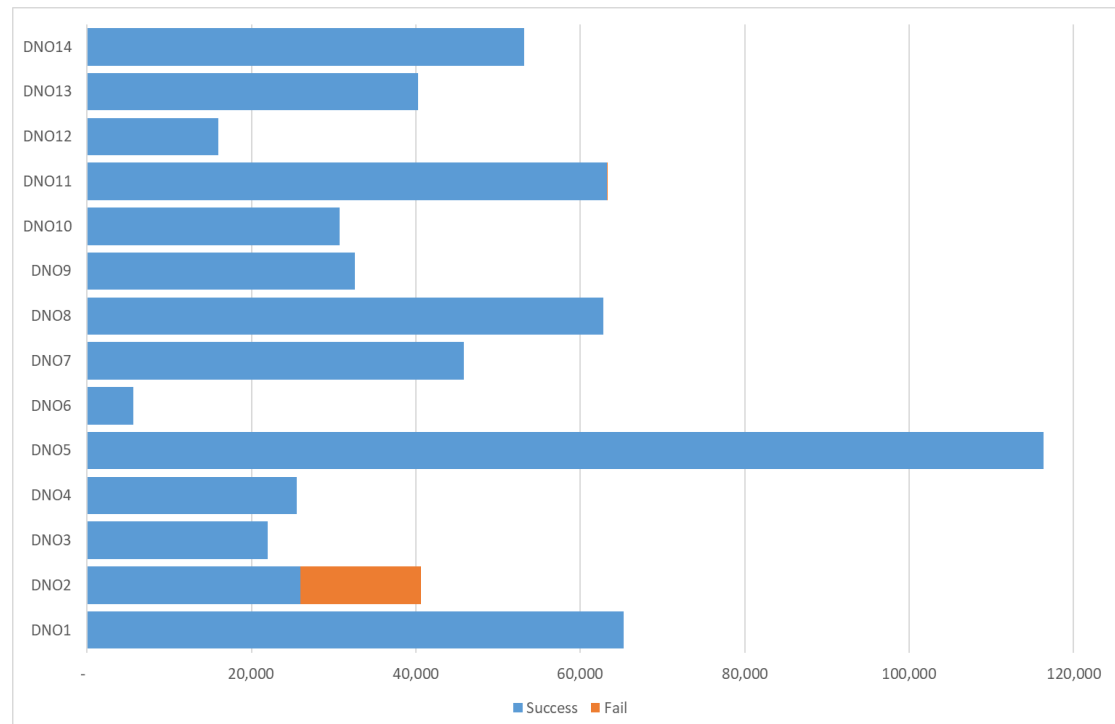


Figure 24 POA/PRA delivery successes by DNO

12.5 % POA/PRA of Total Message Volume

The graph below shows the number of POAs/PRAs as a percentage of all messages sent/received over the 7 day analysis period, broken down by CSP. It shows that a small percentage of PRAs (8F35s) come from the S1SP SMETS1 estate. However, these are attributable to 650 Devices sharing a single Model-Firmware combination (56780201-3465317). The POAs/PRAs attributable to the 'blank' CSP are likely to be due to Comms Hubs which have been incorrectly de-commissioned (i.e. are not market as 'commissioned' in the DSP but are still generating Alerts on the network).

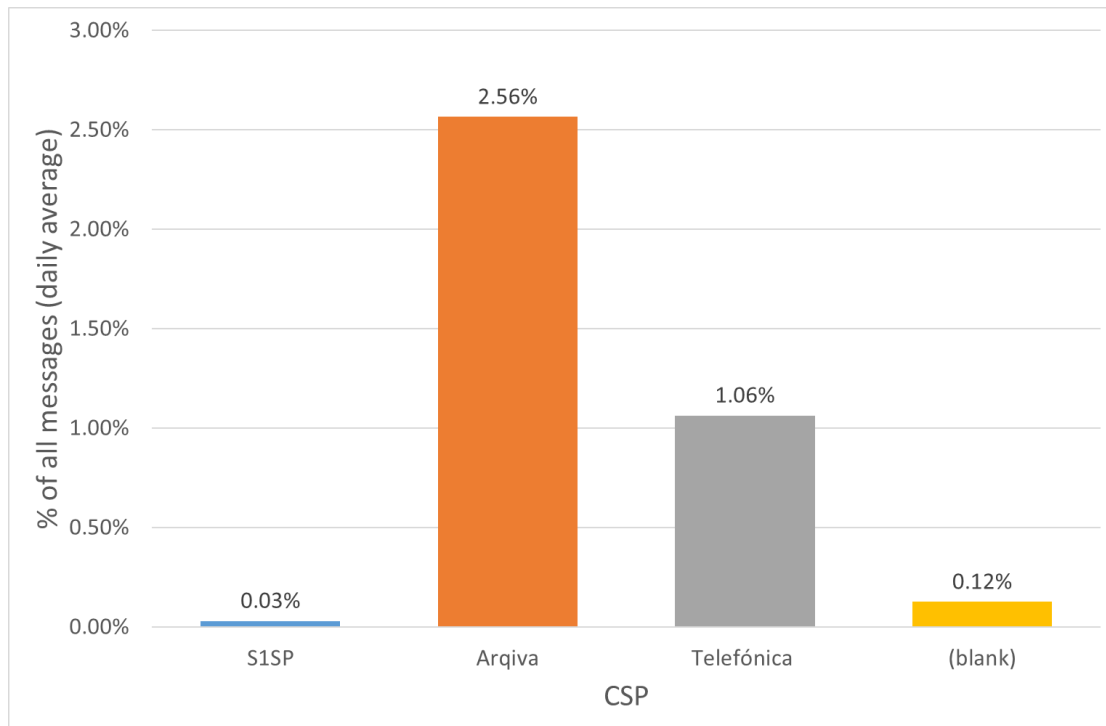


Figure 25 Volumes of Alerts by CSP

12.6 Spurious POAs/PRAs

This graph shows the impact of applying SECMP0062 to POAs/PRAs. The bars on the left show the number of MPxNs generating, on average, <20 POAs/PRAs per day and the number of POAs/PRAs these MPxNs generated. The bars of the right show the same thing for MPxNs generating, on average, ≥ 20 POAs/PRAs per day.

This shows that a very small number of Devices (0.1% of those generating POAs/PRAs over the analysis period) are responsible for a significant volume (15%) of all POAs/PRAs generated over the same period.

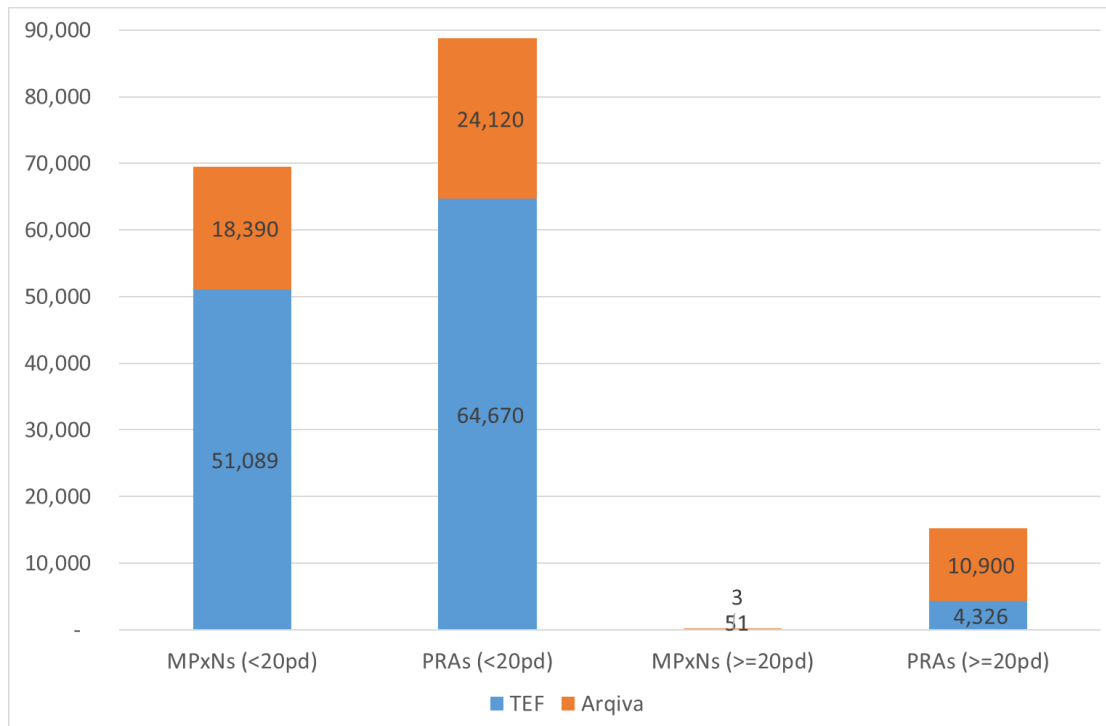


Figure 26 Volume of Spurious POAs/PRAs

From the above graph:

- 74,514 MPxNs generating <20 POAs/PRAs are responsible for 88,790 POAs/PRAs
- 54 MPxNs generating >20 POAs/PRAs per day are responsible for 15,226 POAs/PRAs
- The majority (88%) of these are 8F35s
- 0.1% of MPxNs are responsible for 15% of POAs/PRAs
- One particular ESME (MPAN = 2333350596013) is generating an average of 10,832 8F35s per day

12.7 Alert Type combinations – Telefónica CSP

This graph shows a breakdown of MPxNs for the Telefónica regions based on the daily average combination of POAs/PRAs generated for each MPxN.

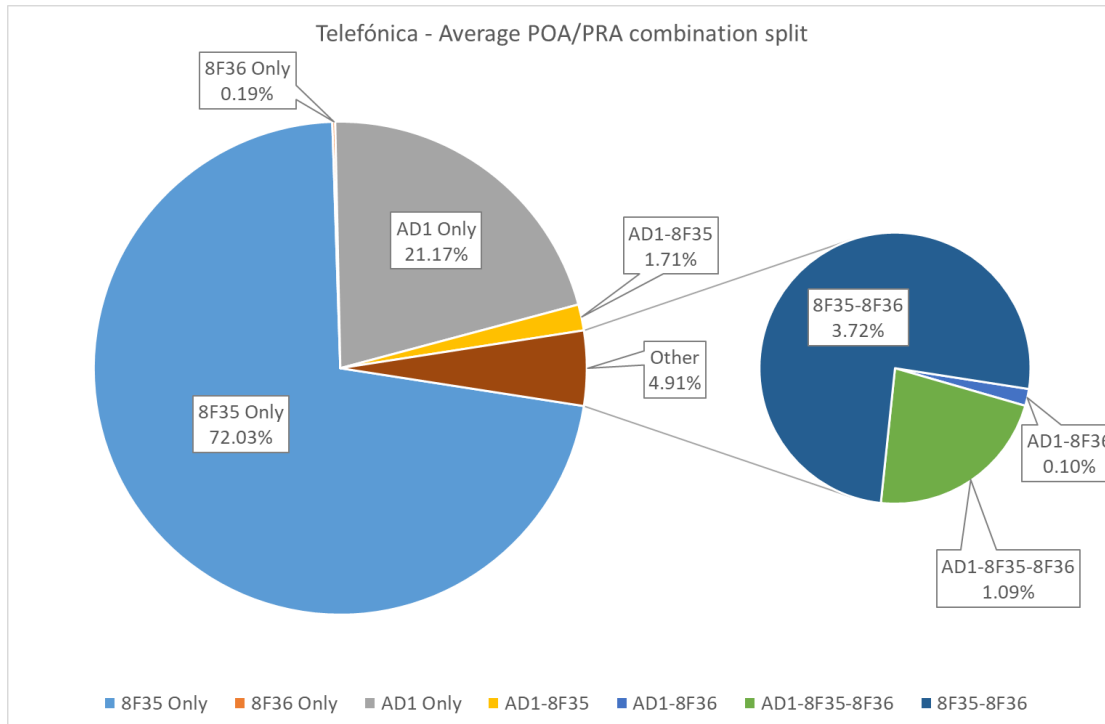


Figure 27 Telefónica POA/PRA Combination Split

Key points from the graph above are the following:

- 72% of MPANs generate a solitary 8F35
- For outages of 3 minutes duration or more that occur and complete within the same day, the expectation would be to see one 8F35, one 8F36 and two AD1s for a single fuel site and one 8F35, one 8F36 and four AD1s for a dual fuel site. This is based on a BEIS clarification of interpretation of SMETS which stipulated that restoration if an outage of >3 mins should result in the generation of an 8F35 and an 8F36 and the fact that the DSP informs both the primary Supplier and Network Operator of outages of >3 mins duration for both gas and electricity (i.e. resulting in two AD1s per affected fuel).
- 21% of MPANs generate a solitary AD1. This may be attributable to firmware updates to ESMEs which reboot during firmware activation, thus causing the CH to trigger an AD1 in the absence of an 8F35 or 8F36. This needs to be verified by more analysis of the Model-Firmware combinations of affected ESMEs and their receipt of SRV11.3s during the day of the outage. Another contributory factor could be gas only SMETS2 Installations. Initial analysis of the currently deployed SMETS2 estate indicates that gas only SMETS2 Installations account for ~5% of SMETS2 Installations.

12.7.1 Telefónica CSP Analysis

Overview

Based on the Telefónica analysis conducted with provided DSP data, the initial findings have identified two problem areas with sets that are causing a high number of outages:

- CSP figures indicate a higher number of sets in non-normal operating conditions (≥ 20 POA per day) when compared to DSP figures (134 units in TEF analysis vs 51 units in DSP average)
- 17% of AD1 alerts generated are sourced from installations in Non normal operating conditions

As a priority, it is recommended that the impacted individual sets are subject to a site visit which is conducted to introduce a correction.

Further analysis was also conducted into the normalized sample in order to identify structural root causes. This has pointed to an un-matching number of meter alerts vs CSP originated/recorded alerts:

- 94.6% of normalized sample have no 8F36 alert.
- 80.7% of normalized sample have no 8F35 alert.

Due to the sum aggregation applied to the data, an additional check was performed on single long power outages present in the normalized sample that points to a very low rate of what can be considered E2E success on power outage alert management:

- Only 3.2% of sets in a normalized sample with a single long power outage on 14th June do present a correspondent 8F35 and 8F36 as expected

Telefónica has summarized the possible root causes, however, from the available data it is not possible to deep dive on each of the specific root causes. Although, based on available data to prioritize problems, it is suggested that:

- Priority 1: unmatched alerts may be due to meter inability to send the alerts or power outage not occurring in premise (80% best success rate of meter alert delivery to DSP in normalized sample)
- Priority 2: AD1 alerts may be false positives

Known Problem Records

The following next steps are suggested:

- Improvement on problem management/problem framework and alignment on data captures as incidents occur (DNO > DSP > CSP)
- AD1 reliability is dependent on how accurate DMM Power Outage Alerts and Power Outage Restores are so additional and independent work on 8F35 and 8F36 against DMM outage/restore is needed with access to timestamps in payloads of these alerts

- Future work on data analysis not only needs a more comprehensive data set not only in term of quality of data, but also duration. A single day in analysis may not be representative
- To undertake testing as part of PR1227 that will provide further insights from the planned testing.

In advance of this paper being produced, the following Problem Records were known:

- PBI000008989186 - POA Alerts (WFL 180 db table fragmentation issue)
 - No impact to DNO
 - TEF Power Outage Alert may not be stored in CHDB when table fragmentation issue occurs (low probability)
- PBI000009010789 - Difference between received Power Outage messages and SNMP traps
 - There is a rare likelihood of occurrence on:
 - Missed AD1 when not processing power outage alert
 - False Positives when not processing power restore alert
 - This was only linked into 1 Incident – TEF INC INC000004068628

These are already being proactively addressed.

There are other open incidents:

Incidents	Description
INC000004131805 - Multiple AD1 alerts INC000004127503 - Multiple AD1 alerts INC000004131713 - Multiple AD1 alerts INC000004165469 - Multiple AD1 alerts	These incidents are similar and relate to multiple AD1 alerts being generated from a premise. The key next step is for a site visit to be arranged to assess the issue and supply a resolution
INC000004105064 - Multiple Power Outage and Restores	There are recurring low RMS voltage alarms from ESMEs, after which the CH undergoes recurring power outages/ restores. The key next step is for a site visit to be arranged to assess the issue and to advise why the ESMEs are sending low power alerts

Table 28 Telefónica Incidents Overview

Please also note that there are no other relevant Incident or Defects that should be referenced at this time.

Activities Performed by Telefónica during analysis

The dataset supplied by the DSP was complemented with Telefónica data for the identified AD1 events on June 14th to facilitate the analysis taking place.

The following sources were considered in analysis:

- CH database (CHDB) for CH power outage alerts – this data is passed onto CHDB by the Orchestration (OT) system (captured in OIWFL083)⁴⁹
- CH database (CHDB) for CH power restore alerts – this data is passed onto CHDB by the Orchestration (OT) system
- CHDB AD1 alerts – this data is passed onto CHDB by the Orchestration (OT) system
- Orchestration (OT) system logs
- PM12.x reports for June – this contains details of the CH type and manufacturer

Some data manipulation was performed on this data to assist in understanding the information better. Key steps included:

- Data exclusion due to data inconsistency or non-normal operating conditions in order to obtain a normalized set
- Analysis on normalized set
- Analysis on simplified set with single AD1 in sample to allow 1-1 correlation to Telefónica POAs and meter 8F35/8F36 alerts

Context based on DSP data Analysis

The DSP analysis was conducted on data captured between 9th June and 15th June, comprising a total of 707,367 messages during this period.

The DSP analysis identified that the majority of the traffic related to power outage/restore messages is particular to short power outages, which equates to 88% of traffic volume. Whether these are true short outages should be questioned considering the available data.

Figure 28 has an aggregated count of devices, alert volume and a calculated ratio of alerts/device depending on number of events per day observed in that period.

For clarity, more than 20 events a day is deemed as an abnormal behaviour. An average ratio of alert per device across 51 devices in this bucket is a good pointer this is not induced by grid related problems but is very likely caused by faulty devices or installation problems. 51 devices account for 4326 power outages in a single day, with an average of almost 85 outages in a single day.

⁴⁹ OIWFL083 is OI/OT workflow which sends AD1 alert to DSP

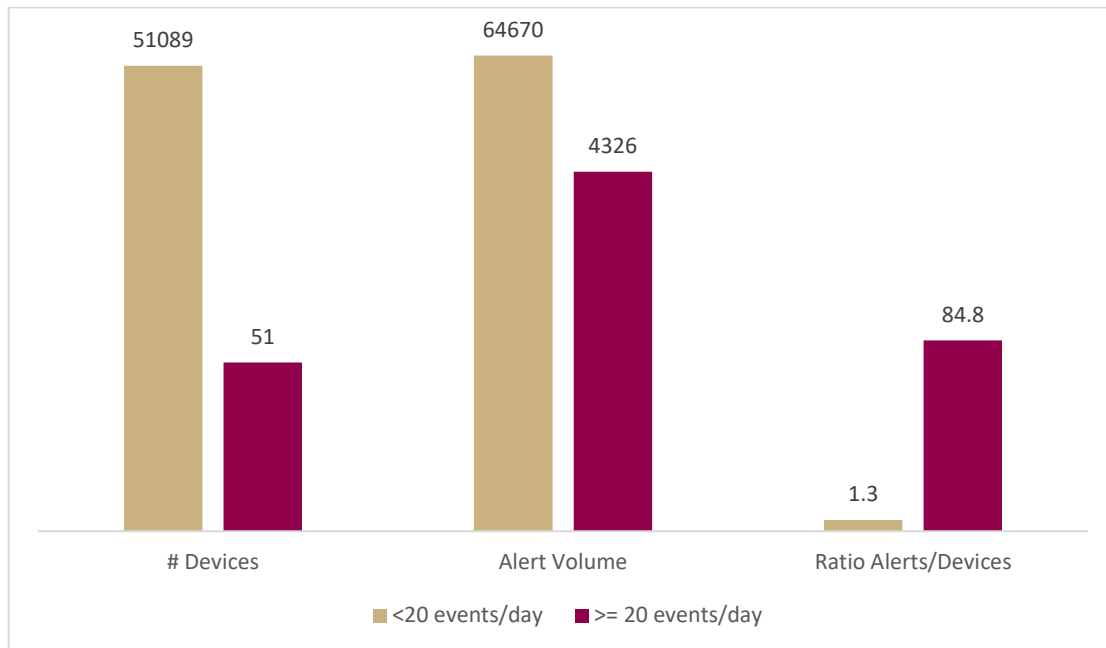


Figure 28 Count of MPANs and Power Outage/Restore Related Alerts splitting on daily count of alerts (less/over 20 alerts/day)

72% of alerts correspond to occurrences where only 8F35 alert is captured at DSP level, but a breakdown on MPANs generating power outages show several of these short power outages are unlikely to be true grid outages.

DSP identified an unmatched number of alerts, i.e. from all related power outage traffic volume, in which 21% corresponds to AD1 alerts unmatched with an 8F36. In a lesser extent and on a more positive note, there is a very small proportion of 8F36 alerts without a corresponding AD1. However, less than 1.2% of AD1 alerts are matched with 8F36 alerts.

It is also worth to note that 1.7% of Telefónica AD1s point to be false positives, i.e. AD1 occurs in conjunction to 8F35 alert.

Telefónica data analysis focused on a subset of Data DSP created with AD1 alerts to investigate further the two last referred observations.

Preliminary analysis, observations and exclusions

The data analysis focused on the identification of AD1 alerts from Telefónica across the E2E journey, including the count of Telefónica Power Outage Alerts and Telefónica Power Outage Restores.

Table 29 provides a high-level summary of the available data:

Count of CH GUID	Total AD1 (DSP) ⁵⁰	Total 8F35 (DSP) ⁵¹	Total 8F36 (DSP) ⁵²	Total CHDB TEF POA	Total CHDB AD1	Total AD1 OIWF L083
3,223	13,032	1,153	832	21,711	3,756	3,730

Table 29 Summary on analysed sample of DSP reported AD1 Alerts

From the previous table, it is immediately perceptible a high ratio of power outage alerts captured in Telefónica per CH. As per DSP analysis, the data was split in Telefónica end capturing CHs exhibiting less and more than 20 power losses per day. A similar analysis is captured in Figure 29, but in relation to Telefónica Power Outage alerts captured in SM2M/OT.

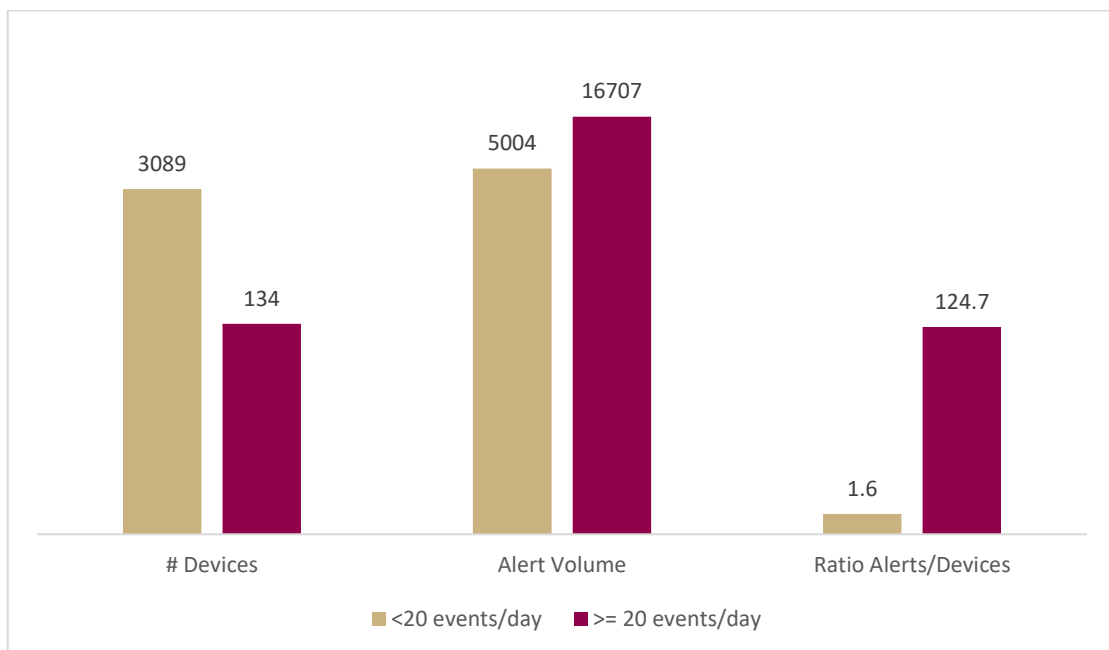


Figure 29 Count of CHF and TEF Power Outage Alerts splitting on daily count of alerts (less/over 20 alerts/day) recorded on CSP.

CSP figures for 14th June against DSP figures for a period comprising 9th June to 15th June, by comparing Figure 28 and Figure 29 point to:

- 1) TEF is capturing a higher number of power outages from their Comms Hubs when compared to 8F35 volume on DSP
- 2) There is a higher number of sets exhibiting more than 20 power outages a day when this is captured from CHF side

⁵⁰ This is based on AD1 data supplied by CGI which identified 3,223 unique CH GUIDs and a total of 13032 AD1s. Note that a CH POA typically causes a generation of 4 DCC Alert AD1s

⁵¹ This is based on a subset of the 8F35 alert data supplied by the DSP where the alerts relating to the 3,223 unique CH GUIDs were cross referenced with CH GUIDs in the DSP supplied AD1 alerts

⁵² This is based on a subset of the 8F36 alert data supplied by the DSP where the alerts relating to the 3,223 unique CH GUIDs were cross referenced with CH GUIDs in the DSP supplied AD1 alerts

This is not however a definitive conclusion that can be reached from this data, as a like for like data set needs to be analysed, but it is enough to identify a set of problems which emerge in sets with a high number of power outages per day, constituting Data Problem 1 which is summarized in the box below:

Data Problem #1: 134 devices exhibit at least 20 POA alerts for day in analysis, with a ratio of 124.7 alerts/day.

Discussed root causes (not exhaustive)

This problem is vastly caused by a small number of devices exhibiting an abnormal high rate of outages.

4.2% of sample to be excluded due to highly abnormal conditions to be checked in premises. It is important to note this accounts for 17.4% of all AD1s in provided sample.

A secondary problem identified in data is an un-matching number of AD1 alerts captured on DSP end and CSP end, which most likely points to data quality issues, gaps or extraction problems, as the AD1 alert was received in DSP end. In summary:

Data Problem #2: 95 CHF devices for which DSP sent an AD1 alert were not matched in log capturing OIWFL083 nor it is matching a record in CHDB

2.9% of sample to be excluded due to data being inconsistent between CSP/DSP

Observed root causes

Device is not a CHF (F8-E5-CF-00-00-43-F2-46)

Discussed root causes (not exhaustive)

UTC vs BST timestamp created a gap in extracts from DSP and CSP Errors in log extraction/manipulation, using a start time that is not concurrent with time AD1 alert was sent from TEF AG to DSP

Analysis below is conducted further excluding the devices identified in Data Problem 1 and Data Problem 2. Table 30 updates values captured in Table 29 with this exclusion:

Count of CH GUID	Total AD1 (DSP)	Total 8F35 (DSP)	Total 8F36 (DSP)	Total CHDB TEF POA	Total CHDB AD1	Total AD1 OIWF L083
2,994	10,595	950	280	5,002	3,172	3,140

Table 30 Summary on analysed sample of DSP reported AD1 Alerts, excluding Smart Meter Sets exhibiting 20 or more power outages in analysed day.

Problems observed in normalized data set

Alert volumes in Table 30 show an unbalanced number of alerts between CSP end and DSP end. Meter supply restore alerts are in reduced number against Telefónica observed outages, this is the reasoning captured on observed data problems. Please see below:

Data Problem 3: TEF AD1 alerts are unmatched with 8F36 alerts. 2832 sets with a registered AD1 alert have no 8F36 alert on DSP end.

94.6% of normalized sample have no 8F36 alert.

Possible root causes grouped by possible scenarios is presented in Table 31 due to complexity of this case.

Observations in data:

Out of these 2832 sets without 8F36, it is observed that an 8F35 was received in 445 sets. This implicitly points to meters ability of sending out alerts on outage and a potentially AD1 false positive, which can't be confirmed on data sets provided. Further investigation is needed on accuracy of timestamps between DMM power outage/restore alerts against meter 8F35 alerts for these cases.

Based on the data analysis, the following scenarios are also considered to be applicable for the specific root causes of the quoted problems:

Scenario	Possible Root Causes Discussed
Scenario 1 No outage occurred in premise	- Installation problem causing poor power supply conditions to Comms Hub - Meter firmware activation or reboot causing power loss on Comms Hub - On site procedures (Comms Hub power cycling)
Scenario 2 AD1 is a false positive	- TEF processing of DMM power restore alert is delayed - DMM power restore alert is lost in network - DMM power restore alert is not sent - Inaccurate timestamp on either DMM power outage alert or DMM power restore alert
Scenario 3 Confirmed outage but alert is not received	- Alert is sent from meter without resiliency - Alert is not sent from meter - Comms hub does not buffer/send out alert once WAN connectivity is established

Table 31 Scenarios and discussed possible root causes for Data Problem 3 (TEF AD1 alerts unmatched with 8F36 alert).

A similar analysis was conducted in alignment of AD1 alerts and generated 8F35 alerts, allowing identification of described below:

Data Problem 4: TEF AD1 alerts are unmatched with 8F35 alerts. 2416 sets with a registered AD1 alert have no 8F35 alert on DSP end.

80.7% of normalized sample have no 8F35 alert.

Possible root presented in Table 31 for scenario 1 and 3 are valid for this observation.

Observations in data:

Out of these 2832 sets without 8F36, it is observed that an 8F35 was received in 445 sets. This implicitly points to meters ability of sending out alerts on outage and a potentially AD1 false positive, which can't be confirmed on data sets provided. Further investigation is needed on accuracy of timestamps between DMM power outage/restore alerts against meter 8F35 alerts for these cases.

TEF attempted to conduct an additional analysis correlating volume of 8F35 alerts in comparison with DMM power outage alerts, however the same showed to be invalid, as presented 8F35s were captured from DSP end against received AD1 alerts, so it is uncertain how to proceed with these aggregated volumes of 8F35 alerts. This part of the review has been paused under this specific paper.

Furthermore, to assess quality of DMM power outage and power restore alerts, ESME alert payloads are required to compare timestamp accuracy.

A simplification in analysis is proposed by using a sample with single long power outages, which allow to analyse a 1 to 1 correlation of all alerts (AD1, 8F35 and 8F36).

Other issues identified are that the Total number of AD1 alerts captured on DSP includes all AD1s sent to different service users. This alert is ought to be sent to Electricity Supplier, Electricity DNO, Gas Supplier and Gas DNO. Therefore, there should be a factor of 2 or 4 in relation to AD1 alerts sent from TEF Access Gateway to CSP Management Gateway, depending on whether it is a dual fuel or a single fuel install. The ratio in the sample is 3.5, which is within the expected range. However, it is observed in sample several sets exhibit a different multiplier factor, this constitutes **Data Problem #5**. It is also worth to refer that for TEF analysis a better point of capture is at CSP Management Gateway to allow problem segregation between CSP and DSP.

Data Problem 5: in 11 cases out of the single long power outages from normalized sample, ratio on AD1 alerts stored in CHDB are not matched in a factor of 2 or 4 on alerts sent from DSP to service users.

0.5% of single long power outages show DSP number of alerts sent to service user is not matching a 2 or 4

Discussed root causes (not exhaustive)

- Registration issues
- Certificates misalignment
- Multiple ESMEs per premise/Comms Hub
- TEF AD1 alert not stored in CHDB

12.7.2 Problems observed in single long power outages in normalized sample

As proposed above, there is a reasonable and sizeable sample of single long power outages registered on 14th June, this data is presented in Table 32.

Count of CH GUID	Total AD1 (DSP)	Total 8F35 (DSP)	Total 8F36 (DSP)	Total CHDB TEF POA	Total CHDB AD1	Total AD1 OIWF L083
2,234	7,645	259	100	2,234	2,234	2,215

Table 32 Summary on analysed sample of DSP reporting a single AD1 alert from normalized sample.

Data Problem 5: On single AD1 alerts received on DSP, TEF power outage alert are unmatched on DSP with meter alerts. In a total of 2234 in normalized set with a single long power outage, only 72 sets present both 8F35 and 8F36 alerts as expected.

3.2% of normalized sample in a scenario of a single long power outage have meter alerts recorded in DSP end.

Possible root causes are identical to scenarios explained in Table 31.

Other observations in data:

In 215 of cases, at least one of the alerts is received on DSP, which implies meter ability to send the alert out in a very small proportion of cases:

- There are 187 cases where 8F35 is received without 8F36 (8.4% of single AD1s)
- There are 28 cases where 8F36 is received without 8F35 (1.3% of single AD1s)

Next Steps

Telefónica has systematized root causes and has been able to provide a summary of this based on the available data. However, it has not been possible to complete the exercise of quantifying each root cause with the available data.

On the data captured and analysed, an educated guess on expected rate of occurrence of root causes may allow to prioritize effort, according to the observed rate of occurrence

- Up to 80% of unmatched alerts may be due to meter inability to send the alerts or to situations where outage did not occur (best success rate of meter alert delivery to DSP in normalized sample)
- Up to 8% of AD1 alerts may be false positives (presence of 8F35 and no 8F36 in single long power outages)

Planned lab investigation is the suggested approach to investigate unmatched alerts, but analysis on meter types and identifying concurrent events such as firmware upgrades, meter reboots and Comms Hub power cycling should be captured in data to allow a separation in what can be a structural problem regarding ability to send alert vs scenarios where power outage is not occurring in premise.

Data captures set for this piece of work lack detail in order to investigate AD1 false positives. Timestamps are an essential part of the work to validate alerts. AD1 reliability is dependent on how accurate DMM Power Outage Alerts and Power Outage Restores are so additional and independent work on 8F35 and 8F36 against DMM outage/restore is needed.

In addition, a single day was observed in Telefónica. A more comprehensive sample should be aimed, in quality and duration.

On a final note, number of problem records is nowhere matching the size of the problem reported with E2E power outage behaviour. It is suggested that a framework definition is introduced for these problems and agreement on how to capture incidents and support data to enrich these problems – alongside the introduction of any solution enhancements in line with the DNO requirements.

12.8 Alert Type combinations – Arqiva CSP

This graph shows a breakdown of MPxNs for the Arqiva region based on the daily average combination of POAs/PRAs generated for each MPxN.

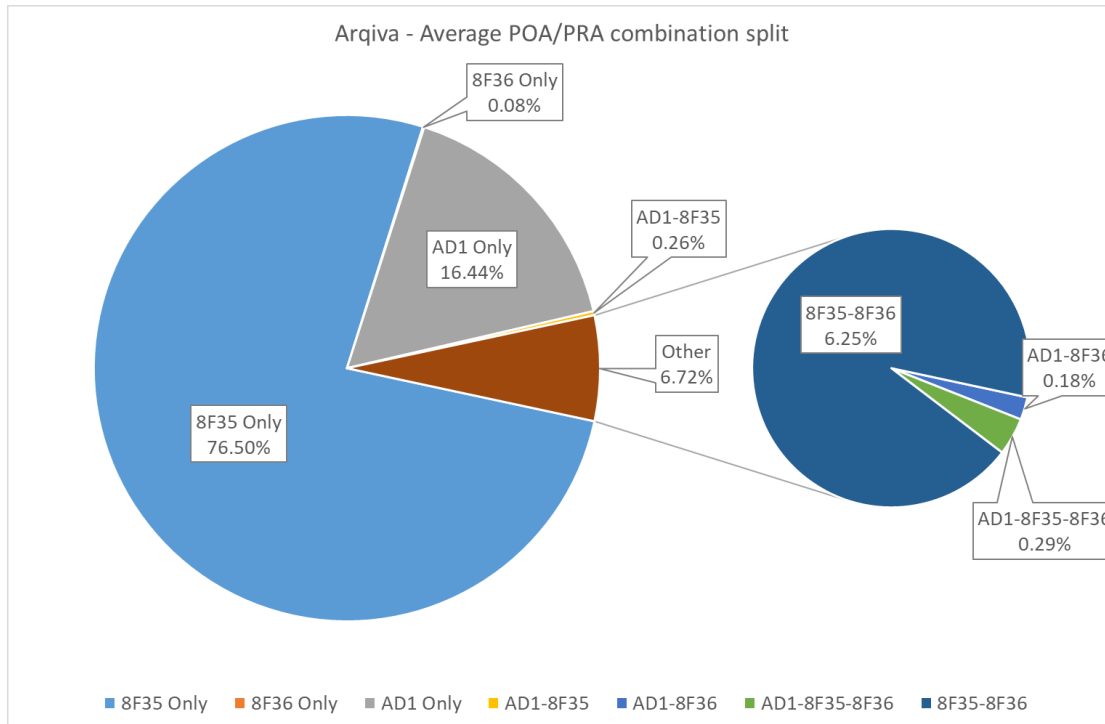


Figure 30 Arqiva POA/PRA Combinations

Key points from the graph above are the following:

- 77% of MPANs generate a solitary 8F35. If DNOs agree that timely delivery of 8F35s (indicating an outage of less than 3 minutes in duration) are of less importance than AD1s/8F36s (denoting outages of 3 minutes or more), this would reduce the POA/PRA estate requiring timely delivery to less than a quarter under 'normal' conditions.
- For outages of 3 minutes duration or more that occur and complete within the same day, the expectation would be to see one 8F35, one 8F36 and up to three AD1s sent to the DSP. Where more than one AD1 alert is sent to the DSP, deduplication within the DSP system will result in a single AD1 alert being processed by the DSP, generating up to 2 AD1s for single fuel and up to 4 AD1s for dual fuel installations.
- 16% of MPANs generate a solitary AD1. This may be attributable in part due to specific ESMEs generating and sending the 8F35 and 8F36 alerts whilst the CH is rebooting after power restoration. In this scenario the CH HAN receiver is not enabled at the time that the ESME transmits and the 8F35 and 8F36 alerts are lost. A change to the messaging protocol used by the ESME to include an acknowledgement or retry mechanism would be required to prevent these messages being lost.
- A small percentage of solitary AD1 alerts may also be attributed to firmware updates to ESMEs which interrupt power supplied to the CH during firmware activation, thus causing the CH to trigger an AD1 in the absence of an 8F35 or 8F36. This needs to be verified by more analysis of the Model-Firmware combinations of affected ESMEs and their receipt of SRV11.3s during the day of the outage.
- The 6% of power outage events which generate both an 8F35 and 8F36 without an AD1 can primarily be attributed to one of three factors.

- A significant delay in delivering the successful AD1 alerts back to the core network
 - Devices in the outage include both CHs operating in buddy mode (the Out of Range Device and the corresponding Buddy)
 - The AD1 alert being lost due to message collisions over all three alert windows
- Based on the window of data analysed the vast majority of missing AD1 alerts fall under the first of the above categories, being delivered significantly delayed. Analysis of the origin of significantly delayed alerts highlighted specific sites within the network experiencing problems with backhaul connectivity as described in the unhappy path scenarios. Where RNI connectivity is unavailable messages at the TK are buffered and interleaved with live messages once connectivity is re-established.
- An issue had previously been identified with the TK buffering process which was exacerbating the problem of messages being delayed at the TK. At the time that the data was collected a patch for the existing issue was in the process of being rolled out to the network. Since that time all sites in the network have been patched and as such Arqiva expects fewer issues with significantly delayed messages being reported. Note that this patch does not change the fundamental TK buffering and buffer clearing process. It is still feasible that messages buffered at the TK will arrive significantly after the event due to intermittent backhaul connections.
- During recent months, government restrictions have prevented access to a number of sites with known RNI connectivity issues requiring BT maintenance to the DSL line. As a result there has been an increase in the number of messages arriving significantly after the outage event.

12.9 Arqiva POA Times from Outage to Receipt by DSP

This graph shows the delay between the power being lost and a corresponding POA arriving at the DSP. This data is extracted from a log introduced as part of the DSP Change Request for de-duplication of AD1s received from Arqiva. As such it contains up to three alerts produced by each CH in an outage. Arqiva CHs generate three outage Alerts:

- The first is generated between 180 seconds (3 minutes) and 225 seconds (3 minutes 45 seconds) after the outage
- The second is generated between 225 seconds (3 minutes 45 seconds) and 465 seconds (7 minutes 45 seconds) after the outage
- The third is generated between 465 seconds (7 minutes 45 seconds) and 705 seconds (11 minutes 45 seconds) after the outage

Under typical daily volumes the alert from the first 45 second window is almost always received, followed later by the subsequent duplicate alerts from the second and third windows.

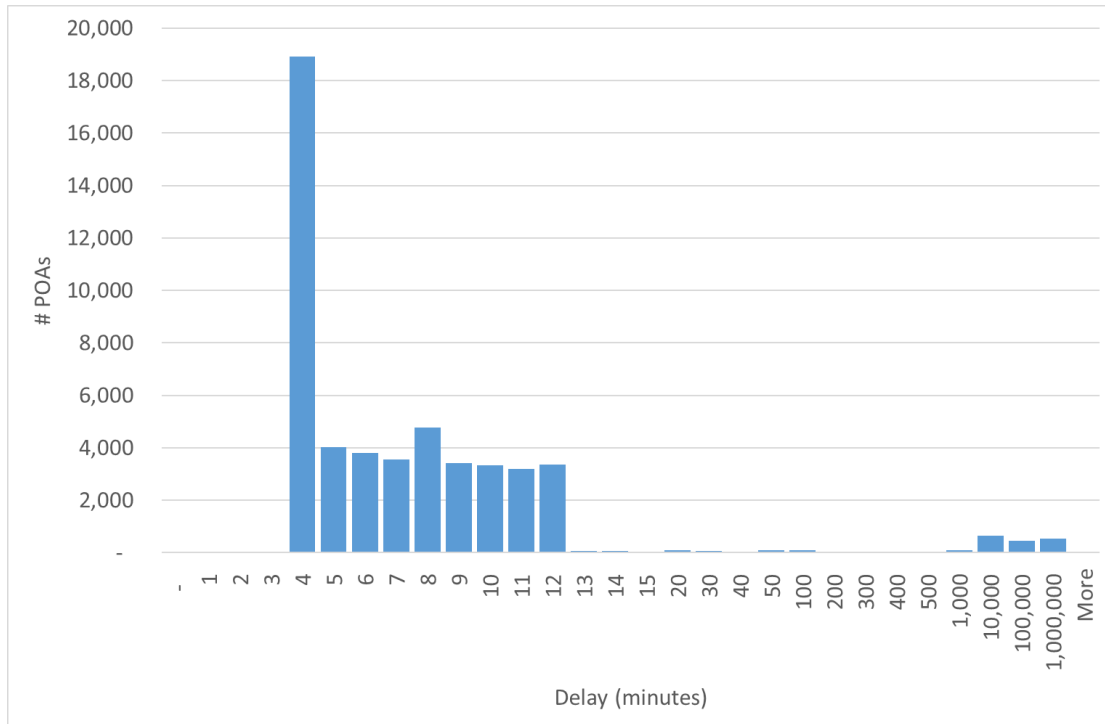


Figure 31 Arqiva POA Delivery Times

In the table below analysis of the alerts have been split between those delivered within the expected windows and those which arrived at the DSP after a delay. 95% of all alerts over the analysis period were delivered within the expected windows, with the average of all alerts before deduplication being 6 minutes after loss of power. As discussed previously the first alert to arrive at the DSP is typically within the 45 second window after confirmation of the outage and would generate the AD1 that is sent to the DNOs at that time.

Alert Delivery Time	No. Alerts	Average Delay (mins)	Average Delay (days)	Percentage of Alerts in sample
< 11 min 45 sec	48,412	6	0	95%
>11 min 45 sec	2,344	44,447	31	5%
All alerts	50,756	2,058	1.4	100%

Table 33 Arqiva Alert Times

The AD1 de-duplication change logs the time of each outage notification received and looks to see if an outage notification has been generated by the same CH within a configurable time period. If so, the outage notification is rejected as a duplicate of a previously received outage notification. The DSP retains knowledge of outage notifications received from Arqiva for a specified period. If a duplicate is received after a period longer than the specified period, DSP fails to recognise it as a duplicate and sends it on. DSP retains a log of de-duplication activity for a period of a month. This data is based on an

analysis of the log spanning the period 17 May 2020 to 18 June 2020 during which 50,756 POAs were processed.

POAs with up to an 11 minute 45 second delay are to be expected. POAs with a delay longer than 11 minutes 45 seconds (5% of those processed) are not and are most likely due to problems with intermittent connectivity between TKs and the RNI as covered above. These alerts that are longer than 11 minutes 45 seconds are likely to be duplicate alerts but this cannot be assumed since there are scenarios where the delayed alert was picked up by a single site and transmitted late.

13 Appendix B – CSP Contract Extracts



Power Outage Alerts
- CSP Contract Extrac

14 Appendix C – National Grid letter to Telefónica – Black Start Worst Case Scenario



Telefonica letter
_v3.pdf

15 Appendix D – Known Incidents Identified by DCC

The items below identify known issues related to POAs and PRAs, namely:

- AD1s
- 8F35
- 8F36

These issues will be tested further as part of PR1227 (E2E POA & PRA Testing by Telefónica, Arqiva and CGI) which aims to test the alerts, identify root causes and fix paths.

1. AD1 received and device becomes unresponsive/can no longer be communicated with
2. AD1 received but no SMART meter at the property
3. AD1 received but no N16 and/or N42
4. AD1 received but customer on supply
5. AD1s received multiple restore alerts received
6. AD1s received too early (part 1)
7. AD1s received too early (part 2) - AD1 is received with a time stamp in the future
8. AD1s being received too late - POAs have been received after 7 minutes but some are over an hour and up to 24 hours after the time stamp
9. Duplicate AD1s being received in CSPN - Some AD1s are received by DNOs several hours after the original and outside of the time used for DSP to check
10. Duplicate alerts with different headers
11. 8F35/8F36 being received with no correlating AD1
12. Multiple AD1s being received
13. Multiple PRA being received following an AD1

16 Appendix E – Telefónica Network Resilience Information

In the event of power outages that may impact the network infrastructure in the CSP central and south regions, here are details of the network configuration.

Backup for cell sites are provided through two mechanisms: site coverage overlap and power backup:

- All cell sites are designed with power backup by default – they will have batteries / alternative power sources installed that provide 10 minute backups to cover power dips / short outages only. There will be a few sites which will not have power backup - these will include cell towers providing coverage extensions within specific buildings / venues.
- The network is designed with coverage overlap across the tower network. For example, in East Anglia, the network topography has a 40% coverage overlap across sites

Additional information regarding the network infrastructure is also included in the response to question 4 under “section 6 Responses to DNO Questions”.

17 Appendix F – Enhancement Option Costing's

Below is the enhancement options with ROM costs however it excludes post PIT implementation costs which depend on the delivery channel. All costs quoted below are indicative only and are subject to a CR being raised and Full Impact Assessments being conducted.

16.1 Arqiva enhancement Options and Indicative Costs

Arqiva Option 1 - Reinstate CH Restoration Alerts

ROM cost of £ X

The cost for this change is based on making the Arqiva change only. Changes to DSP and DUIS would also be required.

Arqiva Option 2 – Increase Traffic Channels

ROM cost of £ £X - £X Million but could go as high as £X - £Xmillion

The cost for this Change will vary depending on the number of Traffic Channels purchased. At the low end the cost is for approx. 3 to 5 channels with the high end 15 – 20. If more channels are needed, then the cost would increase even further.

Arqiva Option 3 – 3G Backhaul Resilience

Due to the complexity of this change and the work required to produce a ROM cost for this enhancement, we would need to conduct an Impact assessment to provide a ROM cost.

Arqiva Option 4 – Relaxed throttle Between the CSP and DSP

ROM cost of £ X

This is based on general uplift of the current throttle maintaining the existing infrastructure, anything more and costs would scale up quickly and require more work. If this was the case costs could end up in the £X million range.

Arqiva Option 5 – Buffering alerts at the Gateway

ROM cost of £ X million

Arqiva Option 6 – Deduplication at the CSP – DSP Gateway

Due to the complexity of this change and the work required to produce a ROM cost for this enhancement, we would need to conduct an Impact assessment to provide a ROM cost.

16.2 Telefonica enhancement options and Indicative costs

Telefonica Option 1 - Existing IT System Enhancements for POA/PRA processing

ROM cost of ££Xm -£Xm (Setup) / £Xk -£Xk Per Annum (OPEX)

Telefonica Option 2 - Cloud Based Micro Service

£Xm -£Xm (Setup)
£Xk -£Xk per Annum (OPEX)

Option 3 - Network Evolution Comms Hub with Super-Capacitor

\$X to \$X per unit (USD)

Telefonica Option 4 - Firmware and Network Infrastructure Updates
~£Xm -~£Xm

16.3 DSP Enhancement options and Indicative costs

DSP Option 1 - Provision of New Infrastructure
ROM £Xk - £Xk

DSP Option 2 - Prioritisation Capability
£Xk - £Xm

DSP Option 3 - Dedicated Infrastructure Resources
£Xk - £Xk

DSP Option 4 - Cloud Based Deployment
TBD

DSP Option 5 - Prioritisation within Alert Types (Postcode + TPB)
£Xk - £Xk

DSP Option 6 - Prioritisation within Alert Types (Canary)
£Xk - £Xk

DSP Option 7 - Reduce Spurious PRAs
£X - £Xk

DSP Option 8 - V1.1 Submission of CR1090 - Data Retention Improvements
£Xk - £Xm

DSP Option 9 - Additional Work: Performance Testing
£Xk - £Xk

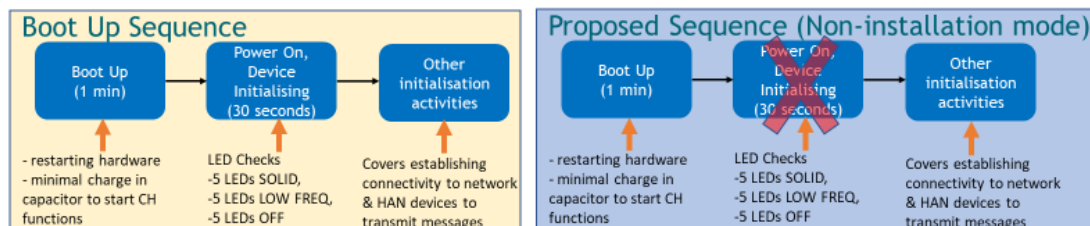
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Appendix G

Additional Telefonica and Arqiva Enhancement

See below additional enhancement options:

Telefonica - T6 - Reduction in CH Re-Boot Time



- **Skip power on, device initialisation step, saving 30 seconds (Boot time reduction from 90 secs to 60 secs)**
- This sequence is specific to non-installation mode (Existing sequence used for installation mode)
- Updates required for both Toshiba and WNC for alignment
- This change would apply to mesh and non-mesh CHs
- **Delivery time of AD1s and 8F35/8F36 messages will be reduced by 30 seconds**

Telefonica - T7 - Dither Reduction

- This option is to reduce the overall time taken for all impacted CHs in a power outage to send messages once power is restored, by adjusting the network re-attach timer.
- The network re-attach timer is in place to protect Telefónica's network infrastructure by preventing large scale simultaneous network reattachments. This can occur after a large power outage which causes CHs to reboot once power is restored and reattach to the network.
- The network re-attach timer prioritises mesh enabled comms hubs in order to allow leaf mesh comms hubs gateways to connect to following an outage
- The network re-attach timer then switches to non mesh and randomises all remaining attaches
- Current algorithm is as follows:
 - 0 - 20 seconds – Mesh CH reattachments
 - 20 - 120 seconds – 10 slots of 10 seconds each for batches of non-mesh CH to reattach
- Removal of a short gap (10 seconds) between the switchover from mesh to non-mesh comms hubs
- **This reduces the network re-attach timer by 10 secs from 120 secs to 110 secs**

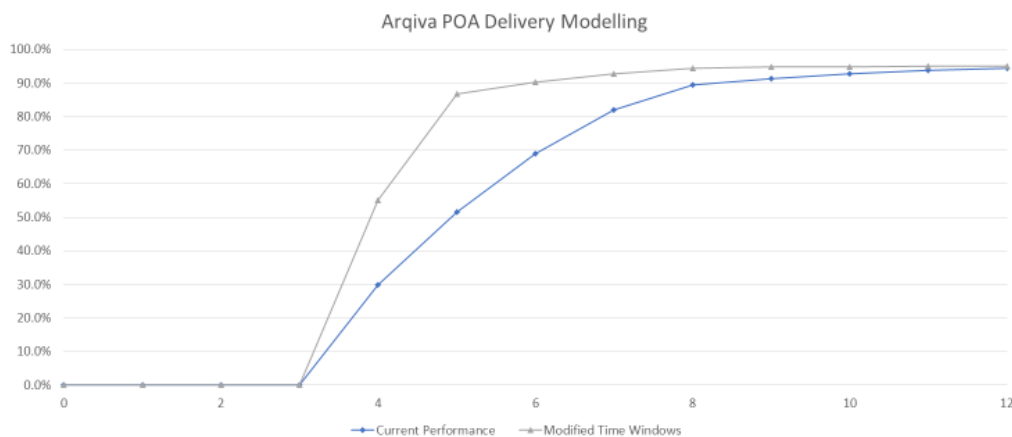
Arqiva – A7 – Modify time window

➤ Modified time window

- Change first CH POA alert window to 120 seconds to align with 5 minute total elapsed time
- Reduce second and third alert windows to 200 and 205 seconds respectively
- By modifying the time windows over which the CH transmits its POA Arqiva can deliver a greater number of alerts at the 5 minute interval when considering the 30,000 size outage
- The trade off is that for >99% of annual outages alert delivery is slower

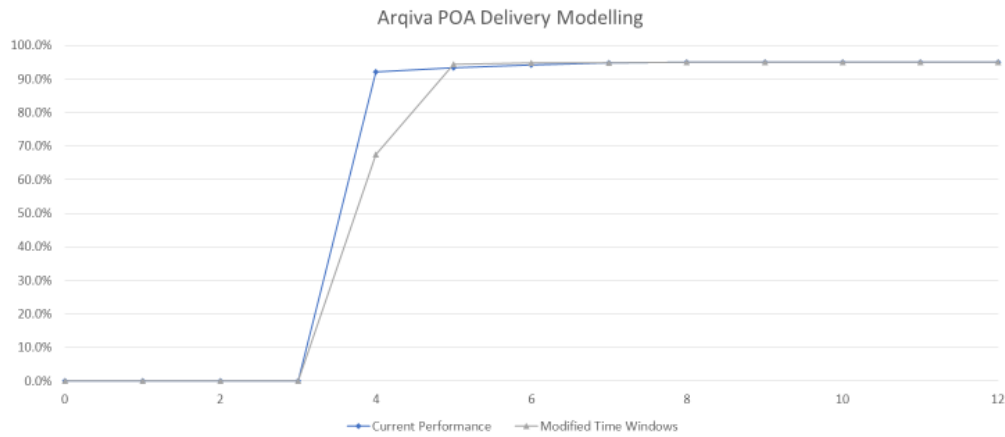
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Arqiva - 30,000 CH Outage



4

Arqiva - 1,000 CH Outage



5

Appendix H

Additional Scenario Analysis

Central South Performance Enhancement Mapping to DNO Scenarios

Scenario	Description	Message volumes		AD1 delivery time range (in minutes)			PRA delivery time range (in minutes) (outages > 20s)		
		Max Simultaneous Outages	Max Simultaneous Restores	Current	A. High Perf Enhancement	B. Max Perf Enhancement	Current	A. High Perf Enhancement	B. Max Perf Enhancement
C	Overhead power line comes down. 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms	50	50	6-8	5-7	4.5-6.5	1.5-3.5	1.5-3.5	1-3
A/B	Single 5000 property outage (A. TEF region / B. ARO region)	5,000	5,000	6-8	5-7	4.5-6.5	1.5-3.5	1.5-3.5	1-3
D	Substation damaged - 5,000 low power simultaneously	5,000	5,000	6-8	5-7	4.5-6.5	1.5-3.5	1.5-3.5	1-3
G	Storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes	5,000	7,500	6-8	5-7	4.5-6.5	1.5-3.5	1.5-3.5	1-3
H	Storm travelling South to East from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of high voltage / low voltage in other cities, towns and villages	20,000	20,000	6-11	5-8	4.5-7.5	1.5-3.5	1.5-3.5	1-3
E	20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare)	20,006	20,006	6-11	5-8	4.5-7.5	1.5-3.5	1.5-3.5	1-3
F	An event knocks down a high voltage cable in a major city (as per DNO discussions assumed to be scenario for outage of 30,000 properties)	30,000	30,000	6-13	5-8	4.5-7.5	1.5-3.5	1.5-3.5	1-3
J	Transmission Line Failures (only 34,000 POA messages are processed due to throttling measures put in place in the systems)	200,000	50,000	6-14	5-8	4.5-8	1.5-3.5	1.5-3.5	1-3

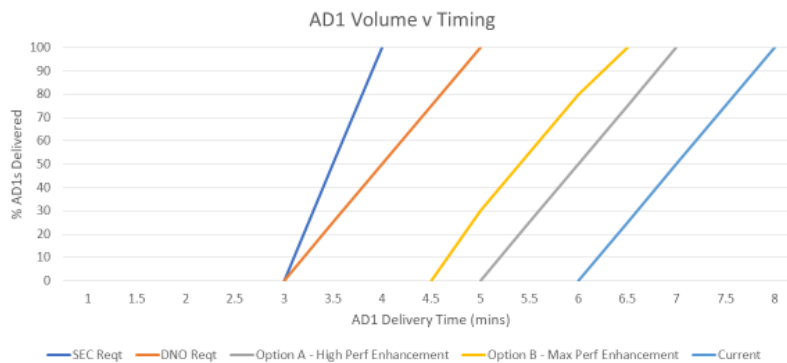
North Region Performance Enhancement Mapping to DNO Scenarios

Scenario	Current	Option A			Option B
		Change 2 PRA from CH	Change 3 + Alert Channel	Change 4 Relax throttle	Change 8 CH processing time
Scenario A&B: Single 5000 property outage (A - TEF region / B - ARQ region)	POA: 52% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	New PRA: 52% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	POA: 76% in 5 mins 95% in 12 mins 8F35/8F36: 45 mins	No Change	POA: 55% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins
Scenario C: Overhead power line comes down. 30 homes in a village are taken off supply as are 15-20 local supply points at neighbouring farms	POA: <4 min 8F35/8F36: <4 min	New PRA: <4 min 8F35/8F36: <4 min	POA: <4 min 8F35/8F36: <4 min	No Change	POA: <5 min 8F35/8F36: <5 min
Scenario D: Substation damaged - 5,000 lose power simultaneously	POA: 52% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	New PRA: 52% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	POA: 76% in 5 mins 95% in 12 mins 8F35/8F36: 45 mins	No Change	POA: 55% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins
Scenario E: 20,000 homes in a major city lose power within 30 seconds a smaller power event occurs meaning the loss of power occurs in a different part of the same SP region (e.g. 20,000 homes in London – 6 homes in Western Super Mare)	20,000 Outage POA: 31% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins 6 Outage POA: <4 min 8F35/8F36: <4 min	New PRA: 31% in 5 mins (52% in 5 mins with Change 4) 94% in 12 mins (94% in 12 mins with Change 4) 8F35/8F36: 45 mins	POA: 31% in 5 mins (76% in 5 mins with Change 4) 95% in 12 mins (95% in 12 mins with Change 4) 8F35/8F36: 45 mins	Reduced risk of blocking messages during large outages. At 5000 per min throttle begins to impact for single outages around 20k in size with current alert channel count. Higher throughput of change 3 would mandate this change.	POA (assumes Change 4 implemented): 55% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins

North Region Performance Enhancement Mapping to DNO Scenarios

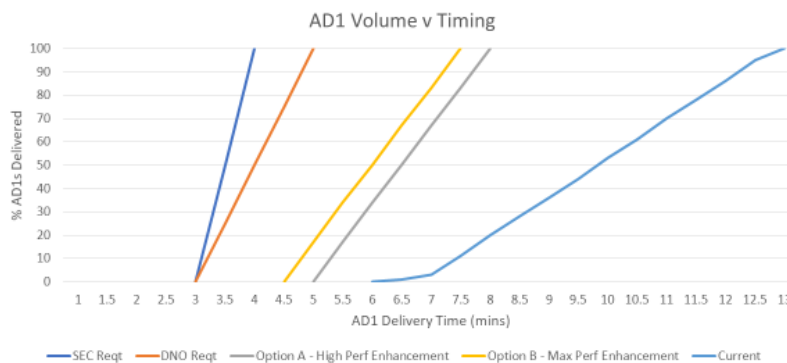
Scenario	Current	Option A			Option B
		Change 2 PRA from CH	Change 3 + Alert Channel	Change 4 Relax throttle	Change 8 CH processing time
Scenario F: An event knocks down a high voltage cable in a major city (as per DNO discussions assumed to be scenario for outage of 30,000 properties)	POA: 31% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	New PRA: 31% in 5 mins (52% in 5 mins with Change 4) 94% in 12 mins (94% in 12 mins with Change 4) 8F35/8F36: 45 mins	POA: 31% in 5 mins (76% in 5 mins with Change 4) 95% in 12 mins (95% in 12 mins with Change 4) 8F35/8F36: 45 mins	Reduced risk of blocking messages during large outages. At 5000 per min throttle begins to impact for outages around 20k in size with current alert channel count. Higher throughput of change 3 would mandate this change.	POA (assumes Change 4 implemented): 55% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins
Scenario G: Storm travelling West to East knocks out a total of 100,000 MPAN's over a 20-hour period on average 5,000 homes an hour with a mix of high volt and low voltage. Due to re-routing, alerts may be generated and restored within the 3 minutes	POA: 52% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	New PRA: 52% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	POA: 76% in 5 mins 95% in 12 mins 8F35/8F36: 45 mins	No Change	POA: 55% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins
Scenario H: Storm travelling South to East from Bristol to Glasgow affecting 100,000 MPAN's over 7 hours to include 20,000 simultaneous supply points in Birmingham, 15,000 in Manchester and 65,000 being a mix of high voltage / low voltage in other cities, towns and villages	POA: 31% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins	New PRA: 31% in 5 mins (52% in 5 mins with Change 4) 94% in 12 mins (94% in 12 mins with Change 4) 8F35/8F36: 45 mins	POA: 31% in 5 mins (76% in 5 mins with Change 4) 95% in 12 mins (95% in 12 mins with Change 4) 8F35/8F36: 45 mins	Reduced risk of blocking messages during large outages. At 3000 per min throttle begins to impact for outages around 20k in size with current alert channel count. Higher throughput of change 3 would mandate this change.	POA (assumes Change 4 implemented): 55% in 5 mins 94% in 12 mins 8F35/8F36: 45 mins
Scenario I: Transmission Line Failures	Unknown. Not modelled during PR1226	Unknown. Not modelled during PR1226	Unknown. Not modelled during PR1226	Unknown. Not modelled during PR1226	Unknown. Not modelled during PR1226

Table 1- POA delivery period and volumes for Central South Regions for scenarios A,B,C,D,G (up to 5000 simultaneous outages)



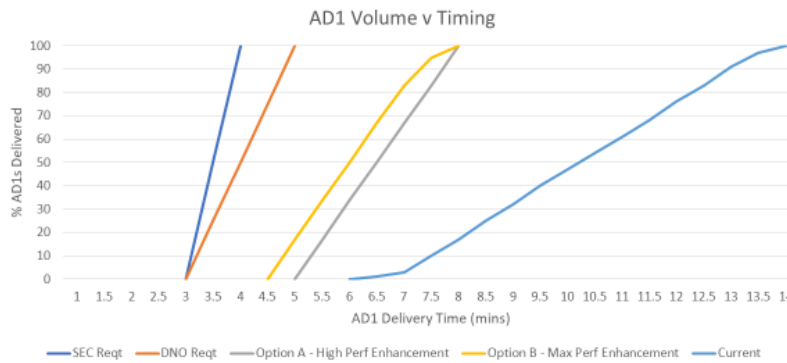
- Option B – Max Perf Enhancement for 2G/3G CH covers combined microservices, reduction in CH reboot times and reduction of dither algorithm.
- For Option B, system needs to wait approx. 4 mins to ensure there are no CH power restore messages arriving before sending out the AD1s. A CH power restore message arriving within 4 mins of the CH power outage message is actually a power outage that lasts < 3 mins and is therefore not an AD1. This takes into account CH reboot, network re-attach and message transmission time.

Table 2 - POA delivery period and volumes for Central South Regions for scenarios E,F,H (up to 30000 simultaneous outages)



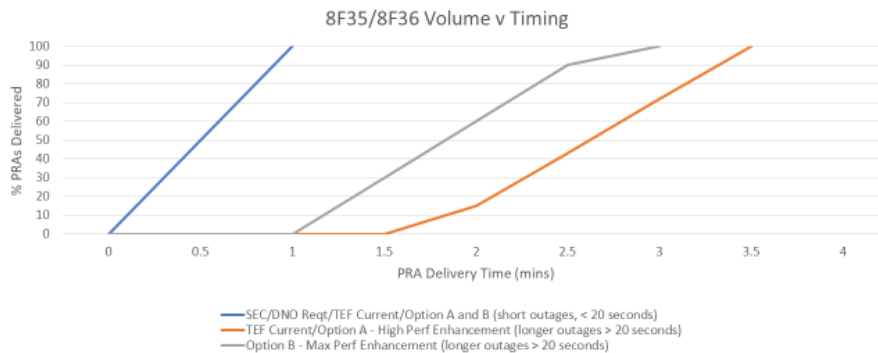
- Option B – Max Perf Enhancement for 2G/3G CH covers combined microservices, reduction in CH reboot times and reduction of dither algorithm.
- For Option B, system needs to wait approx. 4 mins to ensure there are no CH power restore messages arriving before sending out the AD1s. A CH power restore message arriving within 4 mins of the CH power outage message is actually a power outage that lasts < 3 mins and is therefore not an AD1. This takes into account CH reboot, network re-attach and message transmission time.

Table 3 - POA delivery period and volumes for Central South Regions for scenario I (up to 200,000 simultaneous outages, capped at 34,000 POAs for processing due to system throttling)



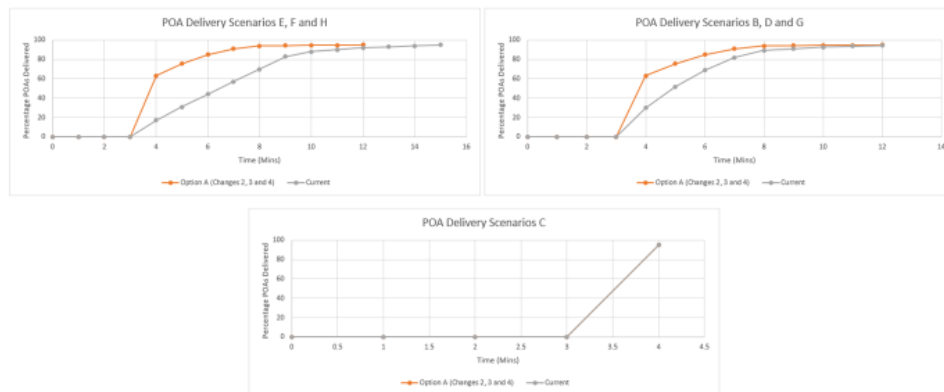
- Option B – Max Perf Enhancement for 2G/3G CH covers combined microservices, reduction in CH reboot times and reduction of dither algorithm.
- For Option B, system needs to wait approx. 4 mins to ensure there are no CH power restore messages arriving before sending out the AD1s. A CH power restore message arriving within 4 mins of the CH power outage message is actually a power outage that lasts < 3 mins and is therefore not an AD1. This takes into account CH reboot, network re-attach and message transmission time.

Table 4 - PRA (8F35/8F36) delivery period and volumes for Central South Regions (all scenarios)



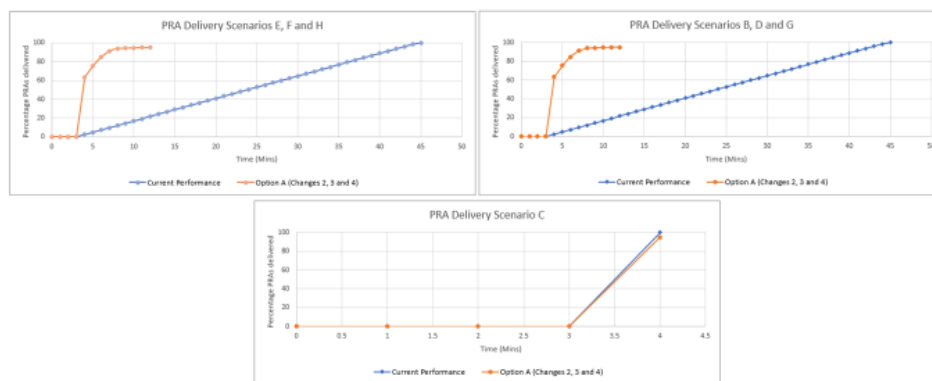
- When power outage occurs, CH can stay on for about 20 seconds before shutdown occurs. Currently, once CH shuts down, 1.5 minutes is required for CH to reboot followed by network re-attach algorithm ranging from 0 – 2 minutes to connect.
- Currently, first 20 seconds of the re-attach algorithm is dedicated to mesh enabled CHs, followed by 100 seconds split into 10 x 10 second slots for the remaining non-mesh CHs. These are evenly split as it uses a single digit based on the CH GUID to determine which CHs can attach.
- Enhancements under Option B involve 40 seconds savings covering 30 seconds reduction in CH reboot time plus 10 seconds reduction in the re-attach algorithm (dither reduction)

Table 5 - 7 North Region Performance Enhancement Mapping to DNO Scenarios



Notes: Alert delivery based on modelled performance only. Scenarios B, C, D and G current performance assumed to stay below current 5000 per min throttle. Option B not shown as performance change is minimal.

Table 8 – 10 North Region Performance Enhancement Mapping to DNO Scenarios



Notes: Option A Change 2 introduces the CH PRA. PRA delivery is in addition to current 8F35 and 8F36 alerts and requires DSP to support the message. Based on modelled performance only. Scenarios B, D and G current performance assumes worst case single cell loading. Option B not shown as performance change is minimal.

18 Appendix I – Revised costs for recommended Option 3a & 3b.

Below are the ROM costs, and costs to prepare the FIA for the improvements associated to the recommended option 3, a & b. (As described in the DCC Recommendations Paper version 3 and MP096 Request for information document.) The ROM costs excludes post PIT implementation costs which depend on the delivery channel. All costs quoted below are indicative only and are subject to Full Impact Assessments being conducted.

		Option A	Option B
Title	Cost to Prep FIA	ROM costs	
Cloud Based Micro service	£X	£x	£x
CH Reboot	£X		£x £x
Reduce the CH dither	£X		£x £x
Additional alert channel	£X	£x	£x
Relax Alert Throttling	£X	£x	£x
Modify CH time window	£X		£x
PRA from the CH	£X	£X	£X
Send PRA from the CH	£X		£X
Additional motorway	£X	£X	£X
ROM Total		£X	£X
Prep FIA Costs		£X	£X

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MP096 ‘DNO Power Outage Alerts’ Request for Information responses Annex C

About this document

This document contains the full non-confidential collated responses received to the MP096 Request for Information.

Context - potential solution options

Option 1 – Do nothing

This assumes that there are no changes made to the SEC at this time.

The DCC would seek a derogation from Ofgem until the DCC's Network Evolution Programme confirms the performance of the fourth generation (4G) Communication Hubs (CHs) and the roll-out timetable for these.

The SEC would then be changed to align with the proposed performance of 4G CHs and their proposed installation timescales with the derogation continuing to apply to installed CHs until they are replaced.

The current assumption for the commencement of the roll-out of the 4G CHs is Q4 of 2023/24¹.

Please note the Network Evolution Programme is only targeted at the South and Central CSP regions.

Option 2 – Change the SEC to current DCC performance

This option proposes that the DCC carries out testing to demonstrate current baseline performance.

The SEC would then be changed to match this baseline. Subsequent changes would be made to align DCC Service Provider contracts with the changes made to the SEC.

Option 3 – Change the SEC following implementing system improvement

This option assumes that system changes are made which improves upon the current performance, although would not fully deliver the current SEC requirements.

The DCC will carry out testing to demonstrate the performance that can be achieved following these changes. The SEC would then be changed to match this performance.

Subsequent changes would be made to align DCC Service Provider contracts with the changes made to the SEC.

Two options associated with making system changes have already been assessed by the DCC as part of its technical study:

- **Option A – Minimum change required to deliver a significant improvement**
 - This assumes that a select number of the changes assessed that deliver the largest improvement in performance are implemented, and the rest of the changes are disregarded.
- **Option B – Maximum performance improvement**
 - This option implements all system changes assessed which improve the speed and quality to deliver a POA or PRA.

¹ Please see Panel paper 91_1604_05 (Amber) for more details.

Please note that further combinations of the changes already assessed and outlined in the DCC technical paper v5 by the DCC could be considered during the Refinement Process, which could fall between the above options. Different options for each CSP region may also be considered.

The DCC has assessed the rough order of magnitude (ROM) implementation cost of the maximum performance improvement (Option B) at £10.9m up to the end of Pre-Integration Testing (PIT), with a cost of £604,000 to complete an Impact Assessment. The implementation costs would be reduced if a subset of the proposed Option B changes assessed were taken forward, with the minimum change option implementation costs (Option A) estimated at £6.5m up to the end of PIT.

Question 1: Which of the options put forward do you support?

Question 1				
Respondent	Category	Option	Response	Rationale
WPD	Networks Party	Option 1	No	The DCC are currently not compliant with the SEC Obligations and have confirmed that they are unable to comply with the SEC, even with system enhancements. Therefore we do not feel that 'do nothing, Option 1, is an option. We do not believe that Option 3 is viable due to the significant costs involved. Neither recommendation under Option 3 meets the SEC Obligations and therefore we still wouldn't be able to realise the benefits we had anticipated as part of the Smart Metering Programme. Option 2 is our preferred option. It acknowledges that the DCC are not compliant with the SEC and addresses this issue. We do feel that under Option 2, the SEC should be amended to align with current system capabilities rather than current performance, in the event that these might differ.
		Option 2	Yes	
		Option 3	No	
ENWL	Networks Party	Option 1	No	Option 3A is the only option that could provide some benefit to ENWL's North customers as the other remaining Options 1 & 2 deliver no improvements to the timing or quality of the DCC's current under performance as no system changes will be carried out in the North CSP region. Option 3B holds no additional performance advantage to North region compared to Option 3A and as such does not justify the additional DCC implementation costs to North customers.
		Option 2	No	
		Option 3	Yes (Option 3A)	

Question 1				
Respondent	Category	Option	Response	Rationale
				Please refer to our requests for DCC to complete further tasks in our response to Question 5 below to enable the MP096 working group and SEC Parties to make an informed decision regarding the progress of this modification.
British Gas	Large Supplier	Option 1	Yes	We believe DNOs would need to work with Ofgem to encourage DCC to remediate the issue.
		Option 2	No	
		Option 3	No	
SPEN	Networks Party	Option 1	No	SPEN are unable to fully support any of the options presented in the RFI as none present a significant benefit to the business operation, either remaining with the current performance of the system, or slight improvement with significant cost. However, Option2 has been chosen in favour of either Option1 or Option3 as per below. SPEN do not regard Option1 as a credible option. The fundamental issue relates to the DCC not being compliant with SEC and this option does not resolve this. BEIS provided derogation (and extension) for non-compliance at the start of the Smart programme. SPEN would assume that further, enduring derogation in this area would not be granted by BEIS to support this option. As noted in the RFI, there is a risk that the Network Evolution Programme does not provide any performance gain, will not apply to existing comms infrastructure, and only impact CSP South.
		Option 2	Yes	
		Option 3	No	

Question 1				
Respondent	Category	Option	Response	Rationale
				The current performance of the system as presented in Option2 does not reflect performance as experienced by SPEN in their day-to-day operations in terms of both reliability and timing of alerts. If the presented performance is a definition of DCC system capability, then it is clear the DCC system is also not functioning to the DCC's own expectations. SPEN are unclear how this position translates into a SEC obligation that will provide no benefit to Users other than to resolve non-compliance by the DCC. It does not address the underlying end-to-end system inconsistencies with unreliable and untimely alerts. If Option2 is to proceed, then the actual current performance should be documented and baselined as a measurable SLA. The current CBA should be reassessed considering this new baseline. The expectation from SPEN would be that through the Network Evolution Programme and CSP contract renewal process, that any performance baseline set would be improved upon in the longer term. The benefits in timing of alerts for Option3 are only achieved during outages where the volume of impacted devices exceeds that experienced by SPEN on a regular basis. (i.e. HV rather than LV incidents). As Options A and B provide different benefits across CSP regions and SPEN operates equally across both, SPEN consider that the costs associated with Option3 do not provide sufficient benefits in performance in either of their licence areas for this option to be supported.
	Network Party	Option 1	No	

Question 1				
Respondent	Category	Option	Response	Rationale
Northern Powergrid		Option 2	No, however we believe that there is a derivative of Option 2 which would be worthy of support. We have described this option in our response to Question 5.	We do not believe that any of the three options as drafted are worth supporting and we propose a fourth option, based on option 2. This fourth option is set out in our response to question 5.
		Option 3	No, because we are of the view that the implementation costs far exceed the value of the benefits that would be delivered.	
SSEN	Networks Party	Option 1	No	Based on the three options proposed as part of this RFI, in principle we support option 2 for the reasons detailed in our responses to the questions below. We have also detailed our responses to Options 1 and 3 and why these are not our preferred options.
		Option 2	Yes	
		Option 3	No	
UK Power Networks	Networks Party	Option 1	No	Option 3 seems to provide the best outcome in relation to POA and PRA for network operators compared to the other 2 options available, however the proposal needs to go further and include improvements at the DSP as outlined in Appendix C for managing spurious alerts.
		Option 2	No	
		Option 3	Yes	
Utilita	Large Supplier	Option 1	Yes	Option 1 is the most straightforward solution and preferred solution, especially as CH Network Evolution Plans are currently being developed
		Option 2	No	

Question 1				
Respondent	Category	Option	Response	Rationale
		Option 3	No *before this option can even be considered this proposed solution needs to be outweighing the excessive cost for this modification especially for suppliers.	and consulted on at the moment. However, it does not resolve the issue until these Network Evolution plans are fully developed to include POA and PRA improvements. Option 2 is our least preferred solution as Suppliers are expected to pay for DSP contract changes, solely to enable the DCC's compliance with the SEC, with no benefit to Suppliers and other SEC Parties. Option 3 should only be considered if there is a clear cost-benefit for Suppliers that can be delivered before the CH Network Evolution and/or DSP procurement. The Smart Rollout CBA highlight potential cost savings for DNO's and their customers of approximately 350million, this implies that there are already incurring costs for the DNO especially. Suppliers should therefore see the reduction of these potential savings through a chargeback via the price control. If Option 3 was to be pursued, it would be beneficial to include a more up-to-date version of these cost benefits accounting for all potential Network Evolution plans in the pipeline.

Question 2: Please set out any impacts and/or benefits you may realise from Option 1 and the estimated rough order of magnitude (ROM) costs and/or cost benefits these will have for you

Question 2		
Respondent	Category	Response
WPD	Networks Party	We feel that there are further implications with Option 1. If the SEC is not updated and aligned to the DCC system capabilities then it will be difficult to hold the DCC accountable to any performance. It will also be difficult to agree and measure any type of service level for these alerts.
ENWL	Networks Party	We do not support Option 1 as a derogation only seeks to benefit the DCC in terms of resolving their non-compliance in the South and Central CSP regions until as such time as a further generation (4G) Communications Hubs (CHs) may commence in 2024 which may improve performance at some unknown date. This Option is not a SEC modification and delivers no improvements to the timing or quality of the DCC's current under performance as no system changes will be carried out. This Option would not resolve the DCC non-compliance in the North CSP region, nor does it hold any benefits to other SEC Parties or end customers.
British Gas	Large Supplier	We do not anticipate any costs or benefits from Option 1.
SPEN	Networks Party	As is the case with other Network Operators, SPEN have modified current internal processes and functions to accommodate the inconsistencies presented by the current DCC system not meeting its current SEC obligation. The Cost Benefits Analysis of POA/PRA alerts to DNOs originally performed by BEIS does not consider the functional and process changes that DNOs have implemented in the intervening years. DNOs have modelled benefits separately (PA Consultancy) and consider that the original CBA should be reassessed in view of the current DCC system performance and usage by DNOs.
Northern Powergrid	Network Party	We do not believe that Option 1 is a viable option. Our rationale for this view is set out below. 1 Implementation of the DCC Network Evolution Programme will not resolve the existing SEC non-compliance as in this option a significant number of existing generation communication hubs will remain for which the Network Evolution Programme will deliver no benefits. Until all the existing generation communications hubs are replaced,

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Question 2		
Respondent	Category	Response
		benefits would only be associated with new rather than existing installations. Furthermore, the current Network Evolution programme would not address any issues in the CSPN region. Hence even after full Network Evolution implementation, there will remain a significant SEC non-compliance. 2 There is no benefit in having obligations under SEC that parties are not compliant with and which are considered to be unachievable; this would mean that enduring derogation would be required. BEIS have in the past declined to extend a previous derogation that had been granted to the DCC, and it is reasonable to assume that BEIS would not grant the enduring derogation that would be required for this option. 3 We agree that, as stated in the RFI's assessment of risks and benefits for Option 1, despite the intention that the Network Evolution Programme will deliver SEC compliant POA and PRA performance, there is a risk that the programme does not deliver the expected performance. It is worth noting that the Network Operators' view, based on their data from the system, of the current POA and PRA performance is that it is significantly worse than the expected performance of the current system set out by the DCC in Appendix H of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5. We also note that a recent DCC consultation includes a statement 'DCC will not be seeking to improve Power Outage and Power Restore Alerts message times as part of the [Network Evolution] programme'³. Hence it is our view that the risk that the Network Evolution programme will not deliver POA and PRA benefits is significant.
SSEN	Networks Party	As Option 1 seeks to do nothing until the new 4G CH's are introduced and with the future performance being unknown until the replacement commences, SSEN do not believe this to be a feasible option. As the DCC's Network Evolution Programme only seeks to potentially improve CSP South. With SSEN having a large proportion of devices in both South and North CSP's, having unknown performance in the future for Telefonica and an indefinite derogation for Arqiva, this Option does not seek to answer or achieve the outputs that is required by this modification. For both CSP's, this option will not resolve the issue with the expired derogation that was previously granted by BEIS, nor will this modification allow DCC to meet its current SEC obligations.

Question 2		
Respondent	Category	Response
UK Power Networks	Networks Party	Option 1 of “Do Nothing” delivers no benefits to customers or to UK Power Networks because the issues listed in Appendix C Table 2 are not addressed. This potentially means that UK Power Networks would be unable to utilise the power outage and power restore alerts because of issues related to delivery time of alerts and poor data quality such as false alerts where this would result in no benefits being delivered to our customers and the business. The Network Evolution Programme is planned to commence around 2022/23 with no firm date yet, when we anticipate that by this period, suppliers will have installed smart meters into approximately 60% of the UK Power Networks’ domestic customer base. This reduces the potential benefits that could be delivered to customers and the business as there will be remaining only 40% of customers receiving the network evolution comms hub, assuming smart meters are installed into 100% of all customers’ premises able to have one. The Network Evolution Programme will not resolve the issue of DCC non-compliance with the current SEC standard for power outage and restore alerts because of the high volume of smart meters and comms hubs that will have been installed by the time the Network Evolution Programme commences. The DCC non-compliance with the current SEC standard will not be resolved until after the current generation of installed comms hubs are replaced with the Network Evolution variant of comms hub in circa 5 million customers’ premises within UK Power Networks licensed areas.
Utilita	Large Supplier	No comment.

Question 3: Please set out any impacts and/or benefits you may realise from Option 2 and the estimated ROM costs and/or cost benefits these will have for you

Question 3		
Respondent	Category	Response
WPD	Networks Party	Option 2 is our preferred option as it addresses our concerns around a misalignment of the DCC system and the SEC Obligations. It will ensure that the DCC can be held accountable to providing a certain level of service as this level will be clearly defined and understood and therefore measuring and reporting on performance can be undertaken accurately. As stated in our answer to question one, we feel that if Option 2 is progressed, the DCC should still be encouraged to enhance the performance of these alerts, and that the SEC should be updated when appropriate to take into consideration enhancements on the systems, for example as a result of the CH&N Evolution programme.
ENWL	Networks Party	We do not support Option 2 as down grading the performance levels only seeks to benefit the DCC in terms of resolving their non-compliance with the SEC. This Option delivers no improvements to the timing or quality of the DCC's current under performance as no system changes will be carried out. This Option would result in the continued disparity between SEC performance requirements between the North region and Central and South regions. Page 5 of the Modification Report evidences significant disparity on current Power Restoration Alert (PRA) performance between the North region and Central and South regions. This Option does not benefit other SEC Parties or end customers and as such SEC Parties would struggle to rationalise its approval against a SEC general objective.
British Gas	Large Supplier	We do not anticipate any costs or benefits from Option 2.
SPEN	Networks Party	As is the case with other Network Operators, SPEN have modified current internal processes and functions to accommodate the inconsistencies presented by the current DCC system not meeting its current SEC obligation. The Cost Benefits Analysis of POA/PRA alerts to DNOs originally performed by BEIS does not consider the functional and process changes that DNOs have implemented in the intervening years. DNOs have modelled

Question 3		
Respondent	Category	Response
		benefits separately (PA Consultancy) and consider that the original CBA should be reassessed in view of the current DCC system performance and usage by DNOs.
Northern Powergrid	Network Party	We do not believe that Option 2, as documented, is a viable option. Our rationale for this view is set out below. 1 In Appendix H of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5 there is a series of graphs (referenced as Tables 1-10) which summarise the expected performance of the current CSP infrastructure constructed, or to be constructed, in accordance with the current CSP contractual obligations. 2 It is our experience that the practical performance delivered to DNOs from the existing systems falls far short of the DCCs own performance expectations of the current system. 3 It is clear to us, as a DCC user, that the existing system, which has been funded by DCC users, is not delivering what the DCC describes as the 'performance expected' from the current infrastructure. From our perspective therefore, it is unreasonable to change the current SEC obligation to reflect the current measured performance because i) the current measured performance is less than that paid for by DCC users, and ii) it would remove any incentive for the DCC to resolve the issues associated with the existing system so that it actually performs as the DCC itself expects; the expected performance is shown in the 'Current' performance curves summarised in Appendix H of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5.
SSEN	Networks Party	Looking at the current performance in the RFI documentation and based on all options, implementation approaches, timelines, costs and required system and process changes, we feel that this is the most cost-effective option. Whilst we have shown support for this option, we would require the DCC to carry out further testing to demonstrate current baseline alerts performance. Although the current performance is detailed in Appendix C, we would seek DCC to confirm from inception of the new ability to measure POA and PRA performance, the current service and stability of performance against the

Question 3		
Respondent	Category	Response
		levels documented in the RFI. As this option would impact the SEC obligation relating to the timing of alerts we receive, we would need to be confident in the performance moving into the future. We would also seek for these performance levels to be implemented into the PMR and PMM reporting outputs as the new service level directly relate to performance measures that the DCC currently report on to industry. Without these being updated, would mean the current service against performance level requirements will become un-aligned.
UK Power Networks	Networks Party	The sole purpose of SEC MP096 by the DCC is to address the non-compliance of their existing system measured against the current SEC standard. Option 2 to change the SEC standard to match the performance of the current DCC system without implementing any system enhancements will not provide any benefits to customers or UK Power Networks because, we will remain in a similar situation as with Option 1 where potentially we would be unable to utilise the power outage and power restore alerts. Appendix F of this RFI "POA / PRA Performance Enhancement Recommendation" Section 4 and Appendix C explains graphically the DCC system performance for delivery of power outage and power restore with a comparison against the current SEC standard. From the information provided in this RFI it is clear that the existing DCC system does not perform to the standard as expected when originally designed to operate in compliance with the SEC standard. It is therefore unreasonable and illogical to change the SEC standard to match the performance of a non-compliant system that does not deliver any benefits to customers from the power outage and restore alerts.
Utilita	Large Supplier	No comment.

Question 4: Please set out any impacts and/or benefits you may realise from Option 3 and the estimated ROM costs and/or cost benefits these will have for you

Question 4		
Respondent	Category	Response
WPD	Networks Party	We do not feel that we can support Option 3. Whilst we appreciate the efforts of the DCC to get to a position to provide these enhancement options, we don't feel that they are viable due to the costs associated with the changes. Even with the enhancements recommended by the DCC, the performance still wouldn't meet that of the current SEC Obligations and therefore we still wouldn't be able to realise the benefits that we had anticipated. The details provided also show the greatest improvements for scenarios with a large number of outages, and unfortunately the improvements for smaller outage scenarios are minimal. This is the area which is primarily where we require the improved service, as our existing systems already handle High Voltage incidents that result in large volumes of outages in an appropriate manner. Whilst these options help speed up the delivery of the alerts to the Network Operators, there is still a lot of issues around the accuracy and reliability of the alerts, and therefore, implementing one of these options will not guarantee enough of an increase in benefits for Network Operators or consumers. We are aware that there are defects raised to help address these issues, however the outcomes are still unknown at this point. One significant improvement detailed in these options is the timing of the delivery of PRAs in CSP N, however, this has been done by utilising the Communication Hub PRA, which will result in Service Users needing to update their systems to handle a new type of alert. This will also mean there is another inconsistency between CSP C&S and CSP N behaviour that would need to be accounted for by Service Users, both with their internal systems and processes. This is not ideal for us and would result in WPD needing to have different processes internally for premises in CSP N compared to those in CSP C&S. We note that in order to provide a true comparison between August 2020 and March 2021 costs, the post PIT costs have now been excluded from the August 2020 ROM, costs with an additional comment that the DCC expect these to no longer be the initial £6m anticipated. However, the DCC have not been able to advise a ROM for this and there is the potential that these will still be significant, as seen in other SEC

Question 4		
Respondent	Category	Response
		Modifications. Therefore we have assumed that the costs stated, whilst are less that originally advised, are still likely to increase. Due to the fact that we don't feel we can support this Option, we do not feel it is appropriate at this time for us to spend effort and resource calculating potential costs to our organisation for the implementation of these options. We acknowledge that the technical paper offers a variety of enhancements across the different Service Providers. The DNOs requested that the DCC provide a recommendation as they best know their systems, advising what option(s) offered the greatest improvement and the best value for money. Due to the complexities involved and the time and effort that will be required, we do not feel it is appropriate to look further into the reduction of system changes, as we believe that the improvement will still not outweigh the costs and efforts required. Whilst we acknowledge that CSP N handle power outages very differently to CSP C&S, we are cautious of making any further changes to one or other CSP which will result in further differences between the two. We need to try and ensure a consistent service to the consumers, regardless of which region they are located in. Any benefits that Network Operators can realise as a result of improving the POA and PRA delivery by system enhancements, are also limited due to the larger than anticipated SMETS1 meters that have been installed on the network.
ENWL	Networks Party	As per our response to Question 1 Option 3A is the only option that could provide some benefit to ENWL's North customers. Option 3B holds no additional performance advantage to North region compared to Option 3A and as such does not justify the additional DCC implementation costs to North customers. We are unable to provide ROM costs or a cost benefit analysis by the submission date and in absence of some key information by the DCC. Please refer to our requests for DCC to complete further tasks in our response to Question 5 below.
British Gas	Large Supplier	We do not support this option and costs incurred by DCC changes would be at the detriment of the consumer.

Question 4		
Respondent	Category	Response
SPEN	Networks Party	As is the case with other Network Operators, SPEN have modified current internal processes and functions to accommodate the inconsistencies presented by the current DCC system not meeting its current SEC obligation. The Cost Benefits Analysis of POA/PRA alerts to DNOs originally performed by BEIS does not consider the functional and process changes that DNOs have implemented in the intervening years. DNOs have modelled benefits separately (PA Consultancy) and consider that the original CBA should be reassessed in view of the current DCC system performance and usage by DNOs.
Northern Powergrid	Network Party	We do not believe that Option 3A or Option 3B, as documented, are worth supporting, on the basis that our assessments indicate that the anticipated costs, which would be borne by GB consumers, are significantly greater than the value of the benefits that would be delivered. We support the concept of improving the POA and PRA delivery times, but the costs of achieving the delivery time improvements must be commensurate with the value of the benefits. We do not believe that it is appropriate to pursue a change that costs more than the value of the benefits that will accrue from such a change. Our rationale for this view is set out below and would only change if the total cost of implementing options to deliver the proposed performance improvements was to significantly reduce. 1 It is important to note that the performance improvement set out in the DP096 DNO Power Outages Alerts Modification report V0.7 relates to a network event, or multiple simultaneous network events affecting 30,000 customers. DNOs have previously advised the DCC that outages affecting 30,000 customers are relatively rare and typically occur across the whole of GB between 28 and 42 times per annum. This information is included in Table 1 of the DCC's Power Alerts Project Briefing paper V1.9 dated 1 December 2016. Hence, although the improvement in POA and PRA delivery times are material, particularly the PRA performance in CSPN, the number of occasions when this performance benefit (assuming that DNOs rely on timely PRA delivery rather than more likely scenario of using SR7.4, Check Energisation Status, functionality) would actually be delivered is likely to be limited to between 28 and 42 times per year.

Question 4		
Respondent	Category	Response
		2 DNOs have indicated to the DCC that a network outage scenario affecting supplies to between 1-200 customers occurs tens of thousands of times per year. This information is included in Table 1 of the DCC's Power Alerts Project Briefing paper V1.9 dated 1 December 2016. The information in Appendix H Table 7 of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5 illustrates that in CSPN neither Option 3A nor Option 3B deliver any benefits in the delivery of POA and, importantly, show that the current SEC requirement should be delivered by the current system. Similarly Appendix H Table 10 of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5 illustrates that in CSPN both Option 3A and Option 3B actually reduce the performance of the delivery of PRA, and, importantly, show that the current SEC requirement should be delivered by the current system. Therefore, for the most frequently occurring outages, which occur tens of thousands of time per year on a DNO network, in CSPN neither Option 3A nor Option 3B deliver any benefits. We do however recognise that the two options deliver benefits in CSPC&S. 3 It is clear from the information in Appendix H of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5 that the benefits from Option 3A and Option 3B are related to the volume of customers affected by a network outage. The greater the number of customers affected by the various network outage scenarios, the more material are the anticipated benefits. In determining the value of the benefits that will accrue to GB consumers from the two options, it is necessary for the frequency of occurrence of network events to be considered – the zero / low benefit scenarios need to have a high weighting (because they occur very frequently) and the higher benefit scenarios need to have a low weighting (because they rarely occur). 4 It is important to remember that outages affecting large numbers of customers will be caused by faults on the HV, EHV or 132kV network, and that DNOs generally have visibility of such incidents via their SCADA system. The reason why DNOs are interested in information from the smart metering infrastructure about outages affecting such large numbers of customers is to ensure that LV faults (which aren't detected by DNO SCADA systems) occurring simultaneously with HV, EHV or 132kV outages (which are predominantly detected by DNO SCADA system) aren't masked by the volume of alerts generated as a result of HV, EHV or 132kV faults.

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Question 4		
Respondent	Category	Response
		The DNO requirement included in DP096 DNO Power Outage Alerts Modification Report V0.7 would allow customers affected by such simultaneous outages to be identified, but both Options 3A and Option 3B would permit (in CSPN) non-delivery of 5%, ie 1500 of POAs. Therefore, it is possible that the useful information related to simultaneous LV faults would be vastly diluted or perhaps not delivered at all by either option. 5 From a cost perspective, whilst Option 3A and Option 3B have ROM costs of £6.5m and £10.9m respectively, there are also PIT costs to be taken into consideration. These have previously been estimated to be £6m.4 There is also an additional annual cost of £0.7. All costs need to be factored into any final decision because it is the overall total cost of the modification that would have to be borne by GB consumers and it is this overall cost against which the potential benefits should be assessed. The total expenditure being proposed corresponds to a net present value (3.5% discount rate) in the region of £16m and £21m to 2030 for Options 3A and 3B respectively. These figures do not include the system costs that DCC users in CSPN would incur to accommodate PRAs being sent by the Communications Hub rather than from the Electricity Smart Metering Equipment. We haven't included any costs for these changes in our assessment. 6 The BEIS 2019 CBA indicates that the benefits associated with power outage management are £170m. It is unclear what assumptions were used to derive this figure, however in 2019 DNOs commissioned PA Consulting to undertake an assessment of the benefits associated with smart meters relating to distribution networks. PA Consulting's findings were that the net present value of the benefits to 2030 related to power outage management were: Earlier Fault Notification £18m, Reduced calls to fault line £0m and Faster Restoration of Supply £36m; i.e. a total of £54m. 7 It should be recognised that only the Earlier Fault Notification benefit is dependent on the timely delivery of the POA and PRA, as the Faster Restoration of Supply benefits assume that DNOs will utilise the SR 7.4 Check Energisation Status functionality rather than rely on the PRAs. 8 Assuming that there is a maximum potential benefit of £18m associated with Earlier Fault Notifications, and that the existing as-built system currently does not facilitate the delivery of any of these benefits, then Option 3A would be marginally economic and Option 3B would be uneconomic.

Question 4		
Respondent	Category	Response
		However the current as-built system should, if it works as designed, enable the majority of this £18m benefit, particularly in the CSPN region, to be delivered. This is because the majority of network faults affect relatively small numbers of customers and the POA and PRA performance that should be delivered from the current as-built system (i.e. POAs delivered within 4 minutes of the start of the outage and PRAs within one minute of restoration) matches the current SEC requirements and is the basis for the PA Consulting modelled benefit of £18m. 9 Even if outages affecting up to 5000 customers are considered, Options 3A and 3B only improve POA delivery times in the region of 1 to 1.5 minutes respectively. Using the PA Consulting (PAC) model a 1 minute improvement in the POA delivery time has a benefit value in the region of £3m for all DNOs. For the purposes of this high level assessment, this £3m per minute is assumed to deliver a £1m per minute improvement for those DNOs in the CSPN region, and a £2m per minute improvement for those DNOs in the CSPC&S regions. We recognise that these are high level assumptions, but believe that they are reasonable to use in order to assess the order of magnitude of the potential savings. The following tables summarises the POA CSPN improvement, based on Option 3B:

Question 4

Respondent	Category	Response																									
		<table><tr><th>Delivery Scenarios</th><th>Max POA improvement</th><th>Benefit from PAC £m estimate</th><th>No of network events per annum in GB</th><th>Weighted benefit £m estimate</th></tr><tr><td>C (50 customers)</td><td>Zero</td><td>N/A</td><td>10,000</td><td>0</td></tr><tr><td>B D G (5000 customers)</td><td>1 min</td><td>1</td><td>1,000</td><td>0.1</td></tr><tr><td>E F H (20,000 – 30,000 customers)</td><td>3 min</td><td>3</td><td>28-42</td><td>0.01</td></tr><tr><td>Estimated total benefit CSPN</td><td></td><td></td><td></td><td>£0.11m</td></tr></table> The following tables summarises the POA CSPC&S improvement, based on Option 3B:	Delivery Scenarios	Max POA improvement	Benefit from PAC £m estimate	No of network events per annum in GB	Weighted benefit £m estimate	C (50 customers)	Zero	N/A	10,000	0	B D G (5000 customers)	1 min	1	1,000	0.1	E F H (20,000 – 30,000 customers)	3 min	3	28-42	0.01	Estimated total benefit CSPN				£0.11m
Delivery Scenarios	Max POA improvement	Benefit from PAC £m estimate	No of network events per annum in GB	Weighted benefit £m estimate																							
C (50 customers)	Zero	N/A	10,000	0																							
B D G (5000 customers)	1 min	1	1,000	0.1																							
E F H (20,000 – 30,000 customers)	3 min	3	28-42	0.01																							
Estimated total benefit CSPN				£0.11m																							

Question 4

Respondent	Category	Response																									
		<table><tr><th>Delivery Scenarios</th><th>Max POA improvement</th><th>Benefit from PAC £m estimate</th><th>No of network events per annum in GB</th><th>Weighted benefit £m estimate</th></tr><tr><td>A B C D G (upto 5000 customers)</td><td>1.5 min</td><td>3</td><td>11,000</td><td>3</td></tr><tr><td>E F H (20,000 – 30,000 customers)</td><td>5.5 min</td><td>11</td><td>28-42</td><td>.042</td></tr><tr><td>I 200,000 customers</td><td>6 min</td><td>12</td><td>1.4</td><td>0</td></tr><tr><td>Estimated total benefit CSP C&S</td><td></td><td></td><td></td><td>£3.042m</td></tr></table> This analysis illustrates, in relation to POA performance: 1) The total GB NPV benefit from Option 3B is in the region of £3m to 2030. (The benefits related to Option 3A would be in the region of £2m as in CSPC&S for Scenarios A B C D G the improvement in POA delivery time is 1 min rather than 1.5 min for Option 3B.) 2) The benefit is predominantly in the CSPC&S regions. 10 We note that, as in Option 2, the DCC proposal is to set the SEC obligations based on measured performance of the option once implemented, rather than the performance expected by the design. This approach increases the risk	Delivery Scenarios	Max POA improvement	Benefit from PAC £m estimate	No of network events per annum in GB	Weighted benefit £m estimate	A B C D G (upto 5000 customers)	1.5 min	3	11,000	3	E F H (20,000 – 30,000 customers)	5.5 min	11	28-42	.042	I 200,000 customers	6 min	12	1.4	0	Estimated total benefit CSP C&S				£3.042m
Delivery Scenarios	Max POA improvement	Benefit from PAC £m estimate	No of network events per annum in GB	Weighted benefit £m estimate																							
A B C D G (upto 5000 customers)	1.5 min	3	11,000	3																							
E F H (20,000 – 30,000 customers)	5.5 min	11	28-42	.042																							
I 200,000 customers	6 min	12	1.4	0																							
Estimated total benefit CSP C&S				£3.042m																							

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Question 4		
Respondent	Category	Response
		that the expected performance as described in the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5 will not be delivered as there would be no incentive for the DCC to ensure that the expected performance was actually delivered to DCC users.
SSEN	Networks Party	From ongoing discussions around POA/PRA performance and SEC modification, our core driver for the timeliness of alerts relates to the benefit this would bring to our network at LV level. Network Parties are currently unaware of Single and LV faults unless our customers were to call into our contact centres and report the issue or in some scenario's, where automation is on sections of the LV network. When looking at scenarios A, B, D, E, F, H and I, in these scenarios, we will be aware of a large proportion of outages through our telemetry on our High Voltage network. This means the benefits highlighted by Scenario C and G is where we can realise benefit through earlier fault notification, as these will impact our LV network including single property faults. When reviewing the modification costs against the BEIS Cost Benefit Analysis and the PA Consulting Cost Benefit Analysis. Looking at the improvements gained from RFI Options 3A and 3B, if we base these improvements against the £18m benefit associated with Earlier Fault Notification via PA Consulting which takes us up to 2030, the costs of this modification option, outweigh the potential benefits we would gain. This is further reduced with the enrolment and adoption of SMETS1 devices which will not send Outage alerts when an interruption has occurred. Alongside these impacts as detailed in option 2, this is without a full analysis to be completed of the DCC's actual performance which needs to be carried out. A further impact to note surrounds the significant volume of smart meters that will be installed in both of our license areas in CSP South and North at mass rollout. As we would be required to change the core functionality of restoration alerts to accommodate the new CH restoration alert in the Arqiva region, this would mean that we would have to change our current processes and implement new processes and functionality that would digest the new messages. From the implementation of the new CH restoration alert we would also be lacking the outage time that is currently contained within the restoration alert. This is used by our customer contact centres. If implemented this would further

Question 4		
Respondent	Category	Response
		erode the potential benefit from the current process and would also lead to inconsistent processes between each of SSEN's license areas.
UK Power Networks	Networks Party	Under Option 3, DCC have carried out an assessment of their proposals and have forecast a performance standard they wish to deliver to their customers. If the SEC standard is to be changed then it should be aligned to their forecasted system performance based on their enhanced system design. The SEC standard should not be changed to meet with the DCC system performance assessed after delivery of their enhanced system because there remains the risk that it may not meet the expected standard and be unable to deliver benefits to customers, leaving no incentive for the DCC to further improve the performance of their system. Appendix C Table 16 Telefonica Options against DNO Scenarios explains the delivery of power outage alerts from smart meters measured against the different types of fault scenarios based on volumes of customers affected ranging from 50 customers to 200,000 customers. Network Operators have SCADA monitoring on their high voltage networks so any power outage affecting, on average, more than 500 customers will be reported by their Outage Management Systems. Option 3 should focus primarily on delivering improvements to scenarios A to D & G in Table 16 with a range of between 50 and 5000 customers because there will be little benefit gained from smart meter alerts under scenarios E to I. The reason for this is so that Network Operators would be able to identify faults on the low voltage network affecting customers that may be masked by faults on the high voltage network with higher customer volumes. If the enhanced DCC system under Option 3B meets their forecasted performance criteria, then it should be able to provide a significant improvement to the timing of delivery of the power outage and power restore alerts potentially delivering benefits to customers. Appendix C Table 21 Options Recommendations Overview states that there are no recommendations for improvement from the DSP (CGI) though Section 4.4 shows eight options available where there is scope to mitigate the quality and spurious alerts issue. The reason stated for there being no recommendation being offered is because

Question 4		
Respondent	Category	Response
		of: (a) dependencies of the CSP enhancement, (b) DNO requirement priorities and (c) acceptability from other DCC users impacted by any of the changes. Unfortunately without any resolution to improve the quality and reliability of the data such as mitigating spurious alerts, network operators would be unable to reliably use the power outage and power restore alerts for delivering benefits to customers, therefore the change options that can be made by CGI to the DSP system as proposed in Appendix C Table 19 and Table 20 Impact of Enhancements must be implemented. The DCC proposal under Option 3A and 3B of this RFI must include a positive statement that the DSP enhancement will be selected once the CSP options have been confirmed and then both the CSP and DSP enhancement options implemented. If this is not done then it can be assumed that no DSP improvements will be implemented after the CSP changes where failure to address data quality will potentially result in increased aborted visits to customer premises and diluting benefits derived from the power outage and restore alerts. If the proposed changes to the both the CSP and DSP are confirmed and implemented, it will provide a level of confidence to Network Operators for using power outage and power restore alerts within their smart meter platforms. Option 3 also addresses the issues related power outage and restore alerts for smart meters installed in customers premises prior to the start of the Network Evolution Programme as advised in the response to Question 2 In relation to the cost of DCC proposals under Option 3A and 3B, the documentation is misleading and implies the full costs will be lower than the actual cost that customers will be expected to contribute towards the changes. The total cost should be clear and include all aspects of work to deliver the full solution, irrespective of the how the costs are apportioned between the different project stages where the overall cost of Option 3A and Option 3B are shown circa £16m and £21m respectively with a further annual charge of £0.7m. The benefits in the Smart Metering Implementation Programme Cost Benefit Analysis 2019 report published by BEIS attributes a saving of £210m for Outage Detection and Management to the DNOs and their customers from the roll-out of Smart Meters related Early Fault Notification, Faster Restoration of Supply, Reduction in Operational Costs and Reduction in Calls to the Fault and Emergency Lines.

Question 4		
Respondent	Category	Response
		During 2019, Network Operators appointed PA Consulting to carry out a Cost Benefit Analysis of the Smart Meter Programme to refresh the original BEIS 2016 CBA. The benefits refresh analysis was conducted utilising data from all Network Operators that had been submitted to Ofgem as part of the annual regulatory submissions from 2013 to 2019 and forecast data to 2030 together with the actual smart meter rollout profile and forecast profile to 2030 that allowed an accurate benefits model to be developed. The PA Consulting Cost Benefit Analysis showed a value of £18m for benefits from Early Fault Notification in comparison to the BEIS assessment or £40m i.e. a 55% reduction. The early fault notification benefit is dependent on the power outage and power restore alert being delivered in line with the current SEC standard for a power outage alert providing a saving of 5 minutes when compared against 7 minutes for a customer to telephone the faults and emergency lines from the start of the actual power outage time. This benefit is also dependant on all smart meters being capable of delivering the power outage and power restore alerts but there had been delays to the supplier led smart meter rollout that also included a high percentage on SMETS1 meters being installed that do not send the required alerts. Therefore the consequence of these issues have contributed to the overall reduction in benefits. The PA Consulting model also calculated that in the CSP C&S region there would be a benefit of £2m for every minute improvement to the time reduction in receiving the power outage alert. Appendix D Summary of Power Outage Alerts Performance table shows an improvement in time for the receipt of power outage and power restore alerts. Applying the values from both the PA Consulting analysis and Appendix D to an average of UK Power Networks Faults during the period 2019 to 2020, the table below shows the benefits that could potentially have been accrued from the power outage alerts if received from the proposed DCC enhanced system. We believe that the DCC non-compliance with the current SEC standard is a matter for them to resolve and that Customers and Network Operators should not be subject to DCC costs for them to improve their systems and should not be placed in a position where they are required to make the decision on what constitutes the best option. This decision is the sole responsibility of the DCC to select the most suitable option because it is their decision that is required for them to improve their system.

Question 4		
Respondent	Category	Response
		We recognise that the DCC system is not able to deliver performance to meet with the current SEC standard for power outage and power restore alerts so four options have been presented to DCC customers where options 1 and 2 are non-starters because they do not provide any improvement to the DCC system. Options 3A and 3B have been presented as options for improvement where 3B is the recommended option. As the RFI is requesting views on the options then UK Power Networks would consider Option 3B as recommended but on the following basis: • The proposal must include a positive statement that includes DSP changes with the changes to the CSP system where both will be implemented with the proposal also including an explanation of the full costs. • If the SEC standard is to be changed then it needs be aligned to the DCC forecasted system performance based on their enhanced system design and not on the performance assessed after delivery. • If the delivered solution does not meet with the forecasted performance standard then DCC must continue to improve their system at their own cost to meet with the SEC standard • Option 3 must focus primarily on delivering improvements to scenarios A to D & G in Table 16 with a range of between 50 and 5000 customers. • The total cost for the proposal must be clear and include all aspects of work to deliver the full solution with no options for further costs being applied. UK Power Networks would need to have confidence in the DCC system being able to deliver the proposed improvement in timing for delivery of power outage and power restore alerts, their reliability, consistency, accuracy and be trustworthy allowing a decision to be taken for implementing changes to integrate these alerts into its Outage Management System.
Utilita	Large Supplier	No comment.

Question 5: Please provide any further comments you may have.

Question 5		
Respondent	Category	Comments
WPD	Networks Party	We would like to question the details provided around the costings. Despite the DCC being asked to provide justification for the reduction in costs we don't feel that this has been clearly articulated to explain exactly what the reduction is. Also the August 2020 ROM costs stated £15.2m, however the DCC are now stating that the August 2020 ROM costs were £15m. The DCC had advised that they were looking to enhance power outage performance with the new 4G Communications Hubs. We note that in the latest CH&N consultation issued on 6 April 2021, they confirmed that this is no longer the case as it states: EO3 POA improvements DCC will not be seeking to improve Power Outage and Power Restore Alert message times as part of the programme, but there may be opportunities to drive improvements in this area through programme procurement. DCC will ensure its DNO Transformation Programme and the CH&N Programme share insight and that opportunities are presented to stakeholders for consideration. For clarity, Western Power Distribution remain supportive of the Smart Metering Programme and believe that there are still benefits to be gained. Due to numerous issues that have surrounded Power Outage and Power Restoration Alerts since the DCC went live in 2017, we have adapted our systems and processes to handle these events in the best way possible. Going forward we are continuing to enhance our processes and change our systems to ensure the best service for our consumers, but by utilising different functionality available from smart meters, rather than relying solely on these alerts.
ENWL	Networks Party	We request DCC complete the following tasks to enable the MP096 working group and SEC Parties to have full visibility and make an informed decision regarding the progress of this modification:

Question 5		
Respondent	Category	Comments
		1) DCC carries out analysis to demonstrate current baseline performance. The analysis timetable and parameters would be agreed with Users pre-commencement. 2) Total costs for the DCC implementing Option 3A and B. The costs set out in Appendix E are not the full costs as they exclude Pre-Integration Testing (PIT) costs and currently stand at £6m. This exercise should also identify costs for the additional work required to process the CH Power Restoration Alert (PRA) beyond Arqiva that has not been factored into the solution identified under ARQ.1 – Reinstate Comms Hub Restoration Alerts Appendix C. 3) DCC road map on how they expect to improve their current system performance to meet the proposed targets under Option 3 (A & B) against current baseline performance determined in 1).
British Gas	Large Supplier	No further comment.
SPEN	Networks Party	SPEN do not believe the SEC Modification process is the correct mechanism to correct non-compliance with SEC obligations. SEC Parties have already provided funding for an expected level of service from the DCC system. It is unreasonable to expect that SEC Parties will also fund changes to a system that will still not meet this expected level of service. SPEN note that there is a continued discrepancy of service (reflected in 3A and 3B) between the North and South regions.
Northern Powergrid	Network Party	i) Northern Powergrid alternative option Our view is that there is a derivation of Option 2 that should be progressed. Option 4 – Change the SEC to match the performance capability of the current CSP contracts This option proposes that the DCC continue to improve the performance of the infrastructure currently provided within the scope of the current CSP contracts. This expected performance is summarised in the ‘current’ curves in Appendix H Tables 1-10 of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5. The SEC would then be changed to match this design performance capability.

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Question 5		
Respondent	Category	Comments
		The benefits of this option are: 1 There would be no additional capital or annual costs incurred by DCC users as the infrastructure has already been built, or committed to be built, under the existing CSP contracts. 2 It will not require GB consumers to fund a DCC investment and operating costs of between £16m - £21m (NPV to 2030) depending on the option. 3 The DCC would be incentivised to deliver the performance that is inherent within the infrastructure that the CSPs have either already built or are contracted to build under the current contracts. 4 The delivery the performance expected from the existing infrastructure will represent a significant improvement on the performance currently experienced by users. 5 It will, in CSPN, deliver sufficient performance for the most common DNO outages where there is currently limited or no POA or PRA visibility. That said, the performance expected from the existing infrastructure will not meet the current SEC requirements, nor the DNO requirements for the complete range of outages as set out in Table 11 of Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5. We do however recognise that that Option 4 would not deliver the benefits associated with Option 3A (ie 1 min improvement in POA delivery time for up to 5000 customer outages) or Option 3B (ie 1.5 min improvement in POA delivery time for up to 5000 customer outages) to DNOs in the CSPC&S regions; however we think that the value of these improvements is comparatively small at £2m and £3m respectively. 6 It will drive a review of the current SEC performance obligations re the delivery of POA and PRA, and this could be based on the 'current design' performance as set out in Appendix H of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5. This could use each of the nine scenarios set out in Table 1 of the Power Outage Alert and Power Restoration Alert Technical & Enhancement Paper V5, weighted by the expected incidence of network outages summarised in Table 1 of DCC's Power Alerts Project Briefing paper V1.9 dated 1 December 2016. ii) General comments

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Question 5		
Respondent	Category	Comments
		1 We are concerned that there appears to be different capital costs presented in the various documents that form this Request for Information. It is essential that decisions are made on a consistent set of up-to-date cost estimates. 2 We note that the total cost of Option 3A and 3B have reduced by £2.5m and £4m respectively over recent months. Whilst any cost reduction is welcome, this introduces additional uncertainty relating to the composition of sub-options that make up Options 3A and 3B. The DCC, as suggested by DNOs, considered the relative costs, benefits and interdependencies of the individual sub-options from each of the CSPs and the DSP and recommend an overall option. This led to the creation of Options 3A and 3B. The cost reduction in recent months is equivalent to 28% and unless the saving of 28% is uniform across all the sub options (which seems unlikely) then it is conceivable the combination sub-options that form Option 3A and 3B is no longer the optimum. There would be merit in revisiting the cost and benefits associated with each sub option to assess whether there are now any options which deliver benefits at a significantly lower cost. 3 We have a general concern that the SEC modification process is being used to address the POA and PRA issues. Each of the options involve a SEC changes to relax the current SEC obligations to varying degrees. It is not clear to us how any of these options can better meet the General SEC Objectives. We are firmly of the view that before the DCC either seeks the relaxation of existing SEC obligations or requires GB consumers to commit more funds, it should maximise the performance of the current as-built system. iii) Summary It is our view that the cost of implementing Option 3A or Option 3B would exceed the value of the benefits that could be delivered. We think that the DCC should focus on ensuring that the existing as-built system works as it was designed, in terms of delivering high quality and timely alerts, before spending any more money on improvements.
SSEN	Networks Party	Whilst we recognise the significant work carried out by DCC in recent months to clarify their proposal to improve the POA/PRA service together with the associated ROM costs, irrespective of any option implemented, we expect that the DCC continues to address the ongoing reliability issues relating to POAs and PRAs as these outstanding issues must be closed out to ensure that provision of this service delivers meaningful value to network customers.

Question 5		
Respondent	Category	Comments
		SSEN are still of the view that SEC parties should be compliant with their SEC obligations and that each SEC party should carry their own costs of SEC compliance. We are therefore of the view that the costs of any technical enhancements to deliver SEC compliant alerts performance should not be borne by electricity network customers. This view is held irrespective of whether the costs are apportioned in accordance with the SEC charging methodology.
UK Power Networks	Networks Party	No further comment.
Utilita	Large Supplier	No further comment.

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MP096 ‘DNO Power Outage Alerts’

Annex D

Legal text – version 1.1

About this document

This document contains the redlined changes to the SEC that would be required to deliver this Modification Proposal.

Section A ‘Definitions and Interpretation’

Add the below definition into Section A version 25.0.

<u>CSP System</u>	<u>means those parts of the DCC Total System which comprise those used by a DCC Service Provider in order to provide Communications Services.</u>
<u>Power Outage & Restoration Alerts Delivery Management Document</u>	<u>means the document established under Section H3.14B (Target Response Times) which contains the target delivery times (and the methodology for reviewing and determining these target response times) for the Alerts which are subject to Section H3.14(i) or H3.14(j).</u>

Section H ‘DCC Services’

These changes have been redlined against Section H version 13.0.

Amend Sections H3.14 – H3.15 as follows:

Target Response Times

H3.14 The DCC shall (subject to Section H3.15) undertake the following activities within the following time periods (each such time period being, in respect of each such activity, the “**Target Response Time**” for that activity, subject to Section H3.15):

- (a) Transforming Critical Service Requests into Pre-Commands and sending to the relevant User, within 3 seconds from receipt of the Service Request;
- (b) sending a User a Service Response in respect of a Non-Critical Service Request for an On-Demand Service that is not a Sequenced Service, within the applicable time period set out in the DCC User Interface Services Schedule measured from receipt of the Service Request from the User;
- (c) sending a User a Service Response in respect of a Critical Service Request for an On-Demand Service that is not a Sequenced Service, within the applicable time period set out in the DCC User Interface Services Schedule measured from receipt of the Signed Pre-Command from the User;
- (d) sending a User a Service Response in respect of a Service Request for an On-Demand Service that is a Sequenced Service, within the applicable time period set out in the DCC User Interface Services Schedule measured from the receipt by the DCC of the Service Response for the Service Request upon which the Sequenced Service is dependent;
- (e) sending a User a Service Response in respect of a Service Request for a Future-Dated Service that is not a Sequenced Service or for a Scheduled Service, within the applicable time period set out in the DCC User Interface Services Schedule measured from the time and date for execution specified in the Service Request;
- (f) sending a User a Service Response in respect of a Service Request for a Future-Dated Service that is a Sequenced Service, within the applicable time period set out in the DCC User Interface Services Schedule measured from the receipt by the DCC of the Service Response for the Service Request upon which the Sequenced Service is dependent;
- (g) (except for the Alerts referred to in (h), (i) and (j) below, and except for any Alerts consolidated in accordance with the Alert Management Mechanism) sending a User an Alert, within 60 seconds measured from the Alert being communicated to (Device Alerts) or generated by (Non-Device Alerts) the Communications Hub Function; ~~or~~
- (h) for the Services Request ‘Update Device Configuration (Billing Calendar)’, in addition to the above response times applicable to the Service Response confirming the configuration, periodic Alerts will be generated as a result of such configuration, for which the response

time for sending the Alert to the User shall be within 24 hours from the relevant data having been communicated to the Communications Hub Function;

- (i) for the Alert 'Power Outage Event (AD1)', in relation to a CSP System either predominantly based on second or third generation cellular technology or predominantly based on long-range radio technology as the SM WAN for the purposes of communicating with a particular Communications Hub, sending a User an Alert within the time as stated within the Power Outage & Restoration Alerts Delivery Management Document, measured from the time that the Alert is generated by the Communications Hub Function; and
- (j) for the Alerts 'Supply Outage Restored (0x8F35)' and 'Supply Outage Restored – Outage >= 3 minutes (0x8F36)' in relation to a CSP System either predominantly based on second or third generation cellular technology or predominantly based on long-range radio technology as the SM WAN for the purposes of communicating with a particular Communications Hub, sending a User an Alert within the time as stated within the Power Outage & Restoration Alerts Delivery Management Document, measured from the time that an Alert is generated by the Electricity Smart Meter.

H3.14A For clarity, where the DCC uses a CSP System other than a CSP System predominantly based on second or third generation cellular technology or predominantly based on long-range radio technology as the SM WAN for the purposes of communicating with a Communications Hub, then Section H3.14(i) and Section H3.14(j) shall not apply and all Alerts will be subject to Section H3.14(g).

H3.14B For the Alerts which are subject to Section H3.14(i) or H3.14(j), the times, the methodology for reviewing and determining these times, and any exclusions that shall be applied in determining whether these times have been met shall be set out in the Power Outage & Restoration Alerts Delivery Management Document. The Power Outage & Restoration Alerts Delivery Management Document shall be reviewed at least annually by the DCC in consultation with the Parties. Any changes to the Power Outage & Restoration Alerts Delivery Management Document shall be prepared and consulted upon by the DCC and approved by the Panel. Following each review of the document and its approval by the Panel, the new targets for the forthcoming year will be established and applied using the updated methodology. In preparing and consulting on any changes to the document, the DCC shall seek and pay particular attention to views given by Electricity Network Parties.

H3.15 The Target Response Times set out in Section H3.14 shall not apply to activities in respect of SMETS1 Devices, and the Target Response Times for activities in respect of SMETS1 Devices shall instead be determined in accordance with the DCC User Interface Services Schedule. For the purposes of Section H3.14 and activities in respect of SMETS2+ Devices:

- (a) the concepts of 'sending' and 'receipt' are to be interpreted in accordance with the explanation of those concepts in the DCC User Interface Specification;
- (b) any time during which an anomalous communication is quarantined by the DCC in accordance with Section H4 (Processing Service Requests) shall be disregarded for the purpose of measuring Response Times; and
- (c) the time taken by the Communications Hub Function in communicating with the other Devices forming part of a Smart Metering System shall be disregarded.

Amend Section H13.1 as follows:

Code Performance Measures

H13.1 Each of the following performance measures constitute a Code Performance Measure (to which the following Target Service Level and Minimum Service Level will apply, measured over the following Performance Measurement Period):

No.	Code Performance Measure	Performance Measurement Period	Target Service Level	Minimum Service Level
1	Percentage of On-Demand Service Responses delivered within the applicable Target Response Time.	monthly	99%	96%
2	Percentage of Future-Dated Service Responses delivered within the applicable Target Response Time.	monthly	99%	96%
3	Percentage of Alerts delivered within the applicable Target Response Time. Alerts consolidated in accordance with the Alert Management Mechanism will not be counted.	monthly	99%	96%
3A	<u>For those Alerts which are subject to Section H3.14(i), percentage of Alerts delivered within the applicable Target Response Time.</u>	<u>monthly</u>	<u>As set out in the Power Outage & Restoration Alerts Delivery Management Document</u>	<u>As set out in the Power Outage & Restoration Alerts Delivery Management Document</u>
4	...	...	...	...
5	...	...	...	...
5A	...	...	...	...
6	...	...	...	...
6A	...	...	...	...
6B	...	...	...	...

6C	...	...	...	...
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Amend Section H13.5B as follows:

Reporting

H13.4 The DCC shall, within 10 Working Days following the end of each Performance Measurement Period, produce a report setting out the Service Levels achieved in respect of each Performance Measure. Such report must identify:

- (a) those Performance Measures (if any) for which the Service Level was less than the Target Service Level and/or the Minimum Service Level;
- (b) where a Service Level is less than the Target Service Level, the reason for the Service Level achieved;
- (c) where a Service Level is less than the Minimum Service Level, the steps the DCC is taking to prevent the re-occurrence or continuation of the reason for the Service Level achieved; and
- (d) any anticipated reductions in the DCC's Internal Costs and/or External Costs (as both such expressions are defined in the DCC Licence) arising as a consequence of the DCC Service Providers failing to achieve the Target Service Levels in respect of the Service Provider Performance Measures.

H13.5 A copy of the report produced pursuant to Section H13.4:

- (a) shall be provided by DCC, immediately following its production, to the Panel, the Parties, the Authority and (on request) the Secretary of State; and
- (b) may be provided by the Panel, at its discretion, to any other person.

Report on Performance Indicators

H13.5A ~~As part of the report required under Section H13.4, the DCC shall also report on its performance against the Performance Indicators for the same period. The DCC shall provide a report on Performance Indicators alongside, and at the same time as, the report required under H13.4.~~

H13.5B The Panel shall establish and periodically review, in consultation with the Parties and the Authority, a document (to be known as the DCC Performance Indicators Document) which lists the reasonable service metrics which are to constitute the Performance Indicators, and which are therefore to be measured and reported on by the DCC. The Performance Indicators Document may request individual Performance Indicator(s) to be included in the report required under H13.4. Such Performance Indicators may include:

- (a) graphs of daily performance in respect of delivery of each of the Business Processes;

- (b) monthly median figures for performance in respect of delivery of each of the Business Processes;
- (c) the total number of each of the Business Processes delivered each month;
- (d) for each DCC Interface separately, the average amount of downtime per Incident; ~~and~~
- (e) for each DCC Interface separately, the mean amount of time between Incidents; ~~and~~
- (f) performance against the times set out in the DCC's Power Outage & Restoration Alerts Delivery Management Document.

Power Outage & Restoration Alerts Delivery Management Document

Purpose

This document is the Power Outage & Restoration Alerts Delivery Management Document as referenced in SEC Section H3.14-H3.15.

SEC Section H3.14B requires the agreed methodology set out in this document to be reviewed at least annually to set the Target Response Times (TRTs) and any other metric that measures the performance of Power Outage Alerts (POA), specifically AD1 Alerts and Power Restoration Alerts (PRA), specifically 8F35 and 8F36 Alerts for SMETS2+ meters and where appropriate, to review this methodology.

GBCS Event and Alert Codes

Event / Alert Code	Event / Alert Code Meaning
0x8F35	Supply Outage Restored
0x8F36	Supply Outage Restored - Outage $\geq$ 3 minutes

The current version of this document excludes polyphase meters. TRTs for this meter type will be included in the next review of this document.

This document provides clarity and transparency of the methodology and to alleviate the requirement to raise a SEC Modification annually to revise the relevant performance TRTs, exclusions, methodology and reporting.

Version:

V1.0

Date:

19/07/2022

Classification:

DCC Public

Revision History Document Control

Revision Date	Summary of Changes	Changes Marked	Version Number
19/07	Changes made following MRC	Yes	V0.16
19/07	Renamed version following approval of MRC Feedback	No	V1.0

Approval Requested

Version	Forum	Issue Date	Approval Date
Current			
Prior Approval			

These tables will be updated as versions are approved

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1 Methodology for Setting Performance Targets

The following section presents the methodology used to determine the performance of POAs, specifically AD1 Alerts and PRAs, specifically 8F35 and 8F36 Alerts for SMETS2+ single phase meters.

The methodology will be reviewed at least annually, which may result in revision and/or addition of further TRTs or any other metric that measures the performance of the AD1 Alerts and/or the 8F35/8F36 Alerts and/or their exclusions. Outage related Alerts for polyphase meters are not included in version 1.0 of this document. DCC will propose performance TRTs and a methodology for polyphase meters in the next version of this document produced as part of the next annual review.

The performance metrics have been separated out by WAN technology, specifically:

- 1.1 Predominantly second or third generation cellular technology; and
- 1.2 Predominantly long-range radio technology.

This is due to the differences in system constraints between these technologies affecting performance. Further details of current system capability and constraints can be found in MP096 Power Outage Alert & Power Restoration Alert Technical & Enhancement Paper v5.0, which can be located on the SECAS Website, (<https://smartenergycodecompany.co.uk/modifications/dno-power-outage-alerts/>) Select 'Modification Documents' then 'MP096 DCC Technical Study Paper.'

1.1 Methodology for Second or Third Generation Cellular Technology

The following methodology, set out in Section 1.1.1 and Section 1.1.2, has been used to set the TRT for POAs, specifically AD1 Alerts and PRAs, specifically 8F35 and 8F36 Alerts received from SMETS2+ single phase electricity meters and communication hubs (CHs) connected via second or third generation cellular technology network.

The TRTs for the AD1, 8F35 and 8F36 Alerts as set out in version 1.0 of this document are likely to remain the same, as increased volume of installed and commissioned CHs and ESMEs will not impact the performance of second or third generation cellular technology network. The target TRTs set in the first year should be validated each year but are unlikely to need changing. This has been captured in [Assumptions – Section 1.1.3, Table 1, ID#A1](#).

1.1.1 Power Outage Alerts – AD1 Alerts

The TRT for AD1 Alerts, generated by a CSP system predominantly deploying second or third generation cellular technology, is based upon:

- The upper performance limit time achieved over the previous 12 months to deliver 95% of all AD1 Alerts.

[Table A-1 of Appendix A](#) includes actual data for AD1 Alerts which has been used to set the TRT included in [Section 2.1](#), for a CSP system predominantly based upon a system deploying second or third generation cellular technology.

1.1.2 Power Restoration Alerts – 8F35/8F36 Alerts

The performance TRTs for 8F35/8F36 Alerts, generated by an ESME and transmitted via a CSP operating a system predominantly deploying second or third generation cellular technology, is based upon:

- The system constraint associated with the re-connection of the CH following a power restoration, which can take up to one minute 30 seconds; and

Hence the TRT to deliver 95% of 8F35/8F36 Alerts shall be set at one minute 30 seconds.

(Reference to the re-connection time can be found in Section 4.2, Power Outage Alert & Power Restoration Alert Technical & Enhancement Paper v5.0 - which can be located on the SECAS Website, (<https://smartenergycodecompany.co.uk/modifications/dno-power-outage-alerts/>) Select 'Modification Documents' then 'MP096 DCC Technical Study Paper.'

[Table A-2 of Appendix A](#) includes data for 8F35/8F36 Alerts, which has been used to set the TRT, for a CSP system based upon a system predominantly deploying second or third generation cellular technology.

1.1.3 Assumptions

The following table presents the key assumptions made when setting the TRTs for AD1, 8F35 and 8F36 Alerts for the next 12 months for a CSP system predominantly deploying second or third generation cellular technology.

Table 1. Assumptions for second or third generation cellular technology

ID	Assumption	Description
A1	Changes in performance of AD1, 8F35 and 8F36 Alerts delivery is not impacted by increases in number of commissioned CHs and Electric Smart Meter Equipment (ESME)	Performance will be sustained at current level through to full network capacity being reached
A2	No DCC network changes/capacity increases are planned over next 12 months that will impact performance of second or third generation cellular technology network	Performance will be sustained at the current level

Annual reviews shall use data covering the previous 12-month period to validate the performance TRTs, which are not expected to change.

1.2 Methodology for Long-Range Radio Technology

The following methodology set out in Section 1.2.1 and Section 1.2.2. is applicable to AD1, 8F35 and 8F36 Alerts received from SMETS2+ single phase electricity meters and CHs connected via long-range radio technology network.

There are two TRTs for AD1 Alerts, one set out in Section 1.2.1, the other set out in Section 1.2.2.

Unlike second or third generation cellular technology, the TRT performance of AD1/8F35/8F36 Alerts delivered using long-range radio technology will likely be impacted by the increase in volumes of installed and commissioned CHs and ESMEs. It is difficult to predict how the performance will be affected in the future, therefore the target performance TRTs have been established using a simple linear extrapolation which will need to be reviewed on an annual basis. This should be based on actual data with consideration of events over the previous 12 months and improvements of CSP capability expected over the following 12-month period.

1.2.1 Power Outage Alerts – AD1 Alerts (Time to Deliver 95%)

The first TRT for AD1 Alerts, generated by a CSP system predominantly deploying long-range radio technology, is based upon:

- The time taken over the last 12 months to deliver 95% of all AD1 Alerts extrapolated over the next 12-month period.

[Table B-1 of Appendix B](#) includes actual data which has been plotted in Graph B-1 and the graph showing the extrapolated data for AD1 Alerts which has been used to set the TRT included in [Section 2.2](#), for a CSP system predominantly deploying long-range radio technology.

1.2.2 Percentage of AD1 Alerts Delivered within 1st Delivery Window

Long-range radio technology system sends AD1 Alerts from the end of the third minute of a power outage in three different time windows. Within each time window, the actual time of alert transmission is randomised to minimise the risk of collision.

The second performance metric for AD1 Alerts is measured from the end of the third minute of a power outage, generated within the first window of 45 seconds and is based upon:

- the number of AD1 Alerts sent by the 225th second (three minutes 45 seconds), reported as a percentage of all AD1 alerts received each month for 12 months, averaged over that 12-month period.

[Graph B-3 of Appendix B](#) shows the consolidated graph that illustrates for April 2022 the target percentage as proposed in [Section 2.2](#).

1.2.3 Power Restoration Alerts – 8F35/8F36 Alerts

The TRTs for 8F35/8F36 Alerts, generated by an ESME and transmitted via a CSP system predominantly deploying long-range radio technology, is based upon:

- The system constraints associated with connection of the CH after a power restoration and the subsequent request for an uplink slot. This is limited to one alert per channel per second which will take up to eight minutes at full capacity.

[Table B-2 Appendix B](#) includes data for 8F35/8F36 Alerts, which has been used to set the TRTs included in Section 2.2, for a CSP system predominantly deploying long-range radio technology.

1.2.4 Assumptions

The following table presents the key assumptions underpinning the TRTs for AD1, 8F35 and 8F36 Alerts for a system predominantly deploying long-range radio technology:

Table 2. Assumptions for Long-range Radio Technology

ID	Assumption	Description
A4	Reduction in performance of AD1 Alerts is expected as the number of commissioned CHs increases A linear relationship has been assumed and the graphical evidence is included in Graph B1 of Appendix B	Performance is expected to reduce over the subsequent 12 months as traffic volumes increase across the long-range radio network– causing increased congestion which may impact TRTs for AD1 Alerts
A5	Performance is expected to reduce when there is an extremely high volume of traffic attempting to be received by a single mast	There will be more collisions in higher density areas which will prevent the AD1 and/or 8F35 and/or 8F36 Alerts from being sent within the TRTs
A6	Steady installation rate across the next 12 months based upon average of last year	Due to the relationship between performance and number of connected devices, any large increases in installation rates will negatively impact the performance of alerts delivered within the target times
A7	There will be improvements to long-range radio technology over 2022	Additional Alert channels are to be installed on highly utilised masts which will improve performance of 8F35 and 8F36 Alerts. These additional channels will have no impact on AD1 Alerts because they do not travel in the same way

2 Performance Metrics

The following performance measures relate to the the capacity of the CSP system to deliver AD1, 8F35 and 8F36 Alerts, from the CHs in situ at a property that is subject to a power outage and its subsequent power restoration event, to the DNO, and in relation to AD1 Alerts, the Supplier, within defined times.

The TRTs relate to AD1, 8F35 and 8F36 Alerts received from single phase SMETS2+devices only.

2.1 Performance for Second or Third Generation Cellular Technology

Table 3 sets out the TRTs for AD1, 8F35 and 8F36 Alerts for a CSP system operating predominantly second or third generation cellular technology.

The performance of the AD1, 8F35 and 8F36 Alerts is unlikely to vary significantly from current levels as increased volume of installed and commissioned Communications Hubs and ESMEs will not impact the performance of second or third generation cellular technology network. The targets set in the first year should be validated each year but are unlikely to need changing. This has been captured in [Assumptions – Section 1.1.3, Table 1, ID#A1](#).

Table 3. Performance TRTs for second or third generation cellular technology

Alerts Type	95 th Centile Performance	Measure
AD1 Alert	5 minutes 11 seconds (Jan 2022, Table A-1 Appendix A) TRT is measured from the end of the third minute of the power outage	AD1 Alert TRT has been selected from the upper time limit demonstrating normal system behaviour The Target represents normal system behaviour up to which the CSP can offer no remedy to improve upon this TRT
8F35/8F36 Alert	1 minute 30 seconds (Nov 2021, Table A2, Appendix A) Measured from restoration time recorded by the ESME	8F35/8F36 Alert TRT is based upon system constraints associated with the upper time limit demonstrating normal system behaviour for the reconnection of the CH following a power outage, of up to one minute 30 seconds This represents normal system behaviour up to which the CSP can offer no remedy to improve upon this TRT

Please see Tables A-1 and A-2 in [Appendix A](#) and the graphs A-1 and A-2 which show the relatively static nature of the AD1, 8F35 and 8F36 Alerts over the last 12 months.

2.2 Performance for Long-Range Radio Technology

Table 4 sets out the TRTs for AD1, 8F35 and 8F36 Alerts for a system operating predominantly long-range radio technology.

Unlike second or third generation cellular technology, the TRT performance of AD1/8F35/8F36 Alerts delivered using long-range radio technology will be impacted by the increase in volumes of installed and commissioned Communications Hubs and ESMEs. It is difficult to predict how the performance will be affected in the future, therefore the target performance TRT has been established using a simple linear extrapolation which will need to be reviewed on an annual basis. This should be based on actual data with consideration of events over the previous 12 months and improvements of CSP capability over the following 12-month period.

The following targets represent normal system behaviour up to which the CSP can offer no remedy to improve upon these TRTs:

Table 4. Performance TRTs for Long-Range Radio Technology

Alerts Type	95 Percentile Performance	Measure
AD1 Alert	4 minutes 5 seconds (see Graph B-2 in Appendix B) TRT is measured from the end of the third minute of the power outage	AD1 Alert TRT is calculated by extrapolating the linear trendline for the last 12 months of data over a further 12-month period Extrapolation of the linear trendline is predicated on Assumptions – Section 1.2.4, Table 3, ID# A4-A6
8F35/8F36 Alert	5 minutes 34 seconds (Ref Jan 2022, Table B2, Appendix B)	8F35 and/or 8F36 Alert TRT is predicated upon the system constraint associated with the reconnection of the CH following a power outage, which can take up to 8 minutes Upper time limit over last 12 months demonstrating normal system behaviour

Due to the distribution of AD1 Alerts delivered by a system predominantly deploying long-range radio technology, a second performance target has been set for the volume of AD1 Alerts, measured from the end of the third minute of the power outage, that are sent within the first 45 second window.

Table 5. Target Percentage of AD1 Alerts delivered in first 45 seconds

Alerts Type	% of Alerts sent in 1 st window	Measure
AD1 Alert	88%	This target has been set using the number of AD1 Alerts sent by the 225 th second (three minutes 45seconds), reported as a percentage of all AD1 alerts received each month for 12 months, averaged over that 12-month period

The [Graph B-3 in Appendix B](#) shows the distribution of AD1 Alerts received over a period of time verses SLA.

3 Exclusions

The exclusions in this section are related to the performance of current systems and do not take into consideration polyphase meters.

The following table presents several issues that negatively impact the delivery of AD1 Alerts and/or 8F35 and/or 8F36 Alerts. The volumes attributed to these issues will be excluded from reporting metrics used to establish the TRTs but will be set out separately in the monthly reports (The report for May 2022 has been included in [Appendix D](#) for reference). These and any subsequently agreed exclusions will be reviewed at least annually and can be removed or included as approved by SEC Panel.

Table 6. Exclusions

Type	Definition	Impact	Rationale
Large Power Outages	An outage, or a combination of outages affecting over 30 000 properties within one minute in any region.	Both technology types. AD1 Alerts only.	CSPs are limited to sending 5,000 AD1 Alerts. This is due to the throttling implemented by the DSP on message volumes. DSP throttling will come into effect once the power outage Alert volumes are greater than 30,000 premises in a one-minute window. It will remain in effect until the volumes drop below this threshold.
ESME/CH Generated Abnormal Volumes	Devices producing abnormal volumes of Alerts: more than 40 AD1 Alerts per month or 300 8F35 and/or 8F36 Alerts per month. Evidence of the volume thresholds is included in Appendix C .	Both technology types. Both Alert types.	An ESME may send an abnormal volume of 8F35 and/or 8F36 Alerts or a CH may send an abnormal volume of AD1 Alerts that can negatively impact performance. DCC will use the monthly Power Interruption Data reports to demonstrate which devices and the volumes of those alerts have been excluded for abnormal volumes.
Duplicated Alerts	The first AD1 Alert received will be used within the performance measures and any duplicated AD1 Alerts relating to the same power outage will be excluded.	Long-range radio technology only. AD1 Alerts only.	Multiple AD1 Alerts are generated and sent during a power loss event to maximise successful delivery. Any Alert found to be a duplicate will be discarded by DSP. A duplicate is categorised as any alert with the same payload outage time stamp (Date: Hour: Minute) as a previous alert.

Type	Definition	Impact	Rationale
Undelivered Alerts During Planned & Unplanned DCC/CSP Maintenance	DCC are unable to deliver Alerts if the CSP and/or DSP network is unavailable for planned or unplanned maintenance.	Both technology types. Both Alert types.	AD1, 8F35 and 8F36 Alerts are unsolicited Alerts (An Alert that the CH or ESME sends without being asked) and as such during planned or unplanned maintenance will not be delivered. DNOs do not have a methodology of obtaining information surrounding other unsolicited Alerts, including voltage alerts or tamper alerts, and these will be lost. However, for power outage events DNOs may be notified by other methods such as network monitoring and/or customer contact. No Alerts will be sent during planned or unplanned maintenance situations however this service failure will be managed through the DCC's Service Availability performance metric and not through Alert Management, thus this is not included in the exclusions.

Monthly reports will present the excluded Alerts, identify their type, volume and the potential impact on the delivery times.

These exclusion types will be reviewed at least annually and can be amended using the process documented in Section 4.

4 Annual Reviews

The DCC is required to complete a review, at least annually of the performance of POAs, PRAs and any associated exclusions as part of Section H3.14B.

The methodology, exclusions, TRTs and performance metric(s) set out in this document will be consulted upon, with sufficient time for SEC Parties to review any changes and supporting evidence at least annually.

DCC will seek approval of new TRTs, performance metrics and exclusions, for each subsequent 12-month period, prior to its commencement. DCC will be expected to provide the following information for consideration at the annual review:

- Summary tables of previous 12 months performance data for different WAN technology types and Alert types (including alerts from polyphase meters)
- Current set of exclusions and evidence to continue their inclusion or omission from reporting metrics
- Methodology to set the TRTs and performance metrics
- Table of proposed changes to the existing TRTs and performance metrics along with evidence to justify their revision

New TRTs, performance metrics and exclusions will be presented after the ninth reporting month, to allow suitable time for consultation with SEC Parties and approval by SEC Panel.

The first annual review following the implementation of MP096 will set the polyphase power outage and power restoration TRTs, including the associated enduring methodology and any relevant exclusions.

4.1 Updating the Alerts Management Document

The process for updating the document will commence with DCC presenting and consulting upon any proposed revisions to the document with all SEC Parties after the ninth reporting month.

The DCC will circulate their proposed amendments with accompanying detailed rationale and impact assessments to all SEC Parties, paying particular attention to seek the views of Electricity Network Parties while providing them with sufficient time to respond. This will be followed by a minimum of 15 working day consultation inviting all SEC Parties to provide feedback.

An updated change-controlled version of the Power Outage & Restoration Alerts Delivery Management Document, including clear indications of accepted and/or rejected proposals and SEC Party feedback will be presented to SEC Panel for final approval.

Once SEC governance approval has been achieved the Power Outage & Restoration Alerts Delivery Management Document will be updated by the DCC. This will include updating the Revision History Document Control table (page 2) and any subsequent evidence will be attached and referenced in additional appendices. The new version will be sent to all Electricity Network Parties and published on the SECAS website 10 working days prior to the first period of the commencing year.

4.2 Reporting

DCC will produce monthly performance statistics for each individual DNO within five working days after calendar month end. This will include details regarding AD1, 8F35 and 8F36 Alert TRT and performance metric including and excluding exclusions, volume and rationale of any exclusions.

Alert management reporting of AD1, 8F35 and 8F36 Alerts forms part of Section H13.4 reporting and the DCC shall report on its performance set out in the Power Outage & Restoration Alerts Delivery Management Document.

The Alert Management Report for May 2022 is attached in [Appendix D](#) and will form part of the DCC Performance Indicators Document as defined in the Performance Metric Measurement (PMM) report which measures several service metrics and which DCC report upon in accordance with Section H13.5.

Please see the PMM for the new inserted text referencing the new Performance Indicators.

Appendix A – Second or Third Generation Cellular Technology Performance

The following tables and graphs summarise the Alert performance for AD1, 8F35 and 8F36 Alerts for a CSP system predominantly deploying second or third generation cellular technology and provides evidence to support the TRTs.

Exclusions as set out in Section 3 have been applied to the data.

A-1 Power Outage Alerts – AD1 Alerts

The following table presents 11 months of performance data which has been used to evaluate the TRT for AD1 Alerts.

Table A-1 Power Outage Alerts – AD1 Alerts

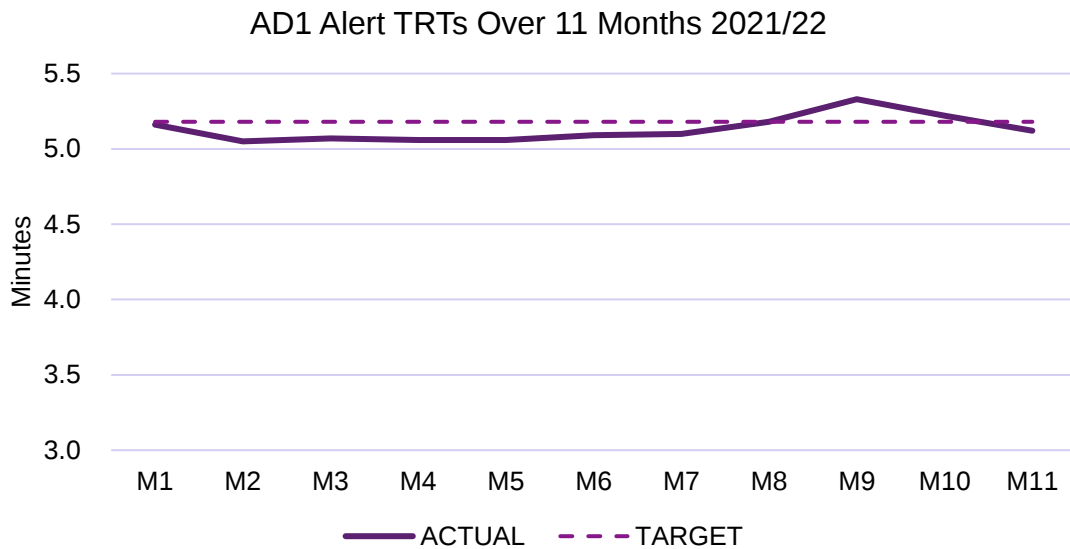
	Month	Time of 95 th Centile (min)	Comments
M1	Nov-20	5.16	
M2	Dec-20	5.05	
M3	Jan-21	5.07	
M4	Feb-21	5.06	
M5	Mar-21	5.06	
M6	Apr-21	5.09	
M7	May-21	5.10	
M8	Jan-22	5.18	Target TRT
M9	Feb-22	5.33	DSP throttling impacted performance – not representative of normal CSP system behaviour
M10	Mar-22	5.22	
M11	Apr-22	5.12	
	TARGET	5 minutes 11 seconds	Ref: Jan 2022

The performance data from June 2021 through to December 2021 for AD1 Alerts sent via second or third generation cellular technology has been discounted. Performance during these months was worse than that for other months and does not represent normal system behaviour. This abnormal behaviour was due to a defect on the cellular technology system which affected the delivery of AD1 Alerts only.

The performance target of five minutes 11 seconds for AD1 Alerts is unlikely to vary significantly as increased volume of installed and commissioned CHs will not impact the second or third generation cellular technology network. The target set in the first year should be validated each year but is unlikely to need changing.

The following graph plots shows the number of minutes taken to deliver 95% of AD1 Alerts for 11 months and the static nature of the delivery of AD1 Alerts.

Graph A-1 AD1 Alerts Actual Performance and Targets over 11 months from data in Table A-1



A-2 Power Restoration Alerts – 8F35/8F36 Alert

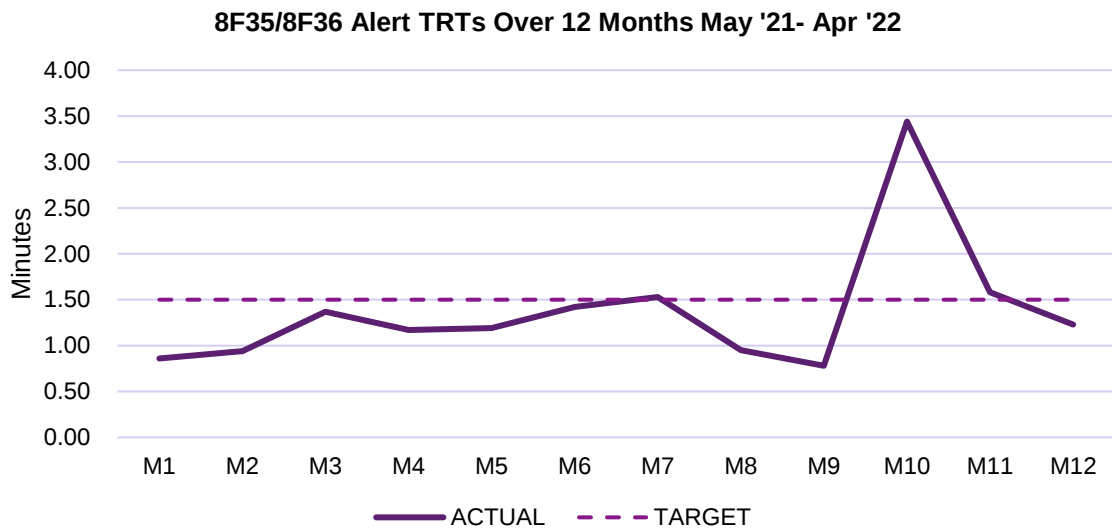
The following table presents the data which has been used to validate the TRT for 8F35 and/or 8F36 Alerts as included in [Section 2.1](#).

Table A-2 Power Restoration Alerts – 8F35/8F36 Alert – Cellular Technology

	Month	Time of 95 th Centile (min)	Comments
M1	May-21	0.86	
M2	Jun-21	0.94	
M3	Jul-21	1.37	
M4	Aug-21	1.17	
M5	Sep-21	1.19	
M6	Oct-21	1.42	
M7	Nov-21	1.53	
M8	Dec-21	0.95	
M9	Jan-22	0.78	
M10	Feb-22	3.44	DSP throttling impacted performance – not representative of normal CSP system behaviour
M11	Mar-22	1.58	Target was missed due to planned maintenance window – alerts have not been removed but event is exempt and called out as the reason for failing the target
M12	Apr-22	1.23	
	TARGET	1 minute 30 seconds	

The following graph plots the actual performance of all 8F35 and/or 8F36 Alerts delivery over the last 12 months against the TRT of one minute 30 seconds.

Graph A-2 Power Restore Alerts – 8F35/8F36 Alerts Actual Performance and Targets over 12 months



Appendix B – Long-Range Radio Technology Performance

The following tables and graphs summarise the performance for AD1, 8F35 and 8F36 Alerts for a CSP system predominantly deploying long-range radio technology and provides evidence to support the TRTs.

Exclusions as set out in Section 3 have been applied to the data presented.

B-1 Power Outage Alerts – AD1 Alerts

The following table presents the data which has been used to calculate the AD1 Alert TRT as included in [Section 2.2](#).

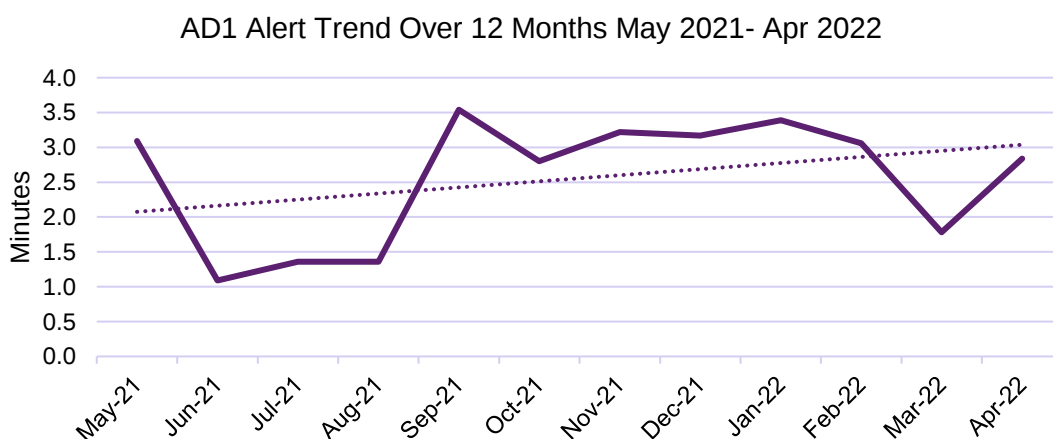
Table B-1 AD1 Alerts – Long-Range Radio Technology

	Month	Time of 95 th Centile (min)
M1	May-21	3.09
M2	Jun-21	1.09
M3	Jul-21	1.36
M4	Aug-21	1.36
M5	Sep-21	3.54
M6	Oct-21	2.80
M7	Nov-21	3.22
M8	Dec-21	3.17
M9	Jan-22	3.39
M10	Feb-22	3.06
M11	Mar-22	1.78
M12	Apr-22	2.84
	TARGET	4 minutes 5 seconds

Target is based upon extrapolation (see [Graph B-2](#) below).

AD1 Alert delivery time performance is expected to decrease as the traffic increases over the long-range radio network. The following graph shows the emerging trendline showing the increase in the TRT over the last 12 months.

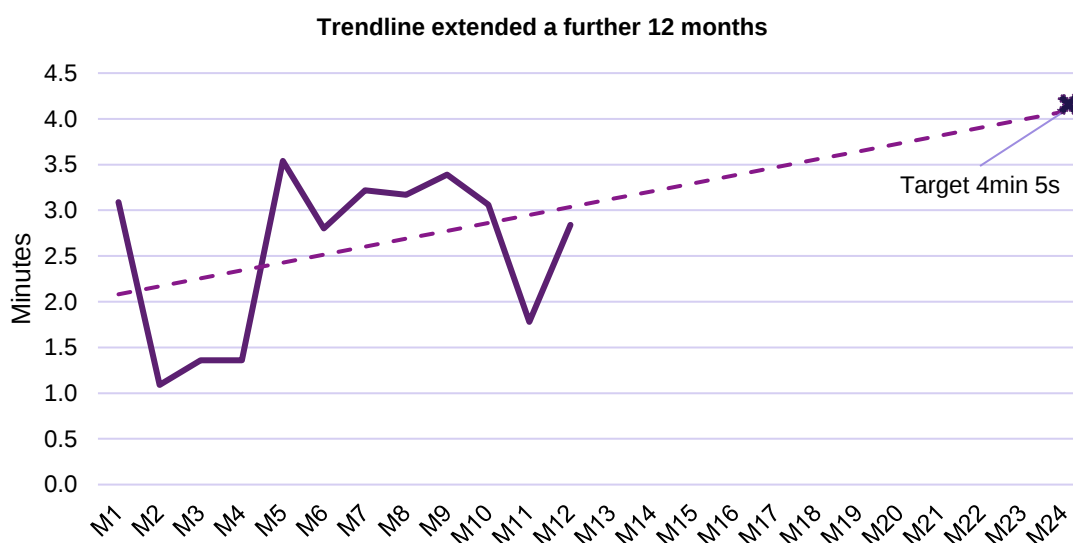
Graph B-1 AD1 Alerts – Emerging Trend over 12 months



The trendline in the graph above shows the delivery time for the 95th Centile of AD1 Alerts has increased by just over 60 seconds over the last 12 months from May 2021 to April 2022.

If the trendline over the last 12 months is extrapolated out over a further 12 months then the TRT would increase to four minutes five seconds. The assumed linear relationship is predicated on several operational assumptions which are included in [Table 2 of Section 1.2.4](#).

Graph B-2 Extrapolated Trendline over 24 months



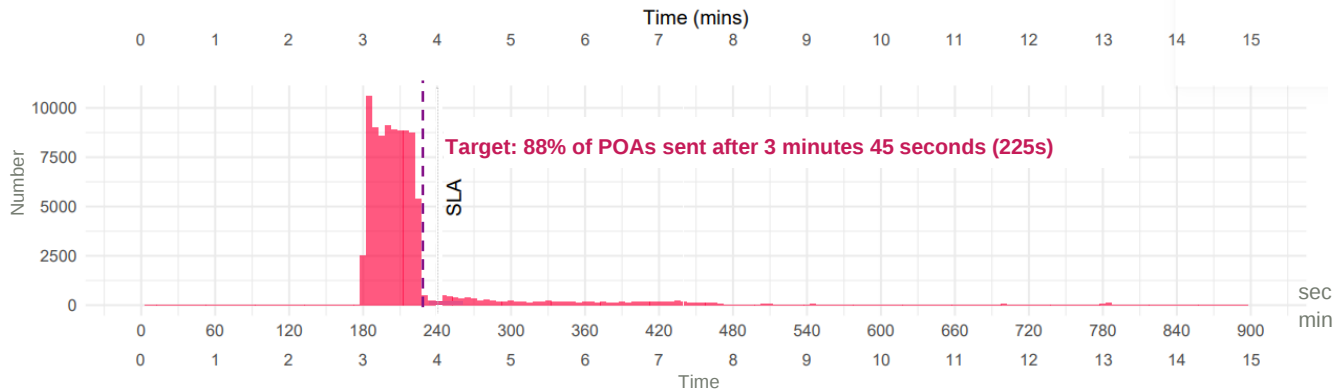
Block line shows actual, dash line shows trend

Percentage of AD1 Alerts sent within 45s after the 3rd minute of the power outage

[Graph B-3](#) shows the April 2022 volume of AD1 Alerts. The target of 88% has been included and corresponds to the initial large volume of all AD1 Alerts received.

The target percentage has been calculated using the number of AD1 Alerts sent by the 225th second (three minutes 45 seconds), reported as a percentage each month for 12 months, averaged over that 12-month period.

Graph B-3 Number of AD1 Alerts sent in APR-22 after the 3rd minute of a power outage



B-2 Power Restoration Alerts – 8F35/8F36 Alerts

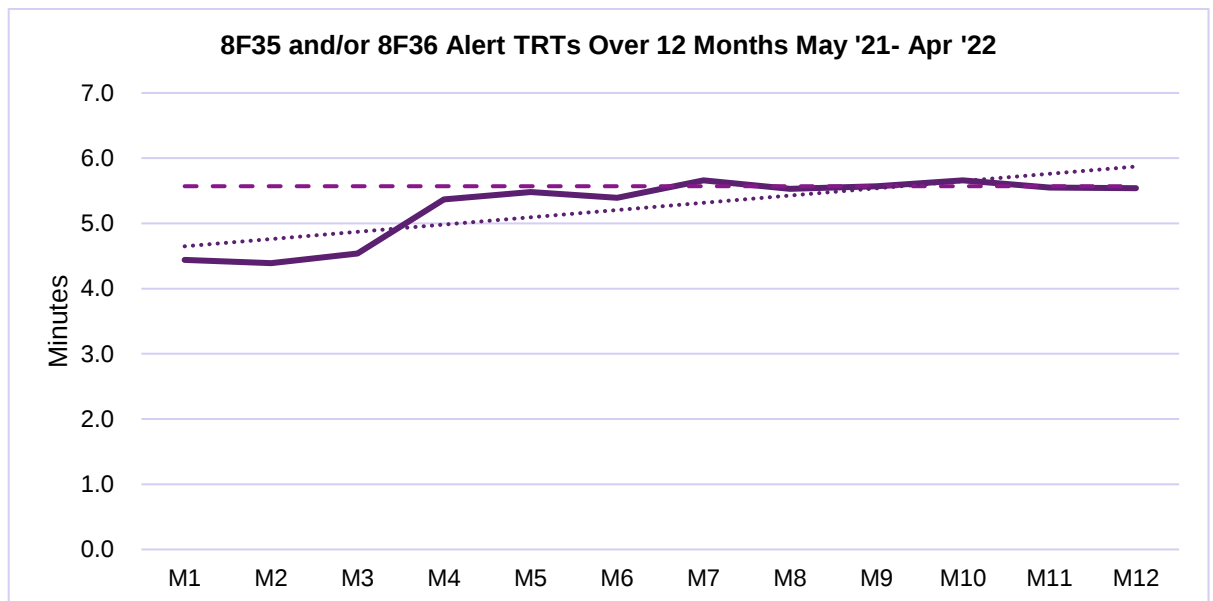
The following table presents the data which has been used to calculate the TRTs for 8F35 and/or 8F36 Alerts as included in [Section 2.2](#).

Table B-2 Power Restore Alerts – 8F35 and/or 8F36 Alert

	Month	Time of 95 th Centile (min)	Comments
M1	May-21	4.44	
M2	Jun-21	4.39	
M3	Jul-21	4.54	
M4	Aug-21	5.37	
M5	Sep-21	5.48	
M6	Oct-21	5.39	
M7	Nov-21	5.66	DSP throttling impacted performance – not representative of normal CSP system behaviour
M8	Dec-21	5.53	
M9	Jan-22	5.57	Target TRT
M10	Feb-22	5.66	DSP throttling impacted performance – not representative of normal CSP system behaviour
M11	Mar-22	5.55	
M12	Apr-22	5.54	
	TARGET	5 minutes 34 seconds	Ref: Jan 2022

It is expected that the delivery time performance of the 8F35 and/or 8F36 Alerts will decrease as the volume of traffic increases over the long-range radio network. The following graph shows the trend line showing the increase in TRTs over the last 12 months.

Graph B-4 8F35 and/or 8F36 Alerts Trend over 12 months



The small dotted red line represents trendline, the dash line represents the target and the block line represents actuals

The trendline in the graph above shows performance of 8F35 and/or 8F36 Alerts have improve by just under 90 seconds over the last 12 months from May 2021 to April 2022.

It is not proposed that the trendline be extrapolated over the following 12 months as in the case of AD1 Alerts, instead the trend is anticipated to be more static in accordance with Assumption – Section 1.2.4, Table 2, ID#A7

Appendix C – Exclusions Evidence

There are a small number of CHs that send abnormal volumes of AD1 Alerts to the DNO, which could be either due to a defective CH or the ESME dropping the voltage to the CH, leading the CH to believe power has been lost.

An even smaller number of ESME's also send abnormal volumes of 8F35 and 8F36 Alerts. However, these devices are outside of DCC's control and therefore no investigation has been carried out to understand why this is.

Energy Suppliers are required to investigate and where needed exchange these ESMEs and/or CHs. There is no obligation on the supplier to report to DCC which Devices were faulty.

DCC do not have the ability to confirm which alerts are spurious and which are genuine. Therefore, data has been used to ascertain a volume of which is most likely to be spurious and have used the rationale below to ascertain abnormal volume of AD1, 8F35 and 8F36 Alerts which will be excluded from reporting. DNOs get reports showing Devices in their network area producing abnormal volumes and therefore excluded from reporting.

Table C-1 Abnormal Volume Limits

Alert Type	Limit	Rationale	Evidence for Exclusions
AD1 Alert	>40 Alerts for a CH in one calendar month	Cut off point set at volumes generated by <0.01% of total number of CHs	0.01% CHs generated 13.35% of total number of AD1 Alerts
Total of 8F35 and 8F36 Alerts	>300 Alerts for any ESME in one calendar month	Cut off point set at volumes generated by <0.05% of the total number of ESMEs	0.05% ESMEs generated circa 4.70% of total number of 8F35 and/or 8F36 Alerts

This table sets out the volumes of Alerts excluded but more importantly indicates the very small number of Devices that this represents.

The following table represents actual volumes of AD1 Alerts sent per Device as a percentage of the total number across April 2022. The shaded rows represent the CHs which have sent more than 40 AD1 Alerts which have been excluded from the calculations as a percentage of the total number of CH and total number of AD1 Alerts, and therefore reporting.

Table C-2 Abnormal Volume of AD1 Alerts – Cut Off Shown in Shaded Rows

Number of AD1's per CH	% of CHs	% of AD1s
Between 1 & 10	99.763%	84.609%
Between 11 & 20	0.110%	1.090%
Between 21 & 30	0.037%	0.650%
Between 31 & 40	0.012%	0.303%
TOTAL	99.95%	87.96%

The following tables C-3, C-4 and C-5 shows the number of 8F35 and 8F36 Alerts sent per ESME in April 2022. The shaded rows represent the volume of excluded Alerts. This provides evidence of the very small percentage of 8F35 and/or 8F36 Alerts that send more than 300 8F35 and/or 8F36 Alerts per Device.

Table C-3 Abnormal Volumes of 8F35 & 8F36 Alerts

The following table shows the combined volume of 8F35 and 8F36 Alerts and the shaded rows where the abnormal volume limit has been applied.

Number of Alerts per ESME	ESME	Alerts	% of ESMEs	% of Alerts
Between 1 & 25	578689	936158	94.884	18.992
Between 26 & 50	2013	77042	0.33	1.563
Between 51 & 75	3581	230733	0.587	4.681
Between 76 & 100	5633	499358	0.924	10.131
Between 101 & 125	6525	737445	1.07	14.961
Between 126 & 150	5674	779616	0.93	15.816
Between 151 & 175	3792	613993	0.622	12.456
Between 176 & 200	2011	375308	0.33	7.614
Between 201 & 225	1013	214150	0.166	4.344
Between 226 & 250	462	109062	0.076	2.213
Between 251 & 275	195	51100	0.032	1.037
Between 276 & 300	99	28561	0.016	0.579
Over 300	205	276719	0.034	5.614

The following tables C-4 and C-5 show the volume of 8F35 and 8F36 Alerts separately:

Table C-4 Abnormal Volumes of 8F35 Alerts – Cut Off Shown in Shaded Rows

Number of 8F35s per ESME	ESME	8F35	% of ESMEs	% of 8F35s
--------------------------	------	------	------------	------------

Between 1 & 25	577,235	88,0972	94.883	18.575
Between 26 & 50	2,048	78,642	0.337	1.658
Between 51 & 75	3,746	241,459	0.616	5.091
Between 76 & 100	5,910	523,731	0.971	11.043
Between 101 & 125	6,719	759,370	1.104	16.011
Between 126 & 150	5,712	784,853	0.939	16.548
Between 151 & 175	3,723	602,514	0.612	12.704
Between 176 & 200	1,891	352,682	0.311	7.436
Between 201 & 225	880	185,869	0.145	3.919
Between 226 & 250	322	75,895	0.053	1.600
Between 251 & 275	107	27,908	0.018	0.588
Between 276 & 300	20	5,742	0.003	0.121
Over 300	51	223,214	0.008	4.706
TOTAL	608,364	4,742,851	100.000	100.000

Table C-5 Abnormal Volume of 8F36 Alerts – Cut off will be 300

Number of 8F36s per ESME	ESME	8F36	% of ESMEs	% of 8F36s
Between 1 & 25	50,589	58,879	97.600	31.588
Between 26 & 50	101	3,960	0.195	2.125
Between 51 & 75	217	13,985	0.419	7.503
Between 76 & 100	330	28,944	0.637	15.528
Between 101 & 125	273	30,712	0.527	16.477
Between 126 & 150	168	23,082	0.324	12.383
Between 151 & 175	99	15,898	0.191	8.529
Between 176 & 200	41	76,82	0.078	4.121
Between 201 & 225	14	3,002	0.027	1.612
Between 226 & 250	1	250	0.002	0.134
TOTAL	51,833	186,394	100.000	100.000

Appendix D –Monthly Report for May 2022

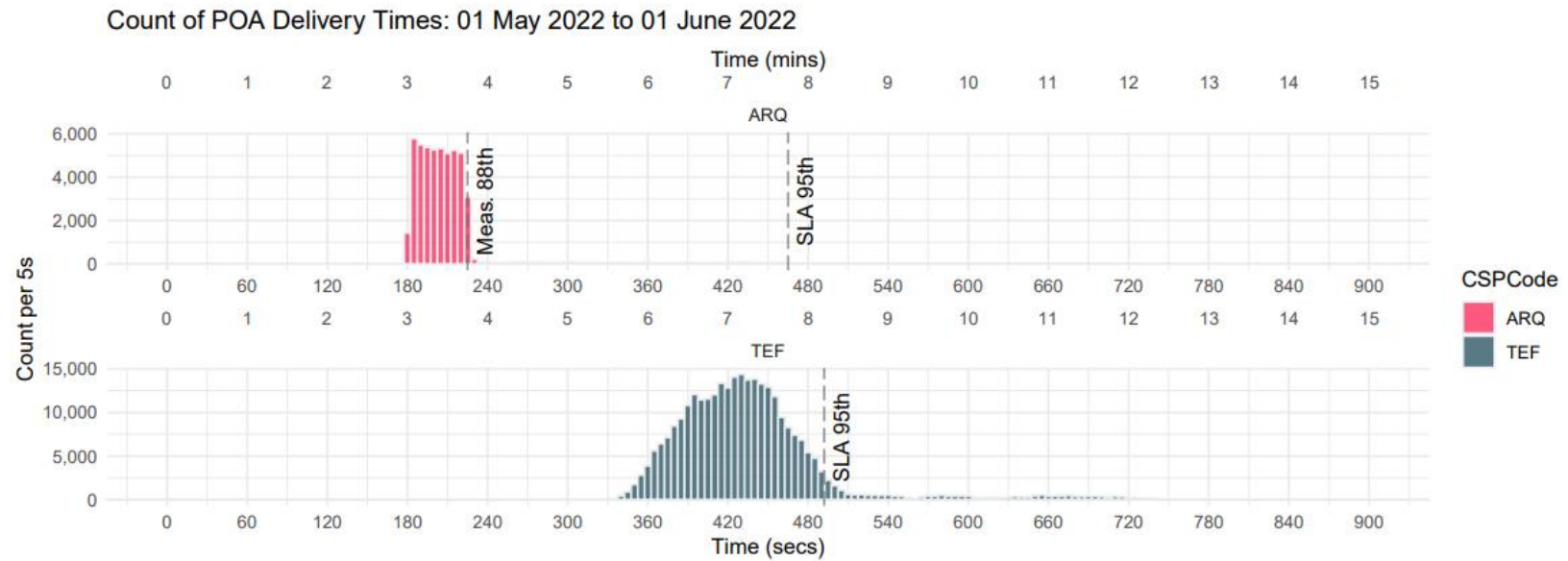


PWO101NU – Power Interruption Data

01 May 2022 – 01 Jun 2022

DCC Controlled

POA Timings (DSP Sent)

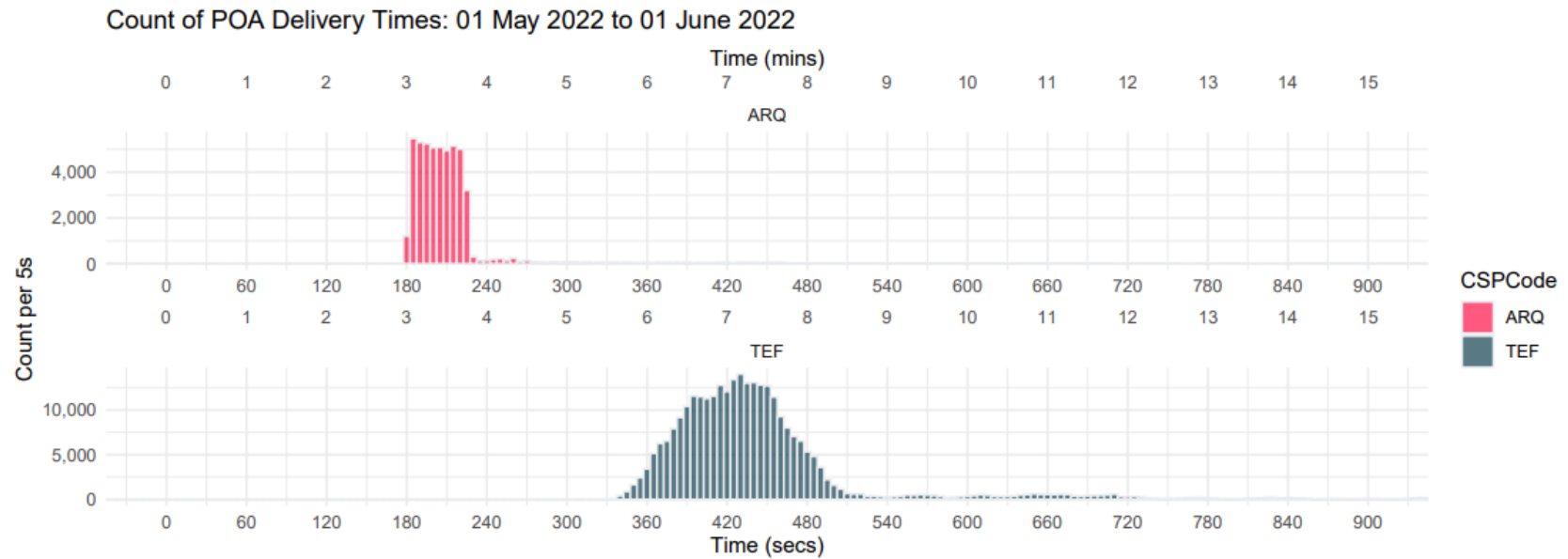


CSPCode	Count	Median	Lower Quartile	Upper Quartile	IQR	5th Centile	95th Centile	Compliance 88th	Compliance 95th
ARQ	50,917	204.55s (~3.41 minutes)	192.52s (~3.21 minutes)	216.68s (~3.61 minutes)	24.15s	183.44s (~3.06 minutes)	326.33s (~5.44 minutes)	+3.84%	+2.41%
TEF	295,065	428.36s (~7.14 minutes)	399.16s (~6.65 minutes)	455.86s (~7.6 minutes)	56.69s	366.88s (~6.11 minutes)	560.68s (~9.34 minutes)		-3.41%

PWO101NU

DCC Controlled

POA Timings (RTT)

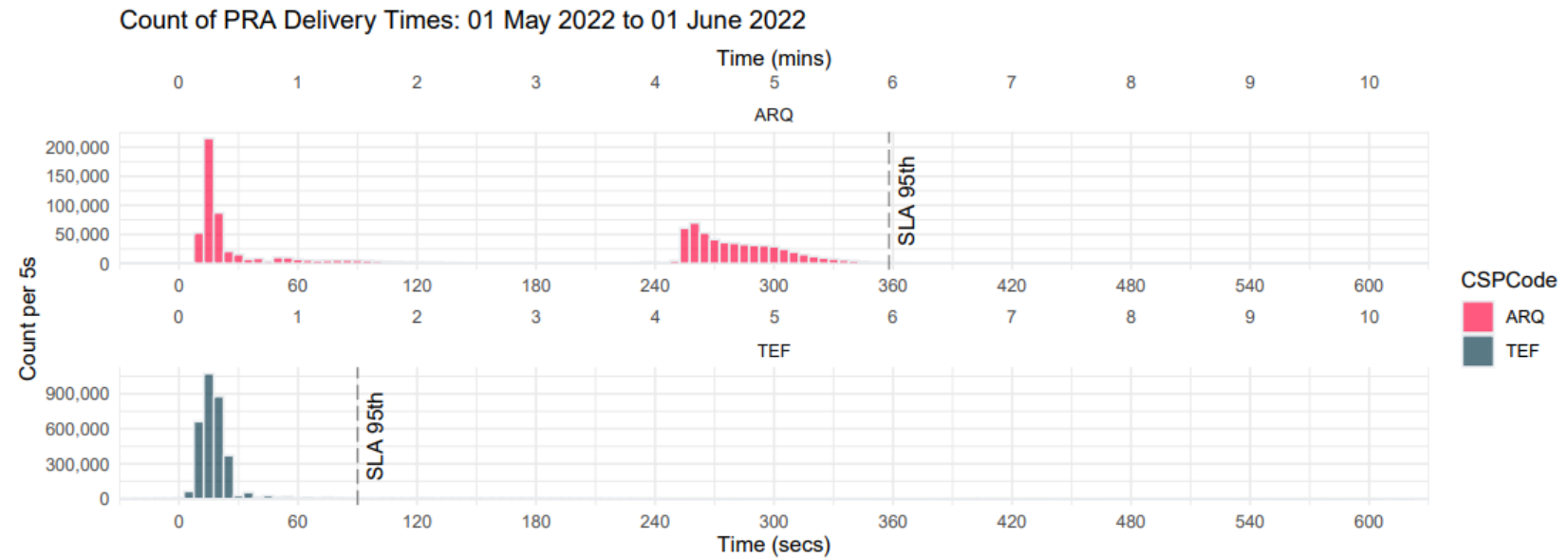


CSPCode	Count	Median	Lower Quartile	Upper Quartile	IQR	5th Centile	95th Centile
ARQ	50,917	205.59s (~3.43 minutes)	193.05s (~3.22 minutes)	218.09s (~3.63 minutes)	25.05s	183.6s (~3.06 minutes)	363.49s (~6.06 minutes)
TEF	295,065	430.73s (~7.18 minutes)	400.8s (~6.68 minutes)	460.43s (~7.67 minutes)	59.63s	368.09s (~6.13 minutes)	681.89s (~11.36 minutes)

PWO101NU

DCC Controlled

PRA Timings (DSP Sent)

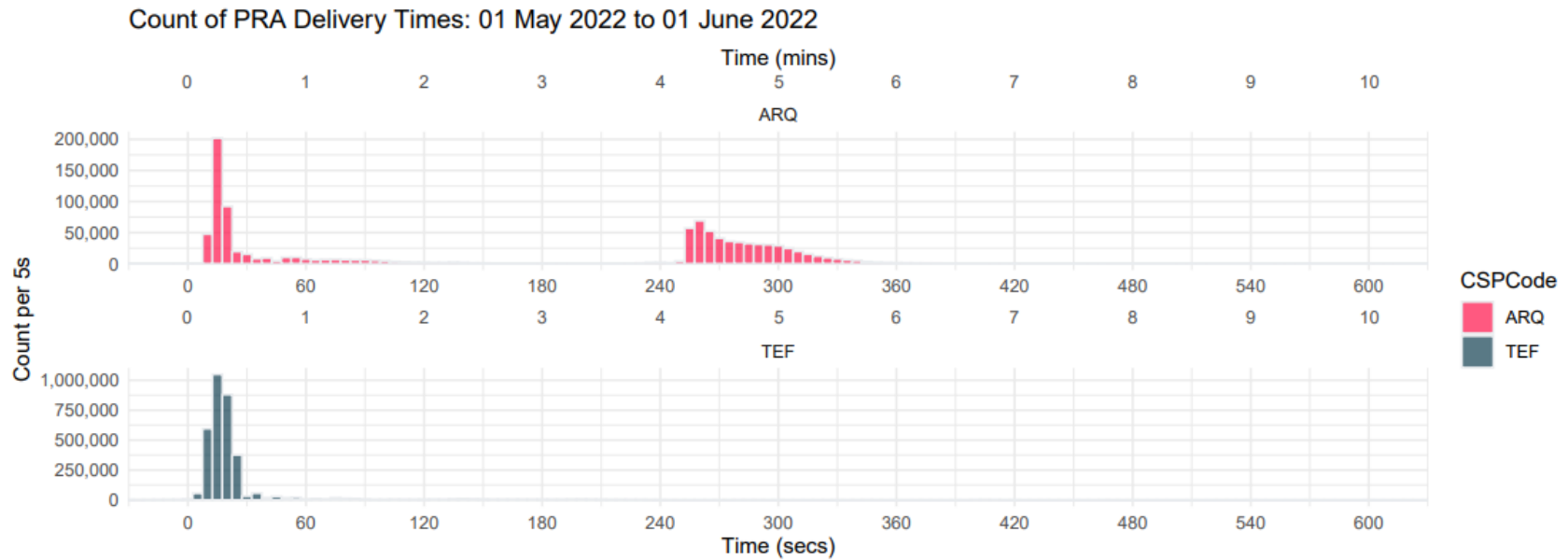


CSPCode	Count	Median	Lower Quartile	Upper Quartile	IQR	5th Centile	95th Centile	Compliance 95th
ARQ	1,101,735	255.21s (~4.25 minutes)	17.51s	283.25s (~4.72 minutes)	265.73s (~4.43 minutes)	12.29s	346.36s (~5.77 minutes)	+0.40%
TEF	3,531,251	16.7s	12.74s	20.75s	8.01s	8.04s	106.3s (~1.77 minutes)	-0.32%

PWO101NU

DCC Controlled

PRA Timings (RTT)

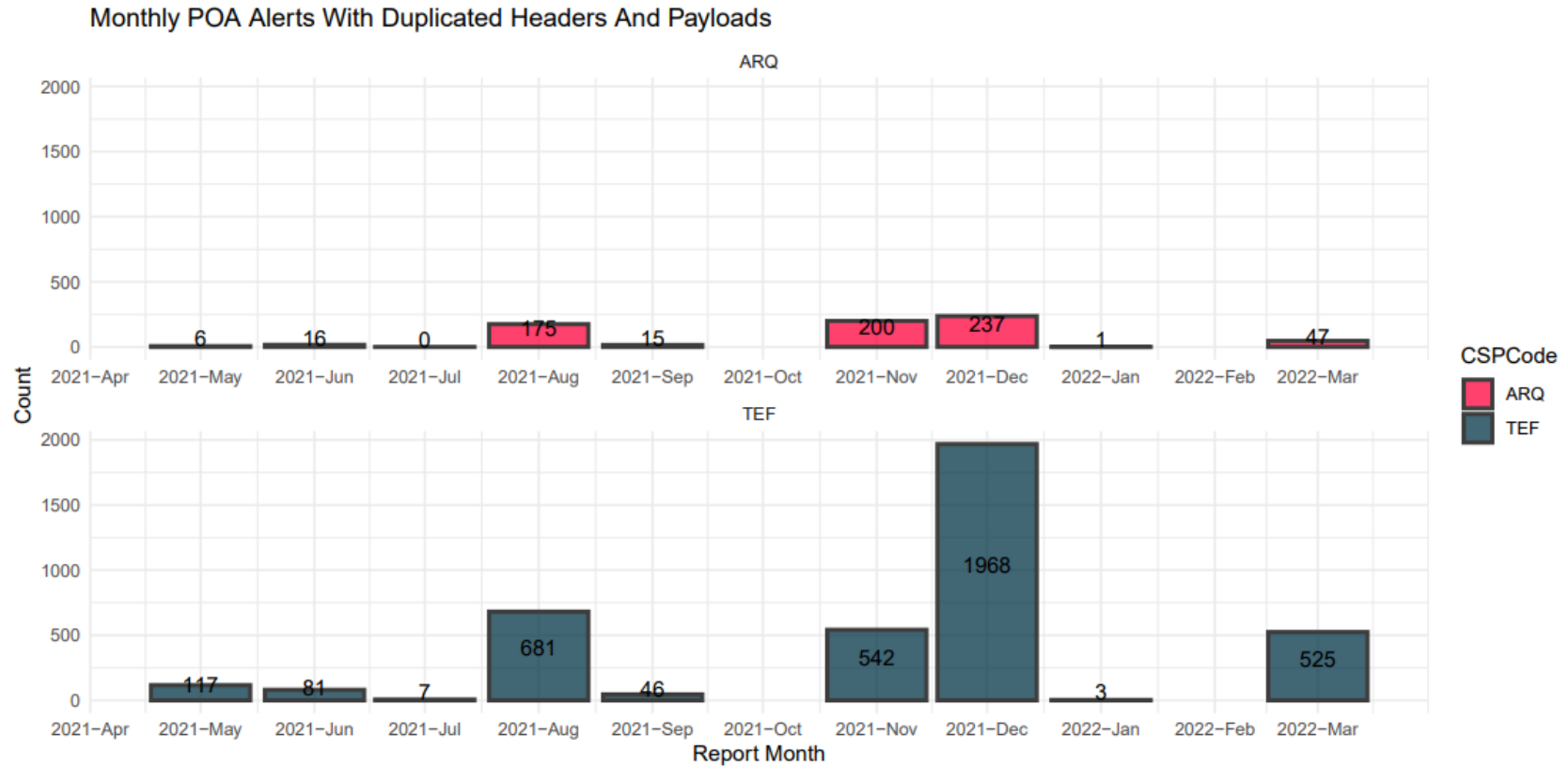


CSPCode	Count	Median	Lower Quartile	Upper Quartile	IQR	5th Centile	95th Centile
ARQ	1,101,735	255.57s (~4.26 minutes)	17.93s	284.44s (~4.74 minutes)	266.51s (~4.44 minutes)	12.54s	362.31s (~6.04 minutes)
TEF	3,531,251	17.37s	13.05s	22.15s	9.1s	8.33s	156.9s (~2.62 minutes)

PWO101NU

DCC Controlled

POA Duplicate Headers

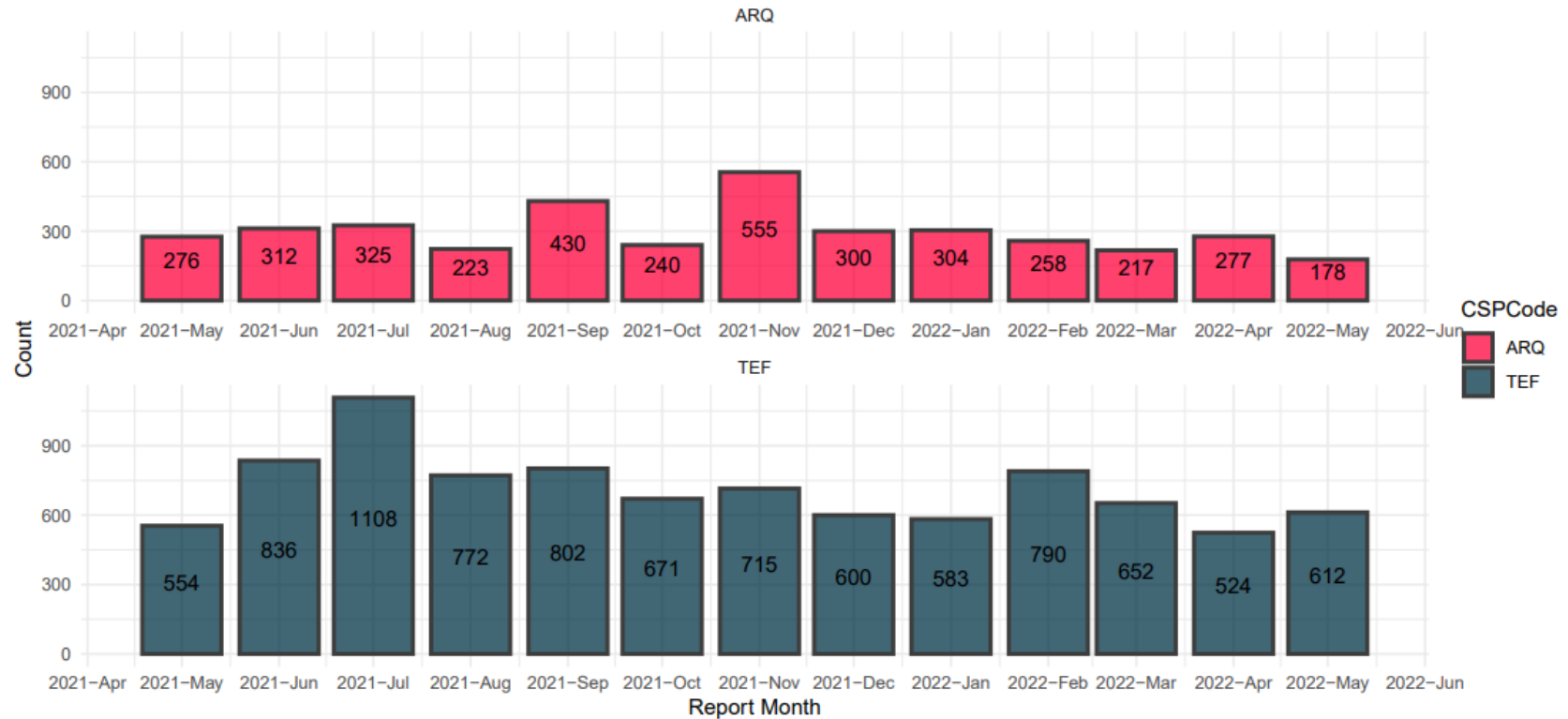


PWO101NU

DCC Controlled

POA Duplicate Payloads

Monthly POA Alerts With Separate Headers And Duplicated Payloads



PWO101NU

DCC Controlled

Missing POA/PRA Alerts: 01 May 2022 to 01 June 2022

Block_Scenario	Block_text	PowerInterruptionGrThn3Mins	Valid_Scenario	Count	Percentage	Percentage_Excl_S2
3	AD1		FALSE	259,217	5.465%	57.136%
1a	AD1-8F36-8F35	no	FALSE	451	0.010%	0.099%
2a	8F35	no	TRUE	4,288,386	90.408%	
4a	AD1-8F35	no	FALSE	39,352	0.830%	8.674%
5a	AD1-8F36	no	FALSE	39	0.001%	0.009%
6a	8F36-8F35	no	FALSE	181	0.004%	0.040%
7a	8F36	no	FALSE	21	0.000%	0.005%
1b	AD1-8F36-8F35	yes	TRUE	43,838	0.924%	9.663%
2b	8F35	yes	FALSE	1,272	0.027%	
4b	AD1-8F35	yes	FALSE	571	0.012%	0.126%
5b	AD1-8F36	yes	FALSE	2,350	0.050%	0.518%
6b	8F36-8F35	yes	FALSE	106,141	2.238%	23.395%
7b	8F36	yes	FALSE	1,526	0.032%	0.336%

Note: This data is susceptible to device clock drift, therefore it should be taken as advisory and not used as a performance metric.

PWO101NU

DCC Controlled

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MP096 ‘DNO Power Outage Alerts’ Refinement Consultation responses Annex F

About this document

This document contains the full collated responses received to the MP096 Refinement Consultation.

Question 1: Do you agree that the solution put forward will effectively resolve the identified issue?

Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	No	We agree with the intent of the modification and would agree, except that we have a comment regarding the legal text, please see response to question two.	
ScottishPower Energy Networks	Network Party	No	We agree that changing the SEC to reflect the current performance of the CSPs infrastructure will address the DCC's current non-compliance with the SEC. However, the solution does not address the underlying issue of the poor performance of the POA and PRA delivery overall, or the ongoing discrepancy between performance within North and South regions. While a set target of up to 11 minutes for POA will address DCC non-compliance, it does not provide benefit to SPEN's outage management processes or customer engagement whereby other mechanisms are required to verify outages or where customer contact has already been made in advance of any alert being received from Smart metering devices. We note the intention with the proposed legal text to revert to the 60s target response time for POA and PRA alerts (from a date to be specified) for Central South regions only. This will derive no benefit for customers within the North region and only highlights the performance differences based on service provider where there should be one standard measure regardless. In addition, it is unclear how these future strategic goals for POA/PRA improvement will be formally recorded.	DCC Response: It is proposed that the DCC provides monthly performance reporting which will provide detail of: • Alert type • Region • Exclusion Alerts This will be defined in the SEC.

Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			At the extraordinary DIG meeting on the 18 January 2022, it was agreed with the Proposer that they would further refine their proposed legal text to account for the following DNOs recommendations: - the DCC would be mandated to provide monthly alert performance data to the DNOs - the alert performance targets for Legacy CSP Systems would be split based on the services delivered by the two service providers by technology/region - the alert performance targets will be reviewed annually and based on the performance data for a set twelve-month monitoring period (the first monitoring period may be longer) and against a defined methodology. - the DCC (based on the performance data collected over 12-15 months monitoring period) would propose new targets for the first year to be included in the refined legal text. - the initial performance targets setting would exclude exceptional events alert performance data (such as from Storm Arwen) - further refinement would be required on the exclusions list based on the DCC sharing more granular alert data for the next Modification working group - the proposed implementation date of June 2022 may be amended to November 2022 if further refinement is required on the exclusions list and reviewing and agreeing the amended performance targets at a March Modification Working Group	

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Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Scottish and Southern Electricity Networks	Network Party	No	Whilst we agree with the solution's overall intent, through reviewing the consultation's modification report, there are areas in which we seek further clarification. The DCC intend to exclude a number of alerts from the alert performance reporting which includes Clock drift, Duplicate Alerts, Devices producing abnormal volume of messages, POAs over 5,000 per minute per CSP and PRAs over one message, per second, per channel, for CSP North. As some these alerts relate to smart meter defects that will require future fixes, we would expect to see all alerts included within DCC reporting to provide a long-term reporting solution. We would also expect to see any exclusions proposed, included within the SEC legal text to provide clarity and remove any ambiguity. For CSP exclusions, as CSP modelling was based upon 30,000 impacted properties, we would expect all outage scenarios to be covered within the performance reporting. Further to this, if the exclusions remain within the reporting, understanding the breakdown of how the exclusions would be measured alongside how the numbers excluded would be reported on and how this information is communicated out to industry is crucial. Following on from the point above, we would like to highlight the importance of future proofing the delivery time performance figures decided upon of 11 and 8 minutes as stated in this modification. This will ensure the DCC remain compliant once the smart meter roll-out has finished, and maximum penetration has been reached. We would like to understand the rationale of how the final measurement values, that are slightly higher than the average delivery time were decided upon as this is not clearly detailed within the modification.	DCC response: DCC has proposed two exclusions: 1) Duplicated Alerts 2) Abnormal volumes of Alerts as a result of faulty ESMEs which is outside DCC's ability to rectify. DCC will provide definitions of the above. The exclusions will be reported on a monthly basis in the reporting pack, and DNOs are able to challenge any exclusions which they think inappropriate on a case-by-case basis. In regards to separating CSP performance, the DCC is happy to provide performance metrics by

Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			We would also like to note that throughout the SEC modification working groups, it has been members views that the delivery times per CSP region should be broken out based on the individual CSP performance levels highlighted. It is clearly stated within the September working group and captured within the meeting minutes “The Working Group did however advise that it would be preferable to not baseline the performance to the lowest common denominator.” We would seek clarification as to how the DCC have analysed and decided against the working groups recommendations and used the lowest common denominator to be included in the legal text. As the new legal text section 3.14A separates two different performance measures between Central/South and North, we would recommend a similar amendment to the legal text. SSEN still recommends for the performance be split by CSP region to ensure the best possible performance is adhered to by each CSP as detailed in the modification report. The modification report states that the DCC will be required to “investigate any instances where the performance does not meet what is stated within the SEC”. This statement doesn’t confirm if this will be reported or communicated to wider industry parties. We would expect that the modification solution should describe how this performance will be summarised for SEC parties as this modification will not impact the outage and restoration reporting related to SEC modification 122B, so we presume we will be unable to monitor ongoing performance. If the modification remains unchanged, as this modification directly impacts DNO’s we would expect to see information around the future governance and communication with SEC parties related to any ongoing process or changes to the reporting.	CSP for each Alert type and that this is reflected in the SEC and the monthly reports. These reports will be made available to all SEC Parties via SharePoint.

Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Electricity North West Limited	Network Party	No	We do not agree that the solution put forward addresses the ‘issue’ that currently, the DCC does not meet the SEC obligations to send: - Power Outage Alerts (POAs) to Industry, including DNOs, within 60 seconds after the initial three minutes of the outage. - Power Restoration Alert (PRA) to the DNO within 60 seconds once power is restored. The reason the DCC are unable to meet their aforementioned SEC obligations is because the same POA and PRA definitions and requirements are not transposed in their CSP contracts; is it not the ‘issue’. We do not agree with the Proposed Solution based on the legal text accompanying the consultation for the following reasons: - DNOs operating in the region serviced by Radio will realise negligible benefits from receiving POAs up to 11mins as the majority of our customer will have already contacted us to report a power cut within ten minutes. This will result in little value to customers connected to our network which is solely serviced by Radio, - The disparity between the two new performance targets across Communication Service Providers (CSP) and regions. The CSP Central and South (CSP C & S) will provide POA and PRA alerts within 60 seconds, from a date to be defined, whereas CSP North (CSP N) will provide alerts within 11 minutes (POA) and 8 minutes (PRA) as the proposed H3.14A legal text does not apply to Communication Hubs in the region serviced by Radio North region. Consequently, the customers in	DCC response: In regards to DCC not being able to meet its SEC obligations, DCC presented a cost to align Service Provider contracts to the SEC to the Working Group on 1 September 2021. This was rejected as it did not demonstrate good value-for-money. In terms of the 10 months’ worth of data that the DCC has collected, it is the DCC’s view that current performance reports are better than the proposed targets as the network is not operating at full capacity. Interim annual and monthly targets will be proposed that better

Question 1																
Respondent	Category	Response	Rationale	SECAS / DCC Response												
			regions serviced by 2G Cellular will receive a significantly better enduring service than customers in the region serviced by Radio. The 10 months' worth of data provides evidence that the baseline performance is better than the proposed targets by a significant and unjustified margin as follows: <table><tr><th>Performance level</th><th>Baseline data</th><th>Proposed target</th><th>Margin</th></tr><tr><td>POA</td><td>3.85-10.09 mins</td><td>11 minutes (for CSP N)</td><td>65 – 8%</td></tr><tr><td>PRA</td><td>0.78-5.55 mins</td><td>8 minutes (for CSP N)</td><td>91 - 31%</td></tr></table> ENWL requests the refinement of the proposed legal text as drafted by Northern Power Grid and attached to this response is taken forward. The DNO proposed legal text has been discussed by all the DNOs who have networks geographically located in the CSP N region. On the 18 January 2022, the DNOs held an extraordinary meeting of the Distribution Issues Group (DIG) with the Proposer, SECAS and BEIS to discuss P096 and the DNOs proposed refined legal text. We consider this meeting to be an effective investigation into alternative solutions. For further details on what was discussed and agreed with the Proposer refer to our responses to Q2 and Q3.	Performance level	Baseline data	Proposed target	Margin	POA	3.85-10.09 mins	11 minutes (for CSP N)	65 – 8%	PRA	0.78-5.55 mins	8 minutes (for CSP N)	91 - 31%	reflect actual performance.
Performance level	Baseline data	Proposed target	Margin													
POA	3.85-10.09 mins	11 minutes (for CSP N)	65 – 8%													
PRA	0.78-5.55 mins	8 minutes (for CSP N)	91 - 31%													

Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
UK Power Networks	Network Party	No	The Data Communications Company (DCC) is currently unable to meet the performance requirement for POAs and PRAs as set out in the SEC, primarily because the DCC contracts with their CSPs do not include the same SEC performance standard requirements. Therefore, the intention of the DCC proposal is to address their non-compliance with the current SEC performance standard by updating and significantly weakening the SEC requirements to align with the performance measure of their existing infrastructure i.e. reduce the standard to meet actual performance and not improve performance to meet the designated standard. We do not agree with the solution and the legal text proposed in its current format for addressing this situation because of the following reasons: • The delivery times proposed by the DCC of 11 minutes for the POA and 8 minutes for the PRA alerts are higher than the upper value in the dataset presented in this consultation as the baseline data from the DCC analysis of 10-months' of data. • We ask that DCC share the granular data used for their analysis and explain their justification for requiring a longer delivery time for the POAs and PRAs than what is presented as the best and worst performance spread; POAs spans from 3.85 minutes to 10.09 minutes and PRAs the spread is from 0.78 minutes to 5.55 minutes • We also believe the analysis should be carried out with a full 12-months' of data covering the whole range of typical fault scenarios that could occur during this period.	DCC response: In regards to the legal text recognizing differences across the different design standards of the existing DCC infrastructure, the DCC propose that the SEC refers to technology to distinguish between the variation in performance between regions. For Next Generation Communications Hubs, it is the intention to include a requirement for POAs to be sent within 60 seconds for all new technology – this will be included within future procurement activity for CSP N.

Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			- The MP096 Legal Text needs to recognise the performance differences across the different design standards of the existing DCC infrastructure and therefore show separate alert delivery timing performance between the CSPs. The Code Performance Measures and Minimum Service Level for delivery of alerts as defined in H13.1 of the proposed Legal Text need to be set to 96% to align with the other Code Performance Measures. - The Legal Text needs to make specific reference to, and be applicable to Network Evolution Communication Hubs and future developments for the performance levels for delivery of alerts based on the current SEC standard of 60 seconds. The Legal Text should not specifically define to which CSP this applies as it could restrict future improvements. - The proposal needs to specifically refer to all SMETS2 and future meter versions and as such should also include Polyphase meters. - The exceptions list should only include items that are outside of the DCC's ability to directly manage to mitigate impact on delivery performance. Those items that DCC is able to performance manage, for example the PRA from the Comms Hub, need to be included within the DCC solution and the MP096 Legal Text to ensure there remains an obligation to ensure compliance by the DCC.	The DCC agree on making MP096 a SMETS2-only solution. For Polyphase meters, the DCC advises that there are not enough data sets due to the small numbers of polyphase meters to set any performance targets. It is proposed this is reviewed for inclusion 12 months after implementing MP096 and then follow the same reporting process as SMETS2 meters.
Northern Powergrid	Network Party	No	We agree that changing the SEC to reflect the current performance of the CSPs infrastructure will address the DCC's current non-compliance with the SEC. The solution doesn't address the underlying issue of the poor performance of the POA and PRA delivery relative to the current SEC obligation; however we recognise that the costs of changing the infrastructure to comply with the current SEC	DCC response: For DCC developing a methodology so that the performance levels could

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Question 1				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			requirements would be disproportionate to the benefits that could be delivered to consumers. In our view, the proposed solution doesn't address the non-compliance effectively and we have included alternative legal text as part of our consultation response that we believe would address the non-compliance and better facilitate the SEC objectives than the current proposal. We recognise that, following the discussion with DNO's, SECAS, DCC and BEIS on 18 January 2022, DCC took various actions to refine their proposed legal text to take into account some of the concerns expressed by DNOs, and that those changes will address some of the items we sought to address in the attached proposed legal text. Our understanding from the meeting is that: 1. DCC would revise their proposed draft legal text to differentiate between the PRA/POA performance in the two CSP regions. 2. In recognition that the performance of the CSP systems will vary over time, and the significant difficulties associated with modelling the change, DCC would develop a methodology so that the performance levels could be reviewed / updated annually based on the previous years measured data with an 'allowance' for the potential annual worsening in performance during the year. 3. DCC would explain the rationale for excluding CH's initiating >40 POA per month and meters initiating >200 PRAs per month, with the intention that these values would also be reviewed annually. 4. DCC would incorporate any exclusions in the SEC legal text. Northern Powergrid is keen to work with all parties to refine the proposed legal text so that it is acceptable to all parties.	be reviewed and updated annually, the DCC is happy to revise the monthly and performance target on an annual basis. This process will be defined and agreed under this modification.

Question 2: Do you agree that the legal text will deliver MP096?

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	No	We do not believe that H3.14(j) is appropriate as we are not convinced that the 8F35s can be measured accurately when generated from a SMETS1 device. This is because for SMETS1, these alerts are non-mandated and therefore there is no requirement that they are generated, or sent, nor how they should be constructed. As a result, we see these alerts generated with no payload and therefore there is no consistent way to measure from the time the alert was generated as there might not be a payload stating the time the alert was generated. We are interested to understand the date that will be put in section H3.14A as we believe that the requirement with regards to the PRAs was removed from the 4G Comms Hub requirements. We would like to understand why the minimum service level under CPM 3A is 95% where the rest are 96%.	DCC response: in relation to setting Code Performance Measure 3A being set to 95%, this was set without acknowledgement that other CPMs were set at 96%. Future reports can be set at 96% if required; however this would mean all reports will need to be run again to allow for comparisons to be made.
ScottishPower Energy Networks	Network Party	No	Whilst we agree and are supportive of there being only one SLA within the SEC, SPEN does not believe this caters for the large differences in performance across regions and applying a lowest common denominator would appear to degrade all services.	Please see the DCC's response to Scottish and Southern Electricity Networks' question 1 comments.

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			There are no details within the consultation document describing the analysis, methodology or conclusions on how the proposed target figures within the legal text have been derived. It is noted that the analysis is ongoing, therefore, are the figures presented still to be finalised within a formal completion report? Under H13.1 we would seek clarification on why the minimum service level under 3A (POA/PRA alerts) is 95% where all others have a 96% measure applied. Noting the outcomes from the extraordinary DIG meeting on the 18 January 2022 (see response to Q1), we would welcome further review of the legal text to accommodate the above.	
Scottish and Southern Electricity Networks	Network Party	No	As noted in question 1, we do not believe that alert exclusions should be included in the reporting and that the legal text should be written to obligate performance measures against individual CSP's and not to the lowest common denominator.	Please see the DCC's response to Scottish and Southern Electricity Networks' question 1 comments.
Electricity North West Limited	Network Party	No (to the legal text which accompanied the consultation)	Please refer to our response to Q1. ENWL requests refinement of the proposal legal text as drafted by Northern Power Grid and attached to this response. The refined legal text proposes separate POA and PRA performance requirements for the region serviced by Radio compared with the regions services by 2G Cellular. The refined legal text proposes performance targets	DCC response: Regarding the DCC being mandated to provide monthly Alert performance data to the DCC, the DCC has stated that it will provide monthly performance reporting which will provide detail of:

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Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			based on the average of the performance data for the monitoring period for each technology. Currently, the report and drafting of the legal text implies all CSP Providers and regions will be treated on equivalent performance level yet the legal text excludes CSP C & S from the lower performance levels from a specified date. ENWL will also be seeking inclusion of technology based performance targets in the DCC's Operating Performance Regime (OPR). At the extraordinary DIG meeting on the 18 January 2022, it was agreed with the Proposer that they would further refine their proposed legal text to account for the following DNOs recommendations: - the DCC would be mandated to provide monthly alert performance data to the DNOs - the alert performance targets for Legacy CSP Systems would be split based on the services delivered by the two service providers by technology/region - the alert performance targets will be reviewed annually and based on the performance data for a set twelve-month monitoring period (the first monitoring period may be longer) and against a defined methodology.	- Alert type - Region - Exclusion Alerts This will be defined in the SEC. DCC agrees with the respondent's recommendation for legacy CSP Alert performance and annual reviewing of targets. DCC also agrees that the targets will exclude exceptional events (such as Storm Arwen). In relation to the proposed implementation approach, DCC believes that targeting the June 2022 SEC Release is achievable, and proposes a methodology that mitigates any risks of implementing MP096 at this time.

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			- the DCC (based on the performance data collected over 12-15 months monitoring period) would propose new targets for the first year to be included in the refined legal text. - the initial performance targets setting would exclude exceptional events alert performance data (such as from Storm Arwen) - further refinement would be required on the exclusions list based on the DCC sharing more granular alert data for the next Modification working group - the proposed implementation date of June 2022 may be amended to November 2022 if further refinement is required on the exclusions list and reviewing and agreeing the amended performance targets at a March Modification Working Group. Whilst not discussed at the DIG meeting, smart meters might in the future make a contribution to managing exceptional events and consideration of this could be part of any annual review.	
UK Power Networks	Network Party	No	We do not believe the legal text in its current format as presented in Appendix D of this Refinement Consultation	Please see the response to Electricity North West Limited above.

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			is suitable for delivering the modification proposal MP096 because of the points we have raised in response to this consultation, in particular questions 1 and 3. At the extraordinary DIG meeting on the 18 January 2022, it was agreed with DCC that they would further refine their proposed legal text to account for the DNO recommendations as below: • DCC would be mandated to provide monthly alert performance data to the DNOs; • The alert performance targets for Legacy CSP Systems would be split based on the services delivered by the two service providers by technology/region; • The alert performance targets will be reviewed annually and based on the performance data for a set twelve month monitoring period (the first monitoring period may be longer) and against a defined methodology; • DCC (based on the performance data collected over 12-15 month monitoring period) would propose new targets for the first year to be included in the refined legal text;	

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			- The setting of performance targets would exclude alert performance data for exceptional events (such as from Storm Arwen); - Further refinement would be required on the exclusions list based on DCC sharing more granular alert data for the next Modification Working Group; - The proposed implementation date of June 2022 may need to be amended to November 2022 as further refinement is required on the exclusions list and to allow time for reviewing and agreeing the amended performance targets at a March Modification Working Group.	
Northern Powergrid	Network Party	No	We have the following comments to make in relation the proposed legal text, although we recognise in our response to Question 1 that DCC are looking to revise the proposed legal text following feedback from DNOs. The comments below relate to the proposed legal text included in the refinement consultation. <i>H3.13 (i): (subject to Section H3.14A) for the Alert 'Power Outage Event (AD1)', sending a User an Alert within 11 minutes measured from the Alert being generated by the Communications Hub Function; or</i> 1. There is no evidence in the consultation document to demonstrate how this figure of 11 minutes has been	The DCC and SECAS acknowledge your comments and will consider them when drafting the next version of the legal text. Following the scheduled meeting with DNO representatives on 15 February 2022, the DCC and SECAS will re-draft the legal text in line with the agreed approach from the DNOs. This will then be circulated with DNOs, before being discussed at the March 2022 SEC Working Group.

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Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			derived. Earlier in this document there is the text 'Over the ten months' worth of data, the best and worst performance spread for POAs spans from 3.85 minutes to 10.09 minutes. For PRAs the spread is from 0.78 minutes to 5.55 minutes. As such, the DCC is proposing setting the POA target to 11 minutes, and the PRA target to 8 minutes. The performance will be measured from the point in which the Alert volume reaches the 95th percentile'. It is therefore unclear why the proposed POA value is 11 minutes, rather than being less than worst performance of 10.09 minutes. DCC should provide further information to explain how the proposed delivery time of 11 minutes has been derived. 2. We understand that the performance analysis is still ongoing and it seems inappropriate to quote a performance value if the process to determine that value is not yet complete. 3. It is unclear from the consultation document whether the measured data for the performance of the alerts is the same in the CSPC&S as CSPN. It is our understanding that the difference in the performance of CSPC&S and CSP is significant for POAs but less so for PRAs. Therefore we are of view that SEC H3.13 (i) should include different POA performance requirements for CSPN and CSPC&S.	

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			4. The proposed text should refer to Device Alerts or Non-Device alerts to be consistent with H3.13 (g). This would clearly differentiate between the AD1 Power Outage Alerts generated by the communications hub and the 'Supply Interrupted on Phase n' alerts generated by a polyphase ESME and that the delivery time for the latter would need to comply with H3.13 (g). <i>H3.13 (j): (subject to Section H3.14A) for the Alerts 'Supply Outage Restored (0x8F35)' and 'Supply Outage Restored – Outage >= 3 minutes (0x8F36)', sending a User an Alert within 8 minutes measured from the Alert being generated by the Electricity Smart Meter.</i> 1. There is no evidence in the consultation document to demonstrate how this figure of 8 minutes has been derived. Earlier in this document there is the text '<i>Over the ten months' worth of data, the best and worst performance spread for POAs spans from 3.85 minutes to 10.09 minutes. For PRAs the spread is from 0.78 minutes to 5.55 minutes. As such, the DCC is proposing setting the POA target to 11 minutes, and the PRA target to 8 minutes. The performance will be measured from the point in which the Alert volume reaches the 95th percentile</i>'. It is therefore unclear why the proposed POA value is 8 minutes, rather than being less than 5.55 minutes. DCC should provide further information to	

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			explain how the proposed delivery time of 8 minutes has been derived. 2. We understand that the performance analysis is still ongoing and it seems inappropriate to quote a performance value if the process to determine that value is not yet complete. 3. It is unclear from the consultation document whether the measured data for the performance of the alerts is the same in the CSPC&S as CSPN. It is our understanding that the difference in the performance of CSPC&S and CSP is significant for POAs but less so for PRAs. Given the difference in the POA performance and in our view the need for different SEC obligations, we think that there should be separate SEC obligations for PRA's as well. Therefore we are of view that SEC H3.13 (j) should include different PRA performance requirements for CSPN and CSPC&S. 4. The proposed text should refer to Device Alerts or Non-Device alerts to be consistent with H3.13 (g). 5. We note that the delivery time of the 'Supply Outage Restored' alerts associated with polyphase meters will continue to be included in the scope of H3.13 (g). <i>H3.14A: Sections Section H3.14 (i) and (j) shall not apply in relation to Communications Hubs in the Central and South Regions manufactured after [DATE]. For those</i>	

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Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			<i>Communications Hubs, all Alerts will be subject to Section H3.14(g).</i> 1. There is the duplication of the word 'sections' at the start of the clause. 2. Clarification is required as when in the modification process the [DATE] text will be finalised. 3. We note that H3.14A will mean that there will be different SEC POA and PRA performance obligations for CSPC&S and CSPN after [DATE], supporting our view that it is reasonable to have different SEC POA and PRA performance obligations for CSPC&S and CSPN before [DATE]. 4. We note that application of H3.14A would require a more complicated performance analysis as there would be a need to differentiate between the POA/PRA performance associated with Network Evolution Communications Hubs (where H3.14 (g) would apply) and existing Communications Hubs (where H3.14 (i) and H3.14 (j) would apply). <i>H3.13B</i> We note that there was a paragraph that was included in v0.1 of the proposed legal text which is not included in v0.2. The deleted text relates to the exclusions that DCC can apply when measuring the POA and PRA delivery performance. These exclusions are described in a DCC	

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Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			document 'DCC Performance Measurement Methodology' which does not fall under SEC governance and potentially can be revised without agreement with relevant DCC Service Users. Given the significant discussions relating to PRA and POA over several years, we think that it is appropriate for any alerts that can be excluded from the delivery performance to be transparently documented in the SEC. 2. We note that the exceptions are summarised on page 6 of v0.11 of the Modification Report, and we would like to make the following comments on the exclusions: a. Clock Drift. All Communications Hubs and ESMES will experience some degree of clock drift hence a blanket exclusion of POA and PRAs in relation to clock drift seems inappropriate. Further granularity of this exclusion is required, preferably by including a definition of Clock Drift in the SEC. b. We agree that where there are duplicate alerts, the performance should be based on the first such alert. c. We can see that that special consideration could be given to devices that produce an 'abnormal volume of messages' provided that the volume of messages considered to be abnormal is quantified in a governed document, such as the SEC, and that the messages relate to POA/PRA rather than other messages or alerts.	

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			We note that the performance metrics for the other alerts don't have exclusions for chatty devices and hence question why such exclusion is required here. d. We can see that that special consideration could be given to undelivered alerts associated with DCC maintenance outages will need to be excluded, however we note that the performance metrics for the other alerts don't have exclusions for maintenance periods hence question why such exclusion is required here. e. It is not clear to us, given that the proposal is to set the SEC obligation based on the measured performance over several (10) months, that it is reasonable to exclude: • POAs are limited to 5,000 per minute per CSP (not region), or • PRAs are limited to one message, per second, per channel, for CSP North, because: i. Any events that meet these criteria would be implicitly included in the 10 months measured data; ii. The materiality of these exclusions on the actual performance is unclear – i.e. the proportion of the overall number of alerts that would be exclude is uncertain; and	

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			iii. These values (5000 per minute and one message per second per channel) may change as the CSP infrastructure develops; and as a consequence the exclusion may not be appropriate. Section 1.6.1 Clause 20 of the DCC Performance Measurement Methodology V3.0, states that 'For each Performance Measure the Service Levels' calculations may be subject to Allowed Exceptions as detailed in the DCC Service Provider contracts and as agreed from time to time between the DCC and the DCC Service Provider. It is unclear what exclusions are permitted in the DCC Service Provider contracts, but there is clearly the flexibility for them to be changed without discussions with the relevant DCC Service Users. This seems inappropriate and any permitted exclusions should be transparently documented in the SEC and that DCC should report on the volumes of any alerts not included in the performance metrics. g. The list of exclusions is documented in the DCC Performance Measure Exception List (PMEL). It is unclear if this list has been updated to include the proposed exemptions, nor the extent to which the DNOs can be involved in agreeing this exemptions list; the	

Question 2				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			Modification Report indicates that this list is governed by the SEC panel.	

Question 3: Do you agree with the DCC's proposed exclusions list as captured within the Modification Report and their proposed location within the PMEL?

Question 3				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	Yes	We agree with the proposed list and that these should sit within the PMEL, however we are keen to see the specific details around how these exclusions are determined, for example, how much clock drift is allowed prior to the exclusion and if the DCC can identify these devices, can/will DNOs be advised so that they can allow for this in their systems? We would also like there to be clear and agreed definitions of 'duplicate' and 'abnormal'.	After further solution development, the DCC have decided that the exclusions list is better placed within the SEC legal text. This will add transparency and mean that any changes to the list will be SEC-governed. In terms of agreed definitions, please see the DCC's response to Scottish and Southern Electricity Networks' question 1 comments.
ScottishPower Energy Networks	Network Party	No	Some of the exclusions relate to defects within Smart meters which will require remediation and will apply to all alerts generated by these devices. We do not believe it is appropriate to single these out for a specific alert type. We do not find this to be a suitable solution and would seek clarification on the rationale supporting including the exclusions in the PMEL and not the SEC. Is the intention to update these exclusions in the future as some will be altered as Smart meter defects are remediated? Would an alteration to the exclusion list impact proposed targets for POA/POA?	DCC response: All exclusions proposed would be included in the reporting pack to provide clarity and remove any ambiguity, and will be reviewed annually within the SEC legal text.

Question 3				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Scottish and Southern Electricity Networks	Network Party	No	As stated in question 1, as the exclusions relate to defects within smart meters which will require remediation, we do not find this to be a suitable solution. We also feel that including the exclusions in the PMEL and not documenting them into the SEC leads to confusion and ambiguity when reviewing SEC Section H. We would like to seek clarification on the purpose of including the exclusions in the PMEL and not the SEC. Does the DCC envisage removing or amending any of the exclusions in the future?	DCC response: All exclusions proposed would be included in the reporting pack to provide clarity and remove any ambiguity, and will be reviewed annually within the SEC legal text
Electricity North West Limited	Network Party	No	We agree ESME items should be included in the exclusions list as they sit outside the DCCs' control. We do not agree that CSP and Communications Hub items should be included in the exclusions sit as they are within the DCCs' control. Nor do we see any rationale for excluding: PRAs are limited to one message, per second, per channel, for CSP North. Without explicitly stating the minimum number of channels as part of the SEC mod then this exclusion could mean that as few as 500 PRA alerts would need to be delivered by DCC to remain within SLA (1 alert per second = 500 seconds = 8.33 minutes. It should further be noted that ESME meters generate two types of PRA alerts:	DCC response: The scope of MP096 is not to make any provision to priorities alerts and therefore this is out of scope.

Question 3				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			a) Where the outage is < 3 minutes b) Where the outage is > 3 minutes The first can be generated in vast quantities during routine Automatic Restoration of supply. It is the second that is most important to DNO's and as such DCC should examine options for prioritising these alerts over the other. POAs are limited to 5,000 per minute per CSP (not region) We will work with the Proposer on further refining the exclusions list at the next Modification Working Group as agreed at the DIG meeting on the 18 January 2022..	
UK Power Networks	Network Party	No	We do not agree with the DCC proposed exclusion list as presented in the Refinement Consultation documents because of the reasons mentioned in Q1 and the points set out below. However, we will work with the DCC to support further refinement of the exclusions list as discussed at the extraordinary meeting on 18 January 2022. Clock Drift The SEC requirements for POAs and PRAs are specified in SEC Section H3.14(g). The requirement currently states that in the case of a power outage, an Alert must be sent to the DNO within 60 seconds (after an initial period of three minutes has passed to allow for the power	DCC agree. In relation to abnormal volume of messages, DCC have proposed two exclusions: 1) Duplicated alerts 2) Abnormal volumes of alerts as a result of faulty ESMEs which is outside DCC's ability to rectify. DCC will provide definitions of the above. The exclusions will be reported on a monthly basis in the reporting pack, and DNO's are able to challenge any

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Question 3				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			to potentially be restored automatically). When the power is restored, a PRA must also be sent within 60 seconds. POAs and PRAs are sent for an interruption duration of ≤ 3 minutes and for an interruption duration of > 3 minutes. The POA time is taken from the ESME clock and the PRA time is from the Comms Hub clock. We ask that DCC explain the following: - Who is responsible for correcting the clock drift from each of these device types i.e. ESME and Comms Hub? - What is the permitted clock drift before corrective action needs to be taken? - Which standards apply to ensuring the clock drift remains within permitted performance criteria? - What are all the other alert and message types reliant on the accuracy of time in the clocks? Duplicate Alerts We are informed that the DCC has the capability to manage these alerts by filtering out duplicate alerts after the first alert has been sent so understand their reason for including these in the exclusion list. Devices producing abnormal volume of messages	exclusions which they think inappropriate on a case-by-case basis. For limiting factors within the DCC System, the DCC has added that the Smart Meter network has capacity limitations that are different for each region due to technology constraints. Further details regarding these limitations are included in the POA/PRA Technical Options Paper. POAs utilise a different system than other Alerts, this is also explained in the DCC technical paper.

Question 3				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			In reference to “abnormal volume of messages”, how is this defined and how many messages constitutes an abnormal level? In the absence of a clear definition, which we can assess to determine if it is suitable, we are unable to support this exclusion. The DCC proposed solution is to analyse POA and PRA data delivery of their current system to determine POA/PRA alert delivery performance for updating the SEC standard to this measure. We therefore ask the DCC to provide an explanation of the points shown below including how these limits below were determined and how this is related to performance of alert delivery timing in both CSP C&S and CSPN. There are system limiting constraints that impact performance where volume exceeds current capacity. DCC reserve the right to exclude these figures: • POAs are limited to 5,000 per minute per CSP (not region) • PRAs are limited to one message, per second, per channel, for CSP North In addition, if DCC are declaring that their system has limiting factors for the delivery of high volumes of data, we wish to understand how they will manage the delivery of high volumes of Energy Consumption and Half-hourly	

Question 3				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			voltage values when we start to collect this information from ESMEs.	
Northern Powergrid	Network Party	No	Please see our response to Question 2.	

Question 4: Do you agree with the proposed implementation approach?

Question 4				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	Yes	We agree that this should be implemented as soon as possible.	
ScottishPower Energy Networks	Network Party	No	While we agree that a change to the SEC in lieu of any changes that will derive benefit to customers is to be adopted at this stage, we believe that it is important that the SEC legal text is considered to ensure the performance discrepancy between SPEN regions does not endure indefinitely. A later implementation date should be considered to allow for this.	DCC response: In relation to the performance discrepancy, the DCC has stated that this will be accommodated in the SEC as the 60-second obligation will remain a requirement for all future technology advances on current infrastructure.
Scottish and Southern Electricity Networks	Network Party	Yes	At the time of writing this response we are still awaiting a cleansed report from the DCC to be able to understand the reporting accuracy. Once this is received, we will apply best endeavours to clarify the reporting and relay findings back to the DCC. From early interrogation of bulk, unclesed reports there are discrepancies found which we need to clarify with the DCC if they are excluded alerts or missing from our systems or the DCC's. We support the implementation approach but remain cautious that if we are unable to clarify discrepancies with the DCC, we would look to the November 22	DCC response: For unclesed reports, DCC will continue to work with the DNOs to fully understand the reports and any discrepancies between the DCC and the DNO data. DCC remain open to conversation with any DNO to validate the data as per the initial invite at the December 2021 Working Group.

Question 4				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			implementation date to allow sufficient time for this activity.	
Electricity North West Limited	Network Party	Yes	A solution is required. The issue has existed since go live and with the increasing number of smart meters being installed onto the network this issue is becoming more compounded.	
UK Power Networks	Network Party	No	Subject to all issues being addressed that have been raised by UK Power Networks and the other DNOs, we agree that the implementation approach, if it is revised, will draw to a close the long standing issue of the DCC non-compliance with POA/PRA delivery performance based on the current SEC standard.	
Northern Powergrid	Network Party	Partially	We agree that a change to the SEC is the only viable option, however we feel that it is more important to make sure that the SEC legal text is properly considered, particularly in relation to separate performance requirements of CSPC&S and CSPN, rather than aiming for the June 2022 SEC release. The modification does not deliver any benefits for customers and there is the risk that rushing the modification for June 2022 will mean that the opportunity to preserve the current performance in CSPN may be lost.	DCC response: There is no dependency between implementing the modification and the performance/service received from CSP N. DCC will ensure the DNOs are in full agreement with any revised performance targets before the legal text is implemented.

Question 5: Will there be any impact on your organisation to implement MP096?

Question 5				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	Yes	We will be impacted as the service we will be receiving will now be officially stated that it will not be as originally expected under the SEC and therefore our benefits will be impacted and we will need to update our business plans accordingly.	
ScottishPower Energy Networks	Network Party	Yes	As our benefit realisation savings to date are based on alerts being received within 60 seconds, we will need to update our business plans accordingly. We will also need to refine future projects using POA/PRA within this new SLA.	
Scottish and Southern Electricity Networks	Network Party	Yes	Once implemented, the new performance measurements will have a direct impact on our previously stated benefit realisation categories. DNO's Benefit realisation savings were previously based on alerts being received in 60 seconds.	
Electricity North West Limited	Network Party	Yes	We will be impacted as the service we will be receiving will not be the service set out in the original design in the North for receiving alerts within 60 seconds and towards which we have been planning our systems. Our benefits will not be realised in this area for the North and we may need to update our business plans accordingly.	

Question 5				
Respondent	Category	Response	Rationale	SECAS / DCC Response
UK Power Networks	Network Party	Yes	We will be unable to deliver the original benefits realisation to customers that had been assessed on the basis of 60 seconds for the delivery of POAs alerts in accordance with the current SEC standard. We will therefore need to re-evaluate our benefits realisation and accordingly update our business plans to the amended alert delivery performance values.	
Northern Powergrid	Network Party	Yes	We will be unable to deliver the original benefits realisation to customers that had been assessed on the basis of 60 seconds for the delivery of POAs alerts in accordance with the current SEC requirements.	

Question 6: Will your organisation incur any costs in implementing MP096?

Question 6				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	No costs	There will be no direct costs involved with implementing this modification, however our smart metering benefits will be impacted as a result.	
ScottishPower Energy Networks	Network Party	less than 100k	SPEN are developing additional processes within the business to accommodate POA/PRA alerts. Smart metering benefits have not been fully realised in this area.	
Scottish and Southern Electricity Networks	Network Party	No costs	No comment.	
Electricity North West Limited	Network Party	£100k-£250k	We are currently developing ways in which we can use POA and PRA in the business. As the CSP N performance levels being proposed are far removed from the current levels we may incur additional costs in the future to adapt any systems such as call handling (as we no longer realise the benefits of avoided calls).	
UK Power Networks	Network Party	No costs but reduced benefits	Based on our initial views, we will not directly incur costs associated with or need to make changes to our smart meters gateway from the DCC changes. However we are likely to see an adverse impact on our business processes and costs from being unable to	

Question 6				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			deliver the expected benefits such as reduction in OPEX costs and CMLs.	
Northern Powergrid	Network Party	Less than £100k	We are currently developing ways in which we can use POA and PRA in the business, however if the performance of the CSPN falls, as would be permitted by the proposed SEC modification, we may incur additional costs in the future to adapt any systems.	

Question 7: How long from the point of approval would your organisation need to implement MP096?

Question 7				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	N/A	No comment.	
ScottishPower Energy Networks	Network Party	N/A	No comment.	
Scottish and Southern Electricity Networks	Network Party	N/A	No comment.	
Electricity North West Limited	Network Party	None	No comment.	
UK Power Networks	Network Party	N/A	See response to question 6.	
Northern Powergrid	Network Party	N/A	No comment.	

Question 8: Do you believe that MP096 would better facilitate the General SEC Objectives?

Question 8				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	Yes	We believe that this modification will better facilitate SEC objectives (b) and (g) as per the modification report.	
ScottishPower Energy Networks	Network Party	Yes	We believe that this modification will better facilitate SEC objectives (b) and (g) as per the modification report.	
Scottish and Southern Electricity Networks	Network Party	Yes	As stated in the modification report, we agree that this modification will better facilitate SEC Objectives (b) and (g).	
Electricity North West Limited	Network Party	No	We do not agree with the following statement made in the Modification Report: that "The Working Group agreed with the DCC's views on MP096 better facilitating SEC Objectives (b) and (g) and added no further comments. Industry views will be gathered through the Refinement Consultation." Industry are members of the Working Group and have provided their concerns during a Working Group RFI process and during meetings. In our response to the RFI we stated that Option 2 (the now current proposal being taken forward) did not benefit other SEC Parties or end customers and as such SEC Parties would struggle to rationalise its approval against a SEC general objective. Another respondent to the RFI also stated it	SECAS response: This will be re-worded to state that the Working Group noted the DCC's views and provided no further comments. The views of respondents to the RFI and Refinement Consultation will be considered by the Authority when deciding on whether the modification will be implemented. Further views on the SEC Objectives will also be given during the Modification Report Consultation and Change Board recommendations.

Question 8				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			was not clear how any the options could better meet the general SEC objectives.	
UK Power Networks	Network Party	No, for the reasons set out below	The Modification Report submitted as part of this consultation mentions views of the proposer (DCC) against the General SEC Objectives which state: • The Proposer believes that this modification better facilitates SEC Objective (b)4 as the proposed change to the SEC will bring DCC into compliance and this meet its obligations stemming from the licence conditions. • The Proposer also believes the modification better facilitates SEC Objective (g)5 as the DCC will deliver a consistent and agreed service level to the DNOs whilst in alignment with obligations under the SEC. Whilst we agree with the proposer's views that this modification would better facilitate the General SEC Objectives, this would only be on the basis that performance targets will be changed to enable compliance with SEC. We believe this is the wrong way round and actual performance should be improved to match the requirements specified in the SEC. The Modification Report also mentions views against the Consumer Areas which states:	

Question 8				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			Improved quality of service – This modification will have a positive impact on quality of service as the DCC will closely monitor the performance of POAs and PRAs to ensure compliance. We do not agree that quality of service will be improved to consumers because there will be no improvement to the delivery times of the POAs and PRAs and the current poor performance would in fact be legitimised by the change. This modification simply changes the DCC performance targets to be close to the performance measures of the existing DCC infrastructure.	
Northern Powergrid	Network Party	See below.	Our view on whether MP096 better facilitates the General SEC Objectives are set out below: (a) the first General SEC Objective is to facilitate the efficient provision, installation, and operation, as well as interoperability, of Smart Metering Systems at Energy Consumers' premises within Great Britain. Negative. The proposed SEC legal text could allow the POA/PRA performance in CSPN to worsen over time (relative to its current performance) reducing the benefits to consumers in the CSPN region. (b) the second General SEC Objective is to enable the Data Communications Company to comply at all times with the General Objectives of the Data Communications Company (as defined in the Data Communications	

Question 8				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			Company Licence), and to efficiently discharge the other obligations imposed upon it by the Data Communications Company Licence. Positive. Changing the SEC to align with the DCCs capability should mean that they can be licence compliant. (c) the third General SEC Objective is to facilitate Energy Consumers' management of their use of electricity and gas through the provision to them of appropriate information by means of Smart Metering Systems. Neutral (d) the fourth General SEC Objective is to facilitate effective competition between persons engaged in, or in Commercial Activities connected with, the Supply of Energy. Neutral (e) the fifth General SEC Objective is to facilitate such innovation in the design and operation of Energy Networks (as defined in the Data Communications Company Licence) as will best contribute to the delivery of a secure and sustainable Supply of Energy; Neutral. (f) the sixth General SEC Objective is to ensure the protection of Data and the security of Data and Systems in the operation of this Code. Neutral. (g) the seventh General SEC Objective is to facilitate the efficient and transparent administration and implementation of this Code. Neutral.	

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Question 9: Do you believe there will be any impacts on or benefits to consumers if MP096 is implemented?

Question 9				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	Yes	As there are no system changes there are no direct impact to consumers, however the realisation of benefits will be impacted with DNOs not able to offer benefits in the way that we had originally anticipated.	
ScottishPower Energy Networks	Network Party	No	There are no service performance gains proposed by this change, therefore no benefits to consumers to be derived.	
Scottish and Southern Electricity Networks	Network Party	Yes	We believe that there will be a direct impact on the benefits provided to customers as they will not receive the original envisaged service detailed in the original SEC legal text of 60 seconds.	
Electricity North West Limited	Network Party	Yes	Please refer to our response to Question 1. DNOs operating in the North will realise negligible benefit from receiving POAs up to 11mins from the CSP N as the majority of our customer will have already contacted us to report a power cut within ten minutes. This will result in little value to customers. connected to our network which is solely serviced by Radio,	

Question 9				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			The disparity between the two new performance targets across CSPs and regions. The CSP C & S will provide POA and PRA alerts with 60 seconds from a date to be defined. Whereas CSP N will provide alerts within 11 minutes (POA) and 8 minutes (PRA) as the proposed H3.14A legal text does not apply to Communication Hubs in the region serviced by Radio. Consequently, the customers in the regions serviced by 2G Cellular will receive a significantly better enduring service than customers in the region serviced by Radio.	
UK Power Networks	Network Party	No positive impacts or benefits	There will be no direct impact to customers from the implementation of MP096 but as stated in response to questions 5 and 6 there will likely be a direct impact on the benefits that we had planned to deliver to our customers.	
Northern Powergrid	Network Party	Yes	The proposed solution delivers no benefits to Northern Powergrid nor our customers and we will not be able to deliver all the power outage related smart meter benefits previously expected. Furthermore, as drafted, the SEC would permit the POA delivery performance for the CSPN to worsen from its present level to that currently delivered by the CSPC&S solution which we believe is totally unacceptable; we are keen to work with DCC, SECAS	

Question 9				
Respondent	Category	Response	Rationale	SECAS / DCC Response
			and BEIS to develop legal text that preserves the current performance of the CSPN solution.	

Question 10: Noting the costs and benefits of this modification, do you believe MP096 should be approved?

Question 10				
Respondent	Category	Response	Rationale	SECAS / DCC Response
Western Power Distribution	Network Party	No	We have only said no due to our comments under question two that we feel need addressing before approval.	
ScottishPower Energy Networks	Network Party	No	As indicated above we do not believe this modification should be approved in its current form.	
Scottish and Southern Electricity Networks	Network Party	No	Noting the points raised in questions 1,2, 3 and 4, we do not believe this modification should be approved in its current form.	
Electricity North West Limited	Network Party	No to the proposed solution and legal text. Yes (subject to proposed further refinement)	No to the proposed solution and legal text. Yes (subject to the attached DNO proposed refined legal text being accepted and inclusion of further refinement agreed at the DIG meeting and referred to in our response to Q2) Please refer to our responses to Q1 and Q2.	

Question 10				
Respondent	Category	Response	Rationale	SECAS / DCC Response
UK Power Networks	Network Party	No	We believe that valid concerns have been raised by UK Power Networks and the other DNOs in our response to this Refinement Consultation. If all queries and concerns can be addressed then we are more likely to be able to provide a positive response to the approval of a revised MP096.	
Northern Powergrid	Network Party	No	As indicated in our response to question 9 we do not believe that the proposed legal text for SECMP096 should be approved as it would permit the POA delivery performance for the CSPN to worsen from its present level to that currently delivered by the CSPC&S solution. In our response to question 1 we refer to proposed some alternative legal text that helps to address some concerns associated with the proposed legal text, but we note that further work is refine this.	

Question 11: Please provide any further comments you may have

Question 11			
Respondent	Category	Comments	SECAS / DCC Response
Western Power Distribution	Network Party	Whilst we agree and are supportive of there only being one SLA within the SEC, we seek assurance that the DCC will monitor on a CSP level to ensure that if a provider is currently able to perform better than this SLA that they don't slip due to the SLA allowing a longer delivery time. We are also keen to know when the PMM and PMR will be updated as we have requested this regularly throughout the modification process and have yet to see any draft documentation. We also note that no separate consideration has been given to polyphase meter alerts and therefore expect these alerts to fall into the SEC requirement of 60 seconds.	DCC response: In relation to the PMM and PMR, the DCC's POA/PRA report will be an additional report and none of the other PMM reporting will change.
ScottishPower Energy Networks	Network Party	SPEN remain concerned that a change to the SEC sets an expectation of an enduring sub-par POA/PRA solution. We would like to see greater clarity on the strategic intent to improve the solution in the long term.	
Scottish and Southern Electricity Networks	Network Party	As documented throughout our response, we believe there is further work required to ensure this solution is ready for implementation. Noting the timeframe of the DCC's non-compliance with the SEC and the implementation approach, we welcome further collaboration with the DCC to ensure that the performance reporting, legal text and alert exclusions are embellished further to ensure a robust and future proof solution is implemented.	

Question 11			
Respondent	Category	Comments	SECAS / DCC Response
Electricity North West Limited	Network Party	Yes. We have the following observations: - we welcome SECAS agreement and notification to us on the 8 December 2021 for an extension to the deadline for responses to this consultation from 7 January 2022 to the 21 January 2022. - The CSP performance levels should be included with in the DCCs Operating Performance Regime as an area which has consistently underperformed in multiple DCC price controls and which continues to present opportunities for further erosion of CSP performance levels and increase the disparity gap between the service customers receive in the region serviced by Radio compared to service received in the regions serviced by 2G Cellular. - This modification is silent on polyphase meter alerts and therefore we expect these alerts to fall into the SEC requirement. - This modification is silent on the treatment of SMETS1 meter alerts and therefore we would request clarity on this area during the next stage of refinement. The DIG meeting on the 18 January 2022 did not discuss these ENWL observations we would like them to be considered during the next stage of refinement.	DCC response: in relation to the OPR, DCC advises that Alert management is not currently included, nor does MP096 make provision for this to change. This would need to be addressed separately. For the comment regarding SMETS1, DCC advise that SMETS1 meters do not send POAs/PRAs and are therefore excluded from this performance measure.
UK Power Networks	Network Party	We thank SECAS for the extension of submission date from 7 January 2022 to 21 January 2022, recognising that the seasonal break during	

Managed by



Question 11			
Respondent	Category	Comments	SECAS / DCC Response
		this period would have presented difficulties in meeting the original submission date. In addition we thank the proposer for also recognising that during the extraordinary meeting on 18 January 2022, the timelines for the review of this Refinement Consultation may need to be changed to allow sufficient time for all issues highlighted to be further discussed and addressed. We also support the Legal Text document being proposed by Northern Power Grid as a refinement to the original Legal Text presented in the consultation that will be reviewed during the next Working Group meetings.	
Northern Powergrid	Network Party	We provided many comments on the DCC proposal as part of the Request For Information process, and it is disappointing that the Refinement Consultation document still doesn't not properly articulate the benefits associated with the DCC's initial Proposed Solution on page 12.	SECAS response: The Proposer decided to withdraw the proposed system enhancements (titled Options 3A and 3B) following review of the Refinement Consultation responses. The majority of respondents felt that this solution did not have a sufficient business case, given the costs involved. SECAS would be happy to discuss this further with the respondent, and how this could be better incorporated into the Modification Report. DCC response:

Question 11			
Respondent	Category	Comments	SECAS / DCC Response
			The DCC are happy to provide performance metrics by CSP for each alert type and that this is reflected in the SEC and the monthly reports.

DCC Performance Measurement Methodology

Version 3.01 draft

X`

Date:

August-June 2020-20232

Classification:

DCC Public

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1 Introduction

1.1 Purpose

1. Pursuant to Section H13.6 of the Smart Energy Code (SEC), this document details the Performance Measurement Methodology, by which DCC and the DCC Service Providers shall calculate the Service Level for each Performance Measure which DCC is required to report upon following the end of each Performance Measurement Period, in accordance with Sections H13.4 and H13.5 of the SEC.
2. The Performance Measurement Methodology is therefore the documented methodology for establishing the performance against each Performance Measure. As per Section A1 of the SEC, it may include sampling and/or test communications.

1.2 Interpretation

3. The document terms shall be interpreted in accordance with the provisions in Appendix A.
4. Italics have been used through this document for terms that have been defined for the purposes of this document alone as defined in Appendix A.
5. The outline methodology for the Reported List of Service Provider Performance Measures and the Relevant Service Measures is defined within the DCC Service Provider contracts; where terms used within the DCC Service Provider contracts are not defined within the SEC they will be reproduced within this document.

1.3 Scope

6. The scope of the Performance Measurement Methodology is defined within SEC H13 of the SEC; that is the Code Performance Measures and such DCC Service Provider Performance Measures as are specified in the Reported List of Service Provider Performance Measures.

1.4 Performance Measures - general principles

7. In line with the SEC definition of Service Level, the Performance Measures shall be separated into two broad categories of measure which shall shape the methodology accordingly:
 - a) measures that are time based and assess activity over a period of time, such as availability; or
 - b) measures that are event based and assess activity that is performed on a number of separate occasions, such as the number of Incidents that are raised.
8. For each Performance Measure, the methodology will describe:
 - a) the mechanism that is used to monitor the activity;
 - b) the data used in the calculation of the Service Level;
 - c) the calculation to be applied to determine the Service Level; and

- d) the duration of the Performance Measure Period.
9. For each Performance Measure used to assess an activity that is performed over a period of time, the methodology will also describe the period of time in which that activity would have been performed, if it had been performed in accordance with the relevant Service Level Requirement.
 10. For each Performance Measure used to assess an activity that is performed on a number of separate occasions, the methodology will also describe the event that is to be measured including (and where relevant):
 - a) the event to be measured;
 - b) the total number of occasions on which the event occurred;
 - c) the test or process steps necessary to generate the event;
 - d) the frequency of the event;
 - e) the period of time when the event was measured, the Activity Period, where this is different to the Performance Measurement Period as illustrated in figure 1.

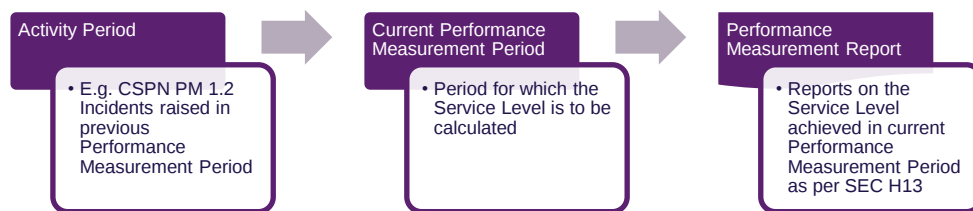


Figure 1 Activity Period and Performance Measurement Period

11. Service Levels shall be reported on following the end of each Performance Measurement Period in accordance with Section H13 of the SEC; that is following the end of the calendar month or the calendar quarter or other defined Performance Measurement Period.
12. Service Levels may be draft until all measurements can be taken for the Performance Measurement Period;

E.g. PM CH1.3 ‘final fault diagnosis’ can only be determined once a Communications Hub has been returned, the fault analysis completed and the dispute period elapsed which may be several months after the *Attempted Communications Hub Installation*.
13. Each Performance Measure is categorised as a Service Measure or Key Performance Indicator. The meaning of Service Measure (SM) and Key Performance Indicator (KPI) within this document shall correspond to the meaning given in the DCC Service Provider contracts, summarised below:
 - a) Service Measures are subject to Service Credits where Target Service Levels are not met;

- b) Key Performance Indicators are not subject to Service Credits where Target Service Levels are not met.

1.5 Code Performance Measures - general principles

14. Where defined within the document, the Performance Measurement Methodology for a Code Performance Measure shall use the Service Levels for one of more Relevant Service Measures, where a Relevant Service Measure shall be a Service Provider Performance Measure which underpins (or is directly related to) a Code Performance Measure according to the DCC Service Provider contract.

1.6 Reported List of Service Provider General Principles

15. This document contains proposed amendments that have yet to be contractually formalised with the DCC Service Providers. Where there is a conflict between the terms of this Performance Measurement Methodology and the DCC Service Provider contracted agreement, the DCC Service Provider contract shall take precedence.
16. The DCC Service Provider shall have no liability to DCC for any failure to meet or delay in meeting any Target Service Level (and shall be deemed to have met the applicable Target Service Level) to the extent that such delay or failure is directly attributable to DCC.
17. DCC shall manage the levels of DCC Service Provider *Allowed Exceptions* and other exceptions as part of the DCC Service Provider performance management regime.

1.6.1 Allowed Exceptions

18. *Allowed Exceptions* allow for an event or activity over a period of time to be removed from the Service Level calculation. The DCC Service Provider shall not be accountable for the performance of the event or the performance during the period of time and therefore the event or period of time shall be removed from the Service Level calculation.
19. *Allowed Exceptions* may include an event or activity over a period of time that results from the failure of a SEC Party (other than the DCC) to act in accordance with the provisions in the SEC. DCC is to ensure the SEC Party is informed of the failure.
20. For each Performance Measure the Service Levels' calculations may be subject to *Allowed Exceptions* as detailed in the DCC Service Provider contracts and as agreed from time to time between the DCC and the DCC Service Provider.
21. *Allowed Exception* events:
- a) Where a Performance Measure relates to an activity that is performed on a number of separate occasions, *Allowed Exception* events shall not be counted in the total number of occasions during the Performance Measurement Period on which that activity was performed.
- b) An *Allowed Exception* event may include a Services Force Majeure event.

To the extent that the DCC's ability to provide services is impacted by a Services Force Majeure event, the provisions under Section M3 of the SEC will apply.

22. *Allowed Exception* periods: where a Performance Measure relates to an activity that is performed over a period of time, *Allowed Exception* periods shall not be counted in the period of time during the Performance Measurement Period on which that activity would have been performed if it had been performed in accordance with the relevant Service Level Requirement.
23. For each Performance Measure the contracted, or notable, *Allowed Exceptions* shall be reproduced within this document as part of the relevant Performance Measure Methodology.
24. The *Allowed Exception(s)* impacting a Performance Measure(s) in a Performance Measurement Period shall be reported in the performance measurement report required under Section H13.4 of the SEC.
25. The DCC shall maintain a full list of *Allowed Exceptions* in a Performance Measure Exceptions List (PMEL) that shall document, at a minimum, for each agreed *Allowed Exception*:
 - a) the impacted Performance Measures(s);
 - b) a description;
 - c) the business rules or criteria that define the Allowed Exception;
 - d) an exception validity period start date; and
 - e) an exception validity period end date.
26. The PMEL shall be maintained by DCC in agreement with the DCC Service Providers and shared from time to time with Users via the appropriate forum.
27. Service Level calculations may be subject to other exceptions as detailed in the DCC Service Provider contracts and as agreed between the DCC and the DCC Service Providers from time to time.

2 Code Performance Measures

2.1 Scope

28. The Code Performance Measures provide a numerical assessment of the compliance of the DCC against a set of Target Service Levels and Minimum Service Levels as defined in the Sections H13.1 and L8.6 of the SEC as listed in Table 1.

SEC Ref	Code Performance Measure	Performance Measurement Period	Target Service Level	Minimum Service Level
1	Percentage of On-Demand Service Responses delivered within the applicable Target Response Time.	monthly	99%	96%
2	Percentage of Future-Dated Service Responses delivered within the applicable Target Response Time.	monthly	99%	96%
3	Percentage of Alerts delivered within the applicable Target Response Time.	monthly	99%	96%
4	Percentage of Incidents which the DCC is responsible for resolving and which fall within Incident Category 1 or 2 that are resolved in accordance with the Incident Management Policy within the Target Resolution Time.	monthly	100%	85%
5	Percentage of Incidents which the DCC is responsible for resolving and which fall within Incident Category 3, 4 or 5 that are resolved in accordance with the Incident Management Policy within the Target Resolution Time.	monthly	90%	80%
6	Percentage of time (in minutes) when the Self-Service Interface is available to be accessed by all Users during the Target Availability Period.	monthly	99.5%	98%
7	Percentage of Certificates delivered within the applicable Target Response Time for the SMKI Services.	monthly	99%	96%
8	Percentage of documents stored on the SMKI Repository delivered within the applicable Target Response Time for the SMKI Repository Service.	monthly	99%	96%

Table 1 Code Performance Measures

2.2 Relevant Service Measures

29. Code Performance Measures 1, 2, 3, 6, 7 and 8 shall be derived from the Service Levels of the Relevant Service Measures as detailed within this document.
30. The methodology used by each DCC Service Provider for calculating the Service Level for each Relevant Service Measure is outlined in:
 - a) sections 2.11, 2.12, 2.13, 2.14 and 2.15 for Relevant Service Measures relating to Target Response Times; and
 - b) section 3.5 for Relevant Service Measures relating to the Self Service Interface Availability
 - c) the Code Performance Measure Methodology for SMKI related Relevant Service Measures.
31. Each DCC Service Provider shall report to the DCC the value of the Service Levels achieved for each Relevant Service Measure in accordance with the Service Provider's performance monitoring approach agreed between the Service Provider and DCC and in accordance with SEC section H13.

2.3 Code Performance Measure 1 – On Demand Service Responses delivered within the applicable Target Response Time

32. The Service Level for Code Performance Measure 1 shall be calculated using the Service Levels achieved by the DCC Service Providers for the set of measures relevant to On-Demand Services; these Relevant Service Measures shall be the *On-Demand Relevant Service Measures* and are listed in Table 2.

Service Provider Contractual Reference	On Demand Relevant Service Measure	Category	Service Provider
1.1	Percentage of DSP Service Request Times within relevant TRT	Events	DSP
1.1	Percentage S1SP Countersigned Service Request Times within relevant Target Response Time	Events	SIE
1.1	Percentage S1SP Countersigned Service Request Times within relevant Target Response Time	Events	Secure
1.1	Percentage S1SP Countersigned Service Request Times within relevant Target Response Time	Events	DXC
1.1	Percentage S1SP Countersigned Service Request Times within relevant Target Response Time	Events	Capgemini
1.4	Percentage of DCC Service Request Times within relevant TRT	Events	DSP
2	Percentage of Category 1 Firmware Payloads completed within the relevant Target Response Time	Events	CSPN

2	Percentage of Category 1 Firmware Payloads completed within the relevant Target Response Time	Events	CSPC
2	Percentage of Category 1 Firmware Payloads completed within the relevant Target Response Time	Events	CSPS
4.3	Round Trip Time 4 Test HAN Interface Command Time: percentage delivered within 25 seconds	Events	CSPN
4.3	Round Trip Time 4 Test HAN Interface Command Time: percentage delivered within 25 seconds	Events	CSPC
4.3	Round Trip Time 4 Test HAN Interface Command Time: percentage delivered within 25 seconds	Events	CSPS

Table 2 Relevant Service Provider Performance Measures – Code Performance Measure 1

33. In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be the arithmetic mean of the reported Service Levels for the On-Demand Relevant Service Measures, calculated as follows:

$$CPM1_p = \frac{1}{n} \times \sum_{odrsm=1}^n ODSL_{p,odrsm} \%$$

Where:

n = the number of On Demand Relevant Service Measures reported to DCC in this Performance Measurement Period

$ODSL_{p,odrsm}$ = the Service Level in respect of each *On Demand Relevant Service Measure* (odrsm).

34. The duration of the Performance Measurement Period shall be a calendar month.

2.4 Code Performance Measure 2 – Future Dated Service Responses delivered within the applicable Target Response Time

35. The Service Level for Code Performance Measure 2 shall be calculated using the Service Levels achieved by the DCC Service Providers for the set of measures relevant to Future-Dated Services; these Relevant Service Measures shall be the *Future Dated Relevant Service Measures* and are listed in Table 3.

Service Provider Contractual Reference	Relevant Service Measure	Category	Service Provider
1.2	Percentage of DSP Service Response Times within relevant TRT	Events	DSP
1.3	Percentage of DSP Service Request Scheduling Times within relevant TRT	Events	DSP
3.1	Percentage of Category 2 HAN Interface Commands delivered to the DCC WAN Gateway Interface within the relevant TRT	Events	CSPN
3.1	Percentage of Category 2 HAN Interface Commands delivered to the DCC WAN Gateway Interface within the relevant TRT	Events	CSPC
3.1	Percentage of Category 2 HAN Interface Commands delivered to the DCC WAN Gateway Interface within the relevant TRT	Events	CSPS
4.1	Round Trip Time 2 test HAN Interface Command time: percentage delivered within 22 hours	Events	CSPN
4.1	Round Trip Time 2 test HAN Interface Command time: percentage delivered within 22 hours	Events	CSPC
4.1	Round Trip Time 2 test HAN Interface Command time: percentage delivered within 22 hours	Events	CSPS
4.2	Round Trip Time 3 test HAN Interface Command time: percentage delivered within 2 hours	Events	CSPN
4.2	Round Trip Time 3 test HAN Interface Command time: percentage delivered within 2 hours	Events	CSPC
4.2	Round Trip Time 3 test HAN Interface Command time: percentage delivered within 2 hours	Events	CSPS

Table 3 Relevant Service Provider Performance Measures – Code Performance Measure 2

- 36.** In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be the arithmetic mean of the reported Service Levels for the *Future Dated Relevant Service Measures*, calculated as follows:

$$CPM2_p = \frac{1}{n} \times \sum_{fdrsm=1}^n FDSL_{p,fdrsm} \%$$

Where:

n = the number of Future Dated Relevant Service Measures reported to DCC in this Performance Measurement Period

$FDSL_{p, fdrsm}$ = the Service Level in respect of each *Future Dated Relevant Service Measure* (fdrsm).

37. The duration of the Performance Measurement Period shall be a calendar month.

2.5 Code Performance Measure 3 - Percentage of Alerts delivered within the applicable Target Response Time

38. The Service Level for Code Performance Measure 3 shall be calculated using the Service Levels achieved by the DCC Service Providers for the set of measures relevant to Alerts; these Relevant Service Measures shall be the *Alert Related Relevant Service Measures* and are listed in Table 4.

Service Provider Contractual Reference	Relevant Service Measure	Category	Service Provider
1.5	Percentage of DSP Alert Response Times within relevant TRT	Events	DSP
1.5	Percentage of Alert Response Times within relevant TRT	Events	SIE
1.5	Percentage of Alert Response Times within relevant TRT	Events	Secure
1.5	Percentage of Alert Response Times within relevant TRT	Events	DXC
3.2	Percentage of Category 3 Alerts delivered to the DCC WAN Gateway Interface within the relevant TRT	Events	CSPN
3.2	Percentage of Category 3 Alerts delivered to the DCC WAN Gateway Interface within the relevant TRT	Events	CSPC
3.2	Percentage of Category 3 Alerts delivered to the DCC WAN Gateway Interface within the relevant TRT	Events	CSPS

Table 4 Relevant Service Provider Performance Measures – Code Performance Measure 3

39. In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be the arithmetic mean of the reported Service Levels for the *Alert Related Relevant Service Measures*, calculated as follows:

$$CPM3_p =$$

$$\frac{1}{n} \times \sum_{ar sm=1}^n ARSL_{p, ar sm} \%$$

Where:

n = the number of Alert Related Relevant Service Measures reported to DCC in this Performance Measurement Period

$ARSL_{p, arsm}$ = the Service Level in respect of each *Alert Related Relevant Service Measure* (arshm).

40. The duration of the Performance Measurement Period shall be a calendar month.

2.6 Code Performance Measure 4 – Category 1/2 Incident Target Resolution Time

41. This Performance Measure measures the Percentage of Incidents which the DCC is responsible for resolving, and which fall within Incident Category (Severity) 1 or 2 that are resolved in accordance with the Incident Management Policy within the Target Resolution Time.
42. This Code Performance Measure measures Incidents which are closed in the Performance Measurement Period.
43. The date and time that the Incident is raised, resolved and closed, used within the Service Measure calculation, will be the date and time as recorded in the DCC Service Management System. The resolution time will be calculated in accordance with the Incident Management Policy.
44. DCC shall be responsible for resolving an Incident where the final responsibility for Incident resolution is determined not to be a User.
45. The Incident Category (Severity) and responsible Party shall be the Incident Category (Severity) and responsible Party applicable to the Incident at the point of the final Incident resolution.
46. In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be calculated as follows:

$$CPM4_p = 100 \times \left[\frac{INCCM12_p}{INCC12_p} \right] \%$$

Where:

$INCCM12_p$ = the number of Severity 1 or 2 Incidents which DCC is responsible for resolving, which are closed in the Performance Measurement Period, which met the DCC Target Resolution Time

$INCC12_p$ = the number of Severity 1 or 2 Incidents which DCC is responsible for resolving which are closed in the Performance Measurement Period.

47. The Service Level for this Performance Measure shall be calculated after the end of the Performance Measurement Period.

48. The duration of the Performance Measurement Period shall be a calendar month.

2.7 Code Performance Measure 5 – Category 3/4/5 Incident Target Resolution Time

49. This Performance Measure measures the Percentage of Incidents which the DCC is responsible for resolving and which fall within Incident Category (Severity) 3, 4 or 5 that are resolved in accordance with the Incident Management Policy within the Target Resolution Time.
50. This Code Performance Measure measures Incidents which are closed in the Performance Measurement Period.
51. The date and time that the Incident is raised, resolved and closed, used within the Service Measure calculation, will be the date and time as recorded in the DCC Service Management System. The resolution time will be calculated in accordance with the Incident Management Policy.
52. DCC shall be responsible for resolving an Incident where the final responsibility for Incident resolution is determined not to be the User.
53. The Incident Category (Severity) and responsible Party shall be the Incident Category (Severity) and responsible Party applicable to the Incident at the point of the final Incident resolution.
54. In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be calculated as follows:

$$CPM5_p = 100 \times \left[\frac{INCCM345_p}{INCC345_p} \right] \%$$

Where:

$INCCM345_p$ = the number of Severity 3,4 or 5 Incidents which DCC is responsible for resolving which are closed in the Performance Measurement Period, which met the DCC Target Resolution Time.

$INCC345_p$ = the number of Severity 3,4 or 5 Incidents which DCC is responsible for resolving which are closed in the Performance Measurement Period.

55. The Service Level for this Performance Measure shall be calculated after the end of the Performance Measurement Period.
56. The duration of the Performance Measurement Period shall be a calendar month.

2.8 Code Performance Measure 6: Percentage Availability – Self Service Interface Service Provider Performance Measures

57. The Service Level for Code Performance Measure 6 shall be directly equivalent to the Service Level achieved by the DCC Service Provider for the Service Provider Performance Measure relevant to Self Service Interface

Availability; this Relevant Service Measure shall be the *SSI Relevant Service Measure* and is detailed in Table 5.

Service Provider Contractual Reference	Relevant Performance Measure	Category	Service Provider
2.4	Percentage Service availability – Self Service Interface (Production Services)	% Time	DSP

Table 5 Relevant Service Provider Performance Measures – Code Performance Measure 6

58. In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be calculated as follows:

$$CPM6_p = SSISM_p$$

Where:

$SSISM_p$ = the Service Level in respect of the *SSI Relevant Service Measure*.

59. The duration of the Performance Measurement Period shall be a calendar month.

2.9 Code Performance Measure 7 – Percentage of Certificates delivered within the applicable Target Response Time for the SMKI Services

60. This Code Performance Measure measures the Service Level of the SMKI Service Interface.
61. The Service Level for Code Performance Measure 7 shall be calculated using the weighted Service Levels achieved by the DCC Service Provider for the set of measures relevant to the SMKI Service detailed in Table 6.

Service Provider Contractual Reference	Relevant Performance Measure	Category	Service Provider
1.1	Batch requests for device certificates maximum 375,000 for RA system received 07:00-18:00 available by 06:59 next day	Events	BT
1.4	Single certificate requests via RA System 100% within 30 seconds	Events	BT
1.7	Single certificate request via system to system interface in Core Service Hours (maximum 12 certificate requests/second) 100% within 15 seconds	Events	BT

Table 6 Relevant Service Provider Performance Measures – Code Performance Measure 7

62. The DCC Service Provider for the SMKI Services shall record the time SMKI certificate signing request is received and the time at which the response is sent via the SMKI Service Interface.
63. For the purposes of this Performance Measure, the concepts of 'sending' and 'receipt' are to be interpreted in accordance with the explanation of those concepts in the SMKI Interface Design Specification.
64. A weighting will be applied in respect to the Service Level for each *Individual SMKI Service Interface (ISSI)* based on the volume and distribution of device certificate signing responses provided in respect of each Performance Measurement Period (p), and that weighting shall be calculated as:

$$\text{ISSI Weighting}_{p,ISSI} = \left[\frac{\text{SMRR}_{p,ISSI}}{\text{SMTR}_p} \right]$$

Where:

$\text{SMRR}_{p,ISSI}$ = the number of certificate signing requests responses sent by the *Individual SMKI Service Interface*

SMTR_p = the total number of certificate signing requests responses sent by the individual interfaces that comprise the SMKI Service Interface.

65. In respect of each Performance Measurement Period (p) and each *Individual SMKI Service Interface (ISSI)*, the weighted Service Level for the *Individual SMKI Service Interface* shall be calculated as follows:

$$\text{ISSI Weighted SL}_{p,ISSI} = 100 \times \left[\frac{\text{SMRMT}_{p,ISSI}}{\text{SMR}_{p,ISSI}} \right] \times \text{ISSI Weighting}_{p,ISSI} \%$$

Where:

$\text{SMRMT}_{p,ISSI}$ = the number of certificate signing requests responses sent by the *Individual SMKI Service Interface*, made available within Target Response Time

$\text{SMR}_{p,ISSI}$ = the number of certificate signing requests responses sent by the *Individual SMKI Service Interface*.

66. In respect of each Performance Measurement Period (p), the Service Level for the Code Performance Measure shall be the arithmetic mean of the weighted Service Levels of the *Individual SMKI Service Interfaces*, calculated as follows:

$$\text{CPM7}_p = \sum_{ISSI=1}^n \text{ISSI Weighted SL}_{p,ISSI}$$

Where:

n = the number of ISSI Service Levels reported to DCC in this Performance Measurement Period.

67. Where the demand exceeds the 375,000 certificate signing requests referenced in BT PM 1.1 and the SEC subsidiary documents, a manual adjustment may be applied to the calculation to ensure the Service Level is reflective of the DCC rather than that of the Service Provider.
68. The duration of the Performance Measurement Period shall be a calendar month.

2.10 Code Performance Measure 8 – Percentage of documents stored on the SMKI Repository delivered within the applicable Target Response Time for the SMKI Repository Service

69. The Service Level for Code Performance Measure 8 shall be directly equivalent to the Service Level achieved by the DCC Service Provider for the Service Provider measure relevant to the SMKI Repository detailed in Table 7.

Service Provider Contractual Reference	Relevant Performance Measure	Category	Service Provider
12	Percentage of documents stored on the SMKI Repository delivered within the applicable Target Response Time for the SMKI Repository Service	Events	DSP

Table 7 Relevant Service Provider Performance Measures – Code Performance Measure 8

70. This Code Performance Measure measures the Service Level of the SMKI Repository Interface.
71. The DSP shall record the time SMKI Repository document request is received.
72. The DSP shall record the time at which the response to the SMKI Repository Request is sent.
73. For the purposes of this Performance Measure, the concepts of ‘sending’ and ‘receipt’ are to be interpreted in accordance with the explanation of those concepts in the SMKI Repository Interface Design Specification.
74. The SMKI Repository Response Time shall be calculated as the time at which the response to the SMKI Repository Request is sent minus the time at which the SMKI Repository Request is received.
75. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CPM8_p = 100 \times \left[\frac{SMRT_p}{SMR_q} \right] \%$$

Where:

$SMRT_p$ = the number of SMKI Repository Requests where the SMKI Repository Response Time is less than or equal to the relevant Target Response Time

SMR_p = the number of SMKI Repository Requests received.

76. The duration of the Performance Measurement Period shall be a calendar month.

2.11 Relevant Service Measures - DSP Target Response Time

77. The *Relevant Service Measures* for the DSP in respect of the Code Performance Measures on Target Response Times measure the time taken to provide the DSP processing element associated with the relevant Service Request, Service Response, Acknowledgement or Alert including:

- a) On-Demand Service Requests requiring a message to be sent to the Communication Hub;
- b) Service Acknowledgements associated with a Future-Dated Service Request;
- c) Future-Dated Service Responses;
- d) Scheduled-Services Responses;
- e) DSP Scheduled Service Requests;
- f) DCC Only Service Requests; or
- g) Alert Responses.

78. Each *Relevant Service Measure* shall measure Service Request, Service Response, Acknowledgement and Alert processing times within the DCC Live Systems.

79. The Service Level in respect of each Performance Measurement Period (p) and each DSP *Relevant Service Measure* shall be;

$$DSP \text{ Service Level}_{p, rsm} = 100 \times \left[\frac{TRTT_{p, rsm}}{MSG_{p, rsm}} \right] \%$$

Where:

$TRTT_{p, rsm}$ = the number of Service Request, Service Response, Acknowledgement and Alerts, as appropriate to the Relevant Service Measure, processed by the DSP that met the Target Response Time

$MSG_{p, rsm}$ = the number of Service Request, Service Response, Acknowledgement and Alerts, as appropriate to the Relevant Service Measure, processed by the DSP.

2.12 Relevant Service Measures - CSPN Round Trip Times

80. The *Relevant Service Measures* for the CSPN in respect of the Code Performance Measures on Target Response Times measure the Round Trip Time across the SM WAN using *Test HAN Interface Commands*.
81. The Round Trip Time, for an individual *Test HAN Interface Command*, shall be measured as the total time taken for:
 - a) a *Test HAN Interface Command* to be delivered from the DCC WAN Gateway Interface to a commissioned Communications Hub within the Coverage Area; and
 - b) then delivered back to the DCC WAN Gateway Interface.
82. For each Performance Measurement Period, the CSP shall attempt to deliver *Test HAN Interface Commands* with a minimum total of an average of 0.5 *Test HAN Interface Commands* per commissioned Communication Hubs within the Region.
83. The *Test HAN Interface Commands* will be measured against 3 target Round Trip Times:
 - a) a RTT2 target Round Trip Time of 22 hours;
 - b) a RTT3 target Round Trip Time of 2 hours; and
 - c) a RTT4 target Round Trip Time of 25 seconds.
84. *Test HAN Interface Commands* shall be distributed equally, within a margin of error agreed with the DCC, across:
 - a) all days within the Performance Measurement Period;
 - b) each hour in any one calendar day; and
 - c) all Access Nodes.
85. The Service Level Requirement and Target Response Time exclude the time spent within the HAN.
86. The Service Level in respect of each Performance Measurement Period (p) and each CSPN *Relevant Service Measure* shall be;

$$\text{CSPN Service Level}_{p,rsn} = 100 \times \left[\frac{\text{RTTT}_{p,rsn}}{\text{TRTT}_{p,rsn}} \right] \%$$

Where:

$\text{RTTT}_{p,rsn}$ = the number of *Test HAN Interface Commands* successfully delivered with a Round Trip Time that met the Target Response Time

$\text{TRTT}_{p,rsn}$ = the number of *Test HAN Interface Commands* successfully delivered.

2.13 Relevant Service Measures - CSPC/S Round Trip Times

87. The *Relevant Service Measures* for the CSPC/S in respect of the Code Performance Measures on Target Response Times measure the Round Trip Time across the SM WAN for *Test HAN Interface Commands*.
88. The Round Trip Time, for an individual *Test HAN Interface Command*, shall be measured as the total time taken for:
 - a) a *Test HAN Interface Command* to be delivered from the DCC WAN Gateway Interface to a commissioned Communications Hub within the Coverage Area; and
 - b) then delivered back to the DCC WAN Gateway Interface.
89. For each Performance Measurement Period, the CSP shall attempt to deliver an equal number of *Test HAN Interface Commands* with a minimum total of an average of 0.5 *Test HAN Interface Commands* per commissioned Communications Hub within the Region.
90. *The Test HAN Interface Commands* will be measured against 3 target Round Trip Times:
 - a) a RTT2 target Round Trip Time of 22 hours;
 - b) a RTT3 target Round Trip Time of 2 hours; and
 - c) a RTT4 target Round Trip Time of 25 seconds.
91. *Test HAN Interface Commands* shall be distributed equally, within a margin of error agreed with the DCC, across:
 - a) all days within the Performance Measurement Period;
 - b) each hour in any one calendar day; and
 - c) all Access Nodes.
92. Any *Test HAN Interface Command* for which no response is received back, within an upper limit as agreed between DCC and the DCC Service Provider, will not be included and shall be subject to other message loss measures in accordance with the CSP performance monitoring approach.
93. The Service Level Requirement and Target Response Time exclude the time spent within the HAN.
94. The Service Level in respect of each Performance Measurement Period (*p*) and each CSPC/S Relevant Service Measure shall be;

$$\text{CSPC/S Service Level}_{p,rsn} = 100 \times \left[\frac{\text{RTTT}_{p,rsn}}{\text{TRTT}_{p,rsn}} \right] \%$$

Where:

$\text{RTTT}_{p,rsn}$ = number of *Test HAN Interface Commands* successfully delivered with a Round Trip Time that met the Target Response Time

$\text{TRTT}_{p,rsn}$ = the number of *Test HAN Interface Commands* successfully delivered.

2.14 Relevant Service Measures – CSP Category 1 Firmware Payloads

95. The *Relevant Service Measures* for the CSPN or the CSPC/S in respect of the Code Performance Measures on Target Response Times for Category 1 Firmware Payloads, measure the time taken to distribute Firmware Payloads to a number of connected Communications Hubs within the Coverage Area.
96. Successful delivery of the Firmware Payload within Target Response Time shall be defined by the of delivery to the Communications Hub data store and receipt of a subsequent Service Response confirming that the Firmware Payload has been delivered, within the Target Response Time.
97. Each *Relevant Service Measure* shall measure processing times within the DCC Live Systems.
98. The Service Level Requirement and Target Response Time exclude the time spent within the HAN.
99. The Service Level in respect of each Performance Measurement Period (p) and each CSPN or CSPC/S *Relevant Service Measure (rsm)* shall be;

$$\text{CSP N/C/S Service Level}_{p,rsm} = 100 \times \left[\frac{\text{FWTT}_{p,rsm}}{\text{FWSG}_{p,rsm}} \right] \%$$

Where:

$\text{FWTT}_{p,rsm}$ = the number of Communications Hubs with Firmware Payload delivered to Communications Hub within the Target Response Time

$\text{FWSG}_{p,rsm}$ = the number of connected Communications Hubs identified within the Service Requests to have Firmware Payload delivered and where validation was successful.

2.15 Relevant Service Measures – CSP Category 2 HAN Interface Commands and Category 3 Alerts

100. The *Relevant Service Measures* for the CSPN or the CSPC/S in respect of the Code Performance Measures on Target Response Times for Category 2 HAN Interface Commands and Category 3 Alerts, measure the time taken by the CSPN or the CSPC/S to process the relevant Service Response or Alert; these measures excludes any time in the HAN.
101. Category 2 HAN Interface Commands are created in relation to Meter Scheduled: Retrieve Billing Data Log Service Requests.
102. Category 3 Alert HAN Interface Commands are created in relation to a subset of Alerts, which does not include Alerts related to Power Outage events.
103. Each *Relevant Service Measure* shall measure processing times within the DCC Live Systems.

- 104.** The Service Level Requirement and Target Response Time exclude the time spent within the HAN.
- 105.** The Service Level in respect of each Performance Measurement Period (p) and each CSPN or CSPC/S *Relevant Service Measure* shall be;

$$\text{CSP Service Level}_{p,rsn} = 100 \times \left[\frac{\text{CAT23TT}_{p,rsn}}{\text{CAT23}_{p,rsn}} \right] \%$$

Where:

$\text{CAT23TT}_{p,rsn}$ = the number of Category 2 HAN Interface Commands or Category 3 Alerts, as appropriate to the Relevant Service Measure, processed by the CSP that met the Target Response Time

$\text{CAT23}_{p,rsn}$ = the number of Category 2 HAN Interface Commands or Category 3 Alerts, as appropriate to the Relevant Service Measure, processed by the CSP.

3 Data Services Provider Performance Measures

3.1 Scope

- 106.** The Reported List of Service Provider Performance Measures includes a subset of the Data Services Provider (DSP) Performance Measures.
- 107.** Table 8 lists the DSP Performance Measures on the Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
2.1	Percentage Service availability – DCC Data Service (Production Services)	%Time	SM
2.2	Percentage Service availability – DCC User Interface (Production Services)	%Time	SM
2.3	Percentage Service availability – DCC Service Management System	%Time	SM
2.4	Percentage Service availability – Self Service Interface (Production Services)	%Time	SM
2.5	Percentage Service availability – Average Interface availability	%Time	KPI
2.7	Percentage Service availability - Externally exposed test services (08.00 to 20.00 UTC Monday to Saturday)	%Time	SM
3	Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
7	Notification of Planned Maintenance events within required target	Events	KPI
11	Percentage of anomalous Service Requests notified within 30 minutes	Events	KPI

Table 8 Reported List of Service Provider Performance Measures – DSP Scope

3.2 PM 2.1 Percentage Service availability – DCC Data Service (Production Services)

- 108.** The *DCC Data Service* means the systems and communications required to provide for the processing of Service Requests, Pre-Commands, Commands, Service Responses and Alerts and the holding or using of Registration Data.
- 109.** Provision of the *DCC Data Service* is an activity that is performed over a period of time.

- 110.** The availability of the components making up the *DCC Data Service*, covering associated DSP servers, interfaces and networks, shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 111.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 112.** Periods of unavailability of the *DCC Data Service* shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 113.** The *Unscheduled Downtime* of the *DCC Data Service* shall be calculated as follows:
- a) the number of complete minutes where the *DCC Data Service* is unavailable for Service Request processing; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 114.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.1_p = 100 \times \left(1 - \left[\frac{UDDDS_p}{RT_p} \right] \right) \%$$

Where:

$UDDDS_p$ = the *Unscheduled Downtime* of the *DCC Data Service* in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 115.** The duration of the Performance Measurement Period shall be a calendar month.

3.3 PM 2.2 Percentage Service availability – DCC User Interface (Production Services)

- 116.** This Performance Measure shall be used to assess the amount of time that the DCC User Interface is available to Users.
- 117.** Provision of the DCC User Interface is an activity that is performed over a period of time.
- 118.** The availability of the DCC Gateway Connections and other components making up the DCC User Interface covering servers, interfaces and networks shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 119.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component

unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.

120. Periods of unavailability of the DCC User Interface shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.

121. The *Average Unscheduled Downtime* of the DCC User Interface shall be calculated as follows:

- a) the number of complete minutes each DCC Gateway Connection is unavailable minus the number of minutes of agreed downtime due to Planned Maintenance on each DCC Gateway Connection; divided by
- b) the number of DCC Gateway Connections in the DCC Live Systems.

122. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.2_p = 100 \times \left(1 - \left[\frac{AUDDUG_p}{RT_p} \right] \right) \%$$

Where:

$AUDDUG_p$ = the *Average Unscheduled Downtime* of the DCC User Interface in minutes

RT_p = the number of minutes within the Performance Measurement Period.

123. The duration of the Performance Measurement Period shall be a calendar month.

3.4 PM2.3 Percentage Service availability – DCC Service Management System

124. This Performance Measure measures the amount of time that the DCC Service Management System is available to DCC.

125. Provision of the DCC Service Management System is an activity that is performed over a period of time.

126. The availability of the components making up the DCC Service Management System, covering the associated servers, interfaces and networks shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.

127. Any component unavailability will be logged on DSP systems and an Incident raised in accordance with the Incident Management Policy and, where appropriate, the business continuity and disaster recovery policies.

128. Periods of unavailability of the DCC Service Management System shall be recorded by the DSP in accordance with the Incident Management Policy.

- 129.** The *Unscheduled Downtime* of the DCC Service Management System shall be calculated as follows:
- a) the number of complete minutes where the DCC Service Management System is unavailable to the DCC; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 130.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.3_p = 100 \times \left(1 - \left[\frac{UDSMS_p}{RT_p} \right] \right) \%$$

Where:

UDSMS_p = the *Unscheduled Downtime* of the DCC Service Management System in minutes
RT_p = the number of minutes within the Performance Measurement Period.

- 131.** The duration of the Performance Measurement Period shall be a calendar month.

3.5 PM2.4 Percentage Service availability – Self Service Interface (Production Services)

- 132.** The percentage availability of the Self Service Interface measures the amount of time, within the Performance Measurement Period that the Self Service Interface is available to Users.
- 133.** Provision of the Self Service Interface is an activity that is performed over a period of time.
- 134.** The availability of the components making up the Self Service Interface covering the associated servers, interfaces and networks shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 135.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 136.** Periods of unavailability of the Self Service Interface shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 137.** The *Unscheduled Downtime* of the Self Service Interface shall be calculated as follows:
- a) the number of complete minutes where the Self Service Interface is unavailable to the DCC; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.

- 138.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.4_p = 100 \times \left(1 - \left[\frac{UDSSI_p}{RT_p} \right] \right) \%$$

Where:

UDSSI_p = the *Unscheduled Downtime* of the Self Service Interface in minutes
RT_p = the number of minutes within the Performance Measurement Period.

- 139.** The duration of the Performance Measurement Period shall be a calendar month.

3.6 PM2.5 Percentage Service availability – average Interface availability

- 140.** This Performance Measure measures the mean average amount of time that a number of the DCC Internal System interfaces are available.
- 141.** For the purposes of this Performance Measure, a *PM2.5 Interface* shall be any one of the following:
- a) Registration System Interface (See Registration Data Interface);
 - b) CSP Service Management Interface (See DCC Service Management System Interface);
 - c) DCC Enterprise Systems Interface.
- 142.** Provision of the *PM2.5 Interfaces* is an activity that is performed over a period of time.
- 143.** The availability of the components making up each of the *PM2.5 Interfaces*, covering related servers, interfaces and networks, shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 144.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 145.** Periods of unavailability of each of the *PM2.5 Interfaces* shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 146.** The period of time during the Performance Measurement Period which each *PM2.5 Interface* is expected to be available shall be set out in the relevant Service Level Requirement.
- 147.** The *Unscheduled Downtime* of each *PM2.5 Interface* shall be calculated as follows:

- a) the number of complete minutes the *PM2.5 Interface* is unavailable during the expected hours of availability; minus
- b) the number of minutes of agreed downtime due to Planned Maintenance on the *PM2.5 Interface* during the expected hours of availability.

148. In respect of each *PM2.5 Interface* (i) and for each Performance Measurement Period (p), the Interface Availability shall be calculated as follows:

$$\text{Interface Availability}_{p,i} = 100 \times \left(1 - \left[\frac{\text{UDIA}_p}{\text{RT}_p} \right] \right) \%$$

Where:

- UDIA_p = the *Unscheduled Downtime* of the *PM2.5 Interface* in minutes
- RT_p = the number of minutes within the period of time during the Performance Measurement Period which each *PM2.5 Interface* is expected to be available.

149. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be the arithmetic mean of the Interface Availability Service Levels, calculated as follows:

$$\text{PM2.5}_p = \frac{1}{n} \times \sum_{i=1}^n \text{Interface Availability}_{p,i} \%$$

Where:

- n = the number of Interface Availability Service Measures reported to DCC in this Performance Measurement Period.

150. The duration of the Performance Measurement Period shall be a calendar month.

3.7 PM2.7 Percentage Service availability - Externally Exposed Testing Services (08.00 to 20.00 UTC Monday to Saturday)

- 151.** This Performance Measure measures the availability of the *Externally Exposed Test Environments* that have been requested by DCC and are exposed to Users or an external Party.
- 152.** Provision of the *Externally Exposed Testing Services* is an activity that is performed over a period of time.
- 153.** The availability of the components making up each of the *Externally Exposed Test Environments* which support the *Externally Exposed Testing Services*, covering the servers, interfaces and networks shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice. Any component unavailability will be automatically alerted and an Incident recorded on the DCC Service Management System, where this results in an Incident.

- 154.** Periods of unavailability of the Externally Exposed Testing Services shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 155.** The period of time during the Performance Measurement Period which each *Externally Exposed Test Environment* is expected to be available shall be set out in the relevant Service Level Requirement for the *Externally Exposed Test Environment*.
- 156.** The *Unscheduled Downtime* of each *Externally Exposed Test Environment* shall be calculated as follows:
- a) the number of complete minutes the *Externally Exposed Test Environment* is unavailable during the Testing Services core service hours; minus
 - b) the number of minutes of agreed downtime due to Planned Maintenance for the *Externally Exposed Test Environment* during the Testing Services Core Service Hours; where
 - c) the Testing Services core service hours are 08:00 to 20:00 Monday to Saturday.
- 157.** In respect of each *Externally Exposed Test Environment* (e) and for each Performance Measurement Period (p), the Test Environment Availability shall be calculated as follows:

$$\text{Test Environment Availability}_{p,e} = 100 \times \left(1 - \left[\frac{\text{UDTE}_{p,e}}{\text{RTE}_p} \right] \right) \%$$

Where:

$\text{UDTE}_{p,e}$ = the *Unscheduled Downtime* of the *Externally Exposed Test Environment* in minutes

RTE_p = the number of minutes in the Testing Services core service hours within the Performance Measurement Period.

- 158.** In respect of each Performance Measurement Period (p) the Performance Measure shall be the arithmetic mean of the Test Environment Availability Levels, calculated as follows:

$$\text{PM2.7}_p = \frac{1}{n} \sum_{e=1}^n \text{Test Environment Availability}_{p,e} \%$$

Where:

n = the number of Test Environment Availability Service Measures reported to DCC in this Performance Measurement Period.

- 159.** The duration of the Performance Measurement Period shall be a calendar month.

3.8 PM3 Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

- 160. This Performance Measure measures the number of Severity Level 1 or 2 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
- 161. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
- 162. DCC shall record each *Change Release* in the DCC Service Management System.
- 163. DCC shall log each Incident in the DCC Service Management System and record the relationship between the *Change Release* and Incident.
- 164. *Severity 1 and Severity 2 Incidents Caused by a DSP Change Release* shall be Incidents:
 - a) categorised as Category (Severity) 1 or Category (Severity) 2 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *DSP Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
- 165. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM3_p = \text{Severity 1 and Severity 2 Incidents Closed which were Caused by a DSP Change Release}$$

- 166. The duration of the Performance Measurement Period shall be a calendar month.

3.9 PM7 Notification of Planned Maintenance events within required target

- 167. This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the DSP to DCC within the required notification target.
- 168. The DSP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales defined in section H8.4 of the SEC, this time scale shall be the *Target Planned Maintenance Notice*.
- 169. DCC shall record Planned Maintenance events in the DCC Service Management System.
- 170. Planned Maintenance *Notice Period* shall be calculated as follows:
 - a) the date the Planned Maintenance is due to begin; minus
 - b) the date the Planned Maintenance request is received by DCC.

- 171.** Planned Maintenance *Notifications on Target* shall be the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is less than or equal to the *Target Planned Maintenance Notice*.
- 172.** In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM7_p = 100 \times \left[\frac{PNMT_{pq}}{PME_{pq}} \right] \%$$

Where:

$PNMT_{pq}$ = the number of Planned Maintenance *Notifications on Target*

PME_{pq} = the number of Planned Maintenance requests received by DCC.

- 173.** The duration of the Performance Measurement Period shall be a calendar quarter.

3.10 PM11 Percentage of anomalous Service Requests notified within 30 minutes

- 174.** This Performance Measure measures the percentage of anomalous Service Requests notifications which are sent by the DSP to DCC within the required 30 (thirty) minutes notification target.
- 175.** The DSP shall perform Anomaly Detection in accordance with the published Threshold Anomaly Detection Procedures.
- 176.** The DSP shall inform DCC of anomalous Service Requests in accordance with the Threshold Anomaly Detection Procedures.
- 177.** The DSP shall create an Incident in the DCC Service Management System to notify DCC of a breach of a Relevant Anomaly Detection Threshold.
- 178.** The anomalous Service Requests *Notice Period* shall be calculated as follows:
- a) the time at which the DSP notifies the DCC of breach of the Relevant Anomaly Detection Threshold; minus
 - b) the time at which the breach of the Relevant Anomaly Detection Threshold is identified by the DSP.
- 179.** Anomalous Service Request *Notifications on Target* shall be defined as where the anomalous Service Requests *Notice Period* is less than or equal to the 30 (thirty) minutes.
- 180.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM11_p = 100 \times \left[\frac{TADMT_p}{TAD_p} \right] \%$$

Where:

$TADMT_p$ = the number of Anomalous Service Request *Notifications on Target*

TAD_p = the number of anomalous Service Requests notifications sent.

- 181.** The duration of the Performance Measurement Period shall be a calendar month.

4 CSP North Performance Measures

4.1 Scope

- 182.** The Reported List of Service Provider Performance Measures includes a subset of the Communications Service Provider North (CSPN) Performance Measures.
- 183.** The scope of each Performance Measure is limited to the Services provided by the CSP North.
- 184.** Table 9 lists the CSPN Performance Measures on the Reported List of Service Provider Performance Measures.

Service provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
1.1	First time SM WAN connectivity at install	Events	SM
1.2	First time SM WAN connectivity within 30 days	Events	SM
1.3	First time SM WAN connectivity within 90 days	Events	SM
1.4	SM WAN connectivity Level	Events	SM
6.2	Percentage availability of DCC SM WAN Gateway Interface	%Time	SM
10	Notification of Planned Maintenance events within required target	Events	KPI
11	Accuracy of Coverage Database provided to DCC Service Users	Events	KPI
12.1	Percentage of Power Outage Event alerts delivered: 50 Communications Hubs or fewer	Events	KPI
12.2	Percentage of Power Outage Event alerts delivered: greater than 50 Communications hubs	Events	KPI
CH1.1	Percentage of Communications Hubs delivered on time	Events	SM
CH1.2	Percentage of Communications Hubs accepted by DCC Service Users	Events	SM
CH1.3	Percentage of Communications Hubs determined not to be faulty following attempted installation	Events	SM
CH2.1	Percentage of Communications Hub Incidents resolved by remote maintenance	Events	SM

Table 9 Reported List of Service Provider Performance Measures – CSPN Scope

4.2 PM1.1 First time SM WAN connectivity at install

185. This Performance Measure measures the success rate of achieving first time connectivity for Communications Hubs at the point of installation, where the Communications Hub is installed within the Coverage Area according to the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM).
186. This Performance Measure excludes abandoned Communications Hubs installations where the Installer is unable to gain access to the Installation Location.
187. This Performance Measure excludes Communications Hubs that have not been installed.
188. For the purposes of CSP North Performance Measures only, for any given Communications Hub the *CH First Time Installed Date* shall be the installation date of the Communications Hub which shall be:
 - a) the installation date within the Service Request of Service Request Reference Variant 8.14.1 (Communications Hub Status Update – Install Success) received for the Communications Hub; or
 - b) the installation date within the Service Request of Service Request Reference Variant 8.14.2 (Communications Hub Status Update – Install No SM WAN) received for the Communications Hub.
189. For the purposes of all Performance Measures, for any given Communications Hub the *CH First Time Connected Date* shall be the date recorded by the relevant CSP when the Communications Hub is successfully connected to the relevant CSP network for the first time.
190. For the purposes of all Performance Measures, for any given Communications Hub a *Successful First Time Connection At Install* shall occur where:
 - a) the *CH First Time Connected Date* is within the *Performance Measurement Period*; and
 - b) it is the first attempt to install a Communications Hub at the Installation Location within the relevant Coverage Area; and
 - c) a Service Request of Service Request Reference Variant 8.14.1 (Communications Hub Status Update – Install Success) is received for the Communications Hub; and
 - d) the *CH First Time Connected Date* is the same as *CH First Time Installed Date*; and
 - e) the installation is not an *Allowed Exception*.
191. For the purposes of all Performance Measures, for any given Communications Hub an *Unsuccessful First Time Connection At Install* shall occur where:
 - a) the *CH First Time Installed Date* is within the *Performance Measurement Period*; and
 - b) it is the first attempt to install a Communications Hub at the Installation Location within the relevant Coverage Area; and

- c) a Service Request of Service Request Reference Variant 8.14.2 (Communications Hub Status Update – Install No SM WAN) is received; and
 - d) the installation is not an *Allowed Exception*.
- 192.** For the purposes of all Performance Measures, the number of *Attempted First Time Connections* shall be calculated as the sum of:
- a) *Successful First Time Connections At Install*; plus
 - b) *Unsuccessful First Time Connections At Install*.
- 193.** For the purposes of this Performance Measure only, the list of contracted or notable *Allowed Exceptions* in the PMEL shall include *Installation Exceptions*, a defined term within this document to avoid repetition.
- 194.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.1_p = 100 \times \left[\frac{FTCI_p}{FTC_p} \right] \%$$

Where:

$FTCI_p$ = the number of *Successful First Time Connections At Install*

FTC_p = the number of *Attempted First Time Connections*.

- 195.** The duration of the Performance Measurement Period shall be a calendar month.

4.3 PM1.2 First time SM WAN connectivity within 30 days

- 196.** This Performance Measure measures the success rate of first time connectivity of Communications Hubs within 30 days, where the Communications Hub is installed within the Coverage Area according to the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM).
- 197.** For the purposes of this Performance Measure only, as 30 days elapse time is required after the *Attempted First Time Connection*, the *Activity Period* shall be the previous Performance Measurement Period; this Performance Measure will measure attempted Communication Hub installations in the previous calendar month, rather than attempted Communication Hub installations in the Measurement Period.
- 198.** This Performance Measure excludes abandoned Communications Hub installations where the Installer is unable to gain access to the Installation Location.
- 199.** This Performance Measure excludes Communications Hubs that have not been installed
- 200.** The number of *First Time Connections Achieving Connectivity Within 30 Days* shall be the aggregate number of *Attempted First Time Connections* where:

- a) *CH First Time Connected Date*; minus
 - b) *CH First Time Installed Date* is less than or equal to 30 (thirty) days.
- 201.** For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include *Installation Exceptions*.
- 202.** The *Allowed Exceptions* shall apply to the *Successful First Time Connection At Install, Unsuccessful First Time Connection At Install and the Attempted First Time Connections* as calculated in 192.
- 203.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.2_p = 100 \times \left[\frac{FTC30_p}{FTC_p} \right] \%$$

Where:

$FTC30_p$ = the number of *First Time Connections Achieving Connectivity Within 30 Days*

FTC_p = the number of *Attempted First Time Connections*.

- 204.** The duration of the Performance Measurement Period shall be a calendar month. Where the current Performance Measurement Period is February, the Service Level for this Performance Measure shall be calculated following a postponement period after the end of the Performance Measurement Period to accommodate the 30 day target.

4.4 PM1.3 First time SM WAN connectivity within 90 days

- 205.** This Performance Measure measures the success rate of first time connectivity of Communications Hubs within 90 days, where the Communications Hub is installed within the Coverage Area according to the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM).
- 206.** For the purposes of this Performance Measure only, as 90 days elapse time is required after the *Attempted First Time Connection*, the *Activity Period* shall be the previous Performance Measurement Period; this Performance Measure will measure attempted Communications Hub installations in the previous calendar quarter rather than attempted Communication Hub installations in the Performance Measurement Period.
- 207.** The Performance Measure excludes abandoned installations where the Installer is unable to gain access to the Installation Location.
- 208.** This Performance Measure excludes Communications Hubs that have not been installed
- 209.** A number of *First Time Connection Achieving Connectivity within 90 days* shall be the aggregate number of *Attempted First Time Connections* where:
- a) *CH First Time Connected Date*; minus

- b) *CH First Time Installed Date* is less than or equal to 90 (ninety) days.
- 210.** For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include:
- a) *Installation Exceptions*; and
- b) *Attempted First Time Connections* where the User does not return to the relevant Installation Locations for the purpose of resolving a connectivity or Communications Hub issue, as requested by the DCC in accordance with any applicable agreed process, within eighty (80) days of such request.
- 211.** The *Allowed Exceptions* shall apply to the *Successful First Time Connection At Install, Unsuccessful First Time Connection At Install and the Attempted First Time Connections* as calculated in 192.
- 212.** In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.3_{pq} = 100 \times \left[\frac{FTC90_{pq}}{FTC_{pq}} \right] \%$$

Where:

$FTC90_{pq}$ = the number of *First Time Connections Achieving Connectivity within 90 days*

FTC_{pq} = the number of *Attempted First Time Connections*.

- 213.** The duration of the Performance Measurement Period shall be a calendar quarter.

4.5 PM1.4 SM WAN Connectivity Level

- 214.** This Performance Measure measures the enduring level of SM WAN connectivity for Communications Hubs that have been successfully installed as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM) within the Coverage Area.
- 215.** The SM WAN Connectivity Level shall be measured on the last day of each Performance Measurement Period by comparing the number of commissioned Communications Hubs with the number of Communications Hubs where SM WAN connectivity has been reported as lost during the Performance Measurement Period.
- 216.** DCC shall log each Communications Hub connectivity related Incident in the DCC Service Management System.
- 217.** The CSP shall record the relationship between the Communications Hub and Incident.
- 218.** For the purposes of all Performance Measures, the number of *Communications Hubs Successfully Installed In Coverage Area* shall be all Communications Hubs that:
- a) have been through a successful installation (as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM)) within the relevant Coverage Area; and

- b) have a SMI Status of “commissioned” on the last day of the Performance Measurement Period.

219. Any given Communications Hub shall have *Lost Connectivity* when:

- a) the Communications Hub had been through a successful installation (as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM)) within the Coverage Area; and
- b) there existed during the Performance Measurement Period a connectivity related Incident associated with the Communications Hub which had remained unresolved for greater than ten (10) days.

220. For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include *Exempted Communications Hubs*; these shall be any Communications Hub that is included in the number of *Communications Hubs Successfully Installed In Coverage Area* for which:

- a) a Service Request of Service Request Reference Variant 8.14.4 (Communications Hub Status Update – No Fault Return) has been received during the Performance Measurement Period; or
- b) the Communications Hub has a SMI Status of “withdrawn” during the Performance Measurement Period.

221. For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include *Exempted Lost Connectivity Communications Hubs*; these shall be any Communications Hub that has *Lost Connectivity* for which:

- a) the User has failed to visit the Installation Location as requested by the CSP to resolve a connectivity issue within 80 (eighty) days of the request; or
- b) the Communications Hub referenced in the Incident has been returned and has been found to be subject to physical damage, following CH Fault Diagnosis.

222. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.3_p = 100 \times \left[1 - \frac{(CHCL_p - ECHL_p)}{(CHI_p - ECH_p)} \right] \%$$

Where:

CHCL_p = the number of Communications Hubs that have *Lost Connectivity*

ECHL_p = the number of *Exempted Lost Connectivity Communications Hubs*

CHI_p = the number of *Communications Hubs Successfully Installed In Coverage Area*

ECH_p = the number of *Exempted Communications Hubs*.

223. The Performance Measurement Period shall be a calendar month.

4.6 PM6.2 Percentage availability of DCC WAN Gateway Interface

- 224.** The Percentage Availability of the DCC WAN Gateway Interface measures the amount of time, within the Performance Measurement Period, that the DCC WAN Gateway Interface is available to DCC.
- 225.** Provision of the DCC WAN Gateway Interface is an activity that is performed over a period of time.
- 226.** The availability of the components making up the of the DCC WAN Gateway (production services) covering the associated servers, interfaces and networks shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 227.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 228.** Periods of unavailability of the DCC WAN Gateway shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 229.** The *Unscheduled Downtime* of the DCC WAN Gateway Interface shall be calculated as follows:
- a) the number of complete minutes where the DCC WAN Gateway Interface is unavailable to the DCC; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 230.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM6.2_p = 100 \times \left(1 - \left[\frac{UDDWG_p}{RT_p} \right] \right) \%$$

Where:

$UDDWG_p$ = the *Unscheduled Downtime* of the DCC WAN Gateway Interface

RT_p = the number of minutes within the Performance Measurement Period.

- 231.** The duration of the Performance Measurement Period shall be a calendar month.

4.7 PM10 Notification of Planned Maintenance events within required target

- 232.** This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the CSP to DCC within the required notification target.

- 233.** The CSP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales defined in the SEC H8.4, this time scale shall be the *Target Planned Maintenance Notice*.
- 234.** DCC shall record Planned Maintenance events in the DCC Service Management System.
- 235.** Planned Maintenance *Notice Period* shall be calculated as follows:
- a) the date the Planned Maintenance is due to begin; minus
 - b) the date the Planned Maintenance request is received by DCC.
- 236.** Planned Maintenance *Notifications on Target* shall be defined as the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is less than or equal to the *Target Planned Maintenance Notice*.
- 237.** In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM10_{pq} = 100 \times \left[\frac{PNT_{pq}}{PME_{pq}} \right] \%$$

Where:

PNT_{pq} = the number of Planned Maintenance *Notifications on Target*.

PME_{pq} = the number of Planned Maintenance requests received by DCC.

- 238.** The duration of the Performance Measurement Period shall be a calendar quarter.

4.8 PM11 Accuracy of Coverage Database provided to Users

- 239.** This Performance Measure assesses the accuracy of the coverage information provided to Users to support the installation process, as detailed in the CHIMSM.
- 240.** This Performance Measure includes *Attempted Communications Hub Installations* that occurred within the Coverage Area during the Performance Measurement Period.
- 241.** The Performance Measure excludes abandoned installations where the Installer is unable to gain access to the Installation Location.
- 242.** This Performance Measure excludes Communications Hubs that have not been installed.
- 243.** For the purposes of all Performance Measures, for any given Communications Hub an *Attempted Communications Hub Installation* shall occur where:
- a) the installation is within the relevant Coverage Area;
 - b) the CH First Time Installed Date is within the Performance Measurement Period;
 - c) a Service Request of Service Request Reference Variant 8.14.1 (Communications Hub Status Update – Install Success) or a Service

- Request of Service Request Reference Variant 8.14.2 (Communications Hub Status Update – Install No SM WAN) is received for the Communications Hub; and
- d) the installation is not an *Allowed Exception*.
- 244.** The CSPN shall make available a SM WAN Coverage Database that shall contain the following information in accordance with the DCC Service Provider contracts, as aligned with Section H8.16 of the SEC, regarding each Installation Location where the User attempts a Communications Hub installation:
- a) whether a SM WAN signal is available at the Communications Hub Installation Point; and
 - b) which Communications Hub WAN Variant should be installed at the Installation Location.
- 245.** Following an *Attempted Communications Hub Installation*, the SM WAN Coverage Database shall be determined to have an *Inaccurate Coverage Database Prediction* for the relevant Installation Location where:
- a) an *Attempted Communications Hub Installation* is unable to be completed and the reason for no SM WAN connectivity is determined to be that SM WAN coverage is not available where the Coverage Database stated that there was coverage; or
 - b) an *Attempted Communications Hub Installation* is unable to be completed and the WAN Variant determined by the Coverage Database was not correct.
- 246.** For the purposes of this Performance Measure only, the *Allowed Exceptions* in the PMEL for shall include:
- a) *Attempted Communications Hub Installations* where an unresolved Incident is affecting coverage at the Installation Location at the date of installation; specifically this shall not be counted as an *Inaccurate Coverage Database Prediction* or an *Attempted Communications Hub Installation*; or
 - b) *Attempted Communications Hub Installations* where, following DCC Service Provider review, it is determined that the User failed to comply with the CHIMSM in relation to the installation.
- 247.** The number of *Attempted Communications Hub Installations* completed in line with CSPN Coverage Database shall be as follows:
- a) the number of *Attempted Communications Hub Installations*; minus
 - b) the number of *Attempted Communications Hub Installations* where it is determined there has been an *Inaccurate Coverage Database Prediction*.

248. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM11_p = 100 \times \left[\frac{ACS_p}{CHI_p} \right] \%$$

Where:

- ACS_p = number of *Attempted Communications Hub Installations* completed in line with CSPN Coverage Database
- CHI_p = number of *Attempted Communications Hub Installations*

249. The duration of the Performance Measurement Period shall be a calendar month.

4.9 **PM12.1 Percentage of Power Outage Event alerts delivered: 50 Communications Hubs or fewer**

250. This Performance Measure measures the percentage of power outage event Alerts which are sent to the DCC WAN Gateway Interface, compared to the number of power loss Alerts received from the Communications Hubs for power outage events affecting 50 (fifty) Communications Hubs or fewer.
251. The CSP shall receive a power loss Alert from a Communications Hub, received when power is not restored within 3 minutes.
252. The data captured by DCC cannot establish a relationship between one or more power loss Alerts and a physical electrical power outage event and therefore for the purposes of this Performance Measure, multiple power loss Alerts shall be related by virtue of the time the power loss Alert is received within a *Power Outage Event Window*.
253. Each calendar day shall be segmented in to 3 minute intervals starting from 00:00 hours and each segment shall be a *Power Outage Event Window*; all power loss Alerts received in this window shall be related.
254. For the purposes of this Performance Measure only, an *Isolated Power Loss Event* shall be where power loss Alerts are received by the CSP from fifty (50) Communications Hubs or fewer within a *Power Outage Event Window*.
255. In respect of each Performance Measurement Period (p) the Performance Measure shall be calculated as follows:

$$PM12.1_p = 100 \times \left[\frac{POE_p}{CHP_p} \right] \%$$

Where:

- POE_p = number of power outage event Alerts transmitted to the DCC WAN Gateway Interface by the CSP in relation to all *Isolated Power Loss Events*
- CHP_p = number of Communications Hub power loss Alerts received by the CSP in relation to all *Isolated Power Loss Events*.

256. The Performance Measurement Period shall be a calendar month.

4.10 PM12.2 Percentage of Power Outage Event alerts delivered: Greater than 50 Communications Hubs

- 257.** This Performance Measure measures the percentage of power outage event Alerts which are sent to the DCC WAN Gateway Interface, compared to the number of power loss Alerts received from the Communications Hubs for power outage events which detect power loss Alerts from greater than fifty (50) and less than five thousand (5,000) Communication Hubs.
- 258.** The data captured by DCC cannot establish a relationship between one or more power loss Alerts and a physical electrical power outage event and therefore for the purposes of this Performance Measure, multiple power loss Alerts shall be related by virtue of the time the power loss Alert is received within a *Power Outage Event Window* as detailed in 253.
- 259.** The CSP shall receive a power loss Alert from a Communications Hub, received when power loss is not restored within 3 minutes.
- 260.** For the purposes of this Performance Measure only, a *Small Power Loss Event* shall be where power loss Alerts are received by the CSP from between fifty (50) Communications Hubs and five thousand (5,000) Communications Hubs, within a *Power Outage Event Window*.
- 261.** The CSP shall send the first 50 power loss Alerts received and is obligated to send at least 25% of the remaining power loss Alerts received in relation to the *Small Power Loss Event*; the CSP may choose to apply a level of throttling (to reflect the 25% minimum obligation) to protect the performance of the service.
- 262.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM12.2_p = 100\% \times \left[\frac{POE_p}{\{(CHP_p - 50) \times 0.25\} + 50} \right]$$

Where:

POE_p = number of power outage event Alerts transmitted to DCC WAN Gateway Interface by the CSP in relation to all *Small Power Loss Events*.

CHP_p = number of Communications Hub power loss Alerts received by the CSP in relation to all *Small Power Loss Event*.

- 263.** The duration of the Performance Measurement Period shall be a calendar month.

4.11 CH1.1 Percentage of Communications Hubs delivered on time

- 264.** This Performance Measure measures the success of the CSP in delivering the volumes of Communications Hubs required by *DCC Service Users* according to schedule during the Performance Measurement Period.
- 265.** For each Communications Hub delivered, the Communications Hub shall be determined to be a *Delivered On Time Communications Hub* where:

- a) the delivery of the relevant Communications Hub at the designated Delivery Location is completed in accordance with CHHSM;
 - b) delivery of the relevant Communications Hub occurred on the scheduled Delivery Date; and
 - c) the relevant Communications Hub was not rejected by the relevant *DCC Service User* in accordance with CHHSM.
- 266.** The delivery information shall be recorded by the DCC; the DCC shall store this data in the Communications Hubs Order Management System.
- 267.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CH1.1_p = 100 \times \left[\frac{CHDOT_p}{CHD_p} \right] \%$$

Where:

$CHDOT_p$ = the number of *Delivered On Time Communications Hubs* during the Performance Measurement Period.

CHD_p = the number of Communications Hubs scheduled for delivery during the Performance Measurement Period.

- 268.** The duration of the Performance Measurement Period shall be a calendar month.
- 269.** This Performance Measure shall only apply in relation to the Initial Mass Roll Out Phase and the Unconstrained Mass Roll Out Phase.

4.12 CH1.2 Percentage of Communications Hubs accepted by DCC Service Users

- 270.** This Performance Measure measures the success of the CSP in delivering Communications Hubs that pass the visual inspections carried out by *DCC Service Users* in accordance with the Communications Hub Handover Support Materials (CHHSM) to the designated Delivery Locations.
- 271.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CH1.2_p = 100 \times \left[\frac{CHA_p}{CHD_p} \right] \%$$

Where:

CHA_p = the number of Communications Hubs accepted by *DCC Service Users* in each Performance Measurement Period.

CHD_p = the number of Communications Hub delivered to *DCC Service Users* during the Performance Measurement Period.

- 272.** The duration of the Performance Measurement Period shall be a calendar month.

4.13 CH1.3 Percentage of Communications Hubs determined not to be faulty following attempted installation

- 273.** This Performance Measure measures the degree to which the Communications Hubs supplied by the CSP to the *DCC Service Users* cannot be successfully commissioned as a result of a fault that is determined to be the responsibility of the CSP.
- 274.** For the purposes of this Performance Measure only, as up to 5 months can elapse between the *Attempted Communications Hub Installation* and the completion of the *Fault Analysis Report*, the *Activity Period* shall be the calendar month ending 6 months prior to the start of the Performance Measurement Period. This Performance Measure will measure attempted Communication Hub installations in the calendar month 6 months prior to the start of the Performance Measurement Period, rather than attempted Communication Hub installations in the Performance Measurement Period.
- 275.** This Performance Measure measures all attempted installations; *Attempted Communications Hub Installations* shall be as calculated in 243.
- 276.** Where a Communications Hub is returned it shall be *Determined to be Subject to a CSPN Fault* except where one or more of the following apply:
- after CH Fault Diagnosis, the fault is due to physical damage after delivery;
 - the User failed to correctly follow the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM);
 - the User failed to comply with the Communications Hub Handover Support Materials (CHHSM) in relation to the storage and transit of the relevant Communications Hub;
 - after CH Fault Diagnosis and following any arbitration, there is a fault reason of "No Fault Found"; or
 - on an individual Communications Hubs returns basis, the DCC, the User and the CSP determine that the Communications Hub is not subject to a CSP Fault; volumes shall be monitored under the DCC Service Provider performance management regime.
- 277.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CH1.3_p = 100 \times \left(1 - \left[\frac{CHF_p}{CHI_p} \right] \right) \%$$

Where:

CHF_p = the number of *Communications Hubs Determined to be Subject to a CSPN Fault*.

CHI_p = the number of *Attempted Communications Hub Installations*.

- 278.** The duration of the Performance Measurement Period shall be a calendar month.

4.14 CH2.1 Percentage of Communications Hub Incidents resolved by remote maintenance

- 279.** This Performance Measure measures the ability of the DCC and the CSP to resolve Communications Hub Incidents remotely.
- 280.** The CSP shall record Incidents in the DCC Service Management System.
- 281.** A *Communication Hub Incident Resolved Remotely* shall be an Incident that is:
- a) raised against a commissioned Communications Hub;
 - b) resolved during the Performance Measurement Period; and
 - c) is identified as being fixed remotely within the DCC Service Management System.
- 282.** A *Remotely Fixable Communications Hubs Incident* shall be an Incident that is capable of being resolved by remote maintenance and identified as:
- a) assigned to the CSP at the point of measure;
 - b) raised against a commissioned Communications Hub;
 - c) being capable of being fixed remotely through the use of remote tools or communications;
 - d) resolved during the Performance Measurement Period; and
 - e) not being an *Allowed Exception*.
- 283.** For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include Incidents:
- a) where, after CH Fault Diagnosis, the fault has a been determined to be due to physical damage; or
 - b) where it is determined that the User failed to correctly follow the Communications Hub Installation and Maintenance Support Materials (CHIMSM).
- 284.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:
- $$CH2.1_{pr} = 100 \times \left[\frac{CHIR_p}{CHINC_p} \right] \%$$
- Where:
- $CHIR_p$ = the number of *Communication Hub Incidents Resolved Remotely*.
- $CHINC_p$ = the number of *Remotely Fixable Communications Hubs Incidents*.
- 285.** The duration of the Performance Measurement Period shall be a calendar month.

5 CSP Central & South Performance Measures

5.1 Scope

- 286.** The Reported List of Service Provider Performance Measures includes a subset of the Communications Service Provider Central and South (CSPC /S) Performance Measures.
- 287.** The scope of each Performance Measure is limited to the Services provided by each CSP for the relevant CSP Region.
- 288.** Table 10 lists the CSPC/S Performance Measures on Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
1.1	First time SM WAN connectivity at install	Events	SM
1.2	First time SM WAN connectivity within 90 days	Events	SM
1.3	SM WAN connectivity Level	Events	SM
6.2	Percentage availability of DCC SM WAN Gateway Interface	%Time	SM
10	Notification of Planned Maintenance events within required target	Events	KPI
11	Accuracy of installation recommendation provided DCC Service Users via Coverage Database	Events	KPI
12.1	Percentage of Power Outage Event alerts delivered: 50 Communications Hubs or fewer	Events	KPI
12.2	Percentage of Power Outage Event alerts delivered: greater than 50 Communications hubs	Events	KPI
CH1.1	Percentage of Communications Hubs delivered on time	Events	SM
CH1.2	Percentage of Communications Hubs accepted by DCC Service Users	Events	SM
CH1.3	Percentage of Communications Hubs determined not to be faulty following attempted installation	Events	SM
CH2.1	Percentage of Communications Hub Incidents resolved by remote maintenance	Events	SM

Table 10 Reported List of Service Provider Performance Measures – CSPC/S Scope

5.2 PM1.1 First time SM WAN connectivity at install

- 289.** This Performance Measure measures the success rate of achieving first time connectivity for Communications Hubs at the point of installation, where the Communications Hub is installed within the Coverage Area according to the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM).
- 290.** This Performance Measure excludes abandoned Communications Hubs installations where the Installer is unable to gain access to the Installation Location.
- 291.** This Performance Measure excludes Communications Hubs that have not been installed.
- 292.** For the purposes of CSP Central and South Performance Measures only, for any given Communications Hub the *CH First Time Installed Date* shall be the installation date of the Communications Hub which shall be:
- a) the date of receipt of the Service Request of Service Request Reference Variant 8.14.1 (Communications Hub Status Update – Install Success) received for the Communications Hub; or the Service Request of Service Request Reference Variant 8.14.2 (Communications Hub Status Update – Install No SM WAN) received for the Communications Hub.
- 293.** For any given Communications Hub the *CH First Time Connected Date* shall be the date defined in 189.
- 294.** For any given Communications Hub a *Successful First Time Connection At Install* shall occur as defined in 190.
- 295.** For any given Communications Hub an *Unsuccessful First Time Connection At Install* shall occur as defined in 191.
- 296.** The number of *Attempted First Time Connections* shall be calculated as in 192.
- 297.** For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include *Installation Exceptions*.
- 298.** The *Allowed Exceptions* shall apply to the *Successful First Time Connection At Install*, *Unsuccessful First Time Connection At Install* and the number of *Attempted First Time Connections* as calculated in 192.
- 299.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.1_p = 100 \times \left[\frac{FTCI_p}{FTC_p} \right] \%$$

Where:

$FTCI_p$ = the number of *Successful First Time Connections At Install*

FTC_p = the number of *Attempted First Time Connections*.

- 300.** The duration of the Performance Measurement Period shall be a calendar month unless there are less than 1,000 *Attempted First Time Connections*.

Where there are less than 1,000, the Performance Measurement Period shall be the least number of whole consecutive calendar months required for the *Attempted First Time Connections* to be greater or equal to 1,000 (One Thousand).

5.3 PM1.2 First time SM WAN connectivity within 90 days

- 301. This Performance Measure measures the success rate of first time connectivity of Communications Hubs within 90 days, where the Communications Hub is installed within the Coverage Area according to the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM).
- 302. For the purposes of this Performance Measure only, as 90 days elapsed time is required after the *Attempted First Time Connection*, the *Activity Period* shall be the previous Performance Measurement Period. This Performance Measure will measure attempted Communication Hub installations in the previous calendar quarter rather than attempted Communication Hub installations in the Performance Measurement Period.
- 303. This Performance Measure excludes abandoned installations where the Installer is unable to gain access to the Installation Location.
- 304. This Performance Measure excludes Communications Hubs that have not been installed.
- 305. For any given Communications Hub the *CH First Time Installed Date* shall be the date defined in 292.
- 306. The number of *Attempted First Time Connections* shall be calculated as in 192.
- 307. The number of *First Time Connection Achieving Connectivity within 90 days* shall be calculated as in 209.
- 308. For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include:
 - a) *Installation Exceptions*;
 - b) *Attempted First Time Connections* where access to the relevant Installation Locations to inspect the Communications Hub to carry out remedial work is not granted within 90 days (subject to the CSP demonstrating it has used reasonable endeavours to secure such access);
 - c) *Attempted First Time Connections* where third party permissions or planning consents required for remedial work outside of the Installation Location are not granted in a timely manner so as to enable compliance with 90 days (subject to the CSP demonstrating it has used reasonable endeavours to enforce all rights and powers at its disposal to secure such permissions or consents);
 - d) *Attempted First Time Connections* where the User does not replace a Communications Hub, as requested by the CSP in accordance CHIMSM,

for the purpose of resolving a connectivity issue, within 80 (eighty) days of such request; and

- e) *Attempted First Time Connections* where the particular premise is included in the CSP Network Enhancement Plan ("NEP") in agreement with DCC.

309. The *Allowed Exceptions* shall apply to the *Successful First Time Connection At Install, Unsuccessful First Time Connection At Install* and the number of *Attempted First Time Connections* as calculated in 192.

310. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.3_{pq} = 100 \times \left[\frac{FTC90_{pq}}{FTC_{pq}} \right] \%$$

Where:

FTC90_{pq} = the number of *First Time Connection Achieving Connectivity within 90 days*

FTC_{pq} = the number of *Attempted First Time Connections*.

311. The duration of the Performance Measurement Period shall be a calendar quarter.

5.4 PM1.3 SM WAN Connectivity Level

312. This Performance Measure measures the enduring level of SM WAN connectivity for Communications Hubs that have been successfully installed as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM) within the Coverage Area.

313. The SM WAN Connectivity Level shall be measured on the last day of each Performance Measurement Period by comparing the number of commissioned Communications Hubs with the number of Communications Hubs where SM WAN connectivity has been lost during the Performance Measurement Period.

314. DCC shall log each Communications Hub connectivity related Incident in the DCC Service Management System.

315. The CSP shall record the relationship between the Communications Hub and Incident.

316. The number of *Communications Hubs Successfully Installed In Coverage Area* shall be calculated as defined in 218.

317. A Communications Hub shall have *Lost Connectivity* as calculated in 219.

318. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM1.3_p = 100 \times \left(1 - \left[\frac{CHCL_p}{CHI_p} \right] \right) \%$$

Where:

$CHCL_p$ = the number of Communications Hubs that have *Lost Connectivity*

CHI_p = the number of *Communications Hubs Successfully Installed in the Coverage Area*.

- 319.** The duration of the Performance Measurement Period shall be a calendar month.

5.5 PM6.2 Percentage availability of DCC WAN Gateway Interface

- 320.** The Percentage Availability of the DCC WAN Gateway Interface measures the amount of time, within the Performance Measurement Period, that the DCC WAN Gateway Interface is available to DCC.
- 321.** Provision of the DCC WAN Gateway Interface is an activity that is performed over a period of time.
- 322.** The availability of the components making up the of the DCC WAN Gateway (production services) covering the associated servers, interfaces and networks shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 323.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 324.** Periods of unavailability of the DCC WAN Gateway shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 325.** The *Unscheduled Downtime* of the DCC WAN Gateway Interface shall be calculated as follows:
- a) the number of complete minutes where the DCC WAN Gateway Interface is unavailable to the DCC; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 326.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM6.2_p = 100 \times \left(1 - \left[\frac{UDDWG_p}{RT_p} \right] \right) \%$$

Where:

$UDDWG_p$ = the *Unscheduled Downtime* of the DCC WAN Gateway Interface

RT_p = the number of minutes within the Performance Measurement Period.

327. The duration of the Performance Measurement Period shall be a calendar month.

5.6 PM10 Notification of Planned Maintenance events within required target

328. This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the CSP to DCC within the required notification target.
329. The CSP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales within the defined in section H8.4 of the SEC; this time scale shall be the *Target Planned Maintenance Notice*.
330. DCC shall record Planned Maintenance events in the DCC Service Management System.
331. Planned Maintenance *Notice Period* shall be calculated as follows:
- a) the date the Planned Maintenance is due to begin; minus
 - b) the date the Planned Maintenance request is received by DCC.
332. Planned Maintenance *Notifications on Target* shall be defined as the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is less than or equal to *Target Planned Maintenance Notice*.
333. In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM10_{pq} = 100 \times \left[\frac{PNT_{pq}}{PME_{pq}} \right] \%$$

Where:

PNT_{pq} = the number of Planned Maintenance *Notifications on Target*.

PME_{pq} = the number of Planned Maintenance requests received by DCC.

334. The duration of the Performance Measurement Period shall be a calendar quarter.

5.7 PM11 Accuracy of installation recommendation provided to DCC Service Users via Coverage Database

335. This Performance Measure assesses the accuracy of the coverage information provided to Users to support the installation process, as detailed in the CHIMSM.
336. This Performance Measure includes *Attempted Communications Hub Installations* that occurred within the Coverage Area during the current Performance Measurement Period.

- 337.** The Performance Measure excludes abandoned installations where the Installer is unable to gain access to the Installation Location.
- 338.** This Performance Measure excludes Communications Hubs that have not been installed.
- 339.** The CSPC/S shall make available a SM WAN Coverage Database that shall contain the following information in accordance with section H8.16 of the SEC about each Installation Location where the User attempts a Communications Hub installation:
- a) whether SM WAN signal is available at the Communications Hub Installation Point; and
 - b) which Communications Hub WAN Variant should be installed at the Installation Location.
- 340.** *Attempted Communications Hub Installations* shall be as calculated in 243.
- 341.** For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include *Attempted Communications Hub Installations* where an unresolved Incident is affecting coverage at the Installation Location at the date of installation. Specifically such an *Attempted Communications Hub Installation* shall not be counted as an *Inaccurate Coverage Database Prediction*.
- 342.** The number of *Attempted Communications Hub Installations* completed in line with CSPC/S Coverage Database shall be as follows:
- a) the number of *Attempted Communications Hub Installations*; minus
 - b) the number of *Attempted Communications Hub Installations* where it is determined there has been an *Inaccurate Coverage Database Prediction* after *Allowed Exceptions*.
- 343.** The *Allowed Exceptions* shall apply to the *Attempted Communications Hub Installations* as calculated in 243.
- 344.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM11_p = 100 \times \left[\frac{ACS_p}{CHI_p} \right] \%$$

Where:

ACS_p = number of *Attempted Communications Hub Installations* Completed In Line with CSPC/S Coverage Database

CHI_p = number of *Attempted Communications Hub Installations*

- 345.** The duration of the Performance Measurement Period shall be a calendar month.

5.8 PM12.1 Percentage of Power Outage Event alerts delivered: 50 Communications Hubs or fewer

- 346.** This Performance Measure measures the percentage of power outage event Alerts which are sent to the DCC WAN Gateway Interface, compared to the

number of power loss Alerts received from the Communications Hubs for power outage events affecting 50 (fifty) Communications Hubs or fewer.

347. The CSP shall create a power loss Alert following receipt of a Communications Hub power loss notification that is not followed by a successful communication from the effected Communications Hub within 3 minutes of receipt of the power loss notification.
348. The data captured by the DCC cannot establish a relationship between one or more power loss Alerts and a physical electrical power outage event and therefore for the purposes of this Performance Measure, multiple power loss Alerts shall be related by virtue of the time the power loss Alert is received.
349. A *Power Outage Event Window* shall be as defined in 253.
350. For the purposes of this Performance Measure only, an *Isolated Power Loss Event* shall be where power loss Alerts are created by the CSP for fifty (50) Communications Hubs or fewer within a *Power Outage Event Window*.
351. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM12.1_p = 100 \times \left[\frac{POE_p}{CHP_p} \right] \%$$

Where:

- POE_p = number of power loss Alerts transmitted by the CSP to the DCC WAN Gateway Interface by the CSP in relation to all *Isolated Power Loss Events*.
- CHP_p = number of Communications Hub power loss Alerts created by the CSP in relation to all *Isolated Power Loss Events*.

352. The duration of the Performance Measurement Period shall be a calendar month.

5.9 PM12.2 Percentage of Power Outage Event alerts delivered: Greater than 50 Communications Hubs

353. This Performance Measure measures the percentage of power outage event Alerts which are sent to the DCC WAN Gateway Interface, compared to the number of power loss Alerts received from Communications Hubs for power outage events which detect power loss Alerts from between 50 (fifty) Communication Hubs and 5,000 (five thousand) Communications Hubs.
354. The CSP shall create a power loss Alert following receipt of a Communications Hub power loss notification that is not followed by a successful communication from the effected Communications Hub within 3 minutes of receipt of the power loss notification.
355. The data captured by the DCC cannot establish a relationship between one or more power loss Alerts and a physical electrical power outage event and therefore for the purposes of this Performance Measure, multiple power loss Alerts shall be related by virtue of the time the power loss Alert is received.

- 356.** For the purposes of this Performance Measure only, a *Small Power Loss Event* shall be where power loss Alerts are created by the CSP for between fifty (50) Communication Hubs and five thousand (5,000) Communications Hubs, within a Power Outage Event Window.
- 357.** The CSP shall send the first 50 power loss Alerts created and is obligated to send at least 25% of the remaining power loss Alerts created in relation to the *Small Power Loss Event*; the CSP may choose to apply a level of throttling (to reflect the 25% minimum obligation) to protect the performance of the service.
- 358.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM12.2_p = 100\% \times \left[\frac{POE_p}{\{(CHP_p - 50) \times 0.25\} + 50} \right]$$

Where:

POE_p = number of power outage event Alerts transmitted to the DCC WAN Gateway Interface by the CSP in relation to a *Small Power Loss Event*.

CHP_p = number of Communications Hub power loss Alerts created by the CSP in relation to all *Small Power Loss Event*.

- 359.** The duration of the Performance Measurement Period shall be a calendar month.

5.10 CH1.1 Percentage of Communications Hubs delivered on time

- 360.** This Performance Measure measures the success of the CSP in delivering the volumes of Communications Hubs required by *DCC Service Users* according to schedule during the Performance Measurement Period.
- 361.** The delivery information shall be recorded by the DCC; the DCC shall store this data in the Communications Hubs Order Management System.
- 362.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CH1.1_p = 100 \times \left[\frac{CHDOT_p}{CHD_p} \right] \%$$

Where:

$CHDOT_p$ = number of *Delivered On Time Communications Hubs* during the Performance Measurement Period.

CHD_p = number of Communications Hubs scheduled for delivery during the Performance Measurement Period.

- 363.** The Performance Measurement Period shall be a calendar month.
- 364.** The Performance Measure shall only apply in relation to the Initial Mass Roll Out Phase and the Unconstrained Mass Roll Out Phase.

5.11 CH1.2 Percentage of Communications Hubs accepted by DCC Service Users

- 365.** This Performance Measure measures the success of the CSP in delivering Communications Hubs to the designated Delivery Location that pass the visual inspections carried out by *DCC Service Users* in accordance with the Communications Hub Handover Support Materials (CHHSM).
- 366.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CH1.2_p = 100 \times \left[\frac{CHA_p}{CHD_p} \right] \%$$

Where:

- CHA_p = the number of Communications Hubs accepted by *DCC Service Users* in each Performance Measurement Period.
- CHD_p = the number of Communications Hub delivered to *DCC Service Users* during the Performance Measurement Period.

- 367.** The duration of the Performance Measurement Period shall be a calendar month.

5.12 CH1.3 Percentage of Communications Hubs determined not to be faulty following attempted installation

- 368.** This Performance Measure measures the degree to which the Communications Hubs supplied by the CSP to the *DCC Service Users* cannot be successfully commissioned as a result of a fault that is determined to be the responsibility of the CSP.
- 369.** For the purposes of this Performance Measure only, as up to 5 months can elapse between the *Attempted Communications Hub Installation* and the completion of the *Fault Analysis Report*, the *Activity Period* shall be the calendar month ending 6 months prior to the start of the Performance Measurement Period. This Performance Measure will measure attempted Communication Hub installations in the calendar month 6 months prior to the start of the Performance Measurement Period, rather than attempted Communication Hub installations in the Performance Measurement Period.
- 370.** This Performance Measure measures all attempted installations; *Attempted Communications Hub Installations* shall be as calculated in 243.
- 371.** Where a Communications Hub is returned it shall be *Determined to be Subject to a CSPC/S Fault* except where one or more of the following apply:
- after CH Fault Diagnosis, the fault is due to physical damage after delivery;
 - the User failed to correctly follow the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM);
 - the User failed to comply with the Communications Hub Handover Support Materials (CHHSM) in relation to the storage and transit of the relevant Communications Hub;

- d) after CH Fault Diagnosis and following any arbitration, there is a fault reason of “No Fault Found”; or
- e) on an individual Communications Hubs returns basis, the DCC, the User and the CSP determine that the Communications Hub is not subject to a CSP Fault; volumes shall be monitored under the DCC Service Provider performance management regime.

372. For the purposes of this Performance Measure only, and for the avoidance of doubt, where a Communications Hub is returned where a cellular WAN Variant is successfully installed at Installation Location, and the CSP subsequently wishes to install a cellular + RF mesh WAN Variant in its place in order to enable other Communications Hubs with a cellular + RF mesh WAN Variant to connect to the SM WAN it shall be *Determined to be Subject to a CSPC/S Fault*.

373. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$CH1.3_p = 100 \times \left(1 - \left[\frac{CHF_p}{CHI_p} \right] \right) \%$$

Where:

CHF_p = number of *Communications Hubs Determined to be Subject to a CSPC/S Fault*

CHI_p = number of *Attempted Communications Hub Installations*.

374. The duration of the Performance Measurement Period for shall be a calendar month.

5.13 CH2.1 Percentage of Communications Hub Incidents resolved by remote maintenance

375. This Performance Measure measures the ability of the DCC and the CSP to resolve Communications Hub Incidents remotely.

376. The CSP shall record Incidents in the DCC Service Management System.

377. A *Communication Hub Incident Resolved Remotely* shall be as calculated in 281.

378. A *Remotely Fixable Communications Hubs Incident* shall be as calculated in 282.

379. For the purposes of this Performance Measure only, the list of notable or contracted *Allowed Exceptions* in the PMEL shall include Incidents:

- a) where, after CH Fault Diagnosis, the fault has a been determined to be due to physical damage; or
- b) where it is determined that the User failed to correctly follow the Communications Hub Installation and Maintenance Support Materials (CHIMSM).

380. In respect of each Performance Measurement Period (p) the Service Level for the Performance Measure shall be calculated as follows:

$$CH2.1_{pr} = 100 \times \left[\frac{CHIR_p}{CHINC_p} \right] \%$$

Where:

$CHIR_p$ = the number of *Communication Hub Incidents Resolved Remotely*.

$CHINC_p$ = the number of *Remotely Fixable Communications Hubs Incidents*.

381. The duration of the Performance Measurement Period shall be a calendar month.

6 Initial Operating Capability Performance Measures

6.1 Scope

- 382.** The Reported List of Service Provider Performance Measures includes a subset of the SMETS 1 Services Provider (S1SP) Performance Measures.
- 383.** Table 11 lists the IOC Performance Measures on the Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
2.1	Percentage Service availability – DCC Data Service (Production Services)	%Time	SM
2.4	Percentage Service availability – Management Service Interface	%Time	SM
2.7	Percentage Service availability - Externally exposed test services (08.00 to 20.00 UTC Monday to Saturday)	%Time	SM
3.1	Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
3.2	Number of Severity Level 3, 4, or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
7	Notification of Planned Maintenance events within required target	Events	KPI

Table 11 Reported List of Service Provider Performance Measures – IOC Scope

6.2 PM 2.1 Percentage Service availability – DCC Data Service (Production Services)

- 384.** The *DCC Data Service* means the systems and communications required to provide for the processing of Service Requests, Pre-Commands, Commands, Service Responses and Alerts and the holding or using of Registration Data.
- 385.** Provision of the *DCC Data Service* is an activity that is performed over a period of time.
- 386.** The availability of the components making up the *DCC Data Service*, covering associated S1SP servers, interfaces and networks, shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.

- 387.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 388.** Periods of unavailability of the *DCC Data Service* shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 389.** The *Unscheduled Downtime* of the *DCC Data Service* shall be calculated as follows:
- a) the number of complete minutes where the *DCC Data Service* is unavailable for Service Request processing; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 390.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.1_p = 100 \times \left(1 - \left[\frac{UDDDS_p}{RT_p} \right] \right) \%$$

Where:

$UDDDS_p$ = the *Unscheduled Downtime* of the *DCC Data Service* in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 391.** The duration of the Performance Measurement Period shall be a calendar month.

6.3 PM2.4 Percentage Service availability – S1SP Management Interface (Production Services)

- 392.** The Percentage Availability of the S1SP Management Interface measures the amount of time, in any given Measurement Period, that the Management Interface is available to the DCC.
- 393.** The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of Management Interface Downtime, equal to the total number of minutes where the Management Interface is unavailable to the DCC.
- 394.** The methodology for measuring the Management Interface Downtime is further described in the Availability Plan (as developed by the Contractor in accordance with Schedule 6.3 (Development Process)).
- 395.** The Percentage Availability of the Management Interface shall be calculated as follows:

$$PM2.4_p = 100 \times \left(1 - \left[\frac{DMI_p}{RT_p} \right] \right) \%$$

Where:

DMI_p = the *Downtime* of the Management Interface in minutes
 RT_p = the number of minutes within the Performance Measurement Period.

396. The duration of the Performance Measurement Period shall be a calendar month.

6.4 PM2.7 Percentage Service availability - Externally Exposed Testing Services (08.00 to 20.00 UTC Monday to Saturday)

397. This Performance Measure measures the availability of the *Externally Exposed Test Environments* that have been requested by DCC and are exposed to Users or an external Party.

398. For any Test Environment provided by the Contractor to the DCC, the Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of unscheduled Test Environment Downtime, for each Test Environment, equal to the total number of minutes between 08:00 and 20:00 UTC where the Test Environment is unavailable to the DCC.

399. The methodology for measuring the Test Environment Downtime is further described in the Availability Plan (as developed by the Contractor in accordance with Schedule 6.3 (Development Process)).

400. In respect of each UIT Test Environment (e) and for each Performance Measurement Period (p), the Test Environment Availability shall be calculated as follows:

$$\text{Test Environment Availability}_{p,e} = 100 \times \left(1 - \left[\frac{UDTE_{p,e}}{RTE_p} \right] \right) \%$$

Where:

$UDTE_{p,e}$ = the *Unscheduled Downtime* of the *Test Environment* in minutes

RTE_p = the number of minutes in the Testing Services core service hours within the Performance Measurement Period.

401. In respect of each Performance Measurement Period (p) the Performance Measure shall be the arithmetic mean of the Test Environment Availability Levels, calculated as follows:

$$PM2.7_p = \frac{1}{n} \sum_{e=1}^n \text{Test Environment Availability}_{p,e} \%$$

Where:

n = the number of Test Environment Availability Service Measures reported to DCC in this Performance Measurement Period.

402. The duration of the Performance Measurement Period shall be a calendar month.

6.5 PM3.1 Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

- 403. This Performance Measure measures the number of Severity Level 1 or 2 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
- 404. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
- 405. DCC shall record each *Change Release* in the DCC Service Management System.
- 406. DCC shall log each Incident in the DCC Service Management System and record the relationship between the Change Release and Incident.
- 407. *Severity 1 and Severity 2 Incidents Caused by a Change Release* shall be Incidents:
 - a) categorised as Category (Severity) 1 or Category (Severity) 2 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
- 408. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM3.1_p = \text{Severity 1 and Severity 2 Incidents Closed which were Caused by a Change Release}$$

- 409. The duration of the Performance Measurement Period shall be a calendar month.

6.6 PM3.2 Number of Severity Level 3, 4 or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

- 410. This Performance Measure measures the number of Severity Level 3, 4 or 5 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
- 411. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
- 412. DCC shall record each *Change Release* in the DCC Service Management System.
- 413. DCC shall log each Incident in the DCC Service Management System and record the relationship between the Change Release and Incident.

- 414.** *Severity 3, Severity 4 and Severity 5 Incidents Caused by a Change Release* shall be Incidents:
- a) categorised as Category (Severity) 3, Category (Severity) 4, or Category (Severity) 5 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
- 415.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM3.1_p = \text{Severity 3, Severity 4 and Severity 5 Incidents Closed which were Caused by a Change Release}$$

- 416.** The duration of the Performance Measurement Period shall be a calendar month.

6.7 PM7 Notification of Planned Maintenance events within required target

- 417.** This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the S1SP to DCC within the required notification target.
- 418.** The S1SP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales defined in section Part C of Schedule 2.1 (DCC requirements), this time scale shall be the *Target Planned Maintenance Notice*.
- 419.** DCC shall record Planned Maintenance events in the DCC Service Management System.
- 420.** Planned Maintenance *Notice Period* shall be calculated as follows:
- a) the date the Planned Maintenance is due to begin; minus
 - b) the date the Planned Maintenance request is received by DCC.
- 421.** Planned Maintenance *Notifications on Target* shall be the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is more than or equal to the *Target Planned Maintenance Notice*.
- 422.** In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM7_p = 100 \times \left[\frac{PNMT_{pq}}{PME_{pq}} \right] \%$$

Where:

$PNMT_{pq}$ = the number of Planned Maintenance *Notifications on Target*

PME_{pq} = the number of Planned Maintenance requests received by DCC.

- 423.** The duration of the Performance Measurement Period shall be a calendar quarter.

7 Middle Operating Capability Performance Measures

7.1 Scope

- 424.** The Reported List of Service Provider Performance Measures includes a subset of the SMETS 1 Services Provider (S1SP) Performance Measures.
- 425.** Table 12 lists the MOC Performance Measures on the Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
2.1	Percentage Service availability – DCC Data Service (Production Services)	%Time	SM
2.4	Percentage Service availability – Management Service Interface	%Time	SM
2.7	Percentage Service availability - Externally exposed test services (08.00 to 20.00 UTC Monday to Saturday)	%Time	SM
3.1	Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
3.2	Number of Severity Level 3, 4, or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
7	Notification of Planned Maintenance events within required target	Events	KPI

Table 12 Reported List of Service Provider Performance Measures – MOC Scope

7.2 PM 2.1 Percentage Service availability – DCC Data Service (Production Services)

- 426.** The *DCC Data Service* means the systems and communications required to provide for the processing of Service Requests, Pre-Commands, Commands, Service Responses and Alerts and the holding or using of Registration Data.
- 427.** Provision of the *DCC Data Service* is an activity that is performed over a period of time.
- 428.** The availability of the components making up the *DCC Data Service*, covering associated S1SP servers, interfaces and networks, shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.

- 429.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 430.** Periods of unavailability of the *DCC Data Service* shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 431.** The *Unscheduled Downtime* of the *DCC Data Service* shall be calculated as follows:
- a) the number of complete minutes where the *DCC Data Service* is unavailable for Service Request processing; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 432.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.1_p = 100 \times \left(1 - \left[\frac{UDDDS_p}{RT_p} \right] \right) \%$$

Where:

$UDDDS_p$ = the *Unscheduled Downtime* of the *DCC Data Service* in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 433.** The duration of the Performance Measurement Period shall be a calendar month.

7.3 PM2.4 Percentage Service availability – S1SP Management Interface (Production Services)

- 434.** The Percentage Availability of the S1SP Management Interface measures the amount of time, in any given Measurement Period, that the Management Interface is available to the DCC.
- 435.** The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of Management Interface Downtime, equal to the total number of minutes where the Management Interface is unavailable to the DCC.
- 436.** The methodology for measuring the Management Interface Downtime is further described in the Availability Plan (as developed by the Contractor in accordance with Schedule 6.3 (Development Process)).
- 437.** The Percentage Availability of the Management Interface shall be calculated as follows:

$$PM2.4_p = 100 \times \left(1 - \left[\frac{DMI_p}{RT_p} \right] \right) \%$$

Where:

DMI_p = the *Downtime* of the Management Interface in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 438.** The duration of the Performance Measurement Period shall be a calendar month.

7.4 PM2.7 Percentage Service availability - Externally Exposed Testing Services (08.00 to 20.00 UTC Monday to Saturday)

- 439.** This Performance Measure measures the availability of the *Externally Exposed Test Environments* that have been requested by DCC and are exposed to Users or an external Party.
- 440.** For any Test Environment provided by the Contractor to the DCC, the Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of *Unscheduled Test Environment Downtime*, for each Test Environment, equal to the total number of minutes between 08:00 and 20:00 UTC where the Test Environment is unavailable to the DCC.
- 441.** The methodology for measuring the Test Environment Downtime is further described in the Availability Plan (as developed by the Contractor in accordance with Schedule 6.3 (Development Process)).
- 442.** In respect of each UIT Test Environment (e) and for each Performance Measurement Period (p), the Test Environment Availability shall be calculated as follows:

$$\text{Test Environment Availability}_{p,e} = 100 \times \left(1 - \left[\frac{UDTE_{p,e}}{RTE_p} \right] \right) \%$$

Where:

$UDTE_{p,e}$ = the *Unscheduled Downtime* of the *Test Environment* in minutes

RTE_p = the number of minutes in the Testing Services core service hours within the Performance Measurement Period.

- 443.** In respect of each Performance Measurement Period (p) the Performance Measure shall be the arithmetic mean of the Test Environment Availability Levels, calculated as follows:

$$PM2.7_p = \frac{1}{n} \sum_{e=1}^n \text{Test Environment Availability}_{p,e} \%$$

Where:

n = the number of Test Environment Availability Service Measures reported to DCC in this Performance Measurement Period.

444. The duration of the Performance Measurement Period shall be a calendar month.

7.5 PM3.1 Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

445. This Performance Measure measures the number of Severity Level 1 or 2 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
446. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
447. DCC shall record each *Change Release* in the DCC Service Management System.
448. DCC shall log each Incident in the DCC Service Management System and record the relationship between the Change Release and Incident.
449. *Severity 1 and Severity 2 Incidents Caused by a Change Release* shall be Incidents:
- a) categorised as Category (Severity) 1 or Category (Severity) 2 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
450. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM3.1_p = \text{Severity 1 and Severity 2 Incidents Closed which were Caused by a Change Release}$$

451. The duration of the Performance Measurement Period shall be a calendar month.

7.6 PM3.2 Number of Severity Level 3, 4 or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

452. This Performance Measure measures the number of Severity Level 3, 4 or 5 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
453. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
454. DCC shall record each *Change Release* in the DCC Service Management System.

- 455.** DCC shall log each Incident in the DCC Service Management System and record the relationship between the Change Release and Incident.
- 456.** *Severity 3, Severity 4 and Severity 5 Incidents Caused by a Change Release* shall be Incidents:
- a) categorised as Category (Severity) 3, Category (Severity) 4, or Category (Severity) 5 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
- 457.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:
- $$PM3.1_p = \text{Severity 3, Severity 4 and Severity 5 Incidents Closed which were Caused by a Change Release}$$
- 458.** The duration of the Performance Measurement Period shall be a calendar month.

7.7 PM7 Notification of Planned Maintenance events within required target

- 459.** This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the S1SP to DCC within the required notification target.
- 460.** The S1SP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales defined in section Part C of Schedule 2.1 (DCC requirements), this time scale shall be the *Target Planned Maintenance Notice*.
- 461.** DCC shall record Planned Maintenance events in the DCC Service Management System.
- 462.** Planned Maintenance *Notice Period* shall be calculated as follows:
- a) the date the Planned Maintenance is due to begin; minus
 - b) the date the Planned Maintenance request is received by DCC.
- 463.** Planned Maintenance *Notifications on Target* shall be the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is more than or equal to the *Target Planned Maintenance Notice*.
- 464.** In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM7_p = 100 \times \left[\frac{PNMT_{pq}}{PME_{pq}} \right] \%$$

Where:

$PNMT_{pq}$ = the number of Planned Maintenance *Notifications on Target*

PME_{pq} = the number of Planned Maintenance requests received by
DCC.

465. The duration of the Performance Measurement Period shall be a calendar quarter.

8 Final Operating Capability Performance Measures

8.1 Scope

- 466.** The Reported List of Service Provider Performance Measures includes a subset of the SMETS 1 Services Provider (S1SP) Performance Measures.
- 467.** Table 13 lists the FOC Performance Measures on the Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
2.1	Percentage Service availability – DCC Data Service (Production Services)	%Time	SM
2.4	Percentage Service availability – Management Service Interface	%Time	SM
2.7	Percentage Service availability - Externally exposed test services (08.00 to 20.00 UTC Monday to Saturday)	%Time	SM
3.1	Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
3.2	Number of Severity Level 3, 4, or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
7	Notification of Planned Maintenance events within required target	Events	KPI

Table 13 Reported List of Service Provider Performance Measures – FOC Scope

8.2 PM 2.1 Percentage Service availability – DCC Data Service (Production Services)

- 468.** The *DCC Data Service* means the systems and communications required to provide for the processing of Service Requests, Pre-Commands, Commands, Service Responses and Alerts and the holding or using of Registration Data.
- 469.** Provision of the *DCC Data Service* is an activity that is performed over a period of time.

- 470.** The availability of the components making up the *DCC Data Service*, covering associated S1SP servers, interfaces and networks, shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 471.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.
- 472.** Periods of unavailability of the *DCC Data Service* shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 473.** The *Unscheduled Downtime* of the *DCC Data Service* shall be calculated as follows:
- a) the number of complete minutes where the *DCC Data Service* is unavailable for Service Request processing; minus
 - b) the number of minutes of down time due to agreed Planned Maintenance.
- 474.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.1_p = 100 \times \left(1 - \left[\frac{UDDDS_p}{RT_p} \right] \right) \%$$

Where:

$UDDDS_p$ = the *Unscheduled Downtime* of the *DCC Data Service* in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 475.** The duration of the Performance Measurement Period shall be a calendar month.

8.3 PM2.4 Percentage Service availability – S1SP Management Interface (Production Services)

- 476.** The Percentage Availability of the S1SP Management Interface measures the amount of time, in any given Measurement Period, that the Management Interface is available to the DCC.
- 477.** The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of Management Interface Downtime, equal to the total number of minutes where the Management Interface is unavailable to the DCC.
- 478.** The methodology for measuring the Management Interface Downtime is further described in the Availability Plan (as developed by the Contractor in accordance with Schedule 6.3 (Development Process)).

479. The Percentage Availability of the Management Interface shall be calculated as follows:

$$PM2.4_p = 100 \times \left(1 - \left[\frac{DMI_p}{RT_p} \right] \right) \%$$

Where:

DMI_p = the *Downtime* of the Management Interface in minutes
 RT_p = the number of minutes within the Performance Measurement Period.

480. The duration of the Performance Measurement Period shall be a calendar month.

8.4 PM2.7 Percentage Service availability - Externally Exposed Testing Services (08.00 to 20.00 UTC Monday to Saturday)

481. This Performance Measure measures the availability of the *Externally Exposed Test Environments* that have been requested by DCC and are exposed to Users or an external Party.
482. For any Test Environment provided by the Contractor to the DCC, the Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of unscheduled Test Environment Downtime, for each Test Environment, equal to the total number of minutes between 08:00 and 20:00 UTC where the Test Environment is unavailable to the DCC.
483. The methodology for measuring the Test Environment Downtime is further described in the Availability Plan (as developed by the Contractor in accordance with Schedule 6.3 (Development Process)).
484. In respect of each UIT Test Environment (e) and for each Performance Measurement Period (p), the Test Environment Availability shall be calculated as follows:

$$\text{Test Environment Availability}_{p,e} = 100 \times \left(1 - \left[\frac{UDTE_{p,e}}{RTE_p} \right] \right) \%$$

Where:

$UDTE_{p,e}$ = the *Unscheduled Downtime* of the *Test Environment* in minutes
 RTE_p = the number of minutes in the Testing Services core service hours within the Performance Measurement Period.

485. In respect of each Performance Measurement Period (p) the Performance Measure shall be the arithmetic mean of the Test Environment Availability Levels, calculated as follows:

$$PM2.7_p = \frac{1}{n} \sum_{e=1}^n \text{Test Environment Availability}_{p,e} \%$$

Where:

n = the number of Test Environment Availability Service Measures reported to DCC in this Performance Measurement Period.

486. The duration of the Performance Measurement Period shall be a calendar month.

8.5 PM3.1 Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

487. This Performance Measure measures the number of Severity Level 1 or 2 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.

488. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.

489. DCC shall record each *Change Release* in the DCC Service Management System.

490. DCC shall log each Incident in the DCC Service Management System and record the relationship between the Change Release and Incident.

491. *Severity 1 and Severity 2 Incidents Caused by a Change Release* shall be Incidents:

- a) categorised as Category (Severity) 1 or Category (Severity) 2 at the point of resolution; and
- b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
- c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.

492. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM3.1_p = \text{Severity 1 and Severity 2 Incidents Closed which were Caused by a Change Release}$$

493. The duration of the Performance Measurement Period shall be a calendar month.

8.6 PM3.2 Number of Severity Level 3, 4 or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

494. This Performance Measure measures the number of Severity Level 3, 4 or 5 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
495. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
496. DCC shall record each *Change Release* in the DCC Service Management System.
497. DCC shall log each Incident in the DCC Service Management System and record the relationship between the *Change Release* and Incident.
498. *Severity 3, Severity 4 and Severity 5 Incidents Caused by a Change Release* shall be Incidents:
- a) categorised as Category (Severity) 3, Category (Severity) 4, or Category (Severity) 5 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
499. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

PM3.1_p = Severity 3, Severity 4 and Severity 5 Incidents Closed which were Caused by a Change Release

500. The duration of the Performance Measurement Period shall be a calendar month.

8.7 PM7 Notification of Planned Maintenance events within required target

501. This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the S1SP to DCC within the required notification target.
502. The S1SP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales defined in section Part C of Schedule 2.1 (DCC requirements), this time scale shall be the *Target Planned Maintenance Notice*.
503. DCC shall record Planned Maintenance events in the DCC Service Management System.
504. Planned Maintenance *Notice Period* shall be calculated as follows:
- a) the date the Planned Maintenance is due to begin; minus

b) the date the Planned Maintenance request is received by DCC.

505. Planned Maintenance *Notifications on Target* shall be the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is more than or equal to the *Target Planned Maintenance Notice*.

506. In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM7_p = 100 \times \left[\frac{PNMT_{pq}}{PME_{pq}} \right] \%$$

Where:

$PNMT_{pq}$ = the number of Planned Maintenance *Notifications on Target*

PME_{pq} = the number of Planned Maintenance requests received by DCC.

507. The duration of the Performance Measurement Period shall be a calendar quarter.

9 Dual Control Organisation (security) Performance Measures

9.1 Scope

- 508.** The Reported List of Service Provider Performance Measures includes a subset of the SMETS 1 Services Provider (S1SP) Performance Measures.
- 509.** Table 14 lists the DCO Performance Measures on the Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
2.1	Percentage Service availability – DCC Data Service (Production Services)	%Time	SM
3.1	Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
3.2	Number of Severity Level 3, 4, or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release	Events	SM
7	Notification of Planned Maintenance events within required target	Events	KPI

Table 14 Reported List of Service Provider Performance Measures – DCO Scope

9.2 PM 2.1 Percentage Service availability – DCC Data Service (Production Services)

- 510.** The *DCC Data Service* means the systems and communications required to provide for the processing of Service Requests, Pre-Commands, Commands, Service Responses and Alerts and the holding or using of Registration Data.
- 511.** Provision of the *DCC Data Service* is an activity that is performed over a period of time.
- 512.** The availability of the components making up the *DCC Data Service*, covering associated S1SP servers, interfaces and networks, shall be monitored in real-time by an infrastructure monitoring tool in accordance with Good Industry Practice.
- 513.** Any component unavailability will be automatically alerted to the Service Provider and where this results in an Incident an Incident shall be recorded in the DCC Service Management System; an example where component unavailability would not result in an Incident is where there is redundancy built into the infrastructure and a system 'fail over' has occurred to a back-up component.

- 514.** Periods of unavailability of the *DCC Data Service* shall be recorded in the DCC Service Management System in accordance with the Incident Management Policy.
- 515.** The *Unscheduled Downtime* of the *DCC Data Service* shall be calculated as follows:
- the number of complete minutes where the *DCC Data Service* is unavailable for Service Request processing; minus
 - the number of minutes of down time due to agreed Planned Maintenance.
- 516.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM2.1_p = 100 \times \left(1 - \left[\frac{UDDDS_p}{RT_p} \right] \right) \%$$

Where:

$UDDDS_p$ = the *Unscheduled Downtime* of the *DCC Data Service* in minutes
 RT_p = the number of minutes within the Performance Measurement Period.

- 517.** The duration of the Performance Measurement Period shall be a calendar month.

9.3 PM3.1 Number of Severity Level 1 or 2 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release

- 518.** This Performance Measure measures the number of Severity Level 1 or 2 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
- 519.** This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
- 520.** DCC shall record each *Change Release* in the DCC Service Management System.
- 521.** DCC shall log each Incident in the DCC Service Management System and record the relationship between the *Change Release* and Incident.
- 522.** *Severity 1 and Severity 2 Incidents Caused by a Change Release* shall be Incidents:
- categorised as Category (Severity) 1 or Category (Severity) 2 at the point of resolution; and
 - where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - which have occurred within 30 days of the date of the *Change Release* identified in b) above.

523. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$PM3.1_p = \text{Severity 1 and Severity 2 Incidents Closed which were Caused by a Change Release}$

524. The duration of the Performance Measurement Period shall be a calendar month.

9.4 **PM3.2 Number of Severity Level 3, 4 or 5 Incidents directly related to a Change Release occurring within 30 days of release of the Change Release**

525. This Performance Measure measures the number of Severity Level 3, 4 or 5 Incidents directly related to a *Change Release* occurring within 30 days of the *Change Release*.
526. This Performance Measure measures Incidents which are closed in the Performance Measurement Period.
527. DCC shall record each *Change Release* in the DCC Service Management System.
528. DCC shall log each Incident in the DCC Service Management System and record the relationship between the Change Release and Incident.
529. *Severity 3, Severity 4 and Severity 5 Incidents Caused by a Change Release* shall be Incidents:
- a) categorised as Category (Severity) 3, Category (Severity) 4, or Category (Severity) 5 at the point of resolution; and
 - b) where the cause of the Incident was determined to be an issue with a *Change Release*; and
 - c) which have occurred within 30 days of the date of the *Change Release* identified in b) above.
530. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$PM3.1_p = \text{Severity 3, Severity 4 and Severity 5 Incidents Closed which were Caused by a Change Release}$

531. The duration of the Performance Measurement Period shall be a calendar month.

9.5 **PM7 Notification of Planned Maintenance events within required target**

532. This Performance Measure measures the percentage of Planned Maintenance events, which are notified by the S1SP to DCC within the required notification target.

- 533.** The S1SP shall submit a Planned Maintenance request to DCC for approval in accordance with the time scales defined in section Part C of Schedule 2.1 (DCC requirements), this time scale shall be the *Target Planned Maintenance Notice*.
- 534.** DCC shall record Planned Maintenance events in the DCC Service Management System.
- 535.** Planned Maintenance *Notice Period* shall be calculated as follows:
- the date the Planned Maintenance is due to begin; minus
 - the date the Planned Maintenance request is received by DCC.
- 536.** Planned Maintenance *Notifications on Target* shall be the number of Planned Maintenance requests received where the Planned Maintenance *Notice Period* is less than or equal to the *Target Planned Maintenance Notice*.
- 537.** In respect of each Performance Measurement Period (pq), the Service Level for the Performance Measure shall be calculated as follows:

$$PM7_p = 100 \times \left[\frac{PNMT_{pq}}{PME_{pq}} \right] \%$$

Where:

$PNMT_{pq}$ = the number of Planned Maintenance *Notifications on Target*

PME_{pq} = the number of Planned Maintenance requests received by DCC.

- 538.** The duration of the Performance Measurement Period shall be a calendar quarter.

10 Communication Service Provider Performance Measures (Vodafone)

10.1 Scope

- 539.** The Reported List of Communication Service Provider Performance Measures includes a subset of the SMETS 1 Services Provider (S1SP) Performance Measures.
- 540.** Table 15 lists the S1SP CSP Performance Measures on the Reported List of Service Provider Performance Measures.

Service Provider Contractual Reference	Performance Measure Title	Category	Service Measure / KPI
	Monthly availability of the radio network across VF-UK network	%Time	KPI
	Combined monthly IoT Core and Management Service Availability	%Time	SM
	Monthly IoT Core availability (Voice/Data/SMS)	%Time	SM
	Monthly Management Service Availability (API, Reporting, Provisioning, GUI, Portal)	%Time	SM
	Monthly Availability of DCC dedicated fixed links	%Time	SM

Table 15 Reported List of Service Provider Performance Measures – S1SP CSP Scope

10.2 Monthly availability of the radio network across VF-UK network

- 541.** Details not available in contract
- 542.** The Percentage Availability of the S1SP radio network measures the amount of time, in any given Measurement Period, that the radio network is available to the DCC.
- 543.** The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of radio network downtime, equal to the total number of minutes where the radio network is unavailable to the DCC.
- 544.** The Percentage Availability of the radio network shall be calculated as follows:

$$PM[TBC]_p = 100 \times \left(1 - \left[\frac{DRN_p}{RT_p} \right] \right) \%$$

Where:

DRN_p = the *Downtime* of the radio network in minutes
 RT_p = the number of minutes within the Performance Measurement Period.

- 545.** The duration of the Performance Measurement Period shall be a calendar month.

10.3 Combined monthly IoT Core and management service availability

- 546.** Details not available in contract
- 547.** The Percentage Availability of the S1SP management service measures the amount of time, in any given Measurement Period, that the management service is available to the DCC.
- 548.** The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of management service downtime, equal to the total number of minutes where the management service is unavailable to the DCC.
- 549.** In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM(TBC) = 100 \times \left(1 - \left[\frac{UDMP_p \times nIS}{RT_p \times nS} \right] \right) \%$$

$UDMP_p$ = the Inability for active SIMs to be able to use any of the Data/Voice/SMS and management provisioning/reporting services (Data/SMS/Voice and management provisioning/reporting unavailability)
 nIS = number of active SIM(s) impacted by the issue (using or not the service during the issue)
 nS = the number of active SIMs of all live customers
 RT_p = the number of minutes within the Performance Measurement Period.

- 550.** The duration of the Performance Measurement Period shall be a calendar month.

10.4 Monthly IoT Core availability (Voice/Data/SMS)

551. Details not available in contract

552. In respect of each Performance Measurement Period (p), the Service Level for the Performance Measure shall be calculated as follows:

$$PM(TBC) = 100 \times \left(1 - \left[\frac{UDSV_p \times nIS}{RT_p \times nS} \right] \right) \%$$

UDSV_p = the Inability for active SIMs to be able to use any of the Data/Voice/SMS services (Data/SMS/Voice unavailability)

nIS = number of active SIM(s) impacted by the issue (using or not the service during the issue)

nS = the number of active SIMs of all live customers

RT_p = the number of minutes within the Performance Measurement Period.

553. The duration of the Performance Measurement Period shall be a calendar month.

10.5 Monthly Management Service Availability (API, Reporting, Provisioning, GUI, Portal)

554. Details not available in contract

555. The Percentage Availability of the S1SP management service measures the amount of time, in any given Measurement Period, that the management service is available to the DCC.

556. The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of management service downtime, equal to the total number of minutes where the management service is unavailable to the DCC.

557. The Percentage Availability of the management service shall be calculated as follows:

$$PM[TBC]_p = 100 \times \left(1 - \left[\frac{DMS_p}{RT_p} \right] \right) \%$$

Where:

DMS_p = the *Downtime* of the Management Service in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 558.** The duration of the Performance Measurement Period shall be a calendar month.

10.6 Monthly Availability of DCC dedicated fixed links

- 559.** Details not available in contract
- 560.** The Percentage Availability of the S1SP DCC dedicated fixed links measures the amount of time, in any given Measurement Period, that the Management Interface is available to the DCC.
- 561.** The Contractor shall, as part of their Performance Monitoring Approach, record the number of minutes of Management Service Downtime, equal to the total number of minutes where the Management Interface is unavailable to the DCC.
- 562.** The Percentage Availability of the Management Service shall be calculated as follows:

$$PM[TBC]_p = 100 \times \left(1 - \left[\frac{DFL_p}{RT_p} \right] \right) \%$$

Where:

DFL_p = the *Downtime* of the dedicated fixed links in minutes

RT_p = the number of minutes within the Performance Measurement Period.

- 563.** The duration of the Performance Measurement Period shall be a calendar month.

11 Performance Indicators

564. SEC H13.5A and SEC H13.5B allow the Panel to establish Performance Indicators that the DCC shall report on and include in the PMM and PMR.

565. Table 16 lists the Performance Indicators established by the Panel.

<u>Performance Indicator</u>	<u>Relevant performance Indicator</u>	<u>Category</u>	<u>Service Provider</u>
<u>1</u>	<u>Performance against the times set out in the DCC's Power Outage & Restoration Alerts Delivery Management Document</u>	<u>Events</u>	<u>CSP</u>

Table 16 Panel determined Performance Indicators.

11.1 Performance against the times set out in the DCC's Power Outage and Restoration Alerts Delivery Management Document

566. As required under SEC H3.14B, the methodology for these measures is contained in the Power Outage & Restoration Alerts Management Document, which can be found on the DCC website. Note that the performance reported will be based on Round Trip Time. Also note that any changes to the Power Outage & Restoration Alerts Management Document must undergo industry consultation and receive SEC Panel approval before implementation.

567. The DCC will report these measures as described in the Power Outage & Restoration Alerts Management Document which can be found on the DCC website.

4112 Governance

~~564.568.~~ The DCC shall manage the Performance Measurement Methodology in accordance with section H13.6 of the SEC and in order to fulfil these obligations the DCC shall take the steps outlined in the paragraphs below.

~~565.569.~~ The DCC shall distribute the Performance Measurement Methodology as directed by section H13.6 of the SEC.

~~566.570.~~ Prior to first publication of, (and any subsequent modification to) the Performance Measurement Methodology the DCC shall:

- a) undertake reasonable consultation with the Panel, Parties and the Authority regarding its content or any proposed modification thereto;
- b) give due consideration to, and take into account, any consultation responses received; and
- c) publish a statement of its reasons for including or modifying content, as applicable, together with copies of any consultation responses received that are not marked as confidential.

~~567.571.~~ The DCC shall publish the initial Performance Measurement Methodology and any subsequently modified versions as soon as reasonably practicable following the completion of the activities set out in clause ~~570570566.~~

Appendix A – Interpretation

568-572. The following terms shall be interpreted in accordance with the provisions in the Smart Energy Code (SEC):

1. Acknowledgement
2. Alert
3. Authority
4. CH Fault Diagnosis
5. Code Performance Measure
6. Command
7. Coverage Area
8. DCC Gateway Connection
9. DCC Internal Systems
10. DCC Service Provider
11. Delivery Date
12. Delivery Window
13. Device
14. Future-Dated Services
15. Good Industry Practice
16. HAN
17. HAN Interface
18. Installation Location
19. Installation Point
20. Incident Category
21. Minimum Service Level
22. Panel
23. Party
24. On-Demand Services
25. Performance Measurement Methodology
26. Performance Measurement Period
27. Performance Measures
28. Planned Maintenance
29. Region
30. Registration Data
31. Registration Data Interface
32. Relevant Anomaly Detection Threshold
33. Reported List of Service Provider Performance Measures
34. Scheduled Service Requests
35. Self-Service Interface
36. Service Level
37. Service Level Requirements
38. Service Provider Performance Measures
39. Service Request
40. Service Response
41. SM WAN
42. SM WAN Coverage Database
43. Smart Meter

44. Smart Meter Inventory (or SMI)
45. SMKI Services
46. SMI Status
47. SMKI Repository
48. SMKI Repository Interface
49. SMKI Repository Interface Design Specification
50. SMKI Repository Service
51. SM WAN Coverage Database
52. Target Availability Period
53. Target Resolution Time
54. Target Response Time
55. Target Service Level
56. Testing Service

569-573. The following terms shall be interpreted in accordance with the relevant DCC Service Provider contract and is reproduced here for reference:

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| 1. Category 2 HAN Interface Commands | means a specific Meter-Scheduled Service Response as defined in the DCC Service Provider contracts (CSP) |
| 2. Category 3 Alerts | means a subset of Alerts as defined in the DCC Service Provider contracts (CSP) |
| 3. Communications Service Provider (or CSP) | means the provider of communications services as defined in the Agreement for the provision of communications services in relation to the Smart Metering Programme. |
| 4. Communications Service Provider – North Region (or CSPN) | means the Communications Service Provider for the North Region. |
| 5. Communications Service Provider – Central and South Regions (or CSPC/S) | means the Communications Service Provider for the Central and South Region. |
| 6. Controlled Market Start-up | means a controlled service delivery involving volume deployment constraint during the Initial Mass Roll Out Phase. |
| 7. Data Service Provider (or DSP) | means the provider of data services as defined in the Agreement for the provision of data services in relation to the Smart Metering Programme. |

8. DCC Data System(s) means the software used by or on behalf of the DSP to satisfy the functional and non-functional, interface and security requirements for the Services together with all systems and process documentation, toolsets and parts of DSP CMDDB relating to such software or the use of such software.
9. DCC Enterprise Systems Interface means the connection point(s), supplied by the between [sic] the DCC and the Prime DSP that shall enable the flow of data that passes into the DCC's enterprise systems between the DCC and Prime DSP, and the link which shall be supplied by the DSP.
10. DCC Service Management System means the service management system the DSP shall design, build, test, and operate on behalf the DCC, which meets the requirements for the DCC Service Management System detailed in Schedule 2.1 (*of the DSP Contract*).
11. DCC Service Management System Interface (or CSP Service Management System Interface) means the connection point(s), supplied by the DSP, between the DCC Service Management System and the DSP Solution and that allows External Service Providers to send and receive Service Management information from the DCC Service Management System, and the link supplied by the DCC Service Provider making the connection.
12. DCC User Gateway (or DCC User Interface) means the connection point(s), supplied by the DSP, between the DCC Data Systems and *DCC Service Users* and the link, supplied by the DSP that connects the DCC Data Systems and *DCC Service Users*.
13. DCC WAN Gateway (or DCC SM WAN Gateway) means each connection point, supplied by the CSP (which shall also be operated by the CSP) between the DCC Data Systems and the Systems of each CSP that allows data to be transferred between the DSP and such CSPs and the link supplied by the relevant CSP that connects the DCC Data systems and *DCC Service Users*.
14. DCC WAN Gateway Interface (or DCC SM WAN Gateway Interface) has the same meaning as DCC WAN Gateway.

15. HAN Interface Command	means a message with a payload formatted in line with the protocols defined in the GB Companion Specification from time to time (including messages generated by Service Requests and sent to Smart Meter Devices).
16. Initial Mass Roll Out Phase	mean the phase that continues for so long as any <i>DCC Service Users</i> or part of the Territory in connection with which the CSP provides Services is subject to initial volume deployment constraint under Controlled Market Start-up ("CMSU").
17. Registration System Interface	means the connection point(s), supplied by the DSP, between the DCC Data Systems and industry registration systems (for both the electric and gas industries) and the link, which will be supplied by the DSP.
18. Release	means one or more changes to an IT service that are built, tested and deployed together. A single release may include changes to hardware, software, documentation, processes and other components.
19. Relevant Service Measure	has the meaning provided in section 14 of this document.
20. Round Trip Time 2 or RTT2	means a target Round Trip Time of 22 hours as defined in the DCC Service Provider contracts (CSP)
21. Round Trip Time 3 or RTT3	means a target Round Trip Time of 2 hours as defined in the DCC Service Provider contracts (CSP)
22. Round Trip Time 4 or RTT4	means a target Round Trip Time of 25 seconds as defined in the DCC Service Provider contracts (CSP)
23. Unconstrained Mass Roll Out Phase	means a phase following the end of the Initial Mass Roll Out Phase, where the market moves to unconstrained rollout.
24. UTC	means Coordinated Universal Time (UTC).

570-574. The following terms are defined for the purposes of this document only:

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| 1. Activity Period | means the period of time over which the events or activities are to be measured. |
| 2. Allowed Exception | has the meaning provided in section 21 and 22 of this document. |
| 3. Attempted Communications Hub Installations | has the meaning provided in section 243 of this document. |
| 4. Attempted First Time Connections | has the meaning provided in section 192 of this document. |
| 5. Average Unscheduled Downtime | means the arithmetic mean of the <i>Unscheduled Downtime</i> of all components of a system or service, where the system or service comprises a collection of components and where an <i>Unscheduled Downtime</i> has been calculated for each component. |
| 6. CH First Time Connected Date | has the meaning provided in section b) of this document. |
| 7. CH First Time Installed Date | has the meaning provided in section 187 of this document for CSPN Performance Measures and section 292 of this document for CSPC/S Performance Measures. |
| 8. Change Release | has the same meaning as Release. |
| 9. Communication Hub Incident Resolved Remotely | has the meaning provided in section 281 of this document. |
| 10. Communications Hubs Successfully Installed In Coverage Area | has the meaning provided in section 218 of this document. |
| 11. DCC Data Service | has the meaning provided in section 108 of this document. |
| 12. DCC Service User | means User (SEC defined term). |
| 13. Delivered On Time Communications Hub | has the meaning provided in section 265 of this document. |

14. Exceptional Installation Point	to be finalised following agreement with CSPC/S.
15. Exempted Communications Hubs	has the meaning provided in section 220 of this document.
16. Exempted Lost Connectivity	has the meaning provided in section 221 of this document.
17. Externally Exposed Test Environment	means a set of DCC systems and interfaces made available by the Data Service Provider to DCC to support the Testing Services as defined in SEC Section H14.
18. Externally Exposed Test Services	means the Testing Services provided through the <i>Externally Exposed Test Environment</i> .
19. First Time Connections Achieving Connectivity within 30 Days	has the meaning provided in section 202 of this document.
20. First Time Connections Achieving Connectivity within 90 Days	has the meaning provided in section 208 of this document.
21. Han Interface Command	means the interface command associated with the relevant Service Request.
22. Inaccurate Coverage Database Prediction	has the meaning provided in section 245 of this document.
23. Individual SMKI Repository Interface	means one of the interfaces that comprise the SMKI Repository Interface as defined in the SMKI Repository Interface Design Specification.
24. Isolated Power Loss Event	has the meaning provided in section 254 of this document.
25. Installation Exception	means where the User failed to correctly follow the agreed installation process as defined in the Communications Hub Installation and Maintenance Support Materials (CHIMSM)
26. Lodged Repository Document	means any document of the type listed in definition of The SMKI Repository in SEC Section L5.1 that is stored within the SMKI Repository.

27. Lost Connectivity	has the meaning provided in section 219 of this document.
28. Notice Period	means the time period, prior to or following an event, between the event occurrence and the notification to the DCC of the event occurrence.
29. Notifications on Target	means the number of occurrences where the DCC are notified of an event within the <i>Notice Period</i> .
30. Performance Measure Exceptions List (PMEL)	has the meaning provided in section 25 of this document.
31. PM2.5 Interface	has the meaning provided in section 141 of this document.
32. Power Outage Event Window	means a unique 3 minute period of time with a meaning provided in section 5.8.
33. Pre-Commands	has the meaning provided by the GBCS.
34. Remotely Fixable Communications Hubs Incident	has the meaning provided in section 282 of this document.
35. Round Trip Time	means the time taken from the transmission of the outgoing communication to the receipt of the response communication.
36. Service Measure	has the same meaning as Service Provider Performance Measure.
37. Small Power Loss Event	has the meaning provided in section 356 of this document.
38. Successful First Time Connection At Install	has the meaning provided in section 187 of this document.
39. Target Availability Period	means period of time during the Performance Measurement Period on which that activity would have been performed if it had been performed in accordance with the relevant Service Level Requirement,
40. Target Planned Maintenance Notice	has the meaning provided in section 168 of this document.
41. Test HAN Interface Command	means a <i>Test HAN Interface Command</i> with a median size of 262.5 Bytes.

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| 42. Unscheduled Downtime | means the downtime of a system or service within <i>Core Service Hours</i> and beyond the scope of any periods of Planned Maintenance. |
| 43. Unsuccessful First Time Connection At Install | has the meaning provided in section 191 of this document. |